

# Fly away Peter, come back Paul: the conservation and losses of avian non-coding RNAs

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## Abstract

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Here we present the results of a large-scale bioinformatic annotation of non-coding RNAs in 48 avian genomes. Our approach uses probabilistic models of hand-curated families from the Rfam database to infer conserved RNA families within each avian genome. We supplement these annotations with predictions from the tRNA annotation tool, tRNAscan-SE and microRNAs from miRBase. We show a significant number of lncRNAs are surprisingly well conserved between birds and mammals including several intriguing cases where the reported mammalian lncRNA function is not conserved in birds. We also demonstrate extensive conservation of classical ncRNAs (e.g., tRNAs) and more recently discovered ncRNAs (e.g., snoRNAs and miRNAs) in birds. Furthermore, we describe numerous “losses” of several RNA families, and attribute these to genuine loss, divergence or missing data. In particular, issues with assembling microchromosomes using next-generation sequencing methods. These combined results illustrate the utility of applying homology based methods for annotating novel vertebrate genomes and illustrate many complex evolutionary patterns within the avian ncRNA cohort.

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## Introduction

Non-coding RNAs (ncRNAs) are an important class of genes, responsible for the regulation of many key cellular functions. The major RNA families include the classical, highly conserved RNAs, sometimes called “molecular fossils”, such as the transfer RNAs, ribosomal RNAs, RNA components of RNase P and the signal recognition particle [1]. Other classes appear to have evolved more recently, e.g. the small nucleolar RNAs (snoRNAs), microRNAs (miRNAs) and the long non-coding RNAs (lncRNAs) [2].

The ncRNAs pose serious research challenges, particularly for the field of genomics. For example, they lack the strong statistical signals associated with protein coding genes, e.g. open reading frames, G+C content and codon-usage biases [3].

**needs work:** New sequencing technologies have dramatically expanded the rate at which ncRNAs are discovered and their functions are determined []. However, in order to determine the full range of ncRNAs across multiple species we would require multiple RNA fractions (e.g. long and short), in multiple species, in multiple developmental stages and tissues types. The costs of this approach are still prohibitive.

Consequently, for this work we have concentrated on bioinformatic approaches. Primarily, homology based methods, namely covariance models (CMs). These remain state of the art for ncRNA analyses [4–6] and have well established sensitivity and specificity rates [7]. The CM based approach for annotating ncRNAs in genomes requires reliable alignments and consensus secondary structures of representative sequences of RNA families. These are used to train probabilistic models for each family. These models can be used to generate sequences with similar properties, score the likelihood that a sequence is generated by the same evolutionary processes as the training sequences and to build alignments based upon sequence and structural information [4–6]. The tRNAscan-SE software package uses CMs to accurately predict transfer RNAs [8,9]. The Rfam database contains thousands of curated alignments and consensus structures for diverse classes of ncRNAs [10–14]. Independent benchmarks of bioinformatic annotation tools have shown that the CM approaches dramatically out-perform alternative methods [7], although its sensitivity is limited for the most rapidly evolving families such as vault RNAs or telomerase RNA [15].

**REDUCE? too much talking about what it can’t to compared to what it can do...** The CM based approach works well for almost all classes of ncRNA, but the long non-coding RNAs (lncRNAs) are

a particular challenge [16]. Recent technological advances have led to dramatic speed and memory-usage enhancements for CM analyses [6, 17–19]. However, CMs cannot model the exon-intron structures of spliced lncRNAs, nor can they deal simply with the repeats that many lncRNAs host. Consequently in the latest release of Rfam the lncRNA families that were added were composed of local conserved (and possibly structured elements) within lncRNAs, analogous to the “domains” housed within protein sequences [14]. The functions determined to date for lncRNAs range from regulating chromatin status to chromosomal inactivation [20, 21]. Yet functional characterisation of these genes is a lengthy and expensive process [16]. The publication of 48 avian genomes, including the previously published chicken [22], zebra finch [23] and turkey [24] with the recently published 45 avian genomes [25–31], provides exciting opportunities to explore ncRNA conservation in unprecedented detail.

