# Raw Materials Classification task using the TrashNet dataset

**ResNet:** helps with vanishing/exploding gradients problem that appear in training very deep neural networks.

#### **Residual Block:**

- A building block that uses shortcut connections to skip over layers.
- Each residual block consists of three convolutional layers with batch normalization and ReLU activation.
- If the input and output dimensions differ, a linear projection (via a 1x1 convolution) is applied to the shortcut connection.

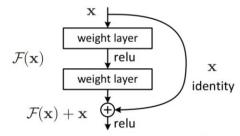


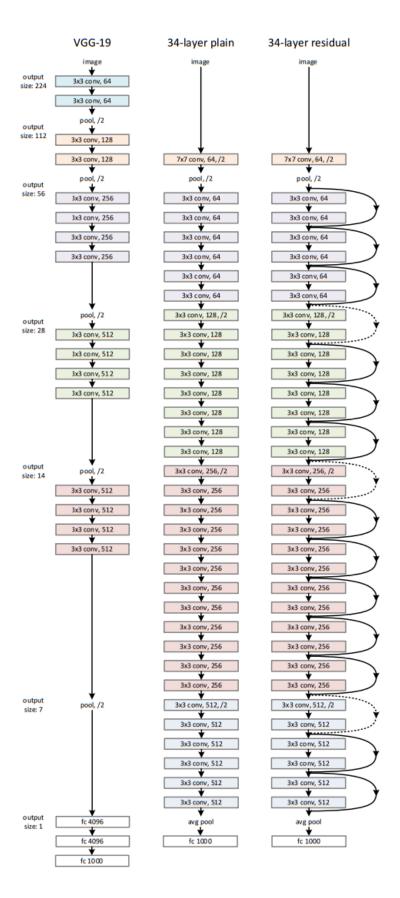
Figure 2. Residual learning: a building block.

ResNet-50: 50 layers (balanced performance)

The identity shortcut is used unless the block is downsampling.

## **ResNet architecture:** Consists of three layers:

- 1x1 convolution -> dimensionality reduction.
- 3x3 convolution -> feature extraction.
- 1x1 convolution -> dimensionality restoration.



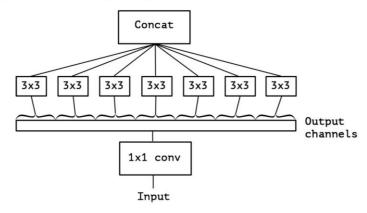
| layer name | output size | 18-layer   | 34-layer   | 50-layer   | 101-layer   | 152-layer  |  |
|------------|-------------|--|--|--|---|--|--|
| conv1      | 112×112     | 7×7, 64, stride 2  |  |  |   |  |  |
|            |             | 3×3 max pool, stride 2   |  |  |   |  |  |
| conv2_x    | 56×56       | $\left[\begin{array}{c} 3\times3,64\\ 3\times3,64 \end{array}\right]\times2$       | $\left[\begin{array}{c} 3\times3,64\\ 3\times3,64 \end{array}\right]\times3$       | $\begin{bmatrix} 1 \times 1, 64 \\ 3 \times 3, 64 \\ 1 \times 1, 256 \end{bmatrix} \times 3$                       | $\begin{bmatrix} 1 \times 1, 64 \\ 3 \times 3, 64 \\ 1 \times 1, 256 \end{bmatrix} \times 3$      | $   \begin{bmatrix}     1 \times 1, 64 \\     3 \times 3, 64 \\     1 \times 1, 256   \end{bmatrix} \times 3 $ |  |
| conv3_x    | 28×28       | $\left[\begin{array}{c} 3\times3, 128\\ 3\times3, 128 \end{array}\right] \times 2$ | $\left[\begin{array}{c} 3\times3, 128\\ 3\times3, 128 \end{array}\right] \times 4$ | $   \begin{bmatrix}     1 \times 1, 128 \\     3 \times 3, 128 \\     1 \times 1, 512   \end{bmatrix}   \times 4 $ | $\begin{bmatrix} 1 \times 1, 128 \\ 3 \times 3, 128 \\ 1 \times 1, 512 \end{bmatrix} \times 4$    | $ \begin{bmatrix} 1 \times 1, 128 \\ 3 \times 3, 128 \\ 1 \times 1, 512 \end{bmatrix} \times 8 $               |  |
| conv4_x    | 14×14       | $\left[\begin{array}{c} 3\times3, 256\\ 3\times3, 256 \end{array}\right]\times2$   | $ \begin{bmatrix} 3 \times 3, 256 \\ 3 \times 3, 256 \end{bmatrix} \times 6 $      | $\begin{bmatrix} 1 \times 1, 256 \\ 3 \times 3, 256 \\ 1 \times 1, 1024 \end{bmatrix} \times 6$                    | $\begin{bmatrix} 1 \times 1, 256 \\ 3 \times 3, 256 \\ 1 \times 1, 1024 \end{bmatrix} \times 23$  | $\begin{bmatrix} 1 \times 1, 256 \\ 3 \times 3, 256 \\ 1 \times 1, 1024 \end{bmatrix} \times 36$               |  |
| conv5_x    | 7×7         | $\left[\begin{array}{c} 3\times3,512\\ 3\times3,512 \end{array}\right]\times2$     | $\left[\begin{array}{c} 3\times3,512\\ 3\times3,512 \end{array}\right]\times3$     | $\begin{bmatrix} 1 \times 1, 512 \\ 3 \times 3, 512 \\ 1 \times 1, 2048 \end{bmatrix} \times 3$                    | $ \begin{bmatrix} 1 \times 1, 512 \\ 3 \times 3, 512 \\ 1 \times 1, 2048 \end{bmatrix} \times 3 $ | $\begin{bmatrix} 1 \times 1, 512 \\ 3 \times 3, 512 \\ 1 \times 1, 2048 \end{bmatrix} \times 3$                |  |
|            | 1×1         | average pool, 1000-d fc, softmax   |  |  |   |  |  |
| FLOPs      |             | $1.8 \times 10^9$  | $3.6 \times 10^9$  | $3.8 \times 10^9$  | $7.6 \times 10^9$   | 11.3×10 <sup>9</sup>   |  |

