# 02285 AI and MAS, SP18 Domain-independendent heuristics

Curriculum for week 3: Section 10.2.3 in Russell & Norvig, Sections 2.5 and 2.7 in Geffner & Bonet except the subsection "Relaxed Plan Heuristics".

• cost(a, s): The **cost** of executing a in s. In planning, normally cost(a, s) = 1 for all actions (also in these slides).

- cost(a, s): The cost of executing a in s. In planning, normally cost(a, s) = 1 for all actions (also in these slides).
- Let  $P = (A, s_0, g)$  be a planning problem. For any state s reachable from  $s_0$ , P(s) refers to the planning problem (A, s, g).

- cost(a, s): The cost of executing a in s. In planning, normally cost(a, s) = 1 for all actions (also in these slides).
- Let  $P = (A, s_0, g)$  be a planning problem. For any state s reachable from  $s_0$ , P(s) refers to the planning problem (A, s, g).
- The **(optimal) cost** of P(s), denoted  $h_P^*(s)$  (or  $h^*(s)$  when P is clear from the context) is the length of a shortest solution to P(s) (the number of actions in the shortest plan leading from s to g).

- cost(a, s): The cost of executing a in s. In planning, normally cost(a, s) = 1 for all actions (also in these slides).
- Let  $P = (A, s_0, g)$  be a planning problem. For any state s reachable from  $s_0$ , P(s) refers to the planning problem (A, s, g).
- The **(optimal) cost** of P(s), denoted  $h_P^*(s)$  (or  $h^*(s)$  when P is clear from the context) is the length of a shortest solution to P(s) (the number of actions in the shortest plan leading from s to g).
- A **heuristic function** (or simply **heuristics**) for *P* is a mapping *h* from (reachable) states into natural numbers satisfying:
  - 1. h(s) estimates/approximates the optimal cost  $h_P^*(s)$ .
  - 2. h(s) is cheaper to compute than  $h_P^*(s)$ . Why this requirement?

- cost(a, s): The cost of executing a in s. In planning, normally cost(a, s) = 1 for all actions (also in these slides).
- Let  $P = (A, s_0, g)$  be a planning problem. For any state s reachable from  $s_0$ , P(s) refers to the planning problem (A, s, g).
- The **(optimal) cost** of P(s), denoted  $h_P^*(s)$  (or  $h^*(s)$  when P is clear from the context) is the length of a shortest solution to P(s) (the number of actions in the shortest plan leading from s to g).
- A **heuristic function** (or simply **heuristics**) for *P* is a mapping *h* from (reachable) states into natural numbers satisfying:
  - 1. h(s) estimates/approximates the optimal cost  $h_P^*(s)$ .
  - 2. h(s) is cheaper to compute than  $h_P^*(s)$ . Why this requirement?
- Heuristic functions can be used in best-first search algorithms like A\*, WA\* and greedy best-first search.

Assume given a heuristics h for a problem P with  $h = h_P^*$ . How many states will be generated by a greedy best-first search with heuristics h?

• A heuristics h for P is **admissible** if  $h(s) \le h_P^*(s)$  for all states s. Are inadmissible heuristics useless?

- A heuristics h for P is **admissible** if  $h(s) \le h_P^*(s)$  for all states s. Are inadmissible heuristics useless?
- A heuristics  $h_2$  is said to **dominate** a heuristics  $h_1$  if  $h_2(s) \ge h_1(s)$  for all s (R&N Chapter 3).

- A heuristics h for P is **admissible** if  $h(s) \le h_P^*(s)$  for all states s. Are inadmissible heuristics useless?
- A heuristics  $h_2$  is said to **dominate** a heuristics  $h_1$  if  $h_2(s) \ge h_1(s)$  for all s (R&N Chapter 3).
- If  $h_2$  dominates  $h_1$  and both are admissible,  $A^*$  will never expand more nodes using  $h_2$  than using  $h_1$ .

