

Edited by Annette Arlander, Bruce Barton, Melanie Dreyer-Lude, Ben Spatz

# Performance as Research

KNOWLEDGE, METHODS, IMPACT



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# Performance as Research

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Performance as Research (PAR) is characterised by an extraordinary elasticity and interdisciplinary drive. *Performance as Research: Knowledge, Methods, Impact* celebrates this energy, bringing together chapters from a wide range of disciplines and eight different countries. This volume focuses explicitly on three critical, often contentious themes that run through much discussion of PAR as a discipline:

- Knowledge – the areas and manners in which performance can generate knowledge;
- Methods – methods and methodologies for approaching performance as research;
- Impact – a broad understanding of the impact of this form of research.

These themes are framed by four essays from the book's editors, contextualising their interrelated conversations, teasing out common threads, and exploring the new questions that the contributions pose to the field of performance. As both an intervention into and extension of current debates, this is a vital collection for any reader concerned with the value and legitimacy of performance as research.

**Annette Arlander** is an artist, researcher, and pedagogue. She is currently principal investigator of the research project *How to Do Things with Performance?* and engaged in the project *Performing with Plants*.

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# Performance as Research

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Knowledge, Methods, Impact

Edited by Annette Arlander, Bruce  
Barton, Melanie Dreyer-Lude,  
and Ben Spatz

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## Wherefore PAR?

### Discussions on “a line of flight”

*Bruce Barton*

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Perhaps it will come across as contrarian to begin the introduction to a volume of essays with the primary title *Performance as Research* with a refusal to attempt to define performance as research (PAR). However, PAR, in all its many permutations, has often been the domain of good-natured and well-intentioned contrarians, and in this regard the present volume continues a firmly established tradition. Certainly, in a number of ways this publication adopts structures and strategies that will be familiar for readers acquainted with previous essay collections on this and related topics. The voices gathered here are similarly (if not more so) diverse in terms of geography, culture, and artistic discipline, as well as in their relationship to embodied practice and institutional affiliation. However, this collection also attempts, both directly and through nuance, some distinct departures from earlier collections that we hope will be productively facilitative, rather than (merely) provocative. These include most explicitly the points of individual focus named in its subtitle, but also an attempt to both recognize and establish sites of connection, juxtaposition, and intersection between conspicuously diverse approaches to an undeniably diversified field of research.

It will be useful here to trace the trajectory of this volume back to its inception. Its birthplace was the Performance as Research Working Group of the International Federation for Theatre Research (IFTR), which has met annually since its first gathering in 2006, then under the stewardship of Baz Kershaw and Jacqueline Martin. In 2013 the Working Group (then convened by Annette Arlander along with Jonathan Heron and Emma Meehan) established a publications subcommittee made up of the other three editors of this volume (Barton, Dreyer-Lude, and Spatz). Thus, as editors we were gathered within the pre-established context of the Working Group's self-designation as a PAR organization, including that group's congregate, historically evolving understandings of what PAR is and can be. Seeking to both honor and exploit that context but also to push the discourse beyond prior publications on the topic, we drafted an uncommonly detailed, even prescriptive open call for proposals. The resulting collection thus includes entries by some of the Working Group's longstanding members as well as many new voices from around the globe. Further, in this CFP we aimed to both acknowledge existing perspectives and chart an

extended trajectory through the distinct yet interrelated categories of *knowledge*, *methods*, and *impact*. As stated in the open call,

In order to make meaningful contributions to an academic environment that increasingly prioritizes interdisciplinary social and political inquiry, does PAR need to demonstrate not only rigorous application of methodologies and documentation strategies, but also substantive results? What form might these results take? Beyond performative “symbolic data” – which only increases in artistic value through ambiguity and openness to interpretation – must PAR generate more conventionally accessible and less ambiguous results? Must these results satisfy the criteria more commonly associated with other forms of research activity, such as *outcomes*, *impact*, *utility*, *circulation*, *transmissibility*, and *transferability*? To what degree, and in what ways, should the established criteria of *knowledge production* determine and shape the potential of PAR activity, currently and in the coming decades? How might PAR practitioners advocate for expanded and refined engagement with a diverse range of fields of knowledge – somatic, kinesthetic, and phenomenological, in addition to more traditional analytical and empirical models – as a means of extending the potential of PAR validity and relevance?

These questions reflect a set of priorities shared by all of the volume’s editors. Our common goal was to court both an expansive disciplinary range and a distinct degree of specificity in terms of critical articulation: “We welcome proposals addressing PAR processes and projects drawn from a broad, thoroughly interdisciplinary spectrum, and from both academic and non-institutional contexts. All proposals should be grounded in specific creative, artistic, and/or embodied practices. Further, all proposals should reflect the authors’ careful and explicit reflection on the key considerations detailed above: (1) *contextual disciplines or fields of knowledge*; (2) *methods and/or methodologies*; and (3) *results of the research*.”

Despite this solidarity, however, and despite the real pleasure we take in working with one another, I think it is safe to say that the four of us have quite different relationships with PAR, both in terms of our own practices and in terms of the now extensive existing scholarship in this area. No doubt, then, both the preconceptions with which we entered this publication project and the explicit individual contributions we have made to its pages reflect this heterogeneity – as does the somewhat unorthodox decision to punctuate the volume with no fewer than four separate ‘introductions,’ each of which asserts a distinct and characteristic orientation on our shared topic. Even within the confines of a small sub-committee of a focused Working Group within a single scholarly association, PAR remains a conspicuously elusive idea – at precisely the same time that it is passionately advocated.

