

# Discussion

CS 5/7320  
Artificial Intelligence

## Adversarial Search and Games

AIMA Chapter 5

Slides by Michael Hahsler  
with figures from the AIMA textbook



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Image: "[Reflected Chess pieces](#)"  
by [Adrian Askew](#)



Online Material

A black and white photograph showing a stack of three white rings with black centers, and a black L-shaped block, all resting on a light-colored surface. The rings are stacked vertically, and the L-shaped block is positioned to the right of the stack.

# Games

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- **Strategic environment:** Games typically feature an environment containing an opponent who wants to win against the agent.
- **Episodic environment:** One game does not affect the next.
- We will focus on planning for
  - two-player zero-sum games with
  - **deterministic game mechanics** and
  - perfect information (i.e., **fully observable environment**).
- We call the two players:
  - 1) **Max** tries to maximize its utility.
  - 2) **Min** tries to minimize Max's utility (zero-sum game).



# Definition of a Game

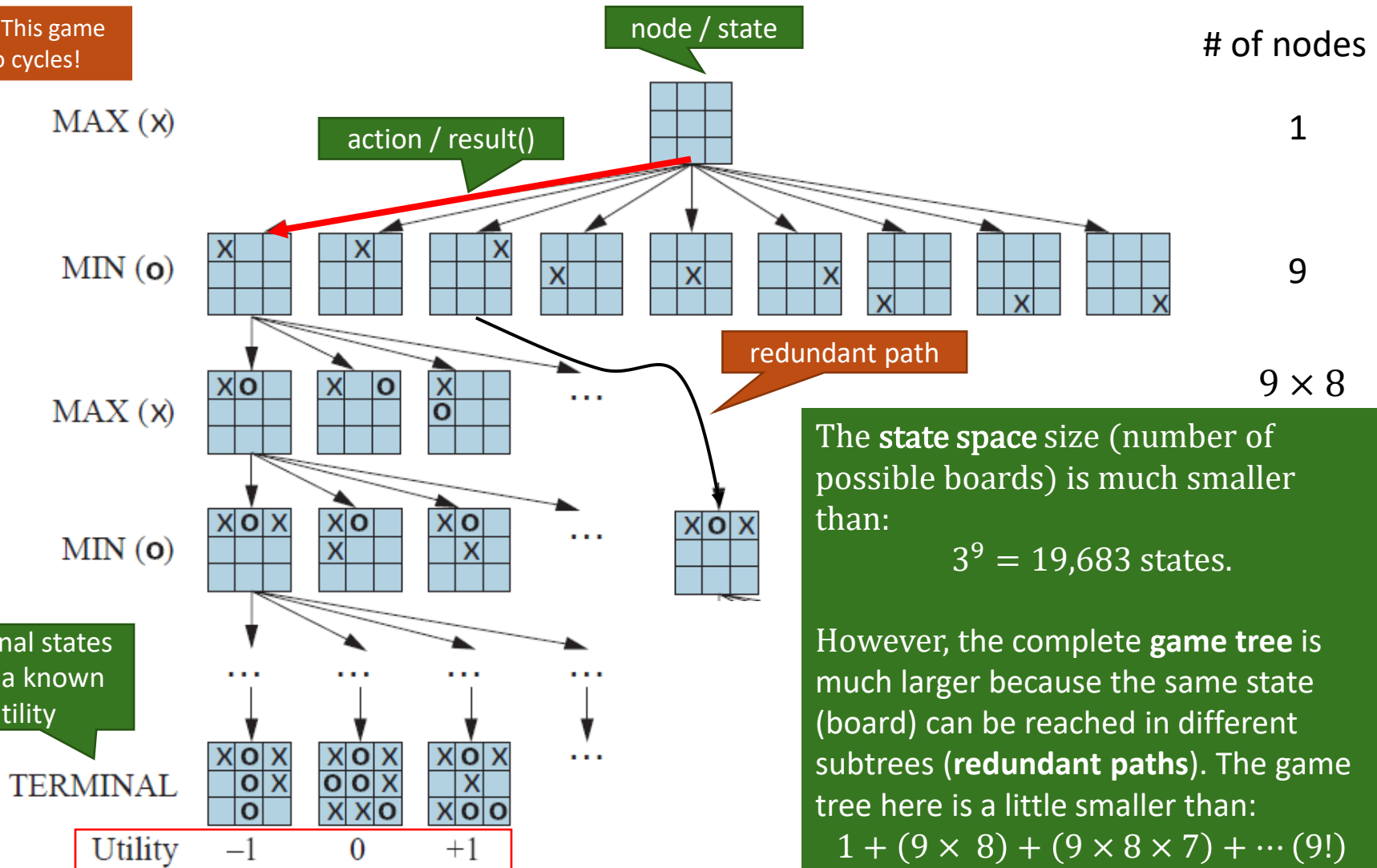
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## Definition:

$s_0$	The initial state (position, board, hand).
$Actions(s)$	Legal moves in state $s$ .
$Result(s, a)$	Transition model.
$Terminal(s)$	Test for terminal states.
$Utility(s)$	Utility for player Max for terminal states.

# Tic-tac-toe: Partial Game Tree

Note: This game has no cycles!



# Methods for Adversarial Games

## Exact Methods

- **Model as nondeterministic actions:**

opponent is seen as part of an environment with nondeterministic actions. Non-determinism models the unknown moves by the opponent. **consider all possible moves**

- **Find optimal decisions:** Minimax and Alpha-Beta pruning, where **player plays optimally** to win the game.

results() function  
+ AND-OR Search tree  
= conditional plan

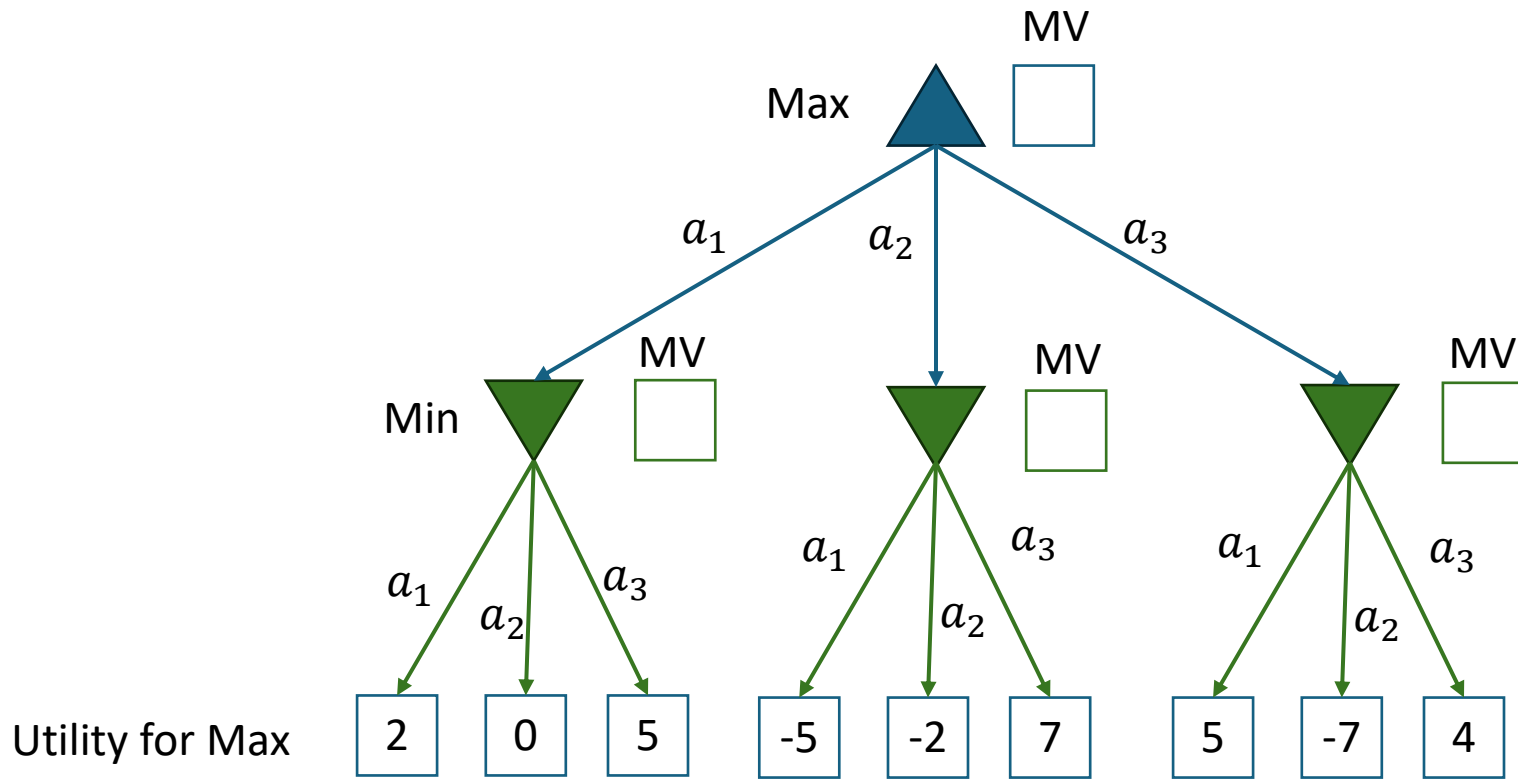
DFS to calculate the minmax value of each state  
+ pick action that leads to the best state

