

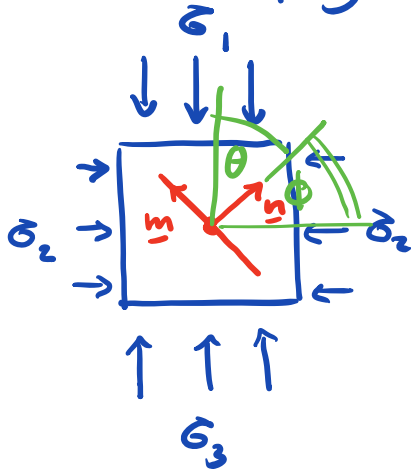
Mohr circle

see Newman for 3D Mohr circle

Mohr circle is a graphical way to display the normal and shear stress on all planes.

For simplicity we look at 2D case, which is already very useful in geology.

Consider physical plane containing σ_1 and σ_3



θ angle between \underline{n} and \underline{e}_1

λ angle between \underline{n} and \underline{e}_3

$$\lambda + \theta = \frac{\pi}{2} \rightarrow \lambda = \frac{\pi}{2} - \theta$$

$$\underline{n} = n_1 \underline{e}_1 + n_2 \underline{e}_3$$

$$n_1 = \underline{n} \cdot \underline{e}_1 = |\underline{n}| |\underline{e}_1| \cos \theta = \cos \theta$$

$$n_2 = \underline{n} \cdot \underline{e}_3 = \sin \theta$$

$$\Rightarrow \underline{n} = \begin{pmatrix} \cos \theta \\ \sin \theta \end{pmatrix} \Rightarrow \underline{m} = \begin{pmatrix} -\sin \theta \\ \cos \theta \end{pmatrix}$$

Stress in principal frame $\{\underline{e}_i\}$

$$\underline{\underline{\sigma}} = \sigma_1 \underline{e}_1 \otimes \underline{e}_1 + \sigma_2 \underline{e}_2 \otimes \underline{e}_2 + \sigma_3 \underline{e}_3 \otimes \underline{e}_3$$

traction: $\underline{t}_n = \underline{\underline{\sigma}} \underline{n} = \sigma_1 \cos \theta \underline{e}_1 + \sigma_3 \sin \theta \underline{e}_3$

normal stress: $\sigma = \underline{n} \cdot \underline{t}_n = \sigma_1 \cos^2 \theta + \sigma_3 \sin^2 \theta$

use: $\cos^2 \theta = \frac{1 + \cos 2\theta}{2}$, $\sin^2 \theta = \frac{1 - \cos 2\theta}{2}$

$$\Rightarrow \sigma = \frac{\sigma_1 + \sigma_3}{2} + \frac{\sigma_1 - \sigma_3}{2} \cos 2\theta$$

shear stress: $\tau = \underline{m} \cdot \underline{t}_n = (\sigma_1 - \sigma_3) \sin \theta \cos \theta$

use $2 \sin \theta \cos \theta = \sin 2\theta$

$$\Rightarrow \tau = \frac{\sigma_1 - \sigma_3}{2} \sin 2\theta$$

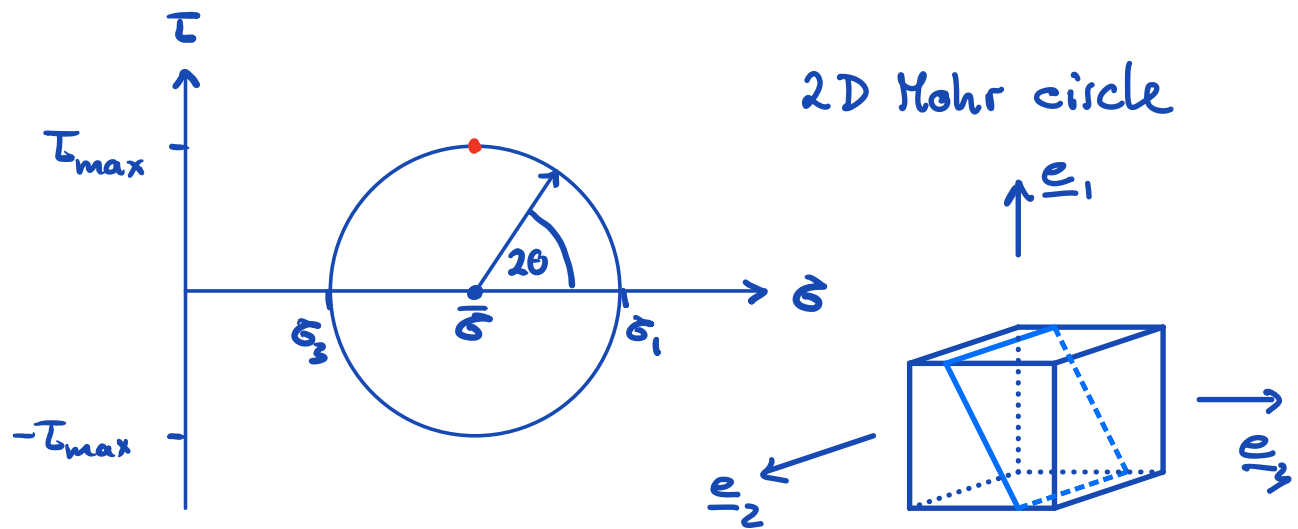
Together there are equations for circle in $\tau\sigma$ -space with radius