These same two characteristics of PAR as it relates to artistic practice – its elusive nature and its passionate pursuit – are in ample evidence throughout

the essays contained in this volume. Arguably, a call for proposals organized under the rubric of practice as research, practice-based research, practice-led research, or any number of other affiliated designations would have attracted a similarly diverse set of submissions – possibly from many of the same authors. Despite the many concerted and worthy efforts by practitioners and scholars to stake claims, delimit territories, and define jurisdictions, the research conducted under the banner of performance as research is almost inevitably *congregate*, even *aquisitory* (as in “seeking to acquire and own, often greedily” [Dictionary.com]) in terms of its engagement with both methods and methodology. Thus, while attempts to distinguish between multiple categories of related activity (PAR, PaR, PBR, etc.) are often successful in differentiating *theoretically* between preliminary motivations, methodological priorities, institutional contexts, and geographic locations, the same designations are only occasionally respected neatly or simply by the research activity itself. This situation in no way diminishes the importance of these efforts towards definitions, which are critical acts that serve (at least) the twinned necessities of personal self-reflection and institutional self-validation. But these same circumstances assert additional obligations, within such a highly diversified and mutable field of activity, towards more locally oriented and specifically focused articulation.

This introductory essay – as noted, the first of four – is one attempt among several within this volume to imagine and perform strategies to fulfill this obligation of focused articulation. As also noted, our establishment of three key areas of emphasis was among our earliest and most explicit gestures in this regard. Co-editor Melanie Dreyer-Lude’s astute and attentive cross-referencing of key tropes, preoccupations, and structural elements (Introduction II) across all the entries in the collection is another. Ben Spatz’s intentionally (if benevolently) provocative querying of the very possibility of PAR (Introduction III), with its insistence on a much needed if uncommon precision of terminology, is another. And Annette Arlander’s thoroughly informed and contextualized speculation on future developments (Introduction IV), which seeks to position PAR within a broader assessment of the “performative turn,” is yet another. For my part, I propose that the path to higher levels of precision in articulation requires an initial, perhaps counter-intuitive concession to *generalization* as a process of *distillation*, and the use of a broader brush as a means towards a common basis from which key entry points and appropriate frames of reference for more narrowly defined specificity may be determined.

To this end, my strategy in this essay is to expand my focus by one full layer in the discourse, to leave the discussion of PAR’s specificity to other voices in this collection and to open up the conversation more widely in an effort to enclose PAR within an embrace that also includes the many orientations towards knowledge discovery/creation with which it is often affiliated. This is certainly not to assert that there aren’t significant distinctions at the level of methodological specificity between, for instance, performance as research and practice as research (an issue Spatz’s contribution directly addresses), or between

either of these approaches and practice-led research or research-led practice. Rather, my proposal is that, for the purposes of this introduction, they may be effectively carried forward as a congregate (rather than a collapsed or conflated) *field* of research activity.

The first challenge offered by this approach is determining how to refer to this meta-grouping of research orientations in a manner that is sufficiently both circumscribed *and* elastic. We could return to one of the earliest and most influential research projects in this field and use the designation practice as research in performance (see my discussion of the UK-based PARIP project, ahead). This gesture addresses, at least in part, the commonly perceived tension between ‘performance’ and ‘practice’ as the primary correlate to ‘research,’ and would certainly be adequate to describe the majority of the activity addressed within this volume. However, the much-discussed implications of placing “as” between performance or practice and research continue to evoke a binary relationship between these primary terms – one that is at times accurate and productive but as often imprecise and distracting. Therefore, for the purpose of this introduction I propose the blanket designation “artistic research in performance” (ARP). “Artistic research” as a pre-existing categorization is, of course, not free from associated preconceptions or regional specificity, but combined with an explicit reference to performance it would seem to offer the most effective combination of flexibility, inclusivity, and precision for what I am attempting in this writing.

The first step in this attempt towards articulation is to frame an understanding of artistic research (AR), generally. As Arlander suggests in her concluding introduction in this volume, AR is perhaps most effectively understood as a field comprising multiple more or less methodologically singular and/or stable sub-fields, an intentionally inclusive category that enfolds a diverse set of research activities that employ artistic or creative practice as an integrated aspect of the inquiry process. There is substantial and significant scholarship in this field; there is also increasing scholarly and professional activity on an international level that asserts AR’s priorities and endeavors to advance its practices. However, the field remains a highly disparate one, both challenged and energized by a multiplicity of definitions, interpretations, and applications. This diversity is one of the field’s explicit strengths, requiring a collective openness to alternative perspectives, development, and growth. The same diversity, however, is also the primary cause of the relatively ambiguous profile and at times tenuous status of AR within many institutional *and* professional artistic contexts.

Effectively, then, AR can be employed as an “umbrella concept” that captures “a landscape of various approaches to knowledge production in performing arts” (Arlander 2009, 77). Following this line of argument, AR can be understood as consisting of a diversity of more narrowly focused and defined methodological approaches, including but not limited to the following.