**Xception:** extension of the Inception architecture, based on depthwise separable convolutions.

## **Depthwise Separable Convolution:**

- 1. <u>Depthwise Convolution:</u> Apply a kernel to each input channel independently.
- 2. <u>Pointwise Convolution:</u> Combines the outputs from depthwise convolution using 1×1 convolutions.

Figure 4. An "extreme" version of our Inception module, with one spatial convolution per output channel of the 1x1 convolution.



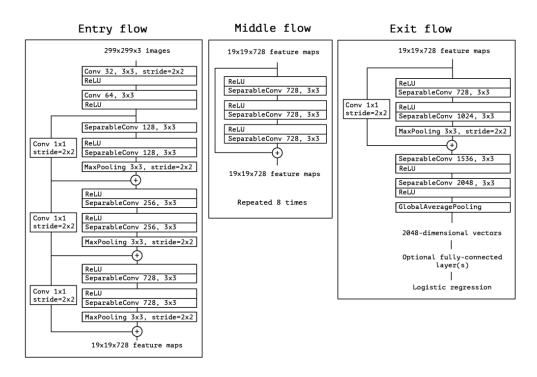
## **Xception Architecture:**

## 1. Entry Flow:

- Series of convolutional layers with strides to reduce spatial dimensions.
- Uses depthwise separable convolutions with residual connections.
- 2. <u>Middle Flow:</u> core part. Learning part. focus on learning detailed patterns.
  - Consists of 8 identical modules, each containing depthwise separable convolutions.
  - Channels remain constant throughout this stage but the filters focus on deepening the feature representation.

#### 3. Exit Flow:

- o Increases feature maps while reducing spatial dimensions.
- Final output is global average pooled and passed to the classifier.



**Densenet:** connects each layer to every other layer in a feed-forward manner within the block bring up extensive information flow throughout the network.

## **Densenet architecture:**

<u>Dense blocks:</u> consists of multiple convolutional layers, typically followed by batch normalization and a non-linear activation function (e.g., ReLU)

<u>Transition Layer:</u> used to connect dense blocks to reduce the spatial dimensions and the number of feature maps. consists of:

- Batch Normalization
- 1x1 Convolution: Reduces the number of feature maps.
- Average Pooling: reduces the spatial dimensions.

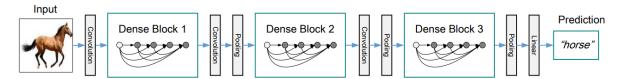
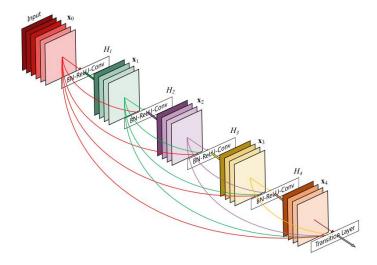


Figure 2: A deep DenseNet with three dense blocks. The layers between two adjacent blocks are referred to as transition layers and change feature-map sizes via convolution and pooling.



