

## Methodical Process

### Dissection of PCAPS

- The first thing I wanted to do was view the packet fields
- I used tshark to view one of the 5G PCAPs in XML format
  - `tshark -r pw1 -T pdml > pw1.xml`
  - PDML: Packet Description Markup Language
- I familiarized myself with the fields and tried to think of which would be useful
- Instead of picking random fields, I decided to research how to extract features from PCAP files
  - This is how I found Yang's PCAP study

### Attempt at using netml tool

- Yang's study used a tool called netml to parse PCAP files and extract features
  - However, I was not able to get the netml tool to properly parse the 5G PCAPs for an unknown reason
  - My only way forward was to replicate netml's functionality
- The netml tool has two parts, a PCAP parser and a machine learning tool
  - The machine learning functionality was not useful to me because I already had an Autoencoder to play with
  - I downloaded netml's parser code and began studying it

### General concepts learned from netml parser code and Yang's study

- Group all packets together that are going from one machine to another
  - These are "forward flows" from source to destination
- Split these flows up into "subflows"
  - Fixed time intervals: Subflows are  $\leq N$  seconds
  - Timeout period: Subflows are split using inter-arrival time  $> N$  seconds
- Collect information about the packets in each subflow
  - This information becomes our feature vector
  - The study provides options for feature representation

### Raw Field Extraction

- I was most comfortable with Yang's statistical representation of subflows
  - Time-related (subflow duration, packets/sec, bytes/sec)
  - Packet size stats (mean, std, min, max, q1, q2, q3)
- I revisited the PCAP XML file and picked fields necessary to obtain these statistics
  - This was done through inference
  - `frame.time_epoch`
    - This is the packet's capture time (or arrival time)
    - Needed for subflow durations
    - Time since Unix epoch (Jan 1, 1970)
    - A numpy function converts time epochs to seconds as necessary

- ip.len
    - Packet size in bytes
    - Specifically: Total length of IP frame
- For partitioning, Yang's study uses the following 5-tuple
  - (ip.src, srcport, ip.dst, dstport, ip.proto)
  - This means we must extract ports for both TCP and UDP
    - tcp.srcport, tcp.dstport
    - udp.srcport, udp.dstport
- Additionally, Yang's study notes the usefulness of TCP flags and IP TTL values
  - ip.ttl
  - tcp.flags
- I used tshark to extract the fields and save to CSV
  - tshark -r file.pcap -E header=y -E separator=, -E quote=d -E occurrence=f -T fields -e frame.time\_epoch -e ip.len -e ip.proto -e ip.src -e ip.dst -e ip.ttl -e tcp.srcport -e tcp.dstport -e tcp.flags -e udp.srcport -e udp.dstport > file.csv

### Combining 5G PCAPs

- Once I had the command for extraction, I needed to run it on all of the 5G PCAPs
- I decided to combine all of the CSV files into a single CSV
  - I could have loaded all the CSV files up individually and concatenated them in pandas
  - However, I found it more straightforward to combine all the CSVs beforehand
- Preparation
  - All of the 5G PCAPs were moved into a single folder
  - I renamed the files to make them easier to process with a shell script
    - pcap\_one: pw1\_1 . . . pw1\_5
    - pcap\_two: pw2\_1
    - pcap\_tree: pw3\_1 . . . pw3\_12
- Shell Script
  - After the renamed PCAPS were placed in a single folder, I created a shell script to run the tshark command on all of them
  - The script combines all CSV files for each group into one using Linux's cat command
    - cat pw1\*.csv > combined\_pw1.csv
    - cat pw3\*.csv > combined\_pw3.csv
  - The three CSV files are then concatenated into one large file
    - cat combined\*.csv > combined\_5G\_pcaps.csv
  - All other csv files are removed

### Data Preprocessing

- Yang's study is concerned only with IP packets, so I dropped all non-IP packets by checking for NaN values in the ip.proto column
- Remaining NaN values are converted to 0
  - ICMP packets do not have port numbers. A 0 should be a sufficient placeholder because it is part of the reserved port numbers and would not normally be used
  - Packets using UDP will have their TCP flags to 0, indicating no TCP flags

- The srcport and dstport columns are set to the corresponding TCP or UDP port numbers
  - This is done by taking the max of the TCP and UDP port
  - The original source and destination port columns (tcp.srcport, tcp.dstport, udp.srcport, udp.dstport) are dropped
- Since every CSV file has a header row, the concatenation of CSV files results in several header rows. All are dropped except the first one.
- All columns are then converted to an appropriate type
  - Strings for ID columns, datetime for time epochs, and integers for the rest
  - This is done because every column uses the raw “object” data type when first loaded

