

1 Data Mining: BeeViva Challenges

2
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4

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7
8 **1 RICE VARIETIES**

9 **1.1 Dataset**

10 All following considerations are made using the datasets provided on the challenge page (*rice_{test}.csv* and *rice_{train}.csv*), but it is worth noting that a more complete version of the datasets can be found at <http://www.muratkoklu.com/datasets/>. I used it to compute an alternative version of the test dataset that contains the true attribute for Class *.

11
12 **2 PYTHON**

13 We are now going to discuss the technologies employed in the development of the project, as well as presenting our
14 implementation of the Raft's algorithm.
15

16 Python is a high-level, dynamically typed and interpreted programming language that is often used for scripting, data
17 analysis and small application development, making it a non-obvious choice for this project, which does not fall into any of
18 these categories.

19 As a language, it has two main advantages compared to others: first of all it is undoubtedly the most popular and widely
20 used in the world (figure 1) [2, 3], meaning abundant documentation and resources. Secondly it has a huge ecosystem of
21 libraries that implement all the functionalities we need for this project, namely: XML-RPC for the remote procedure calls
22 (RPCs), threading to handle local concurrency and Pygame to manage everything game-related.
23

24
25 **2.1 Remote Procedure Calls**

26 In Raft's specifications it is stated that nodes communicate with each other via remote procedure calls [5], which in distributed
27 computing is when a program causes a procedure (or subroutine) to execute in another address space (commonly on another
28 computer on a shared network) calling it as if it were local (that is, the programmer writes the same code whether the
29 subroutine is local or remote).

30 There are many libraries that implement this functionality, like gRPC (<https://grpc.io/>), which is a high performance open
31 source RPC framework used by many big players, such as Netflix ¹ and Cockroach Labs ², available for many languages
32 (Python included), but we opted for the standard library XML-RPC ³ thanks to its promised simplicity and ease of use.
33

34 ¹Netflix Ribbon is an Inter Process Communication library built in software load balancers: <https://github.com/Netflix/ribbon>

35 ²Cockroach Labs is the company behind CockroachDB, a highly resilient distributed database: <https://www.cockroachlabs.com/>

36 ³XML-RPC is a Remote Procedure Call method that uses XML passed via HTTP as a transport: <https://docs.python.org/3/library/xmlrpc.html>

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Fig. 1. TIOBE Programming Community Index, focus on Python statistics, 2025. (<https://www.tiobe.com/tiobe-index/>)

The library provides both server and client implementations, encapsulating the former in its own loop, while the latter can be fired as needed allowing a bit more flexibility in its usage.

In code 1, `client` is an instance of `ServerProxy`, which acts as the client-side interface for XML-RPC, allowing it to call the remote procedure `test_foo` as if it were a local function, even though it executes on a server in a different networked location.

Listing 1. Client as server proxy

```

102
103 1 with xmlrpclib.ServerProxy('http://localhost:8000', allow_none=True) as client:
104

