

Learned transform compression with optimized entropy encoding

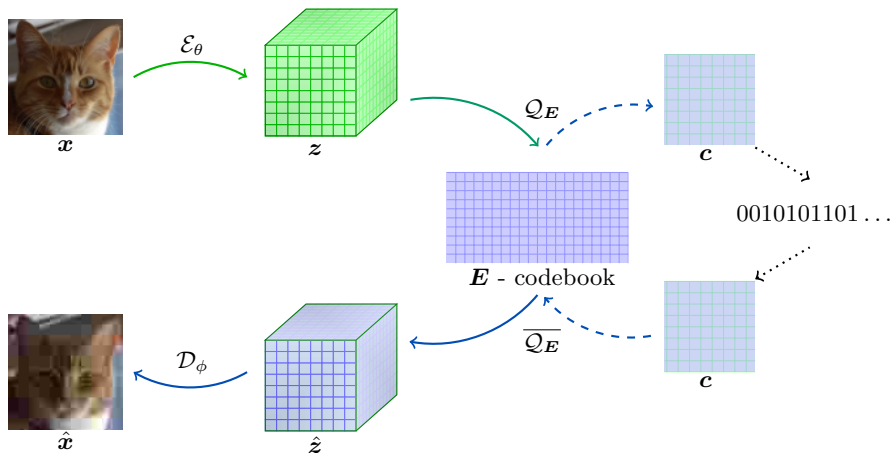
Magda Gregorová

26 March 2021, Würzburg, Germany

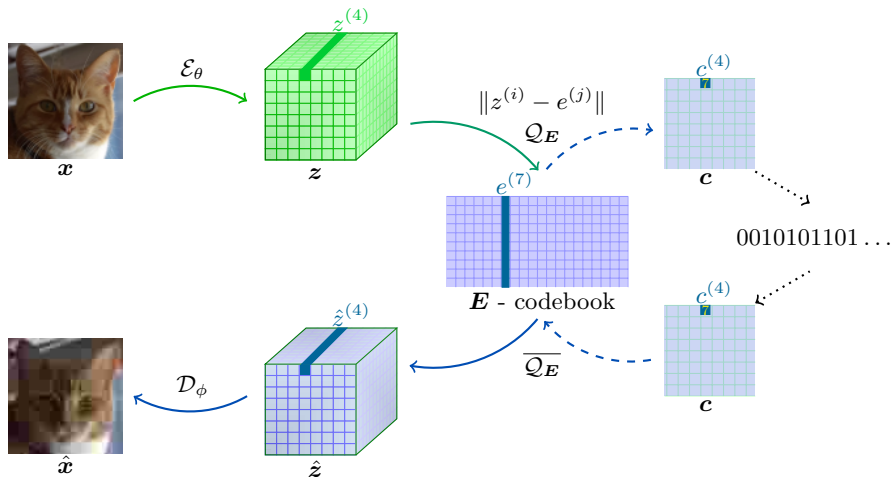
In collaboration with:

Marc Desaulles & Alexandros Kalousis

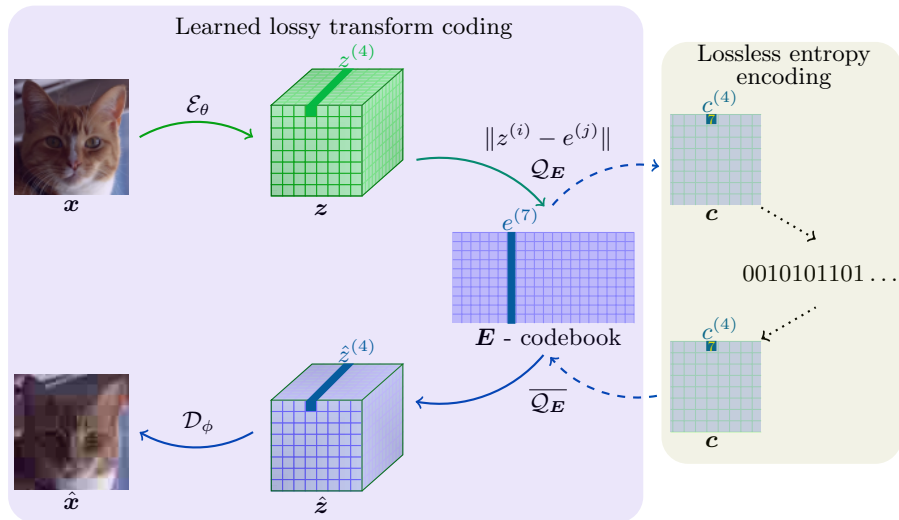
Transform coding with vector quantization