- A heuristics h for P is **admissible** if  $h(s) \le h_P^*(s)$  for all states s. Are inadmissible heuristics useless?
- A heuristics  $h_2$  is said to **dominate** a heuristics  $h_1$  if  $h_2(s) \ge h_1(s)$  for all s (R&N Chapter 3).
- If  $h_2$  dominates  $h_1$  and both are admissible,  $A^*$  will never expand more nodes using  $h_2$  than using  $h_1$ .
- If h<sub>1</sub>,..., h<sub>n</sub> are admissible heuristics, then so is
   h(s) = max{h<sub>1</sub>(s),...,h<sub>n</sub>(s)}. Is it then always better to use h as heuristics than either of the h<sub>i</sub>?

#### Relaxed problems

Most heuristics are generated via relaxed problems.

**Relaxed problem**: A simplified version of a problem with fewer restrictions. A solution to the original problem should also be a solution to the relaxed problem.

**Example**. Relaxing the sliding puzzle game (R&N Chapter 3):

- 1. A tile can move to any adjacent square.
- 2. A tile can move to any square.



Given any problem P and relaxation P', an admissible heuristics h for P can defined by:

$$h(s)=h_{P'}^*(s).$$

In words: The **estimated cost** of a solution to the **real problem** is taken to be the **actual cost** of a solution to the **relaxed problem**.

1. Why is *h* defined above admissible?

Given any problem P and relaxation P', an admissible heuristics h for P can defined by:

$$h(s)=h_{P'}^*(s).$$

In words: The **estimated cost** of a solution to the **real problem** is taken to be the **actual cost** of a solution to the **relaxed problem**.

- 1. Why is h defined above admissible?
- 2. Which heuristics do we get from the sliding puzzle relaxations of the previous slide (1. Move to any adjacent square; 2. Move to any square)?

Given any problem P and relaxation P', an admissible heuristics h for P can defined by:

$$h(s)=h_{P'}^*(s).$$

In words: The **estimated cost** of a solution to the **real problem** is taken to be the **actual cost** of a solution to the **relaxed problem**.

- 1. Why is h defined above admissible?
- 2. Which heuristics do we get from the sliding puzzle relaxations of the previous slide (1. Move to any adjacent square; 2. Move to any square)?
- 3. Does one of the heuristics from the previous question dominate the other?

Given any problem P and relaxation P', an admissible heuristics h for P can defined by:

$$h(s)=h_{P'}^*(s).$$

In words: The **estimated cost** of a solution to the **real problem** is taken to be the **actual cost** of a solution to the **relaxed problem**.

- 1. Why is h defined above admissible?
- 2. Which heuristics do we get from the sliding puzzle relaxations of the previous slide (1. Move to any adjacent square; 2. Move to any square)?
- 3. Does one of the heuristics from the previous question dominate the other?
- 4. Given P', how do we calculate  $h_{P'}^*$ ?

## Types of problem relaxations

Two types of problem relaxations:

- 1. **Adding edges**: Add edges to state space, making it easier to reach goal.
- 2. **Reducing number of nodes**: Group nodes together (abstraction) or disregard certain nodes, making the state space smaller.

Give examples of each type of relaxation for the problem considered in the warmup assignment.

# Relaxed problems and domain-independent heuristics

Key development in modern planning research: Domain-independent heuristics obtained from **automatic** problem relaxations.

**Example**. Recall the **goal count** heuristics from last week:

 $h_{gc}(s) =$  number of goal literals *not* satisfied in s.

Note that for the simplified gripper problem we have  $h_{gc}=h^*$  (the heuristics is optimal).

Is this heuristics admissible for all planning problems?

In the following we introduce several further (and better) heuristics induced by relaxed problems: **ignore preconditions heuristics**, **delete-relaxation heuristics**, **additive heuristics** and **max heuristics**.

#### Ignore preconditions heuristics

**Ignore preconditions heuristics**: Relax problem by ignoring all preconditions. Thus every action becomes applicable in every state.

ACTION: MoveAB(x)

PRECONDITION:  $Box(x) \land In(x, A)$ 

Effect:  $In(x, B) \land \neg In(x, A)$ 

ACTION: Buy(x, y)

PRECONDITION:  $Buyable(x) \land Place(y) \land At(y) \land Sells(y,x)$ 

Effect: Have(x)

How will this heuristics work on simplified Gripper problem?