```

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```

105    2     print(client.test_foo(42)) # print returned value
106
107 The server must be instantiated and kept running by calling its event loop (e.g., using serve_forever), and all remote
108 procedure calls must be registered using the register_function method of SimpleXMLRPCServer (code 2).
109
110
111                               Listing 2. Server
112
112 1 with SimpleXMLRPCServer (('localhost', 8000)) as server:
113 2     def test_foo(number):
114 3         return f'The number is {number}'
115 4
116 5     server.register_function(test_foo)
117 6     server.serve_forever() # keep server alive

```

118
119 For this project, we extended SimpleXMLRPCServer to create a class that implements the Raft protocol (more details in
120 section 3).

2.2 Concurrency

124 In this project the need for concurrent programming arises from two challenges: every server has an internal timer that fires
125 at certain intervals, and every node has to run a game engine and the server itself at the same time, both of which are, by
126 design of their own respective libraries, independent, blocking and perpetually executing loops.

128 Some Raft implementations we examined achieve concurrency through asynchronous programming⁴, using libraries
129 such as asyncio⁵, thereby avoiding the need to manage common multithreading challenges like ensuring thread-safety
130 by preventing race conditions or data corruption and other hazards such as lock-free reordering or incorrect granularity
131 (see footnote for more⁶). That being said, while powerful and efficient, writing asynchronous code can be awkward and
132 cumbersome, so we opted for a more traditional approach using multithreaded programming: in computer science, a thread
133 of execution is the smallest sequence of programmed instructions that can be scheduled independently [4], and multiple
134 threads may be executed concurrently sharing resources such as memory. This is directly counterpointed to multiprocessing,
135 where each process has its own storage space, and moreover processes are typically made of threads. Processes and threads
136 are profoundly different and do not serve the same purpose, but it is useful to cite both of them to provide the context needed
137 to fully understand section 2.2.1.

140 In Python there are modules in the standard library for both of them, respectively threading⁷ and multiprocessing⁸. It
141 is fundamental to note that the former does not provide real multi-threading since, due to the Global Interpreter Lock of
142 CPython (the, for want of a better word, official Python implementation), only one thread can execute bytecode at once.
143 To cite directly from the documentation: "[GIL is] The mechanism used by the CPython interpreter to assure that only
144 one thread executes Python bytecode at a time. This simplifies the CPython implementation by making the object model
145 (including critical built-in types such as dict) implicitly safe against concurrent access. Locking the entire interpreter makes

149 ⁴Two examples of Raft's implementations that leverage asynchronous programming are Raftos (<https://github.com/zhebrak/raftos/tree/master>) and Zatt (<https://github.com/simonacca/zatt/tree/master>)

150 ⁵Asyncio is a library to write concurrent code using the async/await syntax: <https://docs.python.org/3/library/asyncio.html>

151 ⁶Multithreading Hazards, Microsoft: <https://learn.microsoft.com/en-us/archive/msdn-magazine/2008/october/concurrency-hazards-solving-problems-in-your-multithreaded-code>

153 ⁷The threading module provides a way to run multiple threads (smaller units of a process) concurrently within a single process: <https://docs.python.org/3/library/threading.html>

154 ⁸The multiprocessing module is a package that supports spawning processes using an API similar to the threading module: <https://docs.python.org/3/library/multiprocessing.html>

¹⁵⁷ ¹⁵⁸ *it easier for the interpreter to be multi-threaded, at the expense of much of the parallelism afforded by multi-processor machines.*⁹

Thankfully, this does not apply with the multiprocessing module, which creates separate processes instead, offering both local and remote concurrency effectively side-stepping the Global Interpreter Lock, allowing programmers to fully leverage multiple cores. As previously stated, processes are much heavier than threads and thus more expensive to create, but do not incur the risks of shared memory.

165 2.2.1 *Comparison.* To evaluate which of the two modules is more suited for our purposes, we devised a simple experiment:
166 we created two game instances with one hundred and one thousands coloured dots respectively (figure 2), that move around
167 by offsetting their position each frame of a random amount between minus five and plus five pixels (pseudocode 3).
168

Then we ran both of them in three scenarios: with the game instance alone (baselines), with a server alive in a thread and with a server alive in a process, and we measured the frames per second (FPS)¹⁰ in each case, since it is the most common metric to evaluate game performance. Higher FPS-count translates to a smoother and more responsive, i.e., better, gaming experience.

Listing 3. Pygame graphical dot offset

