

## Lecture 4. Vector Spaces: basis and dimension.

First, we state two equivalent definitions of a basis of a vector space  $V$ .

**Definition 1.** A set of vectors  $S = \{v_1, \dots, v_n\}$  is a **basis** of  $V$  if

1.  $S$  is linearly independent.
2.  $S$  spans  $V$ .

**Definition 2.** A set of vectors  $S = \{v_1, \dots, v_n\}$  is a **basis** of  $V$  if every  $v \in V$  can be written uniquely as a linear combination of the basis vectors.

**Proposition 1.** The Definitions 1 and 2 are equivalent.

*Example 1.* a) Let  $V = \mathbb{R}^3$  over  $\mathbb{R}$ . Consider the vectors

$$e_1 = \begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix}, e_2 = \begin{pmatrix} 0 \\ 1 \\ 0 \end{pmatrix}, e_3 = \begin{pmatrix} 0 \\ 0 \\ 1 \end{pmatrix}.$$

We know from Lecture 3 that these vectors span  $\mathbb{R}^3$ . Furthermore, they are independent. Consider  $\lambda_1 e_1 + \lambda_2 e_2 + \lambda_3 e_3 = 0$ . We have

$$\lambda_1 \begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix} + \lambda_2 \begin{pmatrix} 0 \\ 1 \\ 0 \end{pmatrix} + \lambda_3 \begin{pmatrix} 0 \\ 0 \\ 1 \end{pmatrix} = \begin{pmatrix} 0 \\ 0 \\ 0 \end{pmatrix}.$$

One can easily check that  $\lambda_1 = \lambda_2 = \lambda_3 = 0$ . So  $e_1, e_2$  and  $e_3$  are independent in  $\mathbb{R}^3$ . Hence  $\{e_1, e_2, e_3\}$  is a basis of  $\mathbb{R}^3$ . This basis is called **a standard basis** of  $\mathbb{R}^3$ .

b) This example generalizes the preceding example. Consider the following  $n$  vectors in  $\mathbb{R}^n$ :

$$e_1 = \begin{pmatrix} 1 \\ 0 \\ \vdots \\ 0 \\ 0 \end{pmatrix}, e_2 = \begin{pmatrix} 0 \\ 1 \\ \vdots \\ 0 \\ 0 \end{pmatrix}, \dots, e_n = \begin{pmatrix} 0 \\ 0 \\ \vdots \\ 0 \\ 1 \end{pmatrix}.$$

These vectors are linearly independent. Furthermore, any vector

$$v = \begin{pmatrix} a_1 \\ a_2 \\ \vdots \\ a_{n-1} \\ a_n \end{pmatrix} \in \mathbb{R}^n$$

can be written as a linear combination of  $e_1, e_2, \dots, e_n$ . Specifically,  $v = a_1 e_1 + a_2 e_2 + \dots + a_n e_n$ . Accordingly, the vectors form a basis of  $\mathbb{R}^n$  which is called **standard basis of  $\mathbb{R}^n$** .

c) Let  $V = M_{2,2}$  be a vector space of all  $2 \times 2$  matrices over  $\mathbb{R}$ . The following four matrices from  $M_{2,2}$  form a basis of the vector space  $M_{2,2}$  over  $\mathbb{R}$ :

$$\begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix}, \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}, \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix}, \begin{pmatrix} 0 & 0 \\ 0 & 1 \end{pmatrix}$$

Since

$$\begin{pmatrix} a & b \\ c & d \end{pmatrix} = a \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix} + b \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix} + c \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix} + d \begin{pmatrix} 0 & 0 \\ 0 & 1 \end{pmatrix}$$

and their independence is evident.

d) Vector space  $P_n(t)$  of all polynomials of degree less than or equal to  $n$ . The set  $S = \{1, t, t^2, \dots, t^n\}$  is a basis of  $P_n(t)$ . Any polynomial can be written as

$$a_0 + a_1 t + \dots + a_n t^n = a_0 1 + a_1 t + \dots + a_n t^n$$

and their independence is evident.