**Figure 1:** A 5-layer dense block with a growth rate of k=4. Each layer takes all preceding feature-maps as input.

| Layers             | Output Size | DenseNet-121   | DenseNet-169   | DenseNet-201   | DenseNet-264   |  |
|--------------------|-------------|--|--|--|--|--|
| Convolution        | 112 × 112   |  | $7 \times 7$ cor   | v, stride 2  |  |  |
| Pooling            | 56 × 56     |  | 3 × 3 max p  | oool, stride 2   |  |  |
| Dense Block<br>(1) | 56 × 56     | $\left[\begin{array}{c} 1 \times 1 \text{ conv} \\ 3 \times 3 \text{ conv} \end{array}\right] \times 6$  | $\left[\begin{array}{c} 1 \times 1 \text{ conv} \\ 3 \times 3 \text{ conv} \end{array}\right] \times 6$  | $\left[\begin{array}{c} 1 \times 1 \text{ conv} \\ 3 \times 3 \text{ conv} \end{array}\right] \times 6$  | $\left[\begin{array}{c} 1 \times 1 \text{ conv} \\ 3 \times 3 \text{ conv} \end{array}\right] \times 6$    |  |
| Transition Layer   | 56 × 56     |  | 1 × 1  | conv   |  |  |
| (1)                | 28 × 28     | $2 \times 2$ average pool, stride 2  |  |  |  |  |
| Dense Block (2)    | 28 × 28     | $\begin{bmatrix} 1 \times 1 \text{ conv} \\ 3 \times 3 \text{ conv} \end{bmatrix} \times 12$             | $\left[\begin{array}{c} 1 \times 1 \text{ conv} \\ 3 \times 3 \text{ conv} \end{array}\right] \times 12$ | $\left[\begin{array}{c} 1 \times 1 \text{ conv} \\ 3 \times 3 \text{ conv} \end{array}\right] \times 12$ | $\left[\begin{array}{c} 1 \times 1 \text{ conv} \\ 3 \times 3 \text{ conv} \end{array}\right] \times 12$   |  |
| Transition Layer   | 28 × 28     | $1 \times 1 \text{ conv}$  |  | conv   |  |  |
| (2)                | 14 × 14     | $2 \times 2$ average pool, stride 2  |  |  |  |  |
| Dense Block (3)    | 14 × 14     | $\begin{bmatrix} 1 \times 1 \text{ conv} \\ 3 \times 3 \text{ conv} \end{bmatrix} \times 24$             | $\begin{bmatrix} 1 \times 1 \text{ conv} \\ 3 \times 3 \text{ conv} \end{bmatrix} \times 32$             | $\begin{bmatrix} 1 \times 1 \text{ conv} \\ 3 \times 3 \text{ conv} \end{bmatrix} \times 48$             | $ \left[\begin{array}{c} 1 \times 1 \text{ conv} \\ 3 \times 3 \text{ conv} \end{array}\right] \times 64 $ |  |
| Transition Layer   | 14 × 14     |  | 1 × 1  | conv   |  |  |
| (3)                | 7 × 7       | 2 × 2 average pool, stride 2   |  |  |  |  |
| Dense Block<br>(4) | 7 × 7       | $\left[\begin{array}{c} 1 \times 1 \text{ conv} \\ 3 \times 3 \text{ conv} \end{array}\right] \times 16$ | $\left[\begin{array}{c} 1 \times 1 \text{ conv} \\ 3 \times 3 \text{ conv} \end{array}\right] \times 32$ | $\left[\begin{array}{c} 1 \times 1 \text{ conv} \\ 3 \times 3 \text{ conv} \end{array}\right] \times 32$ | $\left[\begin{array}{c} 1 \times 1 \text{ conv} \\ 3 \times 3 \text{ conv} \end{array}\right] \times 48$   |  |
| Classification     | 1 × 1       |  | 7 × 7 global   | average pool   |  |  |
| Layer              |             | 1000D fully-connected, softmax   |  |  |  |  |

**Table 1:** DenseNet architectures for ImageNet. The growth rate for all the networks is k=32. Note that each "conv" layer shown in the table corresponds the sequence BN-ReLU-Conv.

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**Transhnet dataset:** The dataset spans six classes: glass, paper, cardboard, plastic, metal, and trash. Currently, the dataset consists of 2527 images:

501 -> glass

594 -> paper

403 -> cardboard

482 -> plastic

410 -> metal

137 -> trash

The pictures were taken by placing the object on a white posterboard and using sunlight and/or room lighting. The pictures have been resized down to 512 x 384.