In the following we explore the conservation patterns of the major classes of avian ncRNA in further detail. The collection of ncRNA sequences is generally biased towards model organisms [2, 32]. **Using accurate homology search tools and evolutionary constraints, we produce an accurate set of genome annotations for 48 predominantly non-model bird species for ncRNAs that are conserved across the avian species. This conservative set of annotations is expected to contain the core avian ncRNAs.** We focus our report on the unusual results within the avian lineages. These are either unexpectedly well-conserved ncRNAs or unexpectedly poorly-conserved ncRNAs. The former are ncRNAs that are not expected to be conserved between the birds and the other vertebrates, particularly those ncRNAs whose function is not conserved in birds. The latter are apparent losses of ncRNAs expected to be conserved; Here, we consider three categories of such “loss”: First, genuine gene losses in the avian lineage where ncRNAs well conserved in other vertebrates are completely absent in birds. Second, “divergence” where ncRNAs have undergone such significant sequence and structural alternations that homology search tools can no longer detect a relationship between other vertebrate exemplars and avian varieties. Third, “missing” ncRNAs that failed to be captured in the available, largely fragmented, avian genomes. We postulate that the latter category is likely to be prevalent in comparative avian genome studies given the distinctive organisation of the avian genome. Namely, the avian karyotype is characterised by a large number of chromosomes (average  $2n \approx 80$ ) generally consisting of a few larger “macrochromosomes” and many smaller “microchromosomes” [33]. This ‘so many, so small’ pattern presents significant assembly challenges [34]. Indeed, of the 48 published avian genomes, 20 of which are high-coverage ( $> 50X$ ), only two are chromosomally assembled (chicken and zebra finch; [23, 25]).

## Results

### Unusually well conserved RNAs

The bulk of the “unusually well conserved RNAs” belong to the long non-coding RNA (lncRNA) group. The lncRNAs are a diverse group of RNAs that have been implicated in a multitude of functional processes [16,20,21]. These RNAs have largely been characterised in mammalian species, particularly human and mouse. Consequently, we generally do not expect these to be conserved outside of mammalia. Notable examples include Xist [35] and H19 [36]. There is emerging evidence for the conservation of “mammalian” lncRNAs in other vertebrates [37,38]), however, like most lncRNAs, the function of these lncRNAs remains largely unknown. Here, we show the conservation of several well-characterised lncRNAs of known function in humans.

In general, Rfam cannot include the entire length of any large, spliced RNAs. This is a limitation of the covariance-models used for the homology-searches Rfam runs [6]. Consequently, only short, well-conserved regions with evolutionarily conserved secondary structures are included in Rfam. By analogy to protein-domains, we refer to these as RNA-domains [14]. **SOME OF THESE MAY BE DNA ELEMENTS RATHER THAN LNCRNA ELEMENTS!!!!**

When analysing the RNA-domain annotations it is striking that many of the lncRNAs with multiple RNA-domains are consistently preserved in the birds. The annotations of these domains lie in the same genomic region, in the same order as in the mammalian homologs. Thus they support a high degree of evolutionary conservation for the entire lncRNA. In particular the HOXA11-AS1, PART1, PCA3, RMST, Six3os1, SOX2OT and ST7-OT3 lncRNAs have multiple, well conserved RNA-domains (See Figure ).

The conservation of these “human” lncRNAs among birds suggests they may also be functional in birds but what these functions is not immediately obvious. For example, PART1 and PCA3 are both described as prostate-specific lncRNAs that play a role in the human androgen-receptor pathway [39–41]. Birds lack a prostate but both males and females express the androgen receptor (AR or NR3C4) in gonadal and non-gonadal tissue [42–45]. Thus, we postulate that PART1 and PCA3 also play a role in the androgen-receptor pathway in birds but whether the expression of these lncRNAs are tissue specific is unknown at present.

The HOX cluster lncRNAs HOTAIRM1 (5 RNA-domains), HOXA11-AS1 (6 RNA-domains), and HOTTIP (4 RNA domains) are remarkably well conserved. In the human genome they are located in the HOXA cluster (hg coordinates chr7:27135743-27245922), one of the most highly conserved regions in vertebrate genomes [46], in antisense orientation between HoxA1 and HoxA2, between HoxA11 and HoxA13, and upstream of HoxA13, respectively. Conservation and expression of HOTAIRM1 and HOXA11-AS1 within

the HOXA cluster has been studied in some detail in marsupials [47]. Of the 15 RNA-domains five and six representing all three lncRNAs were recovered in the alligator and turtle genomes. All of them appear in the correct order at the expected, syntenically conserved positions within the HOXA cluster. In the birds, where two or more of the HOX cluster lncRNA RNA-domains were predicted on the same scaffold, this gene order and location within HOX was also preserved.