The following is a fundamental result in linear algebra.

**Theorem 1.** Let  $V$  be a vector space such that one basis has  $m$  vectors and another basis has  $n$  vectors. Then  $m = n$ .

**Definition 3.** A vector space  $V$  is said to be of **finite dimension  $n$**  or  **$n$ -dimensional**, written  $\dim V = n$  if  $V$  has a basis with  $n$  vectors. If a vector space  $V$  does not have finite basis, then  $V$  is said to be of **infinite dimension** or to be **infinite-dimensional**.

The vector space  $\{0\}$  is defined to have dimension 0.

*Example 2.* Prove that

- $\dim \mathbb{R}^n = n$
- $\dim M_{m,n} = mn$
- $\dim P_n(t) = n + 1$

**Theorem 2.** Let  $V$  be a vector space of finite dimension  $n$ . Then:

- Any  $n + 1$  or more vectors in  $V$  are linearly dependent.
- Any linearly independent set  $S = \{v_1, \dots, v_n\}$  with  $n$  vectors is a basis of  $V$ .
- Any spanning set  $T = \{w_1, \dots, w_n\}$  of  $V$  with  $n$  elements is a basis of  $V$ .

*Example 3.* a) Let  $V = \mathbb{R}^3$ . Then  $\dim \mathbb{R}^3 = 3$ . We know the vectors

$$e_1 = \begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix}, e_2 = \begin{pmatrix} 0 \\ 1 \\ 0 \end{pmatrix}, e_3 = \begin{pmatrix} 0 \\ 0 \\ 1 \end{pmatrix}$$

forms a basis of  $\mathbb{R}^3$ . Then for any nonzero  $v \in \mathbb{R}^3$  by the first part of the Theorem above the four vectors  $e_1, e_2, e_3, v$  are not linearly independent, consequently  $\{e_1, e_2, e_3, v\}$  is not a basis of  $\mathbb{R}^3$ .

b) Let  $V = \mathbb{R}^3$ . It is easy to show that

$$v_1 = \begin{pmatrix} 2 \\ 0 \\ 0 \end{pmatrix}, v_2 = \begin{pmatrix} 1 \\ 3 \\ 0 \end{pmatrix}, v_3 = \begin{pmatrix} 1 \\ 2 \\ 3 \end{pmatrix}$$

are linearly independent in  $\mathbb{R}^3$  and  $\dim \mathbb{R}^3 = 3$ . Then by the second part of the Theorem above  $\{v_1, v_2, v_3\}$  is a basis of  $\mathbb{R}^3$ .

c) Let  $V = \mathbb{R}^3$ . We know from Lecture 3 that the vectors

$$f_1 = \begin{pmatrix} 1 \\ 1 \\ 1 \end{pmatrix}, f_2 = \begin{pmatrix} 1 \\ 1 \\ 0 \end{pmatrix}, f_3 = \begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix}$$

span  $\mathbb{R}^3$ . Taking account that  $\dim \mathbb{R}^3 = 3$  and the third part of the Theorem above we have  $\{f_1, f_2, f_3\}$  is a basis of  $\mathbb{R}^3$ .

In an echelon form matrix, no nonzero row is a linear combination of the other nonzero rows. The nonzero rows of an echelon form matrix make up a linearly independent set. Namely, rows in an echelon matrix with  $n$  columns give us set of linearly independent vectors in  $\mathbb{R}^n$ .

*Example 4.* a) Given vectors  $v_1 = \begin{pmatrix} 1 \\ 1 \\ 0 \end{pmatrix}, v_2 = \begin{pmatrix} 1 \\ 3 \\ 0 \end{pmatrix}, v_3 = \begin{pmatrix} 4 \\ 9 \\ 5 \end{pmatrix}$ . We check

whether they are linearly dependent or not by echelon matrix (from Lecture 3 we know that they are linearly dependent). First we write them as rows of matrix and

have  $\begin{pmatrix} 1 & 1 & 0 \\ 1 & 3 & 2 \\ 4 & 9 & 5 \end{pmatrix}$ . Perform the following sequence of elementary operations