Performance as research (here referred to as PAR)

Practice as research (PaR)

Practice-based research (PBR)  
 Practice-led research (PLR)  
 Arts-based research (ABR)  
 Research-led practice (RLP)  
 Research-based practice (RBP)  
 Research practice (RP)  
 Research through practice (RtP)  
 Research creation (RC)  
 Creative research (CR)  
 Studio research (SR)

Some of these subcategories (a less than exhaustive list) reflect precise and strategic differentiations – between, for instance, *practice-led research*, the results of which can be fully communicated through written documentation, and *practice-based research*, the results of which cannot be fully comprehended without direct access to the creative products and processes of its incorporated practices (Candy 2006, 3). However, the distinctions between many of these approaches – between, for instance, research practice, research through practice, research creation, and creative research – are often elusive, nuanced, and context-specific, making confident and reliable communication and exchange difficult across (and, indeed, within) geographic, cultural, institutional, and disciplinary borders. Indeed, as noted earlier, ‘PaR’ is often used for both performance as research and practice as research – a practice evident within some of the entries in this volume. For clarity, I have here adopted the distinctions offered by Lynette Hunter – PAR for performance as research and PaR for practice as research – but for other writers the gesture of merging the two orientations within a single acronym ranges from unwitting to incidental to intentional. In contrast, my gesture here of resorting to “artistic research” is in no way meant to avoid or discount these important sites of precision (and equally significant sites of imprecision or conflation), but rather to identify a set of common attributes on a meta level across virtually all of these epistemological orientations.

One important criterion, however, is established by returning, full-circle, to the combination of AR with *performance* in our working concept of “artistic research in performance” (ARP). For instance: despite adopting a pronounced level of inclusivity, for the purposes of this introduction ARP here does not include arts-informed research – “a mode and form of qualitative research that is influenced by, but not based in, the arts” (MacCallum 2016). Similarly, while several of the sub-categories identified earlier have firmly established histories and profiles within a wide range of non-artistic disciplinary contexts – including social work, nursing/medicine, and design – my reflections in this essay focus predominantly on the application of AR to the performing arts (within the admittedly broad parameters of interdisciplinarity discussed ahead). Perhaps more controversially, I am also excluding personal artistic inquiry – a sub-category particularly susceptible to multiple interpretations but here understood



as localized individual development conducted without the intention to communicate or share results beyond those individuals immediately participating. This extended gesture of transmission, effecting a transfer of utility, is here considered a baseline characteristic of ARP.

At the risk of overstatement: I offer ARP here as a congregate concept, one that focuses on global commonalities among its constituent practices while respecting the distinctiveness of each. None of the authors cited here use “artistic research in performance” in their discussions; as such, in each of the contexts to which I refer to ARP where a cited author originally focuses on one of these constituents – for example, PAR, PaR, and PBR – I specify that original research orientation in parentheses: for example, ‘ARP (sp. performance as research)’ or ‘ARP (sp. practice-based research)’. My intention here is not the erasure of differences but rather the identification of key shared characteristics.

I offer this gesture of generalization-amidst-difference as a cautious but direct reflection of the experience I have benefited from in multiple contexts where the elusive relationship between practice, performance, and research was/is being teased out and sorted. In addition to participating in the IFTR PAR Working Group, I had the privilege of working as a founding member of the Practice-Based Research Study Circle at the Nordic Summer University in Northern Europe, where I provided a modest editorial contribution to *At the Intersection Between Art and Research: Practice-Based Research in the Performing Arts* (Friberg, Parekh-Gaihede, and Barton 2010), the essay collection that emerged from the first three-year cycle of that group’s collaboration. The section titles in Sidsel Pape’s introduction to that volume make explicit the negotiations of terminology that characterized the Study Circle’s deliberations: “Expanding the Field,” “Our Linguistic Journey,” and “Multiple Viewpoints” (2010, 9–12). I attended as a core member for nearly ten years the now-defunct Performance as Research Working Group at the American Society for Theatre Research (ASTR), an uncommonly and unfailingly heterogeneous gathering of kindred spirits from diverse locations, backgrounds, paradigms, and institutional contexts. I have participated in the Artistic Research Working Group of Performance Studies international (PSi), having recently become the group’s co-convenor as well as the Artist Relations Officer on the PSi board, with responsibility for the AR component of that organization’s annual conference programming. In Canada I am the founding convenor of the “Articulating Artistic Research” Seminar at the annual conference of the Canadian Association for Theatre Research (CATR), which marked its fifth gathering in 2017, and for a decade I was responsible for the core master’s- and PhD-level courses in praxis (practice-based research) at the University of Toronto’s Centre for Drama, Theatre and Performance Studies. These many sites of encounter have continually shaped my understanding(s) of the overlaps and divergences, intersections and inter-weavings, complementarities and antipathies that animate the global ARP conversation.