$R = \frac{\sigma_1 - \sigma_3}{2}$ and center $(\frac{\sigma_1 + \sigma_3}{2}, 0)$

Note: max shear stress: $\tau_{\max} = \frac{\sigma_1 - \sigma_3}{2} = R$

mean stress: $\bar{\sigma} = \frac{\sigma_1 + \sigma_3}{2}$

For Mohr circle construction compressive stresses are assumed to be positive!



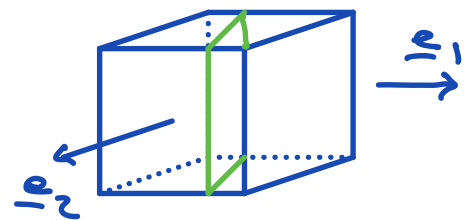
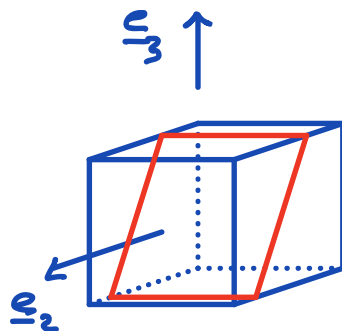
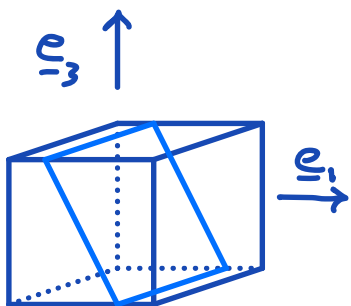
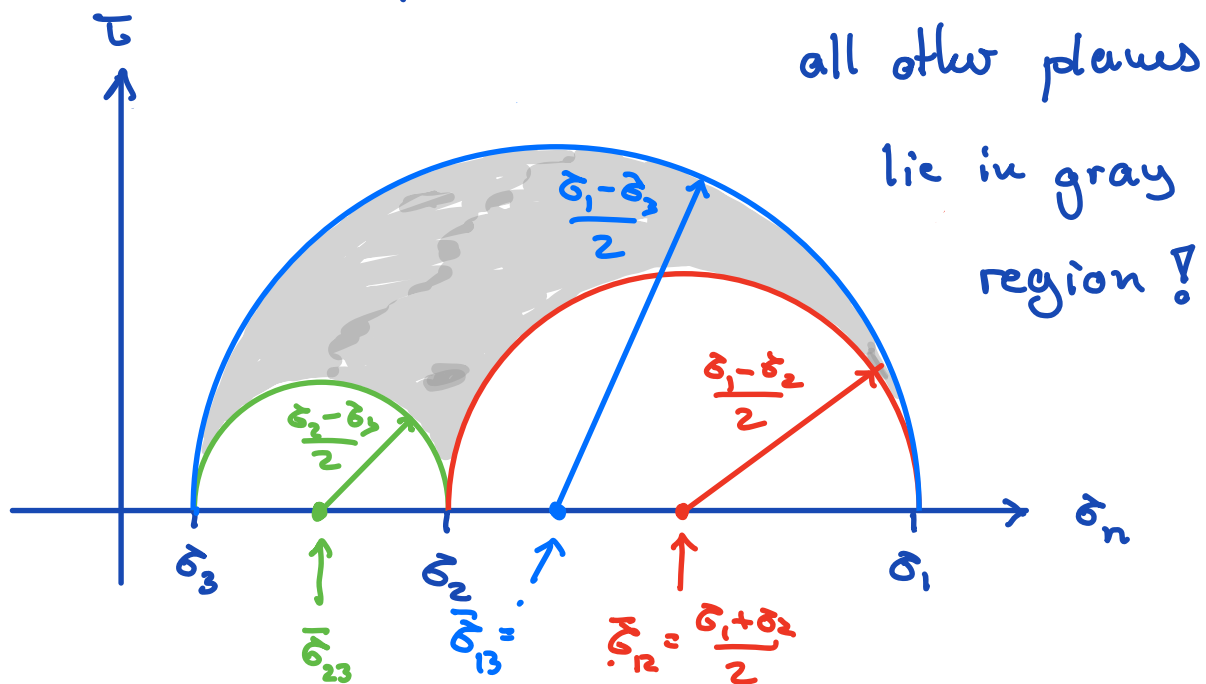
This is another way of showing that the max. shear stress is at 45° to \underline{n}_1 and \underline{n}_3 .