$R_2 \rightarrow -R_1 + R_2, R_3 \rightarrow -4R_1 + R_3, R_3 \rightarrow -5R_2 + 2R_3$ :

$$\begin{pmatrix} 1 & 1 & 0 \\ 1 & 3 & 2 \\ 4 & 9 & 5 \end{pmatrix} \sim \begin{pmatrix} 1 & 1 & 0 \\ 0 & 2 & 2 \\ 4 & 9 & 5 \end{pmatrix} \sim \begin{pmatrix} 1 & 1 & 0 \\ 0 & 2 & 2 \\ 0 & 5 & 5 \end{pmatrix} \sim \begin{pmatrix} 1 & 1 & 0 \\ 0 & 2 & 2 \\ 0 & 0 & 0 \end{pmatrix} \sim \begin{pmatrix} 1 & 1 & 0 \\ 0 & 2 & 2 \\ 0 & 0 & 0 \end{pmatrix}.$$

Finally, we have the echelon matrix with two nonzero rows. It means the third row is a linear combination of the first and second rows, namely,  $v_3$  is a linear combination of  $v_1$  and  $v_2$ . Hence, they are linearly dependent in  $\mathbb{R}^3$ .

b) Given vectors  $v_1 = \begin{pmatrix} 1 \\ 2 \\ 1 \end{pmatrix}$ ,  $v_2 = \begin{pmatrix} 3 \\ -1 \\ -1 \end{pmatrix}$ ,  $v_3 = \begin{pmatrix} -2 \\ 2 \\ 3 \end{pmatrix}$  of  $\mathbb{R}^3$ . We want to check whether the set  $\{v_1, v_2, v_3\}$  is a basis of  $\mathbb{R}^3$ . First, we write them as rows of matrix and have

$$\begin{pmatrix} 1 & 2 & 1 \\ 3 & -1 & -1 \\ -2 & 2 & 3 \end{pmatrix}.$$

After applying the sequence of following elementary operations  $R_2 \rightarrow -3R_1 + R_2, R_3 \rightarrow 2R_1 + R_3, R_3 \rightarrow 7R_3, R_3 \rightarrow 6R_2 + R_3$  one can obtain the following echelon matrix

$$\begin{pmatrix} 1 & 2 & 1 \\ 0 & -7 & -4 \\ 0 & 0 & 11 \end{pmatrix}.$$

As we see there are 3 nonzero rows in the echelon matrix and therefore these rows define 3 linearly independent vectors in  $\mathbb{R}^3$  obtained from  $v_1, v_2, v_3$ . Then  $v_1, v_2, v_3$  are linearly independent vectors in three dimensional vector space. Hence the set  $\{v_1, v_2, v_3\}$  is a basis of  $\mathbb{R}^3$ .

c) Given set  $S = \{v_1, v_2, v_3, v_4\}$ , where

$$v_1 = \begin{pmatrix} 1 \\ 3 \\ 1 \\ -2 \\ -3 \end{pmatrix}, v_2 = \begin{pmatrix} 1 \\ 4 \\ 3 \\ -1 \\ -4 \end{pmatrix}, v_3 = \begin{pmatrix} 2 \\ 3 \\ -4 \\ -7 \\ -3 \end{pmatrix}, v_4 = \begin{pmatrix} 3 \\ 8 \\ 1 \\ -7 \\ -8 \end{pmatrix}.$$

We will extend the set  $S$  to a basis of vectors of  $\mathbb{R}^5$ . First of all, we need to determine whether they are linearly independent or not. If not, we will delete dependent vectors from  $S$ .