As also noted earlier, the available literature on PAR, PaR, and related research orientations here enfolded into ARP has burgeoned over the past two decades,

a reflection of the increasing occurrence and diversity of approaches and priorities. Within this scholarship, articulating precisely what ARP, broadly defined, constitutes remains a topic of animated discussion. Is it a “methodology” (Hannula et al. 2014), a “discipline” or “species” (Barrett and Bolt 2007, 1), a “paradigm” (Jones 2009, 19; Bolt 2016) or “pre-paradigm” (or “non-paradigm”) (Kjørup 2012, 36), an “anti-discipline” (Kershaw and Nicholson 2011, 3), or a colonization of traditional research practice by artistic priorities (Klein 2010)? Yet what unites virtually all variants of ARP is an explicit – if at times reluctant – investment in baseline criteria for defining research within institutionalized academic contexts. Foremost among these criteria are *documentation*, *dissemination*, and *utility* through *transferability*. While these criteria represent challenges within virtually all artistic disciplines, the performing arts, with their reliance on embodied practice, interpretive subjectivity, and immediate experience, are particularly vulnerable in this regard. Peggy Phelan’s now-legendary assertion, almost a quarter century ago, that performance “becomes itself through disappearance” (Phelan 1993, 146) provided both an enduring conceptual pivot for artistic practice and a dogged impediment in the process of securing ARP’s place in the academy. Phelan’s highly influential prioritizing of the *ephemerality* of performance ensured that the status of ARP would be both fraught and complicated within conventional processes of institutional recognition, analysis, evaluation, and accreditation.

Not surprisingly, then, this anxiety about institutional validation has been a formative preoccupation within efforts to establish and articulate ARP as a legitimate field of activity. This process of self-justification – what Hawkins and Wilson (2016) term “special pleadings” – is at times interpreted as a galvanizing source of solidarity amid diversity; at others, however, it is experienced as an unresolvable if inevitable distraction, one which holds the potential to constrain and even distort ARP’s potential for discovery. Shannon Jackson’s carefully considered response to the question “when is art research?” is, in part, “to suggest that we need not be ‘governed quite so much’ or at least, ‘not quite like that’” (2009, 163); however, such permissions are as rare as they are hard won. Within the performing arts, this tension is clearly evident in one of the earliest, most significant, and commonly recognized ARP-oriented initiatives, already referenced earlier: Practice as Research in Performance (PARIP), a five-year research project hosted by the University of Bristol from 2001 to 2006. Explicitly named in PARIP’s key Aims and Objectives was the need to “develop knowledges about appropriate criteria for evaluation,” as well as consultation “on a series of creative projects [. . .] to advance potential uses of new digital technologies for the documentation and dissemination of best practices” (“Overview”). While the emerging capacities of new media resulted in an impressive gallery of research “Artefacts,” a dominant strain throughout much of the extant PARIP documentation relates to the “inherent paradox” (Rye 2003) offered by digital recording’s deceiving efficiency. “How, then,” queried PARIP participant Angela Piccini,

is this type of research best identified, evidenced and disseminated, if we accept that the academy will continue to demand such activity? And when these research knowledges are translated into other media what are the best ways to indicate, in the translation, what knowledges are lost or gained?

(Piccini 2002)

Arguably, this ambivalence about the potential distortion of documentation in relation to the performing arts represents a central, complicating trope in any effort to articulate modes of ARP, one that endures in many contexts through to the present moment (e.g., considerable time at the 2015 gathering of the IFTR PAR Working Group was dedicated to the question “Why document?”). This is the case despite significant advances in complex understandings of liveness, particularly within intermedial performance contexts (see, e.g., Auslander 1999/2008; Barton 2008, 2009; Barton, Dreyer-Lude and Birch 2013; Birringer 2008, 2015; Dixon 2007, 2016; Scott 2016). However, much of the literature produced on approaches to ARP in the years following the PARIP initiative – such as the persuasive example offered by Stephan Jürgens and Carla Fernandes in this volume – engages with this perceived dilemma of articulation, reflecting significant advances in its framing, comprehension, and resolution.

Significant among early twentieth-century efforts to broker the apparently paradoxical demands discussed earlier was that offered by Henk Borgdorff, who positioned ARP (sp. artistic research) squarely between the priorities of academia on the one hand and those found within art professions on the other. At that intersection, he proposes, AR can address “questions that are pertinent in the research context and in the art world” (2006, 10). Thus, for Borgdorff, while AR attempts to “articulate the tacit knowledge that is situated and embodied in specific artworks and artistic processes,” it nonetheless employs “experimental and hermeneutic methods” and its “processes and outcomes are documented and disseminated in an appropriate manner to the research community and the wider public” (2006, 18). In stark contrast, in the same publication year Brad Haseman asserted the need for ARP (sp. performance as research) to push past conventional interpretations of practice-led research to what he proposed as “performative research.” Drawing on J.L. Austin’s proposal of *performative utterances* (1962), Haseman advocates for research models that eschew problems or questions as starting points, substituting “an enthusiasm of practice” (Haseman 2006, 3) as both catalyst and motivation. Further, and most significantly, Haseman promotes research practices that insist “that their research outputs and claims to knowing must be made through the symbolic language and forms of their practice” (ibid., 4). (I will return to the ‘performative turn’ as a conceptual framework later in this introduction; the same topic is picked up again in detail in Arlander’s concluding introduction.)