Many of the lncRNAs have been associated with cancer, sparking a minor review industry [48,49]. Three examples of these that are also conserved in the birds are described below.

The RMST (Rhabdomyosarcoma 2 associated transcript) RNA-domains 6, 7, 8, and 9 are conserved across the birds. In each bird the gene order was also consistent with the human ordering. In the alligator and turtle an additional RNA-domain was predicted in each, these were RNA-domains 2 and 4 respectively, again the ordering of the domains was consistent with human. This suggests that the RMST lncRNA is highly conserved. However, little is known about the function of this RNA. It was originally identified in a screen for differentially expressed genes in two Rhabdomyosarcoma tumor types [50].

In addition, the lncRNA DLEU2 is well conserved across the vertebrates, it is a host gene for two miRNA genes, miR-15 and miR-16, both of which are also well conserved across the vertebrates (See Supplemental Figure 2). DLEU2 is thought to be a tumor-suppressor gene as it is frequently deleted in malignant tumours [51,52].

The NBR2 lncRNA and BRCA1 gene share a bidirectional promotor [53]. Both are expressed in a broad range of tissues. Extensive research on BRCA1 has shown that it is involved in DNA repair [54]. The function of NBR2 remains unknown, yet its conservation across the vertebrates certainly implies a function (See Figure ).

Of the other classes of RNAs, none showed an unexpected degree of conservation or expansion within the avian lineage. The only exception being the snoRNA SNORD93 which has 92 copies in the tinamou genome, whereas it only has 1-2 copies in all the other vertebrate genomes.

### **Unexpectedly poorly conserved ncRNAs: genuine loss, divergence or missing data?**

#### *Genuine loss*

The overall reduction in avian genomic size has been extensively discussed elsewhere [55]. Unsurprisingly, this reduction is reflected in the copy-number of ncRNA genes. Some of the most dramatic examples are the transfer RNAs and pseudogenes which average  $\sim 900$  and  $\sim 580$  copies in the human, turtle and alligator genomes, the average copies numbers of these drop to  $\sim 280$  and  $\sim 100$  copies in the avian

genomes. In addition to reduction in copy-number, the complete absence of several otherwise ubiquitous vertebrate ncRNAs in the avian lineage are indicative of genuine gene loss.

Namely, mammalian and amphibian genomes contain three loci of clustered microRNAs from the mir-17 and mir-92 families [56]. One of these clusters (cluster II, with families mir-106b, mir-93 and mir-25) was not found in turtles, crocodiles and birds (see Supplemental Figure 6). In addition, the microRNA family let-7 is the most diverse microRNA family with 14 paralogs in human. These genes also localize in 7 genomic clusters, together with mir-100 and mir-125 miRNA families (see previous study on the evolution of the let-7 miRNA cluster in [57]). In Sauropsids we observed that cluster A - which is strongly conserved in vertebrates has been completely lost in the avian lineage. Another obvious loss in birds is cluster F, containing two let-7 microRNA paralogs. Cluster H, on the other hand has been retained in all oviparous animals and completely lost later, after the split of Theria (see Supplemental Figure 7).

### *Divergence*

In order to determine to what extent the absence of these RNAs from the infernal-based annotation is caused by sequence divergence beyond the thresholds of the Rfam CMs, we complemented our analysis by dedicated searches for a few of these RNA groups. Our ability to find additional homologs for several RNA families that fill gaps in the abundance matrices (Figure ?) strongly suggests that conspicuous absences, in particular of LUCA and LECA RNAs, are caused by incomplete data in the current assemblies and sequence divergence rather than genuine losses.

Vertebrate Y RNAs typically form a cluster comprising four well-defined paralog groups Y1, Y3, Y4, and Y5. In line with [58] we find that the Y5 paralog family is absent from all bird genomes, while it is still present in both alligator and turtle, see Supplemental Figure 4. Within the avian lineage, we find a conserved Y4-Y3-Y1 cluster. Apparently, broken-up clusters are in most cases consistent with breaks in the available sequence assemblies. In several genomes we observe one or a few additional Y RNA homologs unlinked to the canonical Y RNA cluster. These sequences can be identified unambiguously as derived members of one of the three ancestral paralog groups, they almost always fit less well to the consensus (as measured by the CM bit score of paralog group specific covariance models) than the paralog linked to cluster, and there is no indication that any of these additional copies is evolutionarily conserved over longer time scales. We therefore suggest that most or all of these interspersed copies are in fact pseudogenes.

