# Chaining pairwise matches using the program chain2dim Manual

Stefan Kurtz\*

August 4, 2014

## 1 Introduction

The following paper gives an in-depth introduction to the problem of chaining matches between two or more sequences. It also reports on different applications motivating the algorithms. The global and local chaining algorithms implemented in our program *chain2dim* are also described there.

Chaining Algorithms and Applications to Comparative Genomics. *Enno Ohlebusch & Mohamed I. Abouelhoda*. Accepted for publication.

## 2 The program *chain2dim* and its options

chain2dim finds different kinds of chains in a given set of matches, namely:

- global chains without gap costs
- global chains with gap costs
- local chains

The program is called as follows:

chain2dim [options] matchfile

And here is a description of the options:

<sup>\*</sup>Zentrum für Bioinformatik, Universität Hamburg, Bundesstrasse 43, 20146 Hamburg, Germany, E-mail: kurtz@zbh.uni-hamburg.de

## -global [gc|ov]

Compute global chains. If the additional argument gc is used, then global chains with gap costs according to the  $L_1$ -model are computed. If the additional argument gc is used, then global chains with overlaps are computed.

### -local [lspec]

Compute local chains with gaps costs according to the  $L_1$ -model. If there is no optional argument, then compute all local chains with a maximum score among all local chains. If there is an optional argument lspec, this is allowed to have three different forms:

- If *lspec* is a positive integer, then this is a minimum score. All local chains whose score is larger than or equal to the minimum score are reported.
- If *lspec* is a positive integer, say k, directly followed by the character b, then k specifies the number of best (i.e. largest) scores for which local chains are reported. Suppose that S is the set of all different scores of local chains. If  $|S| \le k$ , then let  $S_k = S$ . If |S| > k, then let  $S_k$  be the subset of S consisting of the k largest scores in S. Then all local chains which have a score  $S \in S_k$  are reported.
- If *Ispec* is a positive integer smaller or equal to 100, say q, directly followed by the character p, then q specifies the percentage below the maximum score. Let m be the maximum score. Then all local chains with score larger than or equal to  $m \cdot (1 \lfloor \frac{q}{100} \rfloor)$  are reported.

#### -wf weightfactor

Specify a positive floating point value weightfactor by which the weight of each match is multiplied when computing the score of a chain. This option requires either option <code>-local</code> or option <code>-global gc</code>. The default weightfactor is 1.0. The weight factor is important to influence the weight of matches relative to the gap costs in local chains. The smaller the weight factor, the smaller the gaps between neighboring matches in a local chain. That is, if you only want to see chains where the gaps between the matches are small, then specify a small <code>weightfactor</code> smaller than 1.0. If you also want to see chains where the gaps between the matches are long, then specify a large <code>weightfactor</code>.

#### -maxgap mg

Specify the maximal width mg of a gap between two consecutive fragments of a chain. For example, if the first fragment ends at position  $q_1$  and the second starts at position  $q_2 > q_1$ , then the gap size  $q_2 - q_1 - 1$  must be at least mg. This constraint must hold in both dimensions.

#### -outprefix *prefix*

Specify that each chain is output into a seperate file whose name starts with *prefix*. In particular, the *i*th chain (counting from 0) is output into a file *filename-i*.chain

#### -silent

Only report the length and the score of chains, but not the chains themselves.

-v

Be verbose, that is, report about the different steps of the computation as well as the resource requirements of the computation.

-version

Show the version of the Vmatch version, the program is part of. Also report the compilation date and the compilation options.

-help

Show a summary of all options and terminate.

Either option -global or -local must be used.

# 3 Input and output format

The matchfile specifies matches line by line. Two formats are allowed to specify matches, namely *Vmatch*-format and *simple* format. Both formats allow comment lines beginning with the character #. All lines which are not comment lines are match lines.