```
176 1 # create random offsets for both x and y coordinates
177 2 xmov = random.randint(-5,5)
178 3 ymov = random.randint(-5,5)
179 4
180 5 # move the dot by a certain offset
181 6 dot.move_by(xmov, ymov)
```



Fig. 2. Two game instances made with Pygame, with respectively 100 and 1000 dots that randomly move around

Results, shown in the graph at figure 3, tell us us that:

⁹Global Interpreter Lock: <https://docs.python.org/3/glossary.html#term-global-interpreter-lock>

¹⁰Frame rate, most commonly expressed in frames per second or FPS, is typically the frequency (rate) at which consecutive images (frames) are captured or displayed. This definition applies to film and video cameras, computer animation, and motion capture systems, while in the context of computer graphics is the rate at which a system, particularly the graphic card, is able to generate frames. Source: https://en.wikipedia.org/wiki/Frame_rate

- 209 • Increasing the number of dots from 100 to 1000 halves the FPS count;
- 210 • Adding a server in a separate thread halves performance;
- 211 • Using multiprocessing yields worse performance than threading in the 100-dots scenario (about -30%), while
- 212 performing similarly in the 1000-dots one.

213
214 This leads us to conclude that, for our specific purposes, the threading module is the best choice, especially since the final
215 game will be way less computationally expensive from a graphical standpoint, hence using a lighter weight alternative
216 should be even more beneficial than tested.
217

218 All tests have been performed with the following machine:

- 219 • OS: Ubuntu 24.04.1 LTS x86_64;
- 220 • Kernel: 6.8.0-52-generic;
- 221 • Shell: bash 5.2.21;
- 222 • CPU: 13th Gen Intel i7-13620H;
- 223 • GPU: NVIDIA GeForce RTX 4050 Laptop GPU;
- 224 • Memory: 15610MiB;
- 225 • Python version: 3.12.3;
- 226 • Power Mode: Balanced;
- 227 • Power Supply: 100W via type C.

231 2.3 Game Engines

232 There are many ways to implement a graphical user interface: from clever shell tricks like htop¹¹, to full-fledged game
233 engines like Unity¹² or Godot¹³ that often come with their own editor and a top-down approach, meaning build the UI first
234 and then go down to code as needed for scripting and refining.
235

236 Unfortunately, our needs are quite opposite: what we want is a code-only, mono-language framework that while slowing
237 down game development should simplify merging Raft with it. The choice thus boiled down to two alternatives: tkinter and
238 Pygame.
239

240 2.3.1 Comparison. Let's list strengths and weaknesses of the two.

- 241 • **tkinter:**
 - 242 – Module of the standard library;
 - 243 – Few lines of code to make simple UIs;
 - 244 – Low flexibility;
 - 245 – No game loop;
 - 246 – Not a game engine;
- 247 • **Pygame:**
 - 248 – Extreme flexibility;
 - 249 – Direct access to game loop;
 - 250 – APIs to access many kinds of user inputs;
 - 251 – Verbose to obtain simple UIs;

252¹¹Htop is a cross-platform text-mode interactive process viewer: <https://htop.dev/>

253¹²Unity is a cross-platform game engine developed by Unity Technologies: <https://unity.com/>

254¹³Godot is a cross-platform open-source game engine: <https://godotengine.org/>

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301 Fig. 3. Performance evaluation graph: red hues for baselines, blue hues for threading and green hues for multiprocessing. Darker
 302 shades for 1000 dots and lighter shades for 100 dots game instances