#### Ignore preconditions heuristics

**Ignore preconditions heuristics**: Relax problem by ignoring all preconditions. Thus every action becomes applicable in every state.

ACTION: MoveAB(x)

PRECONDITION:  $Box(x) \land In(x, A)$ 

Effect:  $In(x, B) \land \neg In(x, A)$ 

ACTION: Buy(x, y)

PRECONDITION: Buyable(x)  $\land$  Place(y)  $\land$  At(y)  $\land$  Sells(y,x)

Effect: Have(x)

How will this heuristics work on simplified Gripper problem?

And what about the milk-and-bananas problem?

# Ignore preconditions and non-goal literals heuristics

**Ignore preconditions and non-goal literals heuristics**  $h_{ip}$ : Relax by ignoring all preconditions **and** all effect literals except those occurring in the goal.

ACTION: MoveAB(x)

PRECONDITION:  $Box(x) \wedge In(x, A)$ 

Effect:  $In(x, B) \land \neg In(x, A)$ 

Do we have  $h_{ip} = h_{gc}$ , that is, is the new heuristics equivalent to the goal count heuristics that simply counts the number of unsatisfied goal literals?

# Ignore preconditions and non-goal literals heuristics

**Ignore preconditions and non-goal literals heuristics**  $h_{ip}$ : Relax by ignoring all preconditions **and** all effect literals except those occurring in the goal.

ACTION: MoveAB(x)

PRECONDITION:  $Box(x) \land In(x, A)$ 

Effect:  $In(x, B) \land \neg In(x, A)$ 

Do we have  $h_{ip} = h_{gc}$ , that is, is the new heuristics equivalent to the goal count heuristics that simply counts the number of unsatisfied goal literals?

 $h_{gc}$  was not admissible. Is  $h_{ip}$ ?

**Remark**. Calculating  $h_{ip}(s)$  is a **set-cover problem** (given set U and set of sets S, find the smallest subset  $C \subseteq S$  s.t.  $\bigcup C = U$ ). Set-covering is **NP-hard**, but a tractable greedy approximation exists (however, we then loose admissibility).

#### **Delete-relaxation heuristics**

**Delete-relaxation heuristics** (called **ignore delete list heuristics** in R&N)  $h^+$ : Relax problem by removing all negative literals from the effects of actions (equivalently: set all delete lists to  $\emptyset$ ).

ACTION: Go(x, y)

PRECONDITION:  $Place(x) \land Place(y) \land At(x)$ 

Effect:  $At(y) \land \neg At(x)$ 

Only **admissible** if all *goals* and *preconditions* only contain positive literals, that is, atoms. Why?

Easy to ensure: Any planning problem *P* can be translated into an equivalent planning problem without negative literals in these places. How?

Unfortunately, calculating  $h^+$  is **NP-hard**. We need more ideas...

#### **Additive heuristics**

Additive heuristics  $h_{add}$ : Relax problem by

- 1. removing negative literals from effects (as in delete-relaxation); and
- 2. assume subgoal independence.

**Subgoal independence assumption**: The cost of achieving a goal  $g_1 \wedge g_2 \wedge \cdots \wedge g_n$  is equal to the sum of the costs of achieving each of the  $g_i$ .

Letting  $h^*(g,s)$  denote the optimal cost of achieving g from s, the subgoal independence assumption amounts to assuming  $h^*(\bigwedge_i g_i,s) = \sum_i h^*(g_i,s)$ .

Give an example of a planning problem where the subgoal indepence assumption is **optimistic**, that is, where  $\sum_i h^*(g_i, s) < h^*(\bigwedge_i g_i, s)$ .

#### **Additive heuristics**

Additive heuristics  $h_{add}$ : Relax problem by

- 1. removing negative literals from effects (as in delete-relaxation); and
- 2. assume subgoal independence.

**Subgoal independence assumption**: The cost of achieving a goal  $g_1 \wedge g_2 \wedge \cdots \wedge g_n$  is equal to the sum of the costs of achieving each of the  $g_i$ .