### *Missing data*

Seven families of ncRNAs were found in some avian genomes but not others (Figure ). These families range in conservation level from being ubiquitous to cellular-life (RNase P and tRNA-sec), present in most Bilateria (vault), present in the majority of eukaryotes (RNase MRP, U4atac and U11) and present in all vertebrates (telomerase) [2]. Therefore, the genuine loss or even diversification of these ncRNA families in the avian lineage is unlikely. Rather, this lack of phylogenetic signal, combined with the fragmented nature of the vast majority of these genomes described above (i.e., of the 48 avian genomes, only the chicken and zebra finch are chromosomally assembled [23,25]), suggests the most likely explanation is that these ncRNA families are indicative of missing data. Indeed, of the seven missing ncRNA families, six were found in the chicken genome and three were found in the zebra finch genome. Furthermore, only one of these (RNase MRP) is found on a macrochromosome, and all remaining missing ncRNAs are found on microchromosomes (see Supplemental Table ?). A Fisher's exact test showed that there is significantly more missing ncRNAs on microchromosomes than macrochromosomes ( $P < 10^{-16}$  for both the chicken and zebra finch). Thus, we suggest that many of these ncRNAs families are missing because: (1) they are predominantly found on microchromosomes [this study] and (2) the vast majority of avian microchromosomes remain unassembled [25,34].

To wit, we performed dedicated searches for a selection of these missing ncRNA families. Here, tRNAscan is tuned for specificity and thus misses several occurrences of tRNA-sec that are easily found in the majority of genomes by `blastn` with  $E \leq 10^{-30}$ . In some cases the sequences appear degraded at the ends, which is likely due to low sequence quality at the very ends of contigs or scaffolds. A `blastn` search also readily retrieves additional RNase P and RNase MRP RNAs in the majority of genomes, albeit only the best conserved regions are captured. In many cases these additional candidates are incomplete or contain undetermined sequence, which explains why they are missed by the CMs [59,60].

### **Pseudogenes**

**Briefly mention the reduction in number of pseudogenes. Pick a few key human ones and compare with the birds.**

### **Experimentally confirmed ncRNAs**

The ncRNAs presented here have been identified using homology models and are evolutionarily conserved in multiple avian species. In order to further validate these predictions we have used RNA-seq from

multiple chicken tissues. After mapping chicken RNA-seq data to the chicken genome (see Methods for details), we identified a threshold for calling a gene as expressed by limiting our estimated false-positive rate to less than 10%. This was estimated using randomly selected, un-annotated and presumably un-expressed regions of the genome. Some of which may be genuinely expressed, meaning the true FDR is potentially much lower than 10%. The  $< 10\%$  FDR constraint, corresponded to identifying at least one experimental condition where 13 RNA-seq reads or more were identified, that mapped to a gene of interest. Overall, the number of ncRNAs we have identified in this work that are expressed above background levels is 68.4% (see Table ). This shows that 6.9 times more of our ncRNAs are expressed than expected by chance (Fisher’s exact test:  $P < 10^{16}$ ). It is likely that this is an underestimate of the fraction of our annotations that are genuinely expressed, as only a fraction of the developmental stages and tissues essential for forming a chicken have been sampled with RNA-seq. Furthermore we now know that some ncRNAs are expressed in highly specific conditions [] (**the worm miRNA in 1 cell paper**). The classes of RNAs where the majority of our annotations were confirmed includes microRNAs, snoRNAs, cis-regulatory elements, tRNAs, SRP RNA and RNase P/MRP RNA. The RNA-seq data did fail to detect a telomerase RNA transcript (**ARE THESE ONLY EXPRESSED DURING MEIOSIS?**). The fractions for the other classes were well above the 10% FDR threshold but admittedly were low for 7SK RNA, the minor spliceosomal RNAs and the lncRNAs. There are a number of possible explanations for this: the multiple copies of the 7SK RNA may be functionally redundant and can therefore compensate for one another; The minor spliceosome is active during (**SOME OBSCURE CONDITION HOPEFULLY???**); and the lncRNAs are generally expressed at low levels under specific conditions (cite **RNACAPTURE and MATTICK’S BRAIN ATLAS PAPER**).