\Rightarrow plane parallel to \underline{e}_2

Mohr circles in 3D

Repeat the arguments above for planes parallel to \underline{e}_1 and \underline{e}_3

⇒ Two additional circles for the σ_n & τ on those planes



⇒ clearly planes parallel to \underline{e}_2 have largest τ !

Failure criteria for shear fracture

Shear fracture is most common type of brittle failure.



Empirical criterion that allows prediction of shear failure.

I, Tresca criterion

Fracture occurs when max. shear stress

$\tau_{\max} = \tau_{13}$ reaches the shear strength σ_y

$$|\tau_{\max}| = \frac{\sigma_1 - \sigma_3}{2} = \sigma_y$$

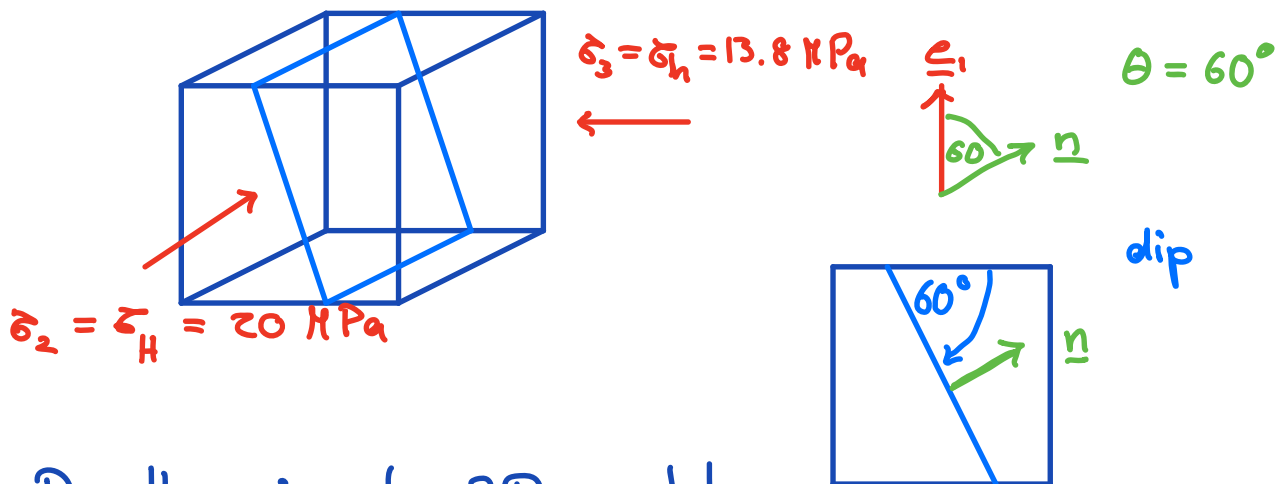
Note: Failure is not affected by intermediate principal stress and mean stress!

Failure occurs on planes 45° to \underline{n}_1 .
Experiments show angle is smaller than 45° .