It could be argued that most attempts to explain ARP locate themselves, regardless of whether intentionally, along a continuum between Borgdorff’s and Haseman’s proposals, as both provide, explicitly and implicitly, three key points of entry into the discussion from which to frame a position: *knowledge*: ARP’s

distinct and potentially expansive epistemological horizons; *methods*: ARP's application of and status within the network of existing methodological orientations to research; and *impact*: ARP's conflicted and contentious relationship with outcomes, products, results, applications, and utility. Many of the essays in this collection also explicitly address these same porous categories, and certainly all engage with them, often no less thoroughly, on an implicit level. It is not my intention, therefore, to attempt some sort of exhaustive survey or authoritative assessment of the status of these elements within the many variants of ARP or its discourse, but rather to delineate what I see as some of the most pertinent of attributes across a wide spectrum of artistic research orientations.

## Knowledge

Virtually all articulations of PAR and related approaches to ARP, not surprisingly, seek their co-ordinates through a relationship to the production of *knowledge*. Regularly referenced as a starting point in this process is the *OECD Glossary of Statistical Terms* (2008, qtd. in Klein 2010, 1), which defines research as “any creative systematic activity undertaken in order to increase the stock of knowledge, including knowledge of man, culture and society, and the use of this knowledge to devise new applications.” Estelle Barrett and Barbara Bolt preface their exploration of the specifics of ARP (sp. artistic research) with the generalized proposal that “artistic practice be viewed as the production of knowledge or philosophy in action” with “the potential to extend the frontiers of research” (Barrett and Bolt 2007, 13). Extending this assertion through the lenses of Bergson and Deleuze, Mark Fleishman, in a discussion of PAR, asserts the “difference of performance as a mode of research” as “its refusal of binaries (body – mind, theory – practice, space – time, subject – object), its radical openness, its multiplicities, its unrepresentability, its destabilization of all pretensions to fixity and determination” (Fleishman 2012, 32). Here Fleishman would seem to share Borgdorff's assertion that the “primary importance [of ARP-aligned research] lies not in explicating the implicit or non-implicit knowledge enclosed in art. It is more directed at not-knowing, or not-yet-knowing. It creates room for that which is unthought, that which is unexpected” (Borgdorff 2010; see also Borgdorff's extended 2012 discussion on this topic).

Clearly, however, this championing of “unrepresentability” and “not-knowing” stands both as one of ARP's defining characteristics and as one of its primary obstacles in its ongoing quest for shared conceptual frameworks to facilitate exchange and collaboration. A central aspect of this paradox is the commonly held priority that, to a defining degree, both the processes and the knowledge generated within/through ARP are *fully embodied*. Julien Klein asserts that the knowledge accessed via ARP (sp. artistic research)

has to be acquired through sensory and emotional perception, precisely through artistic experience, from which it can not [sic] be separated.

Whether silent or verbal, declarative or procedural, implicit or explicit – in any case, artistic knowledge is sensual and physical, ‘embodied knowledge’. The knowledge that artistic research strives for, [sic] is a *felt* knowledge.  
(Klein 2010, 6)

This echoes Haseman’s dismissal of the obligation to translate findings into verbal or numerical registers, and his assertion that a ‘performative research’ understanding of ARP (sp. performance as research) “challenges traditional ways of representing knowledge claims.” For Haseman, this leads to the insistence that “people who wish to evaluate the research outcomes also need to experience them in direct (co-presence) or indirect (asynchronous, recorded) form” (Haseman 2006, 3).

As Pil Hansen succinctly puts it in her contribution to this collection, “discussions of politics of knowledge are central to the evolving PAR-discourse.”

Proposals are made for how the knowledge production of PAR approaches can be recognized independently of established knowledge criteria, in part by advancing what I read as an epistemology of enaction or interaction and a (n)ontology of emergence. With these terms I refer to the notion that phenomena are believed neither to exist ontologically in and of themselves nor to be accessible through objective methods of observation; they emerge relationally, through active and embodied engagement, which also is how they are accessed. In other words, the ontology is epistemological. Artist-researchers are, understandably, raising guards against more established knowledge paradigms in order to evolve research practices on these terms.

Yet however seductive the defensive maneuver, this need not necessarily be the hill on which ARP conquers or perishes. To attain acknowledgment and acceptance within contemporary ‘knowledge economies,’ some scholars and scholar-practitioners (including Hansen) have found themselves pursuing diversified registers within which significant aspects of ARP-aligned activity, and its value, can be widely recognized and readily utilized.

Conceding the powerfully affective and primarily embodied nature of much ARP-related activity, yet resisting the gravitational pull to sustained binaries cautioned by Fleishman,<sup>1</sup> Robin Nelson has advanced a hybrid model for PaR first introduced in 2006 and further developed in later essays and his influential 2013 volume *Practice as Research in the Arts*. As contrasted with the familiar “know-that” orientation of traditional research practices, Nelson’s “modes of knowing” framework foregrounds the multiple knowledges accessed through PaR. He thus distinguishes between “know-how” (‘insider’ close-up knowing that is experiential, haptic, tacit, and embodied) and “know-what” (which is further divided into the “tacit made explicit through critical reflection” on the part of the artist and “‘outsider’ distant knowledge” available through observation and analysis) (Nelson 2006, 2009, 2013). Nelson asserts that, at least

at present (and perhaps unavoidably), it is only through the combination of this full range of modes of knowing – including the “know-that” practices of “documentation and complementary writings” (Nelson 2013, 70) – that the full potential of PaR may be realized.