Letting  $h^*(g,s)$  denote the optimal cost of achieving g from s, the subgoal independence assumption amounts to assuming  $h^*(\bigwedge_i g_i,s) = \sum_i h^*(g_i,s)$ .

Give an example of a planning problem where the subgoal indepence assumption is **optimistic**, that is, where  $\sum_i h^*(g_i, s) < h^*(\bigwedge_i g_i, s)$ .

Give an example of a planning problem where the subgoal indepence assumption is **pessimistic**, that is, where  $\sum_i h^*(g_i, s) > h^*(\bigwedge_i g_i, s)$ .

#### Additive heuristics cont'd

Since the subgoal independence assumption is sometimes pessimistic, the additive heuristics is **not admissible**.

But the good news is: it is tractable.

Conventions for the remaining slides:

- All planning problems have only positive literals (atoms) in goals and preconditions (without loss of generality).
- We use symbols  $p, p_1, p_2, ...$  to denote ground atoms (e.g. In(1, A) or Have(Milk)).
- Recall from last week that ADD(a) denotes the set of positive literals in the effect of the action a. In a delete-relaxation, ADD(a) contains **all** effects of a.

#### Additive heuristics cont'd

The additive heuristics  $h_{add}$  for a planning problem  $P=(\mathcal{A},s_0,g)$  can be expressed quite neatly using a recursive definition. It is non-trivial to compute, however.

$$h_{add}(s) = h_{add}(g,s)$$
 (Note the overloading of  $h_{add}$ )  $h_{add}(\bigwedge_i p_i,s) = \sum_i h_{add}(p_i,s)$  (subgoal independence ass.) 
$$h_{add}(p,s) = \begin{cases} 0 & \text{if } p \in s \\ \infty & \text{if } p \notin s \text{ and for every action } a, p \notin \mathrm{Add}(a) \\ 1 + \min\{h_{add}(\mathrm{Precond}(a),s) \mid p \in \mathrm{Add}(a)\} \\ & \text{otherwise} \end{cases}$$

Note how the subgoal independence assumption is treated by the second clause for  $h_{add}$  above, and delete-relaxation is treated in the last clause (we only consider  $\mathrm{Add}$ , not all effects).

#### Additive heuristics example

We consider the simplified Gripper problem from last week with action schemas

ACTION: MoveAB(x) ACTION: MoveBA(x)

PRECONDITION:  $Box(x) \wedge In(x, A)$  PRECONDITION:  $Box(x) \wedge In(x, B)$ 

EFFECT:  $ln(x, B) \land \neg ln(x, A)$  EFFECT:  $ln(x, A) \land \neg ln(x, B)$ 

Init state is  $s_0 = \bigwedge_{i=1,...,n} In(i,A)$  and goal is  $g = \bigwedge_{i=1,...,n} In(i,B)$ . Then:

$$h_{add}(g, s_0) = h_{add}(\bigwedge_{i=1,...,n} In(i, B), s_0) = \sum_{i=1,...,n} h_{add}(In(i, B), s_0).$$

For each i = 1, ..., n we get:

$$egin{aligned} h_{add}(\textit{In}(i,B),s_0) &= 1 + \min\{h_{add}(\text{PRECOND}(a),s_0) \mid \textit{In}(i,B) \in \text{Add}(a)\} \\ &= 1 + h_{add}(\textit{Box}(i) \land \textit{In}(i,A),s_0) \\ &= 1 + h_{add}(\textit{Box}(i),s_0) + h_{add}(\textit{In}(i,A),s_0) \\ &= 1 + 0 + 0 = 1. \end{aligned}$$

Combining the above we get  $h_{add}(g, s_0) = n$ . Hence  $h_{add} = h^*$ .