```

303
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305
306      –  Non-standard community-made framework.
307

```

308 *We ultimately decided to opt for Pygame for four reasons: it is extremely flexible, exposes many useful functions (for
 309 example to catch different user inputs), gives direct access to the game loop and it is a novel and fun framework that has
 310 never, to the best of our knowledge, been used in such a fashion.*

313 **3 RAFT**

314 *It is not our intention to plagiarize Ongaro and Ousterhout's excellent work "In Search of an Understandable Consensus*
 315 *Algorithm" [5] by presenting the Raft algorithm's specifications. Instead, we are going to discuss how we molded it to our*
 316 *own use case.*

317 *The algorithm divides its nodes into three roles, namely leader, follower and candidate, and revolves around three core*
 318 *functionalities: leader election, log replication and cluster membership change. Log compaction is also mentioned, while a*
 319 *byzantine fault tolerant variant is never explored by the original authors. To grant consistency, Raft's design choice is to*
 320 *centralize all decisions on one node, the above mentioned leader, that synchronizes all cluster's nodes.*

321 *One last component, instrumental to the functioning of the algorithm, is the term: everything happens in a certain*
 322 *term, which divides time logically and increments every election. This is necessary to recognize out-of-date leaders: if some*
 323 *follower has a term greater than the leader's, said leader is outdated.*

324 *Our Raft class directly extends simpleXMLRPCServer from XML-RPC module, as shown at code 4.*

325 *Lastly, to fire off non-blocking concurrent RPCs on the cluster, we leverage the concurrent.futures module using Thread-*
 326 *PoolExecutor. To avoid creating and destroying pools every time a server needs to communicate with the cluster, we embedded*
 327 *a finite amount of workers as class attributes (code 5).*

333 Listing 4. Class Raft definition

```
334 1 class Raft(SimpleXMLRPCServer):
335 2     def __init__(self,
336 3         addr: tuple[str, int],
337 4         allow_none: bool = True,
338 5         # ...
339 6         last_index_on_server: list[tuple[int, int]] | None = None
340 7         ):
341 8     SimpleXMLRPCServer.__init__(self, addr=addr, allow_none=allow_none)
```

343 Listing 5. ThreadPoolExecutor created with as many workers as there are servers in the cluster

```
344 1 class Raft(SimpleXMLRPCServer):
345 2     def __init__(self,
346 3         # ...
347 4         ):
348 5     # start executors pool
349 6     self.executor = concurrent.futures.ThreadPoolExecutor(max_workers=len(self.cluster))
```

352 **3.1 Node Types**

353 *As previously stated, there are three node types: leader, follower and candidate (code 6). In this section we are going to show*
 354 *their characteristics and similarities. Note that all nodes have a timer: it is randomized for each of them and has been*
 355 *implemented by extending threading.Timer, thus making it thread-safe (code 7)*

358 Listing 6. Node modes

```
359 1 class Raft(SimpleXMLRPCServer):
360 2     class Mode(Enum):
361 3         LEADER = 1
362 4         CANDIDATE = 2
363 5         FOLLOWER = 3
```

```

365   6
366   7     def __init__(self,
367   8         # ...
368   9         mode: Mode = Mode.FOLLOWER,
369  10        )

```

370

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374

Listing 7. Threadsafe looping timer

```

375  1 class LoopTimer(Timer):
376  2     def __init__(self, interval, function, args=None, kwawrgs=None):
377  3         Timer.__init__(self, interval, function, args, kwawrgs)
378  4         self.was_reset : bool = False
379  5         # ...
380  6
381  7 class Raft(SimpleXMLRPCServer):
382  8     def __init__(self,
383  9         # ...
384 10        )
385 11     # start timer
386 12     self.timer = LoopTimer(timeout, self.on_timeout)
387 13     self.timer.start()

```

388

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395

3.1.1 *Leader Node.* The algorithm revolves around, and requires the existence of, one and only one leader node, whose job is to synchronize all servers' logs to ensure data consistency. It does so by replicating its own log on all followers (the non-leader nodes) by sending new or, if needed, old entries via remote procedure calls.

To make sure all nodes believe the leader's alive, periodically sends an empty remote procedure call called heartbeat (every 150-300ms).

396

397

398

3.1.2 *Follower Node.* All nodes, except for the leader, perform as followers. They are not allowed to replicate their own log, and they have to forward any request to the leader.

399

400

401

To make sure the cluster never remains without a leader, every follower has an election timeout (between 150ms and 300ms) which resets every time an RPC from the leader is received. If it times out, the follower changes its state to candidate, increments its current term and starts a leader election.

402

403

404

Followers become candidates in another scenario: whenever they receive an entry from the leader, they compare it with their own last log entry. If the leader's term is smaller, it is out of date and a new election is started.

405

406

407

408

3.1.3 *Candidate Node.* When a follower's election timeout times out, it becomes a candidate, increments its own term and starts an election. Votes for itself and then waits for one of two outcomes: wins, thus becoming a new leader, or loses (either another leader gets elected or the old one manifests itself) thus reverting back to being a follower.

409

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412

3.2 Log

413

414

415

As stated, the leader's job is to accept requests (in our specific case they are player inputs) and then forward them to the followers. Let's talk about the structure of the log.

416

417 *The log is basically a list (or an array) of entries, where entry is an element that encapsulates data (like an integer or a*
 418 *string), has an index (unique for each entry) and the term of its creation (figure 4). We defined entries as Data Classes* ¹⁴
 419 *(decorators that simulate C's structures) as seen in code 8.*

421

422

Listing 8. Dataclass Entry definition