Accordingly, my model for PaR, while fully recognizing the importance of close-up, tacit, haptic know-how, seeks a means to establish as fully as possible the articulation of ‘liquid knowing,’ and a shift through intersubjectivity into the know-what of shared and corroborated soft knowledge, in turn resonating with the harder know-that of established conceptual frameworks. (Ibid. 60)

Nelson’s model shares multiple key priorities with “research-based practice” (RBP), a model that Hansen and I first introduced in 2008 and have continued to develop through successive ARP contexts. As Hansen’s entry in this volume demonstrates, however, there are several defining differences, as well – distinctions that serve as a bridge to the second of this volume’s subtitled areas of emphasis. A conspicuously mixed-method approach to ARP, RBP extends the recognition of multiple conceptual spaces utilized by Nelson to a process of literal ‘space making.’ Through efforts to clarify and prioritize methodological distinctions within a multistage framework, RBP attempts to defuse the epistemological and ideological tensions that can hobble ARP initiatives, particularly in interdisciplinary contexts.

## Methods

Epistemology and methodology are, of course, inseparably interwoven, and it would be difficult here to effectively catalogue or chronicle the methodological diversity demonstrated within the full spectrum of ARP activities. Extending the possibilities (and exacerbating the challenges) associated with methodological diversity is the observation that ARP approaches, in both their processes and their resultant knowledge, are inherently *interdisciplinary* and *transdisciplinary*, qualities that Barrett and Bolt, discussing PAR, directly associate with its embodied, affective, and interactive nature. Specifically, they assert that PAR’s “relationality” and its “capacity to reinvent social relations” dismantle disciplinary distinctions and create “conditions for the emergence of new analogies, metaphors and models for understanding objects of inquiry” (Barrett and Bolt 2007, 7). In a related gesture, Kershaw and Nicholson (following Dwight Conquergood) identify “creative inquiry through practical theatre and performance making” as an “anti-discipline [. . .] with a determination to explore productive instabilities between existing epistemological practices and ontological results” (Kershaw and Nicholson 2011, 2–3).

Perhaps the single most common methodological preoccupation among ARP-related researchers is the *emergent* nature of both its processes and its

products. Foregrounding the intersection between subjectivity and interaction in which the researcher “is both the practitioner who makes things happen and the audience or respondent” (Hunter 2009, 151), Lynette Hunter anchors the emergent nature of ARP (sp. performance as research) within its production of *situated* knowledge: “In the arts, situated knowledge becomes a situated textuality, knowledge always in the making, focusing on the process but situated wherever it engages an audience” (ibid., 152). Generated within situated sites of interaction, then, ARP (sp. practice as research) emerges, for Barrett and Bolt, both spatially and, of particular significance, *temporally* “through material processes. Because such processes are (at least in part) predicated on the tacit and alternative logic of practice *in time*, their precise operations cannot be predetermined” (Barrett and Bolt 2007, 6). In this light, readers familiar with Baz Kershaw’s extensive writing on the conditions of ARP-related approaches (both PAR and PaR) will find his contribution to this volume reaffirming when he describes one of his most recent and instructively titled projects, “Meadow Meanderings”: “[T]he *meandering* of the path is at least as important as its ecological analogue, because its apparent aimlessness constitutes a lacuna or gap in experience for the meanderer that is potentially profound.” Indeed, as Dieter Lesage has observed, “What all these different practices have in common is the need of time, time to think, time to see, time to waste.” However, Lesage concedes, “As time is money, time is never given to anyone for free, and certainly not to the artist” (Lesage 2013, 150).

One strategy to purchase time for methodologies of emergence is, as with Nelson’s model, to couple them with more familiar research activities and outputs – although, to a degree, this can also impose more regulated patterns of documentation and dissemination. Another, as with research-based practice (RBP), is to link emergence sequentially and causally with more traditional product and process orientations to both research *and* artistic practice, thereby earning allowance – spatial, temporal, and conceptual – for a “3rd Space” (Hansen and Barton 2009, 122) of autonomy through a guarantee of eventual relevance and utility. Still others, such as that proposed by Joanna Bucknall in her contribution to this collection, seek to explicitly “marshal, map, analyse and document the discourse that is produced in and by PBR, as well as the role of relational fields of discourse in the development of praxis.” Bucknall’s methodical reflection on the “relational heritage” of any one project through the excavation of the longer-term influences at play in its creation proposes the recognition of a significantly wider temporal horizon of gestation and realization, one that arguably respects few limitations.

Fleishman’s treatise on “the difference” of ARP (sp. performance as research) offers yet another strategy that effectively emphasizes the field’s interweaving of knowledge and methods, and in the process articulates an eloquent interpretation of its distinct relation to time. Beginning with the proposal that PAR “is a series of embodied repetitions [. . .] in time [. . .] in search of difference” (Fleishman 2012, 30), drawing upon Deleuze and Guattari, Fleishman then describes



a series of case study performances produced over multiple years as essentially iterations (repetitions with difference) in a “process of creative evolution”<sup>2</sup>:

[I]f there is difference arising from the successive iterations of each project, it is not occurring serially in the individual representations as a set of connectable points. Rather it is occurring in the ‘middle’ as a process of inventive becoming, and “becoming has neither beginning nor end, departure nor arrival, origin nor destination . . . [it] is neither one nor two nor the relation of the two; it is the in-between, the border or line of flight”<sup>3</sup> that runs perpendicular to both.