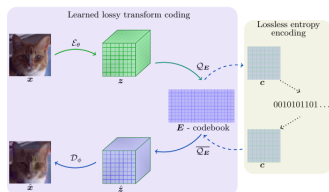
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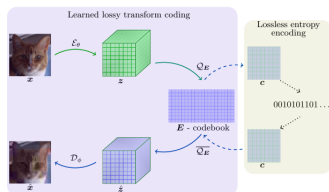
End-to-end optimized compression



$$\mathcal{L} := \underbrace{\mathbb{E}_{\mu_x} d(\mathbf{x}, \hat{\mathbf{x}})}_{\text{distortion}} + \lambda \underbrace{\mathbb{E}_{\mu_c} l(c)}_{\text{rate}}$$

$\mathcal{E}_\theta, \mathcal{D}_\phi, \mathcal{Q}_E$

End-to-end optimized compression



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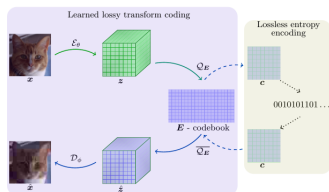
$\mathcal{E}_\theta, \mathcal{D}_\phi, Q_E$

Shannon: $l^*(c) = -\log p_c(c) \implies \mathbb{E}_{\mu_c} l^*(c) = -\mathbb{E}_{\mu_c} \log p_c(c) = \mathbb{H}_{\mu_c}(c)$

$$\int_A p_c d\# = \sum_{\mathbf{a} \in A} p_c(\mathbf{a}) = \mu_c(A) \quad \log p_c = ??? \quad \mathbb{H}_{\mu_c}(c) = ???$$

$$q_c \approx p_c \quad -\mathbb{E}_{\mu_c} \log q_c(c) \approx -\mathbb{E}_{\mu_c} \log p_c(c) \quad \mathbb{H}_{\mu_c|q_c}(c) \approx \mathbb{H}_{\mu_c}(c)$$

End-to-end optimized compression



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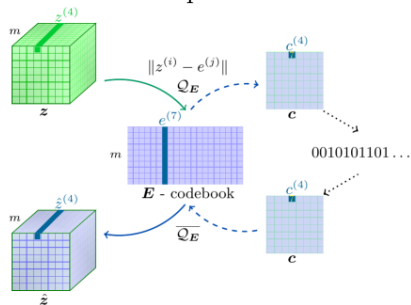
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$$\mathcal{E}_\theta, \mathcal{D}_\phi, \mathcal{Q}_E, \mathcal{P}_\psi \quad \mathcal{L} := \underbrace{\mathbb{E}_{\mu_x} d(\mathbf{x}, \hat{\mathbf{x}})}_{\text{distortion}} + \lambda \underbrace{\mathbb{H}_{\mu_c|q_c}(c)}_{\text{rate}}$$

Vector quantization

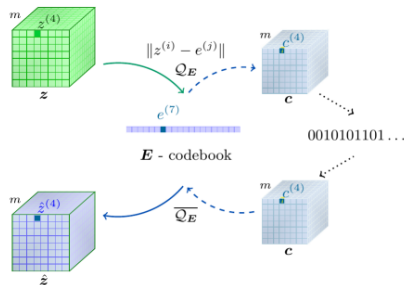
Vector quantization



message length: d^2

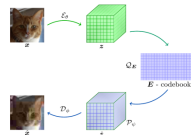
$$Q_E : \quad \hat{z}^{(i)} = \arg \min_{e^{(j)}} \|z^{(i)} - e^{(j)}\| \quad c^{(i)} = \{j : \hat{z}^{(i)} = e^{(j)}\}$$

Scalar quantization



message length: $d^2 m$

Model learning - problems

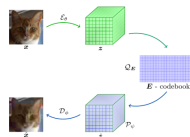


Problem \mathcal{Q}_E : quantization non-differentiable

Forward: $\mathbf{x} \xrightarrow{\mathcal{E}_\theta} \mathbf{z} \xrightarrow{\mathcal{Q}_E} \hat{\mathbf{z}} \xrightarrow{\mathcal{D}_\phi} \hat{\mathbf{x}} \longrightarrow d(\mathbf{x}, \hat{\mathbf{x}})$

Backward: $\mathbf{x} \xleftarrow{\nabla_\theta} \mathbf{z} \xleftarrow{\nabla_E} \hat{\mathbf{z}} \xleftarrow{\nabla_\phi} \hat{\mathbf{x}} \longleftarrow d(\mathbf{x}, \hat{\mathbf{x}})$

Model learning - problems



Problem \mathcal{Q}_E : quantization non-differentiable

$$\text{Forward: } \mathbf{x} \xrightarrow{\mathcal{E}_\theta} \mathbf{z} \xrightarrow{\mathcal{Q}_E} \hat{\mathbf{z}} \xrightarrow{\mathcal{D}_\phi} \hat{\mathbf{x}} \longrightarrow d(\mathbf{x}, \hat{\mathbf{x}})$$

$$\text{Backward: } \mathbf{x} \xleftarrow{\nabla_\theta} \mathbf{z} \xleftarrow{\nabla_E} \hat{\mathbf{z}} \xleftarrow{\nabla_\phi} \hat{\mathbf{x}} \xleftarrow{\nabla_\phi} d(\mathbf{x}, \hat{\mathbf{x}})$$