## Heuristic Methods

(game tree is too large)

- **Heuristic Alpha-Beta Tree Search:**
  - a. Cut-off game tree and use a heuristic for utility.
  - b. Forward Pruning: ignore poor moves.
- **Monte Carlo Tree search:** Estimate the utility of a state by simulating complete games and averaging the utility.

# Minmax Exercise: Simple 2-Ply Game



- Compute all MV (minimax values).
- What is the optimal action for Max?

b: max. branching factor  
m: max. depth of tree

# Issue: Search Time

- Complexity

Space complexity:  $O(bm)$  - Function call stack + best value/action

Time complexity:  $O(b^m)$  - **Minimax search is worse than regular DFS for finding a goal! It traverses the entire game tree using DFS!**

- A fast solution is only feasible for very simple games with few possible moves (=small branching factor) and few moves till the game is over (=low maximal depth)!

- **Example:** Time complexity of Minimax Search for Tic-tac-toe

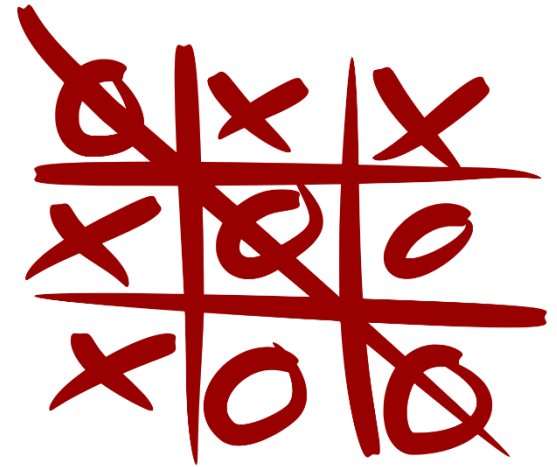
$$b = 9, m = 9 \rightarrow O(9^9) = O(387,420,489)$$

$b$  decreases from 9 to 8, 7, ... the actual size is smaller than:

$$1(9)(9 \times 8)(9 \times 8 \times 7) \dots (9!) = 986,409 \text{ nodes}$$

- We need to reduce the time complexity!
- **Game tree pruning using alpha-beta pruning + move ordering**

# The Effect of Alpha-Beta Pruning



## Tic-tac-toe

Method	Searched Nodes	Search Time
Minimax Search	549,946	13 s
+ Alpha-Beta Pruning	18,297	660 ms
+ Move ordering (heuristic: center, corner, rest)	7,275	202 ms

# Issue With Minimax Search

- Optimal decision-making algorithms **scale poorly** for large game trees.
- Alpha-beta pruning and move ordering are often not sufficient to reduce the search time.
- **Fast approximate methods are needed.**  
We may lose the optimality guarantee, but we can work with larger problems.



# Heuristic Methods

Heuristic Alpha-Beta  
Tree Search

# Heuristic Alpha-Beta Tree Search: Cut Off Search

HMV = heuristic minimax value

Depth (ply)