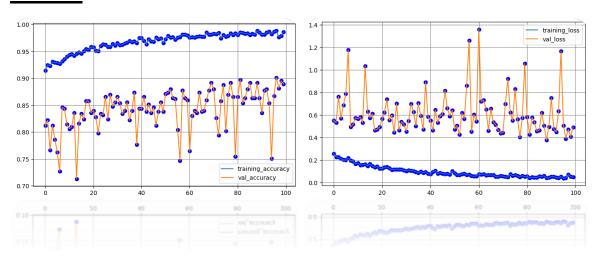
# **Summary:**

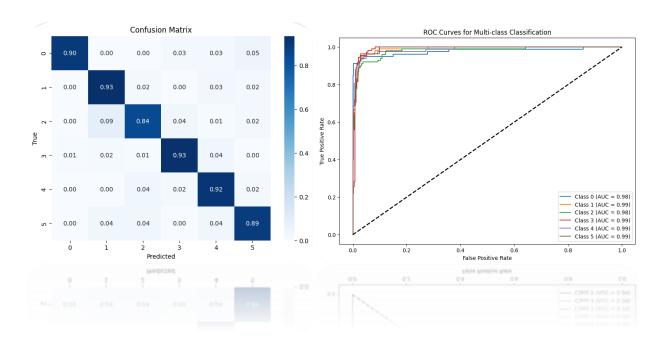
|         | ResNet            | Xception         | DenseNet             |
|---------|-------------------|------------------|----------------------|
| Results | Accuracy: 90.3%   | Accuracy: 93.7%  | Accuracy: 97.1%      |
|         | Precision: 0.9077 | ·                | Precision: 0.9689    |
|         | Recall: 0.9032    |                  | Recall: 0.9684       |
|         | F1-Score: 0.9040  |                  | F1-Score: 0.9684     |
|         | AUC: 0.9857       |                  | AUC: 0.9971          |
| Pros    | - residual        | - Depthwise      | - Dense              |
|         | connections       | separable        | connections          |
|         | improve gradient  | convolutions     | improve feature      |
|         | flow and help     | reduce           | reuse and gradient   |
|         | with the          | parameters and   | flow.                |
|         | vanishing         | computational    | - Help with the      |
|         | gradient issues.  | cost.            | vanishing gradient   |
|         | - Simple to       | - High           | problem.             |
|         | implement.        | performance on   | - Parameter-         |
|         | - Faster          | large datasets.  | efficient            |
|         | convergence.      | - More           | - Excellent          |
|         |                   | parameter-       | performance with     |
|         |                   | efficient than   | fewer parameters.    |
|         |                   | traditional      |                      |
|         |                   | models.          |                      |
| Cons    | - Fine-tuning     | - Complex        | - High memory        |
|         | complex.          | architecture and | consumption led to   |
|         | - Not as          | harder to        | slower training.     |
|         | parameter-        | implement.       | - Complex            |
|         | efficient as      | - Memory-        | architecture, harder |
|         | DenseNet          | intensive.       | to implement and     |
|         | - high            | - Fewer          | debug.               |
|         | computational     | resources.       |                      |
|         | cost.             |                  |                      |

| Advantages   | - Handles       | - Efficient     | - Captures Small   |
|--------------|-----------------|-----------------|--------------------|
| task-related | Complex         | Texture         | Material           |
|              | Features in Raw | Learning.       | Differences.       |
|              | Materials.      | - Reduces       | - Efficient Use of |
|              | - Improves      | Computational   | Data.              |
|              | Performance on  | Costs.          | - Improved         |
|              | Varied Data.    | - Handles Fine- | Accuracy with      |
|              | - Reduces Risk  | Grained         | Fewer Parameters.  |
|              | of Overfitting. | Classification. |                    |

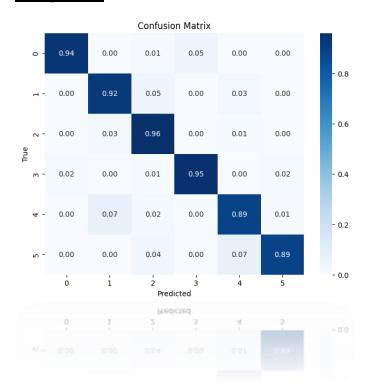
# **Graphs:**

# **ResNet:**

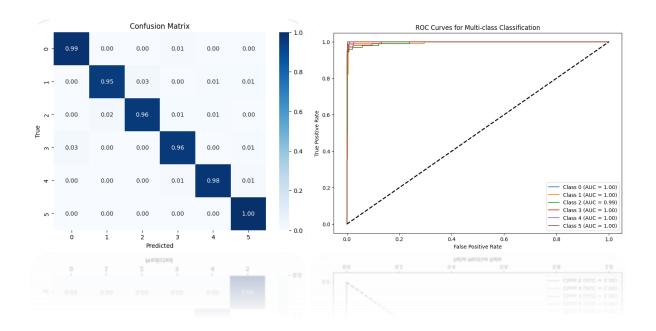




# **Xception:**



## **DenseNet:**



#### **References:**

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## **Papers:**

ArXiv:1512.03385v1 [CS.CV] 10 dec 2015. Deep Residual Learning for Image Recognition. (n.d.). https://arxiv.org/pdf/1512.03385

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Huang, G., Liu, Z., van der Maaten, L., & Weinberger, K. Q. (2018, January 28). Densely connected Convolutional Networks. arXiv.org. https://arxiv.org/abs/1608.06993