# Classifying Federal Register Documents by Type

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## What is the Federal Register?

- Daily journal of the U.S. government
- Print and online
- 4 main sections
- 4 document types:
  - Notices
  - Proposed Rules
  - > Final Rules
  - Presidential Documents

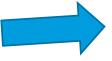




## The Problem: Uncategorized Documents

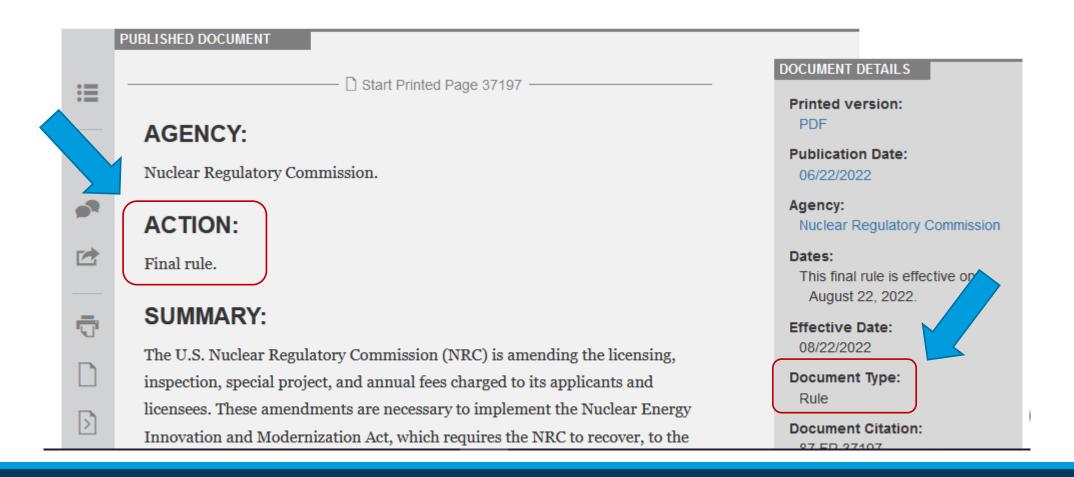
- Particularly an issue in the 1990s data
- Available data from 1994–1999:
  - > 201, 591 total documents
  - > 166,031 categorized as 1 of 4 main types
  - > 32,468 lack "type" labels
- Problem: Severe undercounting for analysis
- Solution: use labeled documents to build classifier for document type

### Revision of Fee Schedules; Fee Recovery for Fiscal Year 2022



A Rule by the Nuclear Regulatory Commission on 06/22/2022





### **Compatibility of Wireless Services With Enhanced 911**



An Uncategorized Document by the Federal Communications Commission on 06/28/1999



#### PUBLISHED DOCUMENT



The full text of this document is currently available in PDF format.



The full text of this document is also available in a basic text format.







#### DOCUMENT DETAILS

#### Printed version:

PDF

#### **Publication Date:**

06/28/1999

#### Agency:

Federal Communications
Commission

#### Dates:

Effective July 28, 1999. This document contains new information collections subject to the Paperwork Reduction Act of 1995 (PRA), which are pending OMB approval. A notice will be placed in the