# Another additive heuristics example

```
ACTION: Buy(x, y) (buy item x at location y)
PRECONDITION: Buyable(x) \land Place(y) \land At(y) \land Sells(y, x)
```

EFFECT: Have(x)ACTION: Go(x, y)

PRECONDITION:  $Place(x) \wedge Place(y) \wedge At(x)$ 

Effect:  $At(y) \land \neg At(x)$ 

 $s_0 = Buyable(Milk) \land Buyable(Bananas) \land Buyable(Drill) \land Place(Home) \land Place(Netto) \land Place(Bilka) \land At(Home) \land Sells(Netto, Milk) \land Sells(Netto, Bananas) \land Sells(Bilka, Milk) \land Sells(Bilka, Bananas) \land Sells(Bilka, Drill).$ 

 $g = \mathsf{At}(\mathsf{Home}) \land \mathsf{Have}(\mathsf{Milk}) \land \mathsf{Have}(\mathsf{Bananas}) \land \mathsf{Have}(\mathsf{Drill}).$ 

$$\begin{split} h_{add}(Have(M),s_0) &= 1 + \min\{h_{add}(Buyable(M) \land Place(N) \land At(N) \land Sells(N,M),s_0),\\ h_{add}(Buyable(M) \land Place(B) \land At(B) \land Sells(B,M),s_0),\\ h_{add}(Buyable(M) \land Place(H) \land At(H) \land Sells(H,M),s_0),\dots\}\\ &= 1 + \min\{1,1,\infty,\dots\} = 2.\\ h_{add}(s_0) &= h_{add}(g,s_0)\\ &= h_{add}(At(H),s_0) + h_{add}(Have(M),s_0) + h_{add}(Have(B),s_0) + h_{add}(Have(D),s_0) \end{split}$$

#### Why is $h_{add}$ not optimal here?

 $= 0 + 2 + 2 + 2 = 6 > h^*(s_0).$ 

#### Max heuristics

The max heuristics  $h_{max}$  is exactly like the additive heuristics except the sum clause:

$$h_{add}(\bigwedge_i p_i, s) = \sum_i h_{add}(p_i, s)$$

is replaced by a maximum clause

$$h_{max}(\bigwedge_i p_i, s) = \max_i h_{max}(p_i, s).$$

(And the clause for  $h_{max}(p,s)$  is exactly as the clause for  $h_{add}(p,s)$  shown previously, except  $h_{add}$  is replaced by  $h_{max}$ .) This corresponds to a change in relaxation where subgoal independence is no longer assumed.

Is the new max heuristics admissible?

In example from previous slide: 
$$h_{max}(s_0) = \max\{h_{max}(Have(M), s_0), h_{max}(Have(B), s_0), h_{max}(Have(D), s_0)\} = 2.$$

#### Max heuristics

The max heuristics  $h_{max}$  is exactly like the additive heuristics except the sum clause:

$$h_{add}(\bigwedge_i p_i, s) = \sum_i h_{add}(p_i, s)$$

is replaced by a maximum clause

$$h_{max}(\bigwedge_i p_i, s) = \max_i h_{max}(p_i, s).$$

(And the clause for  $h_{max}(p,s)$  is exactly as the clause for  $h_{add}(p,s)$  shown previously, except  $h_{add}$  is replaced by  $h_{max}$ .) This corresponds to a change in relaxation where subgoal independence is no longer assumed.

Is the new max heuristics admissible?

In example from previous slide: 
$$h_{max}(s_0) = \max\{h_{max}(Have(M), s_0), h_{max}(Have(B), s_0), h_{max}(Have(D), s_0)\} = 2.$$

- Additive heuristics: Not admissible, but often very informative.
- Max heuristics: Admissible, but often not very informative.

# Important about the additive and max heuristics

- The additive/max heuristics define a path-finding problem over the atom space: Each node is an atom rather than a state.
- It is, however, not an ordinary graph but a directed hypergraph: If p is a node (an atom) and a an action with p ∈ ADD(a), then there is a hyperedge (PRECOND(a), p) labelled by a in the graph (it is a hyperedge because PRECOND(a) is a set of atoms, i.e., a set of nodes).
- The size of the hypergraph is linear in the number of ground atoms and actions, and hence the additive and max heuristics become polynomial in the number of ground atoms and actions.
- This is much better than calculating the entire state space which is *exponential* in the number of ground atoms and actions.