- The *Vmatch*-format is produced by the program vmatch. The first comment line is mandatory. Each match line reports a match by its length and the start position in the first and the second sequence. Among other values, the weight of the match is given. For a detailed description of the *Vmatch*-format, see the corresponding manual pages.
- Each match line in the simple format reports a match by four or five integers. The integers are separated by white spaces. The first two integers give the start position and the end position of the match in the first sequence. The third and the fourth integers give the start and the end position of the match in the second sequence. The optional fifth integer in the line specifies the weight. Let  $l_1$  be the length of the match in the first sequence and  $l_2$  be the length of the match in the second sequence. If the fifth integer in the line is missing, then the weight is  $2 \cdot \min\{l_1, l_2\} |l_1 l_2|$ . This is the largest score which can be achieved when aligning two sequences of length  $l_1$  and  $l_2$ , where each pair of matching characters in the alignment is scoring 2, each mismatch is scoring -2, and each indel is scoring -1.

All match line in a matchfile must be in the same format. The matches a chain consists of, are reported in the same format as the format used in the matchfile.

# 4 Examples

Consider a matchfile ecolicmp. vm in *Vmatch*-format, reporting 14 matches between the *E.coli* K12 genome and the *Ecoli O157:H7* genome.

```
$ cat ecolicmp.vm
# args=-1 50 -q EcoliO157H7 EcoliK12
                                                            330
 165
               64
                            0
                                     64
                                                6.53e-87
        0
                    D
                        165
                                           0
                                                                 100.00
 165
        0
              393
                    D
                        165
                               0
                                     410
                                                6.53e-87
                                                            330
                                                                 100.00
                                           0
 116
        0
             1884
                        116
                               0
                                    1901
                                                2.07e-57
                                                            232
                                                                 100.00
 167
        0
             2508
                        167
                               0
                                    2525
                                           0
                                                4.08e-88
                                                            334
                                                                 100.00
                    D
                        117
 117
        0
             2760
                    D
                               0
                                    2777
                                           0
                                                5.17e-58
                                                            234
                                                                  100.00
 128
        0
             1077
                    D
                        128
                               0
                                    1094
                                           0
                                                1.23e-64
                                                            256
                                                                  100.00
 183
        0
             1623
                    D
                        183
                               0
                                    1640
                                           0
                                                9.50e-98
                                                            366
                                                                 100.00
 117
        0
              393
                    D
                        117
                               0 5471259
                                          0
                                                5.17e-58
                                                            234
                                                                 100.00
 117
        0
             3244
                    D
                        117
                               0
                                    3261
                                          0
                                                5.17e-58
                                                            234
                                                                 100.00
        0
             3622
                               0
                                                1.23e-64
                                                            256
 128
                    D
                        128
                                    3639 0
                                                                 100.00
 165
        0
               64
                    D
                        165
                               0 5470913
                                                6.53e-87
                                                            330
                                                                 100.00
                                          0
 173
        0
             3763
                    D
                        173
                               0
                                    3780
                                           0
                                                9.96e-92
                                                            346
                                                                 100.00
 164
        0
                                                            328
             3937
                    D
                        164
                               0
                                    3954
                                           0
                                                2.61e-86
                                                                  100.00
 134
        0
             4201
                        134
                               0
                                    4218
                                           0
                                                3.01e-68
                                                            268
                                                                  100.00
```

The first program call (following the prompt \$) delivers the highest scoring global chain of length 12 with score 3514.

\$	chain2dim -global ecolicmp.vm										
#	chain 0: length 12 score 3514										
	165	0	64	D	165	0	64	0	6.53e-87	330	100.00
	165	0	393	D	165	0	410	0	6.53e-87	330	100.00
	128	0	1077	D	128	0	1094	0	1.23e-64	256	100.00
	183	0	1623	D	183	0	1640	0	9.50e-98	366	100.00
	116	0	1884	D	116	0	1901	0	2.07e-57	232	100.00
	167	0	2508	D	167	0	2525	0	4.08e-88	334	100.00
	117	0	2760	D	117	0	2777	0	5.17e-58	234	100.00
	117	0	3244	D	117	0	3261	0	5.17e-58	234	100.00
	128	0	3622	D	128	0	3639	0	1.23e-64	256	100.00
	173	0	3763	D	173	0	3780	0	9.96e-92	346	100.00
	164	0	3937	D	164	0	3954	0	2.61e-86	328	100.00
	134	0	4201	D	134	0	4218	0	3.01e-68	268	100.00

Note that only two matches (where the second instances of the match are at large positions) are missing in the global chain. The matches making up the chain are reported in order of their start positions. Because it is a chain, this is consistent in both sequences. Note that the original comment line from the matchfile is echoed in the output. This allows to use the output file for the program *Genalyzer* or other programs from the *Vmatch*-software suite.