$$\begin{pmatrix} 1 & 3 & 1 & -2 & -3 \\ 1 & 4 & 3 & -1 & -4 \\ 2 & 3 & -4 & -7 & -3 \\ 3 & 8 & 1 & -7 & -8 \end{pmatrix} \sim \begin{pmatrix} 1 & 3 & 1 & -2 & -3 \\ 0 & 1 & 2 & 1 & -1 \\ 0 & -3 & -6 & -3 & 3 \\ 0 & -1 & -2 & -1 & 1 \end{pmatrix} \sim \begin{pmatrix} 1 & 3 & 1 & -2 & -3 \\ 0 & 1 & 2 & 1 & -1 \\ 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 \end{pmatrix} \sim$$

$$\begin{pmatrix} 1 & 3 & 1 & -2 & -3 \\ 0 & 1 & 2 & 1 & -1 \end{pmatrix}$$

So we observe that  $v_1, v_2, v_3, v_4$  are linearly dependent and only two vectors are linearly independent. To have a basis of  $\mathbb{R}^5$  we need three more vectors so that new five vectors become linearly independent with

$$\left\{ \begin{pmatrix} 1 \\ 3 \\ 1 \\ -2 \\ -3 \end{pmatrix}, \begin{pmatrix} 0 \\ 1 \\ 2 \\ 1 \\ -1 \end{pmatrix} \right\}.$$

We add three more rows

$$\begin{pmatrix} 1 & 3 & 1 & -2 & -3 \\ 0 & 1 & 2 & 1 & -1 \\ 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 & 1 \end{pmatrix}$$

and have an echelon matrix with 5 nonzero rows. Now we have five linearly independent vectors.

The set of vectors

$$\left\{ \begin{pmatrix} 1 \\ 3 \\ 1 \\ -2 \\ -3 \end{pmatrix}, \begin{pmatrix} 0 \\ 1 \\ 2 \\ 1 \\ -1 \end{pmatrix}, \begin{pmatrix} 0 \\ 0 \\ 1 \\ 0 \\ 0 \end{pmatrix}, \begin{pmatrix} 0 \\ 0 \\ 0 \\ 1 \\ 0 \end{pmatrix}, \begin{pmatrix} 0 \\ 0 \\ 0 \\ 0 \\ 1 \end{pmatrix} \right\}.$$

is a basis of  $\mathbb{R}^5$ . We note that this extension of course is not unique. We could take other three vectors so that a set of five vectors form a basis of  $\mathbb{R}^5$ .

**Definition 3.** The *rank of a matrix A*, written  $\text{rank}(A)$ , is equal to number of rows in its echelon form matrix.

*Example 5.* Let  $A = \begin{pmatrix} 1 & 1 & 0 \\ 1 & 3 & 2 \\ 4 & 9 & 5 \end{pmatrix}$ .

Then rank of  $A$  is 2, write  $\text{rank}(A) = 2$ , since its echelon matrix has two nonzero rows

$$\begin{pmatrix} 1 & 1 & 0 \\ 0 & 2 & 2 \end{pmatrix}.$$

We consider an application of rank in space of solutions of a homogeneous system of linear equations in  $n$  unknowns. Recall that homogeneous systems have

either infinitely many solutions or only zero solutions. The set of solutions of systems forms a vector space with respect to the operations in  $\mathbb{R}^n$ .

**Theorem 3.** Let  $W$  be space of solutions of a homogeneous system of linear equations in  $n$  unknowns and  $A$  be a matrix of coefficients of unknowns with  $\text{rank}(A) = r$ . Then  $\dim W = n - r$ .

*Example 6.* Consider 
$$\begin{cases} x + y - z = 0 \\ 2x - 3y + z = 0 \\ x - 4y + 2z = 0 \end{cases}$$

Then  $A = \begin{pmatrix} 1 & 1 & -1 \\ 2 & -3 & 1 \\ 1 & -4 & 2 \end{pmatrix}$ . An echelon matrix of  $A$  is  $\begin{pmatrix} 1 & 1 & -1 \\ 0 & -5 & 3 \\ 0 & 0 & 0 \end{pmatrix}$  and  $\text{rank}(A) = 2$ . Then  $\dim W = 3 - 2 = 1$ , that is, the space of solutions has dimension one. Of course, the system has infinitely many solutions of the form  $(x, y, z) = (\frac{2}{5}z, \frac{3}{5}z, z)$ , but as a vector space all solutions are linear combination of one vector  $\begin{pmatrix} 2/5 \\ 3/5 \\ 1 \end{pmatrix}$ .