## Flows

- Although Yang used the entire 5-tuple to partition the packets, I selected the 3-tuple (ip.dst, 'dstport', ip.proto) for my experiments
  - I felt partitioning only by destination would better emulate “inward flows” into a device from multiple sources. This should help detect DDoS attacks
- The flows are partitioned using Pandas functions
  - Unique Flow IDs are used to select matching rows (packets)
    - All packets matching the flow ID are set aside (partitioned)
  - A dictionary is used to link the flow IDs to their corresponding list of packets (partitions)
  - There must be at least 2 packets for flow analysis, otherwise the flow is dropped
  - See Study.pdf for more info

## Subflows

- Partitioning the data into long flows is not enough to accurately capture average network behavior
  - It also results in too few samples for machine learning
- The flows must be partitioned into smaller “subflows” using some method
- Yang’s study allows for subflow partitioning using either fixed time intervals ( $\leq N$  seconds) or a timeout interval (inter-arrival time  $> N$  seconds)
  - I created both methods for experimentation
  - I found that neither method resulted in significantly more samples, so I stuck with timeout interval because Yang used it for the statistical features portion of his study
- I iterated through the partitions (flows) and used Pandas functions to locate indices for subflow partitions
  - For timeout interval subflow selection, the primary functions are diff() and loc()
  - More details can be found in Study.pdf
- **Note that in a live system, there would be no partitioning into “subflows”**
  - We’d capture the last N seconds of traffic, partition it into flows, and extract statistical features
  - The autoencoder would tell us if the current network flow stats are normal or anomalous

## Baseline Statistical Feature Selection

- As noted under the “raw field extraction” section, Yang’s study used 10 statistical features as a baseline
- I dropped subflow duration from the feature list
  - I don’t think network statistics need to be tied to specific durations
  - In a live system, there will only be a small window of network traffic analyzed at any time, perhaps just a few seconds
  - The stats during a 5 second capture may be normal, but if the autoencoder is trained to associate it with a 30 second flow, it will mark it as anomalous
  - What is important is that the stats are within our “normal” range, regardless of whether we analyze the last 5, 10, or 30 seconds in the real-world system

## Additional Statistical Feature Selection

- Yang also noted that header information like TCP flags and IP time-to-live (TTL) can be useful for anomaly detection
  - Including TCP flag information can help detect attacks like a SYN Flood
  - Time-to-live may be tied network topology, so differences here can indicate traffic from new devices.
  - Yang notes this may not be a useful feature if the TTL values are the same for all devices
- Yang appears to have tacked on the raw distribution of header values for each packet in a subflow to the end of his statistical feature vector
  - This results in a very long feature vector!
  - We’d also have to deal with uneven feature vectors because each subflow has a different number of packets
    - Yang discusses this in parts of the paper
- For ease, I chose the following statistical representations
  - SYN/sec
  - Average TTL

## Feature Extraction

- Pandas functions extract most of the features from the subflow partitions (which are Pandas dataframes)
  - The number of packets in a subflow is obtained using `shape[0]`
  - The duration is the difference from the last time epoch to the first
  - If there is only 1 packet in the subflow, it is skipped (same idea as before)
  - If the subflow duration is less than 1, it gets set equal to 1 for division purposes
- For SYN/sec, I used bitmasks to isolate the individual flags before using Pandas `sum()` function to find the count
- Note that I changed the bytes/sec feature to bits/sec because it is the standard representation of network traffic per second

## Extractor Options

- As noted in the subflow section, my tool allows for partitioning by fixed interval or timeout period
  - Fixed interval is a better option when the flows do not have many breaks in them
  - Constant flows can't be broken up using a timeout period
- I also allow for the MBits/sec feature to be changed to KBits/sec
  - This was due to my Modbus experimentation
  - Network speeds were not high enough to justify using MBits
  - This is irrelevant to the 5G experiments

## Autoencoder

- The autoencoder dimensions are based on the default autoencoder from Yang's study
  - Input layer of size  $d$ , hidden layer of size  $d-1$ , latent layer of size  $\lceil d/2 \rceil$ , hidden layer  $d-1$ , and output layer  $d$
- The tool allows for experiments with different activation functions, optimizers, and PCA principles
  - See Models.txt
  - See PCA Principles.pdf
- To ensure consistency, no shuffling occurs during training (it's already shuffled by the extractor)
- Only the best model for a particular configuration is kept
  - A separate program loads up the saved models

## Synthetic Flows

- See Study.pdf

## Sources

<https://github.com/chicago-cdac/netml> (netml tool)

<https://arxiv.org/pdf/2006.16993> (Yang)

<https://arxiv.org/pdf/2004.13006>

- Unused but relevant
- Different "NetML": Not actually the netml tool, but a dataset
  - They used an "Intel proprietary flow feature extraction tool"
- Discusses statistical flow features and other possible features
- Does not discuss Autoencoders explicitly, but mentions other machine learning algorithms including neural networks

<https://www.wireshark.org/docs/dfref/> (Wireshark reference page)