



## **LEGAL, REGULATORY AND CONTRACTUAL REQUIREMENTS**

Version Number:	2.2
Version Date:	10/09/2025
Created by:	Security, GRC
Approved by:	Joel Benavides, Head of Legal
Confidentiality level:	Confidential

## TABLE OF CONTENTS

<b>PURPOSE, SCOPE AND USERS</b>	<b>3</b>
<b>TABLE OF REQUIREMENTS</b>	<b>4</b>
<b>VALIDITY AND DOCUMENT MANAGEMENT</b>	<b>10</b>
<b>CHANGE HISTORY</b>	<b>10</b>

CONFIDENTIAL

# 1 PURPOSE, SCOPE AND USERS

The purpose of this document is to summarize the legal, regulatory and contractual requirements that must be met within the context of the Information Security Management System. Please note that this document is not a comprehensive document of all legal and regulatory requirements that must be met by Docker. Privacy laws are summarized to those with the most intensive requirements, additional privacy laws may be applicable based on the countries where Docker customers and employees reside.

Note that this document does not comprise a comprehensive list of all legal, regulatory and contractual requirements due to the nature of changes in the law.

CONFIDENTIAL

## 2 TABLE OF REQUIREMENTS

Legal Scope	Legal Instrument	Requirement	Description	Link to definitive document	Owner
Argentina	Personal Data Protection Act (PDPA)	Privacy	The purpose of this Act is to provide for the processing and protection of personal information for the purposes of protecting the freedom and rights of individuals, and further realizing the dignity and value of the individuals.	<a href="#">PDPA</a>	Legal
Australia	Australian Government Agencies Privacy Code (APP Code)  Privacy Act 1988	Privacy	The Code sets out specific requirements and key practical steps that agencies must take as part of complying with the Australian Privacy Principle 1.2.	<a href="#">APP Code</a>	Legal
Brazil	Brazilian Data Protection Law (LGPD)	Privacy	The law provides for the processing of personal data, including by digital means, by a natural person or a legal entity of either public or private law, with the purpose of protecting the fundamental rights of freedom and privacy and the free development of the personality of the natural person.	<a href="#">LGPD</a>	Legal
Canada	Personal Information Protection and Electronic Document Acts (PIPEDA)	Privacy	Organizations covered by PIPEDA must generally obtain an individual's consent when they collect, use or disclose that individual's personal information. People have the right to access their personal information held by an organization. They also have the right to challenge its accuracy.	<a href="#">PIPEDA</a>	Legal

Legal Scope	Legal Instrument	Requirement	Description	Link to definitive document	Owner
China	Personal Information Protection Law (PIPL)	Privacy	Personal data protection law which requires consent, cross-border transfer, sensitive PI, and enforcement provisions; consider data localization/security assessment triggers.	<a href="#">PIPL</a>	Legal
European Union	General Data Protection Regulation (GDPR)	Privacy	Applies to the processing of EEA personal data by data controller and data processor.	<a href="#">GDPR</a>	Legal
European Union	EU-U.S. Privacy Shield Framework	Privacy	The EU-U.S. and Swiss-U.S. Data Privacy Shield Frameworks were designed by the U.S. Department of Commerce and the European Commission and Swiss Administration to provide companies on both sides of the Atlantic with a mechanism to comply with data protection requirements when transferring personal data from the European Union and Switzerland to the United States in support of transatl	<a href="#">Privacy Shield</a>	Legal
European Union	ePrivacy Directive (and local country implementations)	Privacy	Governs the use of cookies and rules on electronic marketing requirements.	<a href="#">ePrivacy Directive</a>	Legal
European Union	The Network and Information Security (NIS2) Directive	Cybersecurity	Directive that obliges entities and sectors to take measures to increase the level of cybersecurity in Europe.	<a href="#">NIS2 Directive</a>	Security / Legal

Legal Scope	Legal Instrument	Requirement	Description	Link to definitive document	Owner
European Union	Cybersecurity Resiliency Act (CRA)	Cybersecurity	The act is a law that aims to improve cybersecurity in products and services. This will apply to products with digital elements (PDEs) throughout their supply chain.	<a href="#">CRA</a>	Security / Legal
India	Digital Personal Data Protection Act, 2023 (DPDP)	Privacy	Requires consent/legitimate uses, data fiduciary obligations, significant data fiduciary designations, and cross-border transfer rules via notified countries.	<a href="#">DPDPA</a>	Legal
Japan	Act of the Protection of Personal Information (APPI) & Act on the Use of Numbers to Identify a Specific Individual in the Administrative Procedure (My Number Act)	Privacy	An act designed to protect the personal information of Japanese citizens. Anyone who receives the personal data of Japanese citizens must be in full compliance with the Act.	<a href="#">APPI</a>	Legal
Singapore	Personal Data Protection Act 2012 (PDPA)	Privacy	Provides a baseline standard of protection for personal data in Singapore.	<a href="#">PDPA</a>	Legal
South Korea	Privacy Law - Personal Information Protection Act	Privacy	The purpose of this act is to prescribe how personal data is processed in order to protect the rights and interests of all citizens and further realize the dignity and value of each individual.	<a href="#">Personal Information Protection Act</a>	Legal
United Kingdom	The Data Protection Act 2018	Privacy	Controls how UK citizens' personal information is used by organizations, businesses or the government.	<a href="#">Data Protection Act</a>	Legal