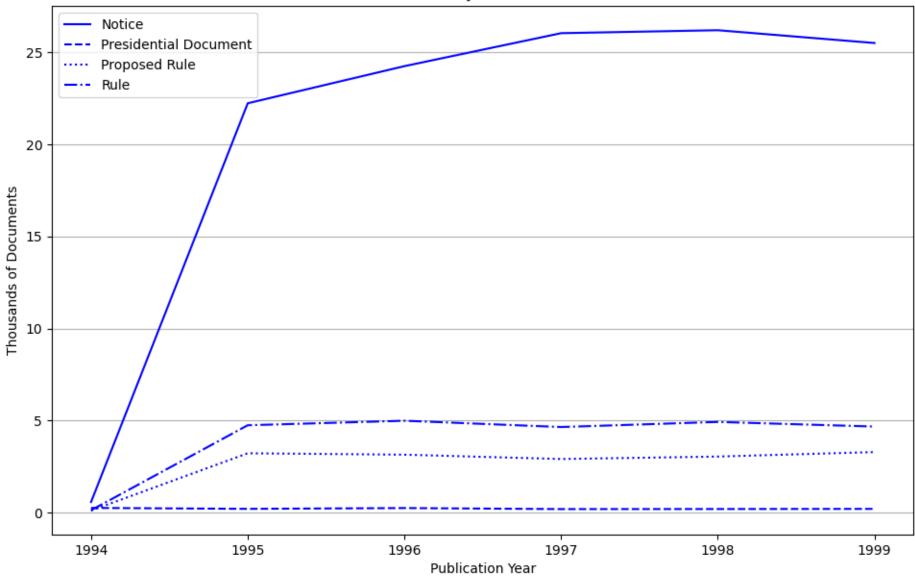
### Data

### Processed dataset:

- 166,031 documents
- 6 numeric variables
- 5 categorical variables
- 3 text variables

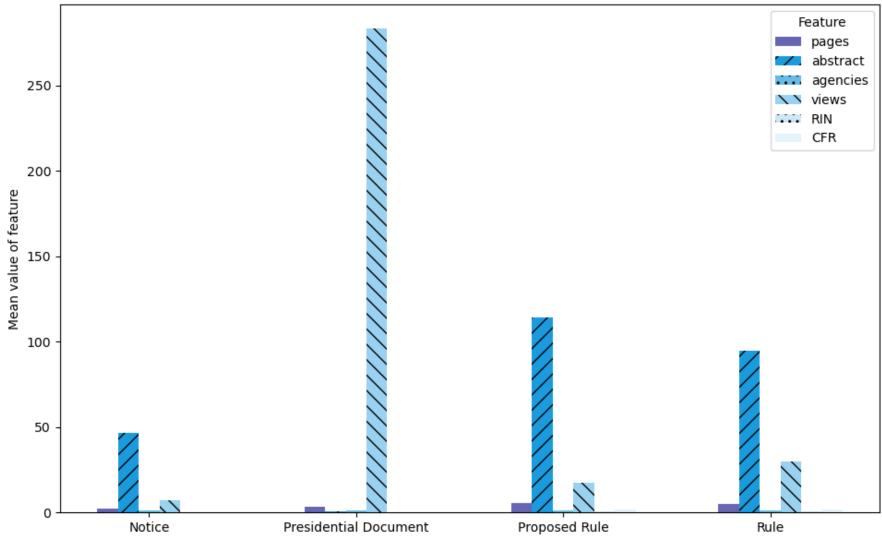
<class 'pandas.core.frame.DataFrame'> RangeIndex: 166031 entries, 0 to 166030 Data columns (total 14 columns): Column Non-Null Count Dtype page\_length 166031 non-null float64 0 agencies\_count\_uq 166031 non-null int64 abstract\_length 166031 non-null int64 page\_views\_count 166031 non-null int64 RIN\_count 166031 non-null int64 CFR\_ref\_count 166031 non-null int64 siq 166031 non-null float64 effective\_date\_exists 166031 non-null int64 comments\_close\_exists 166031 non-null int64 docket\_exists 166031 non-null int64 10 eop 166031 non-null int64 action 166031 non-null object abstract 166031 non-null object 13 title 166031 non-null object dtypes: float64(2), int64(9), object(3) memory usage: 17.7+ MB