Example: Normal & shear stress on a fault

(from N. Es in σ_{pa})

$$\sigma_1 = \sigma_v = 23 \downarrow$$



Really just 2D problem

$$\text{mean stress: } \bar{\sigma}_{13} = \frac{23 + 13.8}{2} = 18.4 \text{ MPa}$$

$$\text{differential stress: } \Delta\sigma_{13} = \frac{23 - 13.8}{2} = 4.6 \text{ MPa (half!)}$$

$$\text{normal stress: } \sigma_n = \bar{\sigma}_{13} + \Delta\sigma_{13} \cos(2 \cdot 60^\circ) = \underline{16.1 \text{ MPa}}$$

$$\text{shear stress: } \tau = \Delta\sigma_{13} \sin(2 \cdot 60^\circ) = \underline{4.0 \text{ MPa}}$$

Draw Mohr circle

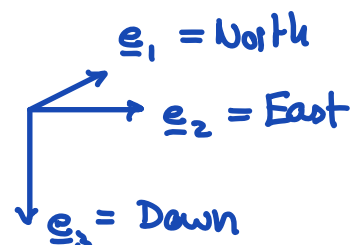
General tensorial approach

The last approach worked (easily) because the fault was parallel to a principal direction of the stress tensor.

In general we have two (right handed) frames:

- 1) Geographic frame $\{\underline{e}_i\}$
- 2) Principal frame of stress tensor $\{\underline{e}'_i\}$

Geographic frame: N-E-D

$$\underline{e}_1 = \begin{Bmatrix} 1 \\ 0 \\ 0 \end{Bmatrix} \quad \underline{e}_2 = \begin{Bmatrix} 0 \\ 1 \\ 0 \end{Bmatrix} \quad \underline{e}_3 = \begin{Bmatrix} 0 \\ 0 \\ 1 \end{Bmatrix}$$


$\underline{e}_1 = \text{North}$
 $\underline{e}_2 = \text{East}$
 $\underline{e}_3 = \text{Down}$

Principal directions in geographic frame:

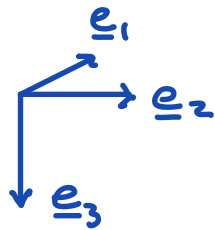
$\underline{e}'_1 = \underline{e}_3$ principal stress is vertical

$\underline{e}'_3 = \underline{e}_2$ minimal horizontal stress is E-W

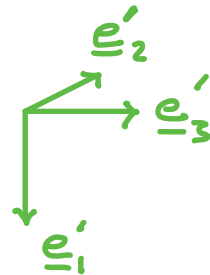
$\underline{e}'_2 = \underline{e}'_3 \times \underline{e}'_1 \equiv \underline{e}_1$ generates right-handed frame

Compare two reference frames

Geographic



Principal dir.



Stress tensor in principal frame:

$$[\underline{\underline{\sigma}}]' = \begin{bmatrix} \sigma_1 & & \\ & \sigma_2 & \\ & & \sigma_3 \end{bmatrix} = \begin{bmatrix} 23 & 0 & 0 \\ 0 & 20 & 0 \\ 0 & 0 & 13.8 \end{bmatrix} \text{ MPa}$$

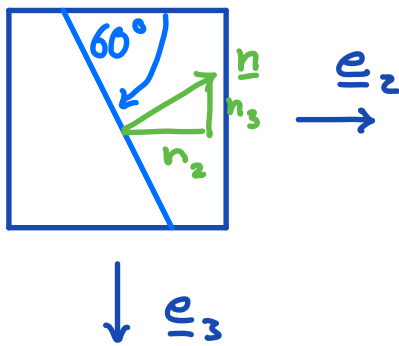
To compute normal & shear stress on fault
we need stress in geographic frame $\{\underline{e}_i\}$:
 \Rightarrow change of basis tensor: $A_{ij} = \underline{e}_i \cdot \underline{e}'_j$

$$[\underline{\underline{A}}] = \begin{bmatrix} 0 & 1 & 0 \\ 0 & 0 & 1 \\ 1 & 0 & 0 \end{bmatrix}$$

Stress tensor in geographic frame:

$$[\underline{\underline{\sigma}}] = [\underline{\underline{A}}][\underline{\underline{\sigma}}'][\underline{\underline{A}}]^T = \begin{bmatrix} 20 & 0 & 0 \\ 0 & 13.8 & 0 \\ 0 & 0 & 23 \end{bmatrix} \text{ MPa}$$

To compute traction we need the normal to the fault:



$$\underline{n} = n_1 \underline{e}_1 + n_2 \underline{e}_2 + n_3 \underline{e}_3$$

$$n_1 = 0$$

$$n_2 = \cos\left(\frac{\pi}{2} - \theta\right)$$

$$n_3 = -\sin\left(\frac{\pi}{2} - \theta\right)$$

$$\text{traction on fault: } \underline{T}_n = \underline{\underline{\sigma}} \cdot \underline{n} = \begin{bmatrix} 0 \\ 12 \\ -11.5 \end{bmatrix} \text{ MPa}$$

$$\text{normal stress: } \sigma_n = \underline{n} \cdot \underline{\underline{\sigma}} \underline{n} = 16.1 \text{ MPa} \quad \checkmark$$

$$\text{shear stress: } \tau = \sqrt{|\underline{T}_n|^2 - \sigma_n^2} = 4.0 \text{ MPa} \quad \checkmark$$