**Definition 4.** Let  $U$  and  $W$  be subsets of a vector space  $V$ . The **sum of  $U$  and  $W$** , written  $U + W$ , consists of all sums  $u + w$  where  $u \in U$  and  $w \in W$ .

**Theorem 4.** Suppose  $U$  and  $W$  are subspaces of  $V$ . Then  $U + W$  and  $U \cap W$  are subspaces of  $V$ .

**Theorem 5.** Suppose  $U$  and  $W$  are finite dimensional subspaces of  $V$ . Then  $\dim(U + W) = \dim U + \dim W - \dim(U \cap W)$ .

*Example 7.* Given

$$\begin{aligned} v_1 &= \begin{pmatrix} 1 \\ 3 \\ -2 \\ 2 \\ 3 \end{pmatrix}, v_2 = \begin{pmatrix} 1 \\ 4 \\ -3 \\ 4 \\ 2 \end{pmatrix}, v_3 = \begin{pmatrix} 2 \\ 3 \\ -1 \\ -2 \\ 9 \end{pmatrix}, w_1 = \begin{pmatrix} 1 \\ 3 \\ 0 \\ 2 \\ 1 \end{pmatrix}, w_2 = \begin{pmatrix} 1 \\ 5 \\ -6 \\ 6 \\ 3 \end{pmatrix}, w_3 \\ &= \begin{pmatrix} 2 \\ 5 \\ 3 \\ 2 \\ 1 \end{pmatrix}. \end{aligned}$$

Let  $U$  be a space spanned by  $u_1, u_2, u_3$  and  $W$  be a space spanned by  $w_1, w_2, w_3$ . Namely, any vector of  $U$  and  $W$  is a linear combination of  $u_1, u_2, u_3$  and  $w_1, w_2, w_3$ , respectively. They are subspaces of  $\mathbb{R}^5$ .

We will find bases and dimensions of  $U, W, U + W$  and  $U \cap W$ .

To construct a basis of  $U$  we need to derive linearly independent vectors from  $u_1, u_2, u_3$ . We write them as rows of matrix and find its echelon form matrix.

$$\begin{pmatrix} 1 & 3 & -2 & 2 & 3 \\ 1 & 4 & -3 & 4 & 2 \\ 2 & 3 & -1 & -2 & 9 \end{pmatrix} \sim \begin{pmatrix} 1 & 3 & -2 & 2 & 3 \\ 0 & 1 & -1 & 2 & -1 \\ 0 & -3 & 3 & -6 & 3 \end{pmatrix} \sim \begin{pmatrix} 1 & 3 & -2 & 2 & 3 \\ 0 & 1 & -1 & 2 & -1 \\ 0 & 0 & 0 & 0 & 0 \end{pmatrix} \sim \begin{pmatrix} 1 & 3 & -2 & 2 & 3 \\ 0 & 1 & -1 & 2 & -1 \end{pmatrix}.$$

So there are only two nonzero rows or rank of the matrix is two. Thus,

$$u_1 = \begin{pmatrix} 1 \\ 3 \\ -2 \\ 2 \\ 3 \end{pmatrix}, u'_2 = \begin{pmatrix} 0 \\ 1 \\ -1 \\ 2 \\ -1 \end{pmatrix}$$

are linearly independent and they span  $U$ , therefore  $\{u_1, u'_2\}$  is a basis and  $\dim U = 2$ .

In a similar way, we find a basis of  $W$  and its dimension.

$$\begin{pmatrix} 1 & 3 & 0 & 2 & 1 \\ 1 & 5 & -6 & 6 & 3 \\ 2 & 5 & 3 & 2 & 1 \end{pmatrix} \sim \begin{pmatrix} 1 & 3 & 0 & 2 & 1 \\ 0 & 2 & -6 & 4 & 2 \\ 0 & -1 & 3 & -2 & -1 \end{pmatrix} \sim \begin{pmatrix} 1 & 3 & 0 & 2 & 1 \\ 0 & 1 & -3 & 2 & 1 \\ 0 & -1 & 3 & -2 & -1 \end{pmatrix} \sim \begin{pmatrix} 1 & 3 & 0 & 2 & 1 \\ 0 & 1 & -3 & 2 & 1 \\ 0 & 0 & 0 & 0 & 0 \end{pmatrix} \sim \begin{pmatrix} 1 & 3 & 0 & 2 & 1 \\ 0 & 1 & -3 & 2 & 1 \end{pmatrix}.$$