0 MAX (x)

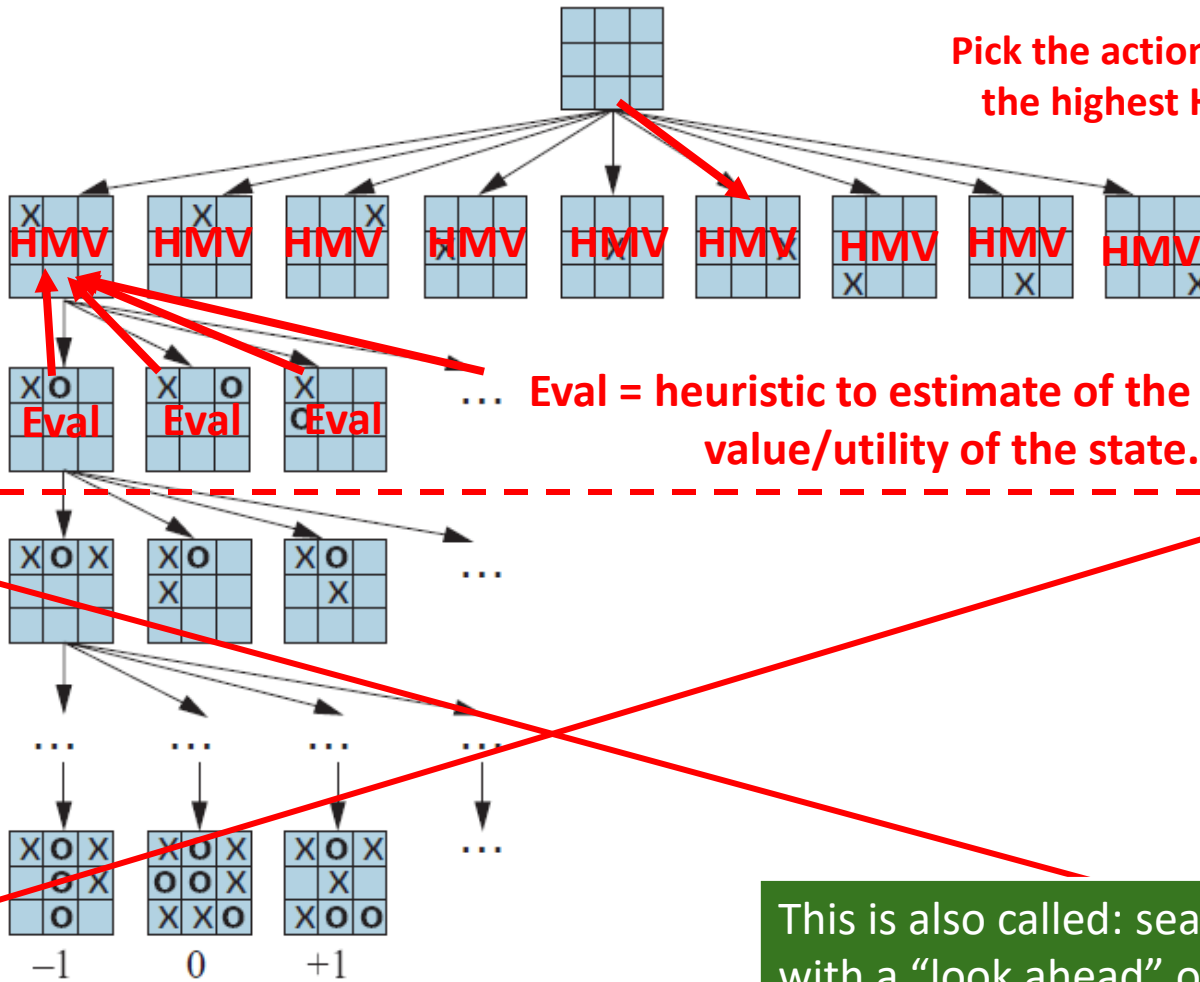
1 MIN (o)

2 MAX (x)

3 MIN (o)

TERMINAL

Utility



A close-up, slightly blurred image of a roulette wheel. The wheel is red with black and green pockets. A white ball is in motion, about to land in a green pocket. The numbers on the wheel are visible, including 32, 15, 19, 1, 2, 25, 17, 34, 6, 27, 13, 36, 26, 3, and 30.