## Conclusions

In this work we have provided a comprehensive annotation of non-coding RNAs in genome sequences using homology-based methods. The homology-based tools have distinct advantages over experimental-based approaches as not all RNAs are expressed in any particular tissue-type or developmental-stage, in fact some RNAs have extremely specific expression profiles [61]. We have identified previously unrecognised conservation of ncRNAs in avian genomes as well as some surprising “losses” of otherwise well conserved ncRNAs. We suspect many of these losses are due to a combination of limitations in the homology search tools that we use for annotation and the ability of ncRNAs to tolerate large amounts of sequence variation while remaining functional, rather than *bona fide* gene loss. In some cases these losses could be due to



missing data from the genome assemblies, but this unlikely to be the case for multiple independent assemblies.

These results indicate we are still in the very early phases of determining the functions of many RNA families. This is illustrated by the fact that the reported functions of some ncRNAs are mammal-specific, yet are also found in bird genomes.

## Methods

Bird genomes were searched using the cmsearch program from INFERNAL 1.1 and the covariance models from the Rfam database v11.0 [13,14]. All matches above the curated GA threshold were included.

Subsequently, all hits with an E-value greater than 0.0005 were discarded, so only matches which passed the model-specific GA threshold and had an E-value smaller than 0.0005 were retained. The Rfam database classifies non-coding RNAs into hierarchical groupings. The basic units are “families” which are groups of homologous, alignable sequences; “clans” which are groups of un-alignable (or functionally distinct), homologous families; and “classes” which are groups of clans and families with related biological functions e.g. spliceosomal RNAs, miRNAs and snoRNAs [10–14]; these categories have been used to classify our results.

In order to obtain good annotations of tRNA genes we also ran the specialist tRNA-scan version 1.3.1 annotation tool. This method also uses covariance models to identify tRNAs. However it also uses some heuristics to increase the search-speed, annotates the Isoacceptor Type of each prediction and uses sequence analysis to infer if predictions are likely to be functional or tRNA-derived pseudogenes [8,9].

Rfam matches and the tRNA-scan results for families belonging to the same clan were then “competed” so that only the best match was retained for any genomic region [13]. To further increase the specificity of our annotations we filtered out families that were identified in four or fewer of the 51 vertebrate species we have analysed in this work. These filtered families largely corresponded to bacterial contamination within the genomic sequences.

999 microRNA sequence families, previously annotated in at least one vertebrate, were retrieved from miRBase (v19). Individual sequences or multiple sequence alignments were used to build covariance models with INFERNAL (v1.1rc3), and these models were searched against the 48 bird genomes, and the genomes of the american alligator and the green turtle as outgroups. Hits with e-value  $\leq 10$  realigned with the query sequences and the resultant multiple sequence alignments manually inspected and edited using RALEE.

An additional snoRNA homology search was performed with snoStrip [62]. As initial queries we used

deuterostomian snoRNA families from human [63], platypus [64], and chicken [65].

Our diverse sets of genome annotations were combined. We collapsed the overlapping annotations into a single annotation. We also generated heatmaps for different groups of ncRNA genes (see Figure and Sup. Figs. 1-3). All the scripts and annotations presented here are available from Github [66].

**Rewrite:** Chicken snoRNA and miRNA annotations were validated using small RNA-seq data comprising 27 samples from 14 different tissues. Since not all RNAs are expressed at any given time we expect to verify only a fraction of annotated RNAs using the expression data. Nevertheless 242 of 691 (35%) miRNAs as well as 328 of 376 (87%) snoRNAs of our homology-based annotations were found to be supported by the RNA-seq data.