(*Ibid.*, 34)

This understanding of PAR’s perpetual emergence is directly linked to Fleishman’s assertion of its “unrepresentability,” and he contends that we “need to find ways to ‘feel and live the intervals’<sup>4</sup>” if we are to truly engage PAR’s constitutive difference. Yet in the absence of such . . . grace, perhaps? . . . Fleishman concedes, however reluctantly, that the articulation of PAR is possible only through a resort to “a kind of perceptual still point, a slowing down or thickening of the ongoing, of the flow” (*ibid.*, 35). This is, of course, the crux, the familiar image (bringing to mind the cliché of butterflies and straight pins), and the central methodological challenge – one that serves nicely as a segue into this volume’s final subtitle area of emphasis.

## Impact

It is the burden of impact that is most frequently understood not as an impetus to explore perceptual still points but rather as an imposed obligation that threatens to bring the flow of ARP activities to a grinding, economically motivated standstill. Impact is often perceived as the gateway to quantitative measurement and the instrumentalization of artistic processes, framed within statistical discourse and driven by monetary imperatives.

These are ‘knee-jerk’ responses, perhaps, but there are certainly grounds for such strongly negative expectations. This is particularly so within the PAR/PaR-dense UK context, which has only recently weathered the direct consequences of the 2014 Research Excellence Framework (REF) that officially established expectations of impact in its comprehensive assessment of the quality of research generated by 154 UK universities (in addition to “outputs” and “environment”). In this instance, impact was evaluated by means of submitted case studies demonstrating “any social, economic or cultural impact or benefit beyond academia that has taken place during the assessment period [. . .] underpinned by excellent research produced by the submitting institution within a given timeframe” (“REF2014”). Impact was further defined by means of the criteria of “‘reach’ (how widely the impact was felt) and ‘significance’ (how transformative it was)” (“Workshops” 2010, 3).



In theory, the inclusion of a category of research benefit that extends beyond a project's academic footprint is a worthy and welcome gesture. However, as Tom Cahill and Mark Bazzaco assert, multiple factors challenge the straightforward assessment of academic research impact, including complications associated with *timing* (impacts are often delayed and/or long-term), *attribution* (determining responsibility within multiple, often isolated roles and initiatives), *appropriability* (determining who benefits within diverse networks of impacts), and *inequality* (measurement across different types of research and impacts) (Cahill and Bazzaco 2015). With particular reference to this last factor, it is not difficult to see how much more complicated it is to assess social and cultural, as compared to economic, impact, a reality that almost inevitably makes systematic a privileging of certain types of research results – those accessible through concrete and empirical measurement strategies – over those that broker in more elusive modes of impact.

The clearly well-intentioned observations offered following a series of REF “Workshops on the impacts of research in the practice-based creative and performing arts, the humanities and social sciences” demonstrate how potentially ill-suited standard gauges of impact can be when applied to ARP activities:

In relation to reach, it was felt that information such as audience sizes and viewing figures did not always provide sufficient information on the reach of the impact. It was also noted that reach should not be simply equated with geography. It was felt that international dissemination did not necessarily reflect an international impact.

(“Workshops” 2010, 3)

The anticipation that audience statistics or touring schedules could possibly provide assessments of “reach” directly reflects the inadequacy of traditional modes of measurement when considering ARP, with assessments of ‘significance’ even more difficult to imagine through such criteria.

However, the reduction of impact to purely economic considerations is by no means the only option available to ARP. While there is certainly no shortage of impact measurement schema in terms of empirical scientific research, many research fields that are based in practice, including those in the social sciences, have developed or are developing robust systems for measuring their impact across a networked range of indicators (Harlock 2013; Werner 2012; Epstein and Yuthas 2014; *Framework* 2012). These efforts often involve an attempt to carefully distinguish between research *outputs* – essentially “countable units” – and research *outcomes*, such as “improved confidence” or “improved well-being” (Harlock 2013, 11), along with efforts to develop appropriately flexible and sensitive assessment instruments. The interdisciplinary nature of much ARP activity potentially opens itself to the transfer and adaptation of the best (i.e., most appropriate) of these strategies.

Arguably, however, even these nuances direct evaluating attention towards “end products” (“Glossary”), fixed (if not necessarily final) points of impact in pursuit of stable moments of measurement. Here, Fleishman’s citation of Bergson is instructive:

For, as Bergson makes clear, conventional scientific enquiry is “accustomed . . . to think the moving by means of the unmovable”. It is always focused on “immobilities”, on stable points or “points of rest” in the movement flow. The intervals between these stable points, “the movements constituting the action itself[,] either elude our consciousness or reach it only confusedly”.

(Fleishman 2012, 35)

The challenge for ARP (sp. performance as research), in this interpretation, is to articulate a mode of impact assessment that does indeed address the need to “feel and live the intervals.” In what ways can ARP discourse divert attention from end products to the rhythms of in-process becoming, from final outputs to utility in iterative application? Indeed, to what degree can the formulation of an ARP-based understanding of impact have, as one of its measures of benefit, a productively contagious effect on impact recognition and assessment throughout other research paradigms?