Problem \mathbb{H}_{μ_c} : entropy not minimized

$$q_c \approx p_c : \quad \mathbb{H}_{\mu_c|q_c}(\mathbf{c}) \geq \mathbb{H}_{\mu_c}(\mathbf{c}) \quad \mathbb{H}_{\mu_c|q_c}(\mathbf{c}) = \overbrace{D_{\text{KL}}(p_c||q_c)}^{\geq 0} + \mathbb{H}_{\mu_c}(\mathbf{c})$$

$$\min_{q_c} \mathbb{H}_{\mu_c|q_c}(\mathbf{c}) \Leftrightarrow \min_{q_c} D_{\text{KL}}(p_c||q_c) + \cancel{\mathbb{H}_{\mu_c}(\mathbf{c})}$$

$$q_c \rightarrow p_c \quad p_c \text{ fixed}$$

Push-forward measure & soft-quantization

$$1) \quad \mu_c[\mathbf{c} \in \mathbf{A}] = \mu_c[\mathcal{T}_{\mathbf{E},\theta}(\mathbf{x}) \in \mathbf{A}] = \mu_x[\mathbf{x} \in \mathcal{T}_{\mathbf{E},\theta}^{-1}(\mathbf{A})] \quad \mathcal{T}_{\mathbf{E},\theta} = \mathcal{Q}_{\mathbf{E}} \circ \mathcal{E}_{\theta}$$

$$\mathcal{Q}_{\mathbf{E}}, \mathcal{E}_{\theta} \rightarrow \mu_c \rightarrow \mathbb{H}_{\mu_c}$$

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$$2) \quad p_c(c = j) = \begin{cases} 1 & \text{if } \hat{\mathbf{z}} = \mathbf{e}^{(j)} \\ 0 & \text{otherwise} \end{cases} \quad h_{ce} = -\frac{1}{n} \sum_i^n \log q_c(c_i), \quad c_i \sim \mu_c$$

$$\hat{p}_c(c = j) = \frac{\exp(-\sigma \|\mathbf{z} - \mathbf{e}^{(j)}\|)}{\sum_j^k \exp(-\sigma \|\mathbf{z} - \mathbf{e}^{(j)}\|)} \quad s_{ce} = -\frac{1}{n} \sum_{i,j}^{n,k} \hat{p}_c(c_i = j) \log \text{sg}[q_c(j)]$$

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$$3) \quad \tilde{\mathbf{z}} = \sum_j^k \hat{p}_c(c = j) \mathbf{e}^{(j)} \quad \hat{d}(\mathbf{x}, \hat{\mathbf{x}}) = d(\mathbf{x}, \mathcal{D}_{\phi}[\text{sg}(\hat{\mathbf{z}} - \tilde{\mathbf{z}}) + \tilde{\mathbf{z}}])$$

Push-forward measure & soft-quantization

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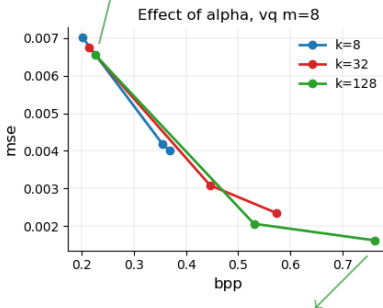
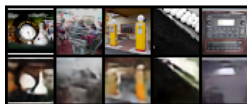
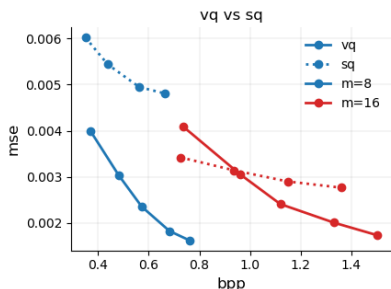
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$$\arg \min_{\mathcal{E}_{\theta}, \mathcal{Q}_{\mathbf{E}}, \mathcal{D}_{\phi}, \mathcal{P}_{\psi}} \frac{1}{n} \sum_i^n \hat{d}(\mathbf{x}_i, \hat{\mathbf{x}}_i) + \alpha s_{ce}(\mathbf{c}_i) + \beta h_{ce}(\mathbf{c}_i), \quad \mathbf{x}_i \sim \mu_x$$

Proof of concept - experiments

$\mathcal{E}_\theta, \mathcal{D}_\phi$: CNN, stride-2 down-/up-sampling, 64 kernels size 3-4, 10 residual blocks with skip connections

$$\mathcal{P}_\psi : q_c(c) = \prod_i^{d^2} q_{c_i}(c_i), \quad q_{c_i} = q_{c_j}$$



ADAM, one cycle cosine schedule
 $\sigma = 1, \beta = 1$ Imagenet32



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