So there are only two nonzero rows or rank of the matrix is two. Thus,

$$w_1 = \begin{pmatrix} 1 \\ 3 \\ 0 \\ 2 \\ 1 \end{pmatrix}, w'_2 = \begin{pmatrix} 0 \\ 1 \\ -3 \\ 2 \\ 1 \end{pmatrix}$$

are linearly independent and they span  $W$ , therefore  $\{w_1, w'_2\}$  is a basis and  $\dim W = 2$ .

By the definition of  $U + W$ , the vectors  $u_1, u'_2, w_1, w'_2$  span  $U + W$ .

$$\begin{pmatrix} 1 & 3 & -2 & 2 & 3 \\ 0 & 1 & -1 & 2 & -1 \\ 1 & 3 & 0 & 2 & 1 \\ 0 & 1 & -3 & 2 & 1 \end{pmatrix} \sim \begin{pmatrix} 1 & 3 & -2 & 2 & 3 \\ 0 & 1 & -1 & 2 & -1 \\ 0 & 0 & 2 & 0 & -2 \\ 0 & 0 & -2 & 0 & -2 \end{pmatrix} \sim \begin{pmatrix} 1 & 3 & -2 & 2 & 3 \\ 0 & 1 & -1 & 2 & -1 \\ 0 & 0 & 2 & 0 & -2 \\ 0 & 0 & 0 & 0 & 0 \end{pmatrix} \sim$$

$$\begin{pmatrix} 1 & 3 & -2 & 2 & 3 \\ 0 & 1 & -1 & 2 & -1 \\ 0 & 0 & 1 & 0 & -1 \end{pmatrix}.$$

So, there are only three nonzero rows or rank of the matrix is three. Thus,

$$u_1 = \begin{pmatrix} 1 \\ 3 \\ -2 \\ 2 \\ 3 \end{pmatrix}, u'_2 = \begin{pmatrix} 0 \\ 1 \\ -1 \\ 2 \\ -1 \end{pmatrix}, w'_1 = \begin{pmatrix} 0 \\ 0 \\ 1 \\ 0 \\ -1 \end{pmatrix}$$

are linearly independent and they span  $U + W$ , therefore  $\{u_1, u'_2, w'_1\}$  is a basis and  $\dim(U + W) = 3$ .

To find the dimension of  $U \cap W$  we use the formula given above and have  $\dim(U \cap W) = \dim U + \dim W - \dim(U + W) = 2 + 2 - 3 = 1$ .

Now we find a basis of  $U \cap W$  and it consists of one vector.

Let  $v = \begin{pmatrix} a_1 \\ a_2 \\ a_3 \\ a_4 \\ a_5 \end{pmatrix}$  and  $v \in U \cap W$ . Then  $v$  must be written as a linear

combination of their basis vectors.

$$v = \lambda_1 u_1 + \lambda_2 u'_2 = \mu_1 w_1 + \mu_2 w'_2.$$

$$\lambda_1 \begin{pmatrix} 1 \\ 3 \\ -2 \\ 2 \\ 3 \end{pmatrix} + \lambda_2 \begin{pmatrix} 0 \\ 1 \\ -1 \\ 2 \\ -1 \end{pmatrix} = \mu_1 \begin{pmatrix} 1 \\ 3 \\ 0 \\ 2 \\ 1 \end{pmatrix} + \mu_2 \begin{pmatrix} 0 \\ 1 \\ -3 \\ 2 \\ 1 \end{pmatrix}.$$