#### Documents by Publication Year



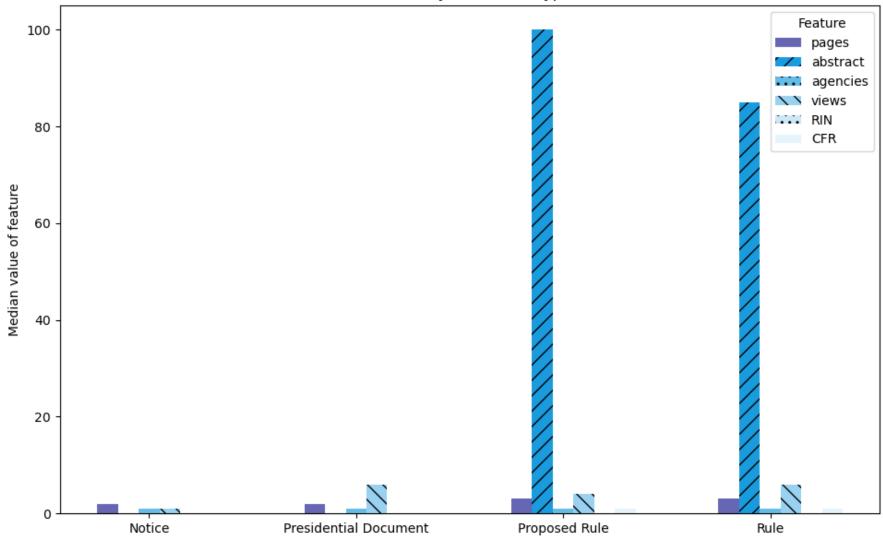
Source: Federal Register API and authors' calculations.

#### Mean by Document Type



Source: Federal Register API and authors' calculations.

#### Median by Document Type



Source: Federal Register API and authors' calculations.

## Preprocessing

- Data cleaning
- Imputing missing values
- Create/extract new variables from document metadata
- Numeric transformer (min-max scaler)
- Categorical transformer (one-hot encoder)
- Label encoder for target
- Text feature extraction (tf-idf vectorizer)
- Train-test split (116,221 vs. 49,810)

## Modeling

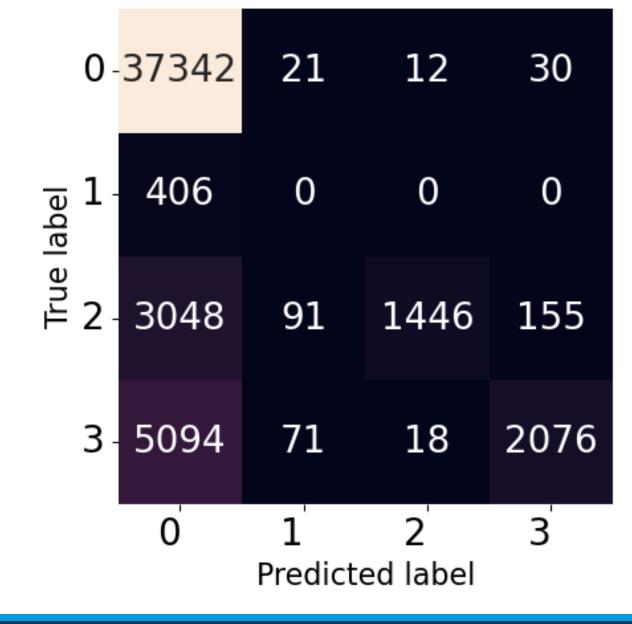
- 1. Complement Naïve Bayes (categorical and numeric)
- 2. AdaBoost (categorical and numeric)
  - > 1000 Complement NB estimators
- Voting Classifier (categorical and numeric)
  - Complement NB
  - Logistic Regression (balanced weights)
  - $\rightarrow$  KNN  $\rightarrow$  grid search for k == [5, 99, 341]
- 4. Complement NB (text)
  - tf-idf: term-frequency \* inverse document-frequency

Confusion Matrix: Model 1 0-37331 True label 

Predicted label

Complement NB (categorical/numeric)

Confusion Matrix: Model 2



AdaBoost (1000 NB estimators)

Confusion Matrix: Model 3 0-37321 True label 

Predicted label

Voting Classifier (NB, Logit, KNN)

Confusion Matrix: Model 4 0-36850 True label Predicted label

**Complement NB** 

(tf-idf vectors)

Model	Classifiers	Features	Accuracy	F1-Score
1	NB	5 categorical 6 numeric	0.832	0.797
2	Boost 1000 * NB	5 categorical 6 numeric	0.820	0.781
3	Hard voting NB, Logit, KNN	5 categorical 6 numeric	0.980	0.979
4	NB	1 text	0.969	0.969

Thank you for listening!

Questions?