II, Coulomb criterion

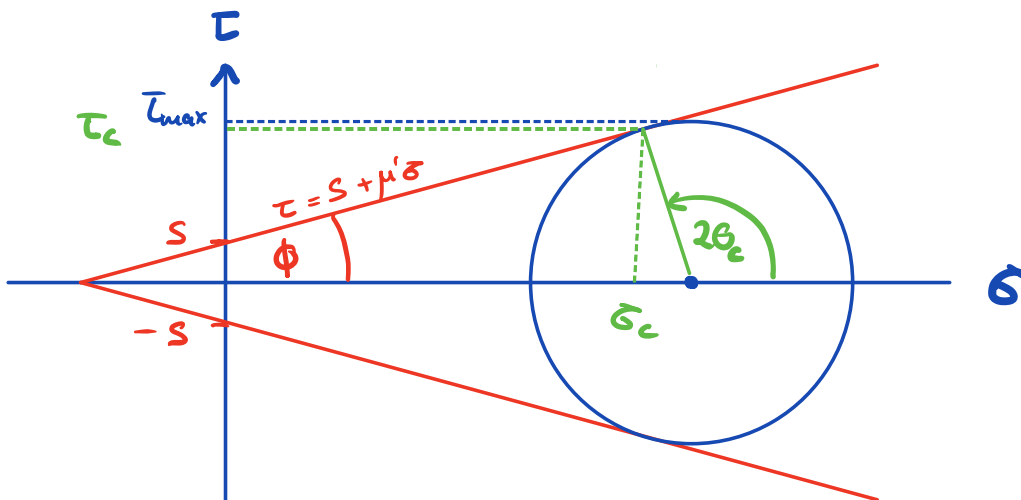
Fracture depends on both mag. of shear stress and the normal stress.

$$|\tau| = s + \mu' \sigma$$

s = cohesive strength $\sim 10 - 100$ MPa

$\mu' = \tan \phi$ internal friction ~ 0.6

$\phi \approx 30^\circ$ angle of internal friction



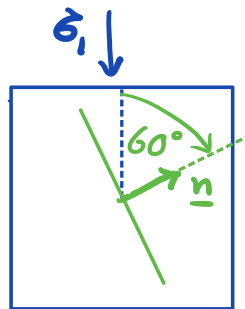
failure occurs at $\tau_c < \tau_{max}$

angle of failure:

$$\phi + \frac{\pi}{2} + (\pi - 2\theta_c) = \pi$$

$$\theta_c = \frac{\pi}{4} + \frac{\phi}{2} \approx 60^\circ$$

$45^\circ + 15^\circ$



Byerlee's law

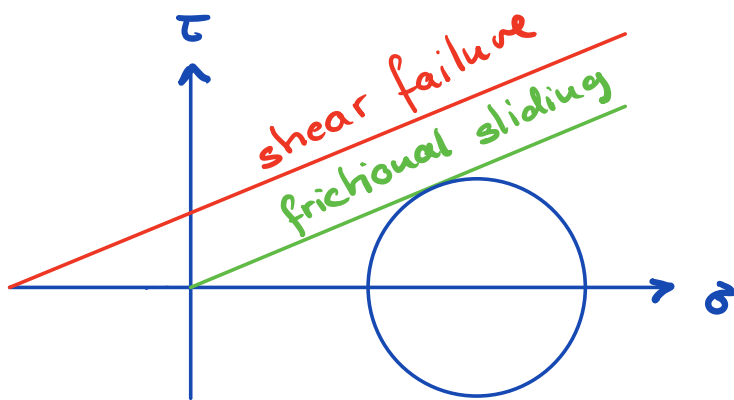
Most brittle rocks already contain pre-existing fractures and fail by reactivating them
 \Rightarrow fail by friction

Criterion for frictional sliding

$$|\tau| = S_0 + \mu_0 \sigma$$

S_0 = cohesion of fault $\sim 1 - 10 \text{ MPa}$

μ_0 = coefficient of friction $\sim 0.5 - 0.8$



$$S_0 \ll S$$

Strength of brittle rocks is determined by frictional sliding.