We obtain a system of five linear equations in unknowns  $\lambda_1, \lambda_2, \mu_1, \mu_2$ :

$$\begin{cases} \lambda_1 - \mu_2 = 0 \\ 3\lambda_1 + \lambda_2 - 3\mu_1 - \mu_2 = 0 \\ -2\lambda_1 - \lambda_2 + 3\mu_2 = 0 \\ 2\lambda_1 + 2\lambda_2 - 2\mu_1 - 2\mu_2 = 0 \\ 3\lambda_1 - \lambda_2 - \mu_1 - \mu_2 = 0 \end{cases}$$

The solution is  $\{(\lambda_1, \lambda_2, \mu_1, \mu_2) = (\mu_2, \mu_2, \mu_2, \mu_2) | \mu_2 \in R\}$ . Let  $\mu_2 = 1$  and



$v = u_1 + u'_2 = \begin{pmatrix} 1 \\ 4 \\ -3 \\ 4 \\ 2 \end{pmatrix}$  is the vector in  $U \cap W$  and span it. Hence it is a

basis of  $U \cap W$ .

**Definition 5.** The vector space  $V$  is said to be the direct sum of its subspaces of  $U$  and  $W$ , denoted by  $V = U \oplus W$  if every  $v \in V$  can be written in one and only one way as  $v = u + w$  where  $u \in U$  and  $w \in W$ .

**Theorem 6.** The vector space  $V$  is the direct sum of its subspaces of  $U$  and  $W$  if and only if

- $V = U + W$ .
- $U \cap W = \{0\}$ .

*Example 8.* a) Let  $V = \mathbb{R}^3$  and  $U = \left\{ \begin{pmatrix} a \\ b \\ c \end{pmatrix} \mid a = c \right\}$  and  $W = \left\{ \begin{pmatrix} a \\ b \\ c \end{pmatrix} \mid a + b + c = 0 \right\}$ . We show that  $V = U + W$  but the sum is not direct. Suppose

$v = \begin{pmatrix} a \\ b \\ c \end{pmatrix} \in V$ . Then

$$\begin{pmatrix} a \\ b \\ c \end{pmatrix} = \begin{pmatrix} c \\ a + b - c \\ c \end{pmatrix} + \begin{pmatrix} a - c \\ c - a \\ 0 \end{pmatrix}.$$

Then  $V = U + W$ . We note that

$$\begin{pmatrix} a \\ b \\ c \end{pmatrix} = \begin{pmatrix} c - 1 \\ a + b - c + 2 \\ c - 1 \end{pmatrix} + \begin{pmatrix} a - c + 1 \\ c - a - 2 \\ 1 \end{pmatrix}.$$

There are two ways of expressing  $v$  as a linear combination of vectors  $U$  and  $W$ . Therefore, the sum is not direct.

b) Let  $V = \mathbb{R}^3$  and  $U = \left\{ \begin{pmatrix} a \\ b \\ c \end{pmatrix} \mid a = c \right\}$  and  $W = \left\{ \begin{pmatrix} 0 \\ 0 \\ c \end{pmatrix} \mid c \in \mathbb{R} \right\}$ .

We show that  $V = U \oplus W$ . Note that

$$\begin{pmatrix} a \\ b \\ c \end{pmatrix} = \begin{pmatrix} a \\ b \\ a \end{pmatrix} + \begin{pmatrix} 0 \\ 0 \\ c - a \end{pmatrix}.$$

Then  $V = U \oplus W$ . Let  $v \in U \cap W$ . It implies  $a = c$  and  $a = b = 0$ . Then  $a = b = c = 0$ .

Thus,  $v = \begin{pmatrix} 0 \\ 0 \\ 0 \end{pmatrix}$  and  $U \cap W = \{0\}$ . Hence  $V = U \oplus W$ .

### Glossary

basis	базис, основание
dimension	размерность, измерение
make up	составить
rank	ранг, разряд

### Exercises for lecture 4

1. Find a subset of  $u_1, u_2, u_3, u_4$  that gives a basis for  $W = \text{span}(u_i)$  of  $\mathbb{R}^5$ , where

$$(a) u_1 = (1, 1, 1, 2, 3), u_2 = (1, 2, -1, -2, 1), u_3 = (3, 5, -1, -2, 5), u_4 = (1, 2, 1, -1, 4);$$

$$(b) u_1 = (1, -2, 1, 3, -1), u_2 = (-2, 4, -2, -6, 2), u_3 = (1, -3, 1, 2, 1), u_4 = (3, -7, 3, 8, -1).$$

2. Consider the subspaces  $U = \{(a, b, c, d) : b - 2c + d = 0\}$  and  $W = \{(a, b, c, d) : a = d, b = 2c\}$  of  $\mathbb{R}^4$ . Find a basis and the dimension of (a)  $U$ , (b)  $W$ .