# Heuristic Methods

## Monte Carlo Tree Search (MCTS)

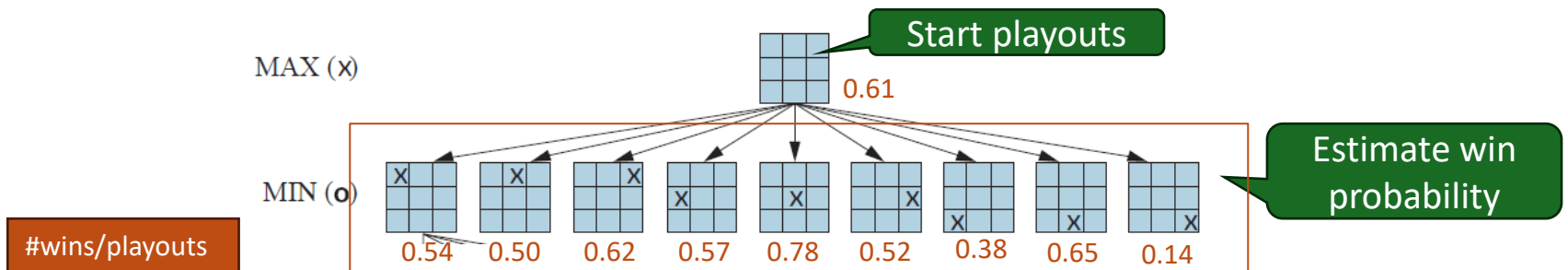
# Idea of Monte Carlo Search

*“Monte Carlo simulation is a computational technique that uses repeated random sampling to obtain **numerical results**, often used to **model uncertain events** or systems where outcomes are **difficult to predict deterministically**.” [Wikipedia]*

- **Approximate  $Eval(s)$**  as the **average utility** of several playouts (= simulated games).
- **Playout policy**: How to choose moves during the simulation runs?  
Example playout policies:
  - Random.
  - Heuristics for good moves developed by experts.
  - Learn a good playout policy from self-play (e.g., with deep neural networks).  
We will discuss this further when we cover “Learning from Examples.”
- Typically used for problems with
  - High branching factor (many possible moves make the tree very wide).
  - Unknown or hard to define evaluation functions.

# Pure Monte Carlo Search

- **Goal:** Find the best next move.
- **Method**
  1. Simulate  $N$  playouts from the **current state** using a random playout policy.
  2. Track which move has the highest win percentage (or largest expected utility) in its subtree.



- **Optimality Guarantee:** Converges to optimal play for stochastic games as  $N$  increases.
- Typical strategy for  $N$  : **Do as many playouts as you can** given the available time budget for the move.

# Playout Selection Strategy: Upper Confidence Bound 1 (UCB1) Applied to Trees (UCT)

Tradeoff constant  $\approx \sqrt{2}$   
can be optimized using experiments

$$UCB1(n) = \frac{U(n)}{N(n)} + C \sqrt{\frac{\log N(\text{Parent}(n))}{N(n)}}$$

Average utility  
(=exploitation)

High for nodes with few playouts relative to the parent node (=exploration). Goes to 0 for large  $N(n)$

$n$  ... node in the game tree

$U(n)$  ... total utility of all playouts going through node  $n$

$N(n)$  ... number of playouts through  $n$

**Selection strategy:** Select node with highest UCB1 score.

# Monte Carlo Tree Search (MCTS)

**Pure Monte Carlo** search always starts playouts from a given state (or its children). **Issue:** We have to start the simulation for each move from scratch.

**Monte Carlo Tree Search** builds a **partial game tree** and can start playouts from any state (node) in that tree. This reduces repeated work.

Important considerations:

- We typically can only store a **small part of the game tree**, so we do not store the complete playout runs.
- We can use UCB1 as the **selection strategy** to decide what part of the tree we should focus on for the next playout. This balances exploration and exploitation.

# Some Considerations

- Estimating the value of a position using simple playouts is **very effective** and typically beats many other methods.
- Playouts can be done in parallel (multi-core or on multiple machines).
- **Note:** Random playouts may not work well, and a **better playout policy** can help.
  - **Slow Convergence.** Playouts may be wasted on evaluating very bad (random) moves that nobody ever would play.
  - Random play makes discovering **long-term strategies** very unlikely.

# Conclusion

## Nondeterministic actions:

- The opponent is seen as part of an environment with nondeterministic actions. Non-determinism is the result of the unknown moves by the opponent. *All possible moves are considered.*

## Optimal decisions:

- Minimax search and Alpha-Beta pruning where *each player plays optimal* to the end of the game.
- Choice nodes and Expectiminimax for stochastic games.

## Heuristic Alpha-Beta Tree Search:

- Cut off game tree and use *heuristic evaluation function* for utility (based on state features).
- Forward Pruning: ignore poor moves.
- Learn heuristic from data using MCTS

## Monte Carlo Tree search:

- Simulate complete games and calculate proportion of wins.
- Use modified UCB1 scores to expand the partial game tree.
- Learn playout policy using self-play and deep learning.

Scale only for tiny problems!

State of the Art