Legal Scope	Legal Instrument	Requirement	Description	Link to definitive document	Owner
United Kingdom	Privacy and Electronic Communications Regulations (PECR)	Privacy	Provides UK citizens specific privacy rights in relation to electronic communications.	<a href="#">PECR</a>	Legal
United States - California	California Consumer Privacy Act (CCPA)	Privacy	Gives California consumers more control over the personal information that businesses collect about them and provides guidance on how to implement the law.	<a href="#">CCPA</a>	Legal
United States - California	California Privacy Rights Act (CPRA)	Privacy	Provides California residents additional privacy protections in conjunction with the CCPA.	<a href="#">CPRA</a>	Legal
United States - Colorado	Colorado Privacy Act (CPA)	Privacy	Provides Colorado residents with the right to opt out of targeted advertising, the sale of their personal data and certain types of profiling.	<a href="#">CPA</a>	Legal
United States - Virginia	Virginia Consumer Data Protection Act (VCDPA)	Legal	Gives Virginia residents the right to access their personal data and request that it be deleted by businesses.	<a href="#">VCDPA</a>	Legal
United States	Federal Trade Commission (FTC) Act	Legal	The basic statute enforced by the FTC, Section 5(a) of the FTC Act, empowers the agency to investigate and prevent unfair methods of competition, and unfair or deceptive acts or practices affecting commerce. This creates the Agency's two primary missions: <b>protecting competition and protecting consumers.</b>	<a href="#">Federal Trade Commission Act</a>	Legal

Legal Scope	Legal Instrument	Requirement	Description	Link to definitive document	Owner
United States	Children's Online Privacy Protection Act (COPPA)	Legal	Docker does not advertise to children. However, children may sign up for Docker services. COPPA imposes certain requirements on operators of websites or online services directed to children under 13 years of age, and on operators of other websites or online services that have actual knowledge that they are collecting personal information online from a child under 13 years of age.	<a href="#">COPPA</a>	Legal
United States	Health Insurance Portability and Accountability Act (HIPAA)	Legal	Governs the collection of health information of individuals in the US in provision health care activities	<a href="#">HIPAA</a>	Legal
United States	Fair Credit Reporting Act (FCRA)	Legal	Regulates the collection and use of credit information	<a href="#">FCRA</a>	Legal
United States	CAN-SPAM Act	Legal	A law that sets the rules for commercial email, establishes requirements for commercial messages, gives recipients the right to have companies stop emailing them, and spells out penalties for violations.	<a href="#">CAN-SPAM Act</a>	Legal
United States	Copyright Act	Legal	This law proves exclusive rights and provisions regarding copyright	<a href="#">Copyright Law</a>	Legal
United States	Office of Foreign Assets Controls (OFAC)	Legal	Administers and enforces economic and trade sanctions based on US foreign policy and national security goals against targeted foreign countries	<a href="#">OFAC</a>	Legal

Legal Scope	Legal Instrument	Requirement	Description	Link to definitive document	Owner
			and regimes, terrorists, international narcotics traffickers, those engaged in activities related to the proliferation of weapons of mass destruction, and other threats to the national security, foreign policy or economy of the United States.		
United States	Executive Order 14028	Cybersecurity	Establishes standards and requires agencies to enhance cybersecurity and software supply chain integrity.	<a href="#">Executive Order on Improving the Nation's Cybersecurity</a>	Security / Legal
Docker Worldwide	Customer Agreements	Contractual	Provides guidance to Docker on how to comply with contractual obligations towards customers and third parties	<a href="#">Docker Legal Website &amp; Salesforce Instance</a>	Legal

### 3 VALIDITY AND DOCUMENT MANAGEMENT

This document is valid as of 10/09/2025.

The owner of this document is the Head of Legal and Senior Manager, Security, who must check and, if necessary, update the document at least once every 12 months.

### 4 CHANGE HISTORY

Date	Version	Created by	Description of change
10/09/2025	2.2	Joel Benavides, Head of Legal	Revised policy approved
06/15/2024	2.1	Joel Benavides, Head of Legal	Revised policy approved
06/13/2024	2.0	Rachel Taylor, Director, Security, Risk & Trust	Policy updated to reflect NIS2, Executive Order 14028, CRA Act
02/21/2023	1.2	Joel Benavides, Head of Legal	Draft document approved
02/21/2023	1.1	Rachel Taylor, Senior Manager, Information Security, Risk & Trust	Draft Submitted for review
02/19/2023	1.0	Rachel Taylor, Senior Manager, Information Security, Risk & Trust	Basic document outline