To this end, multiple voices have proposed an understanding of the workings of ARP-related approaches as part of a larger ‘performative turn.’ Arlander unpacks this gesture in considerable detail within her concluding essay in this volume, so I will here only touch upon the possibilities in terms of research impact that it grasps towards. While we have endured a great deal of ‘turning’ in the study of performing arts in the last decade or two, an embrace of this particular gesture can be seen to be significant both literally and figuratively. As Barbara Bolt, championing AR as a paradigmatic shift, explains,

[T]he performative needs to be understood in terms of the performative *force* of art, that is, its capacity to effect “movement” in thought, word and deed in the individual and social sensorium. These movements enable a reconfiguration of conventions from within rather than outside of convention. Seen in the context of other research paradigms – namely the qualitative and quantitative paradigms of research – I will argue that what is at stake are the possibilities that a performative paradigm offers a new perspective on research not just in the social sciences and humanities, but also in the sciences.

(Bolt 2016, 130)

Embracing Haseman’s defiant assertion of the transformative autonomy of “performative research” (referenced earlier in this introduction), Bolt builds her

case on key points of contrast between what she presents (following Heidegger) as “science-as-research” as compared to “art-as-research.” The former, she asserts is “constative,” in that it “describes/models the world”; its methodology is based upon “repetition of the same,” and its interpretation of the world relies upon “truth as correspondence” (facilitating confirmation or refutation). Conversely, Bolt contends, art-as-research is performative as it “does things in the world”; its methodology is based (concurring with Fleishman) upon “repetition with difference,” and its interpretation of the world articulates “‘truth’ as force and effect” (ibid., 140).

The resort to binaries is both familiar and, by definition, reductive (something the latter part of Bolt’s essay complicates effectively); more pertinent to this discussion is the explicit attempt to articulate impact as “the *movement* in concepts, understandings, methodologies, material practice, affect and sensorial experience that arises in and through the research experience” (Bolt 2016, 141, emphasis in original). Accordingly, the criteria of impact measurement that Bolt proposes are all articulated as indications of “shift,” “work [. . .] do(ne), “a/effect,” and “emerge(nce)” (ibid., 141). In this interpretation, how much and, even more important, *how* things have moved, rather than where they have landed, become the most meaningful measures of impact. Ultimately, extending the benefits of this reorientation beyond AR contexts, Bolt proposes that such a shift potentially holds the key to bypassing the “‘flaw’ in the very procedures through which science-as-research aims to establish its truth claims. In science, as in art, we might suggest that the paradigmatic shifts have occurred through this mutability rather than repetition of the same” (ibid., 138).

Stopping short of proposing a solution for the “flaw” in scientific research practices, and without asserting, with Bolt, a fundamental paradigmatic shift, the benefits of encircling the elusive concept of artistic research in performance from these three interrelated lenses of knowledge, methods, and impact are ample and highly instructive. The suggestion that ARP has the potential to enhance and expand the epistemological horizons of legitimate knowledge discovery/creation is, by now, a familiar one, yet also one that warrants both regular repeating and persuasive qualification. Such qualification is found, I believe, in the recognition that both the processes *and* the products of ARP are *animate*, their value located in, accomplished through, and measurable by qualities of *movement* (shift, emergence, effort, force, e/affect, etc.), rather than by the distance traveled or the destination reached. These observations do not, necessarily, in themselves solve the ‘hard sell’ of research validity, but they do offer a conceptual framework for the *kinds of specificity* to which ARP practitioners can productively attend in their efforts towards articulation.

Each of the essays in this collection is one such gesture. Each presents a ‘study in motion’ – a transition out of the generalized characteristics of what I have here been referring to as artistic research in performance into a detailed consideration of a distinct ‘line of flight.’ Each offers a unique attempt to trace a particular instance or aspect of emergence, to recognize its knowledge contribution and gauge its impact by

attending with rigorous specificity in pursuit of a precision of articulation. The diversity of subject matter, point of departure, and approach is conspicuous, resulting in a shifting, continuously negotiated constellation of relationships between performance, practice, and research. The answer that they collectively offer to the question that provides the title to this introduction . . . remains a work-in-progress.

## Notes

- 1 “Now, this particular contest narrative, while politically expedient at certain key moments in terms of certain immediate struggles within the academy, and while both complicating and clarifying an understanding of the workings of the binary described above, tends perversely to reinforce and propagate the very binaries and dualities that the political project is trying to do away with” (2012, 30).
- 2 “This idea of duration informs Bergson’s notion of ‘creative evolution’. In his book *Creative Evolution*, Bergson rejects both neo-Darwinian mechanism, in which evolution is driven by a pre-existent model or latent code that plays itself out mechanistically over time (a compulsion of the past), and neo-Lamarckian finalism, in which evolution works towards a perfect form achieved at the ‘end’ (the attraction of the future). Instead he suggests that evolution is a process of constant invention (a series of explosions) in which contingency plays a significant role. For evolution to take place requires only two things: an accumulation of energy and ‘an elastic canalization of this energy in variable and indeterminable directions’” (Fleishman 2012, 33).
- 3 Deleuze and Guattari, *A Thousand Plateaus*, p. 293.
- 4 Bergson, *Creative Evolution*, p. 327.

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