3. Find a basis and the dimension of the solution space  $W$  of each of the following homogeneous systems:

$$\begin{aligned} x + 2y - 2z + 2s - t &= 0 \\ x + 2y - z + 3s - 2t &= 0 \\ 2x + 4y - 7z + s + t &= 0 \end{aligned}$$

4. Find a basis and the dimension of the subspace  $W$  of  $\mathbf{P}(t)$  spanned by

$$u = t^3 + 2t^2 - 2t + 1, \quad v = t^3 + 3t^2 - 3t + 4, \quad w = 2t^3 + t^2 - 7t - 7$$

5. Find the dimension of the subspace  $W$  of  $V = M_{2 \times 2}$  spanned by

$$A = \begin{bmatrix} 1 & -5 \\ -4 & 2 \end{bmatrix}, \quad B = \begin{bmatrix} 1 & 1 \\ -1 & 5 \end{bmatrix}, \quad C = \begin{bmatrix} 2 & -4 \\ -5 & 7 \end{bmatrix}, \quad D = \begin{bmatrix} 1 & -7 \\ -5 & 1 \end{bmatrix}$$

6. Let  $U_1, U_2, U_3$  be the following subspaces of  $\mathbb{R}^3$ :

$$U_1 = \{(a, b, c): a = c\}, \quad U_2 = \{(a; b; c): a + b = c = 0\}, \quad U_3 = \{(0; 0; c)\}$$

Show that (a)  $\mathbf{R}^3 = U_1 + U_2$ , (b)  $\mathbf{R}^3 = U_2 + U_3$ . When is the sum direct?

### Homework 4

1. Find a subset of  $u_1, u_2, u_3, u_4$  that gives a basis for  $W = \text{span}(u_i)$  of  $\mathbb{R}^5$ , where

$$(a) \quad u_1 = (1, 0, 1, 0, 1), \quad u_2 = (1, 1, 2, 1, 0), \quad u_3 = (2, 1, 2, 1, 1), \quad u_4 = (1, 2, 1, 1, 1)$$

$$(b) \quad u_1 = (1, 0, 1, 1, 1), \quad u_2 = (2, 1, 2, 0, 1), \quad u_3 = (1, 1, 2, 3, 4), \quad u_4 = (4, 2, 5, 4, 6)$$

2. Consider the subspaces  $U = \{(a, b, c, d): b - 2c + d = 0\}$  and  $W = \{(a, b, c, d): a = d, b = 2c\}$  of  $\mathbb{R}^4$ . Find a basis and the dimension of

$$(a) \quad U \cap W.$$

3. Find a basis and the dimension of the solution space  $W$  of each of the following homogeneous systems:

$$x + 2y - z + 3s - 4t = 0$$

$$(a) \quad 2x + 4y - 2z - s + 5t = 0$$

$$2x + 4y - 2z + 4s - 2t = 0$$

4. Find a basis and the dimension of the subspace  $W$  of  $\mathbf{P}(t)$  spanned by

$$(a) \quad u = t^3 + t^2 - 3t + 2, \quad v = 2t^3 + t^2 + t - 4, \quad w = 4t^3 + 3t^2 - 5t + 2$$

5. Let  $U_1, U_2, U_3$  be the following subspaces of  $\mathbb{R}^3$ :

$$U_1 = \{(a, b, c): a = c\}, \quad U_2 = \{(a; b; c): a + b = c = 0\}, \quad U_3 = \{(0; 0; c)\}$$

Show that (a)  $\mathbf{R}^3 = U_1 + U_3$ . When is the sum direct?