Now suppose we have the original input in simple format file ecolicmp.of:

```
$ cat ecolicmp.of
# args=-1 50 -q EcoliO157H7 EcoliK12
           228
                   64
                           228
                                    330
    64
    393
           557
                   410
                           574
                                    330
          1999
   1884
                  1901
                          2016
                                    232
          2674
  2508
                  2525
                          2691
                                    334
```

```
2876
2760
             2777
                    2893
                            234
1077
      1204
             1094
                    1221
                            256
           1640 1822
     1805
1623
                            366
393
      509 5471259 5471375
                            234
3244
     3360
            3261
                   3377
                            234
3622
     3749
            3639
                    3766
                            256
 64
      228 5470913 5471077
                            330
3763
      3935 3780 3952
                            346
3937
      4100
             3954
                    4117
                            328
4201
      4334
             4218
                    4351
                            268
```

The following program call delivers the same chain as above, but with a different score, due to the additional gap penalties (switched on by the argument gc for option -global. Because, we use option -silent, the chain is not reported:

```
$ chain2dim -silent -global gc ecolicmp.of
# chain 0: length 12 score -5468705
```

Now lets turn to local chains. The simplest call reports the optimal local chain:

```
$ chain2dim -local ecolicmp.of
# chain 0: length 4 score 964
3622 3749 3639 3766 256
3763 3935 3780 3952 346
3937 4100 3954 4117 328
4201 4334 4218 4351 268
```

Using a weight factor 1.8, the optimal local chain extends to the left by three matches:

```
$ chain2dim -wf 1.8 -local ecolicmp.of
# chain 0: length 7 score 1931
2508 2674 2525 2691 601
2760 2876 2777 2893 421
3244 3360 3261 3377 421
3622 3749 3639 3766 460
3763 3935 3780 3952 622
3937 4100 3954 4117 590
4201 4334 4218 4351 482
```

Using a weight factor 0.5, the last match is separated from the optimal local chain which was computed with the default weight factor 1.0:

```
$ chain2dim -wf 0.5 -local ecolicmp.of
# chain 0: length 3 score 433
3622 3749 3639 3766 128
3763 3935 3780 3952 173
3937 4100 3954 4117 164
```

Alternatively, we can specify a maximum gap value to cut chains. For example the following only outputs a chain with maximum gap 20. As a consequence the last fragment 4201 4334 4218 4351 268 which has distance 100 to the previous fragment.

```
$ chain2dim -local -maxgap 20 ecolicmp.of
# chain 0: length 3 score 898
3622 3749 3639 3766 256
3763 3935 3780 3952 346
3937 4100 3954 4117 328
```

To obtain different local chains with some minimum score we add an extra argument to the option -local. For example, to obtain the chains with the two largest scores, we use the argument 2b. In addition we use option -v, which reports the different steps of the computation.

```
$ chain2dim -local 2b -v ecolicmp.of
# match file "ecolicmp.of" (open format) read
# compute chain scores
# retrieve optimal chains
# compute optimal local chains with score >= 440
# chain 0: length 2 score 440
1623 1805 1640 1822 366
1884 1999 1901 2016 232
# chain 1: length 4 score 964
3622 3749 3639 3766 256
3763 3935 3780 3952 346
3937 4100 3954 4117 328
4201 4334 4218 4351 268
# overall space peak: main=0.10 MB
```

The same chains are computed if we use the arguments 440 or 55p to the option -local.

```
$ chain2dim -local 55p -silent ecolicmp.of
# chain 0: length 2 score 440
# chain 1: length 4 score 964
```