## WHERE IS ALL THE GENOME SEQUENCE AND RNA-SEQ DATA AVAILABLE FROM?

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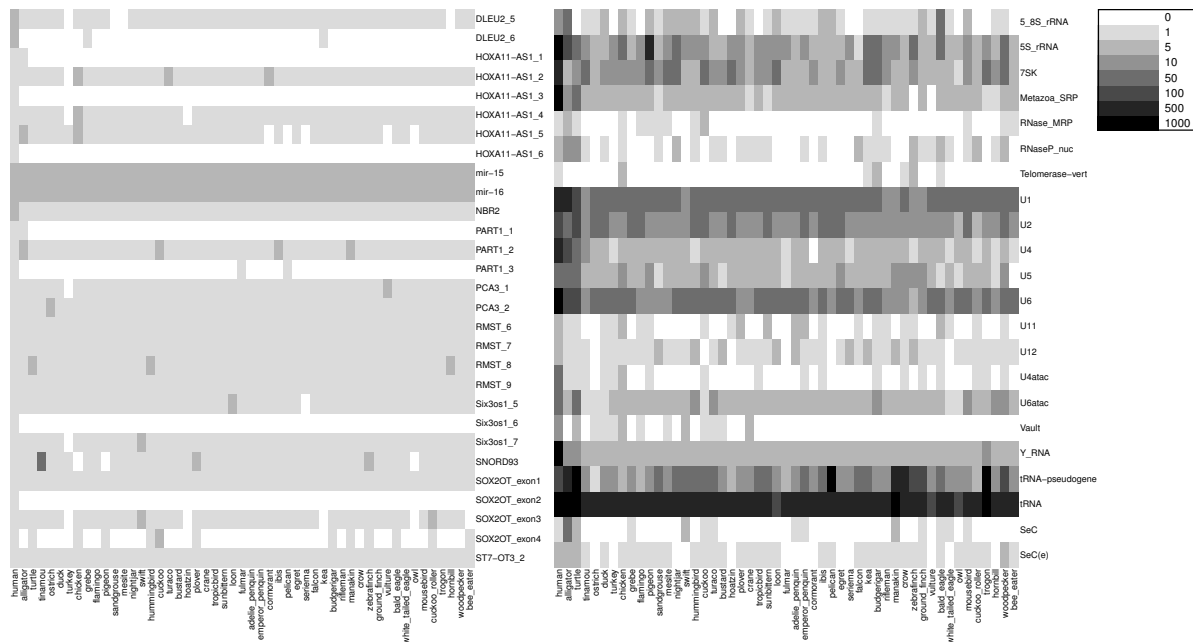
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## Figures

**Figure 1 - Heatmaps**

Heatmaps showing the presence/absence and approximate genomic copy number of “unusually, well conserved RNAs” (particularly the lncRNAs) on the left and families that have been identified as surprising RNA Losses, divergence or missing data. In several cases functionally related families have also been included, e.g. the RNA components of the major and minor spliceosomes: U1, U2, U4, U5 and U6; and U11, U12, U4atac, U5 and U6atac, respectively.



**Table 1 - A summary of ncRNA genes in human, chicken and all bird genomes**

This table contains the total number of annotated ncRNAs from different RNA types in human, the median number for each of the 48 birds and chicken. The number of chicken ncRNA that show evidence for expression is also indicated (the percentage is given in parentheses). The threshold for determining expression was selected based upon a false positive rate of less than 10%.

<b>ncRNA genes in human, chicken and all bird genomes</b>				
Number in human	median(48 birds)	Number in chicken	Chicken ncRNAs confirmed with RNA-seq	RNA type
62	25.0	34	12 (35.3%)	Long non-coding RNA
356	499.5	427	272 (63.7%)	microRNA
281	120.0	106	88 (83.0%)	C/D box snoRNA
336	85.5	68	46 (67.6%)	H/ACA box snoRNA
34	13.0	12	11 (91.7%)	Small cajal body RNA
1754	48.5	71	29 (40.8%)	Major spliceosomal RNA
58	3.0	6	2 (33.3%)	Minor spliceosomal RNA
525	82.0	122	79 (64.8%)	Cis-regulatory element
316	6.5	9	3 (33.3%)	7SK RNA
1	0.0	2	0 (0.0%)	Telomerase RNA
9	0.0	2	1 (50.0%)	Vault RNA
892	3.0	3	2 (66.7%)	Y RNA
1084	173.5	300	256 (85.3%)	Transfer RNA
80	9.5	4	2 (50.0%)	Transfer RNA pseudogene
941	3.0	4	2 (50.0%)	SRP RNA
607	7.0	22	10 (45.5%)	Ribosomal RNA
4	1.0	2	2 (100.0%)	RNase P/MRP RNA
7340	1080.0	1194	817 (68.4%)	Total