```
423 1 @dataclass
424 2 class Entry:
425 3     term: int
426 4     index: int
427 5     command: str
```

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images/logStructure.png

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Fig. 4. Raft's log is fundamentally an array made of entries

464

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466

¹⁴Data Classes module provides a decorator and functions for automatically adding generated special methods to user-defined classes: <https://docs.python.org/3/library/dataclasses.html>

467

468

469 3.3 Log Replication and Overwriting

470 All log propagation revolves around one remote procedure call named `append_entries_rpc`, which the leader calls on a list of
 471 server proxies that connect it to the followers. On their end, each follower calls the RPC on a proxy of the leader. It must be
 472 registered in the server to be callable, as seen in listing 9.

473
 474
 475 Listing 9. Register, thus making it callable, the remote procedure call `append_entries_rpc`

```
476 1 def handle_server():                                # enclose server in a callable function
477 2     with Raft(...) as server:                      # creates SimpleXMLRPCServer
478 3         def append_entries_rpc(entries, term, commit_index, all_log):
479 4             # ...
480 5             server.register_function(append_entries_rpc)    # makes function callable on the other side
481 6             server.serve_forever()                          # keeps server alive
```

482

483 3.3.1 Leader Propagates Entries. The leader (each node as a matter of fact) periodically checks whether there are new
 484 commands to propagate (always stored in queue `pygame_commands`, more details in section 4), by overriding `SimpleXMLR-`
 485 `PCServer`'s method `service_actions` (listing 10).

486
 487 Then, it translates them into entries by giving each of them the current term and a log index that starts from `lastLogEntry(index)+1` and increases by one for each entry. To clarify: if `lastLogEntry(index) = 7` and we have three new commands, their indices will respectively be eight (8), nine (9) and ten (10). The translation can be seen at listing 11.

488 At this point, it propagates `new_entries` to the whole cluster, updating the commit index (necessary for applying log to
 489 state) as soon as propagations are successful on at least half of the cluster, like so: `commitIndex = lastNewEntry(index)`.

490
 491 What happens if the `append entries` gets rejected? The leader adds to `new_entries` its own last log entry: `new_entries = lastLogEntry + new_entries` (figure 5). Then, it repeats the propagation procedure, for each reject a new last log entry gets added, progressively traversing the log backwards. If, at a certain point, `new_entries == allLog + new_entries` (i.e., all
 492 leader's log gets propagated) the flag `all_log` is set to True.

493
 494 Since every server may reject or accept different sets of entries, depending on their own local log, every propagation must
 495 be "local" for each follower.

496 The flow of execution for the log propagation is: `Raft: service_actions → Raft: propagate_entries → propagate_entries`
 497 `:encapsulates_proxy: append_entries_rpc`. The last one gets called as many times as needed on every single follower.

498 Of course, all propagation happens concurrently using a `ThreadPoolExecutor`, and the code for entries propagation
 499 (leader's side) can be seen at listing 12.

500

501

502 Listing 10. Periodically checks whether there are new commands

```
503 1 def service_actions(self):                         # RUN method of the server, override
504 2     if time.time() - self.countdown >= .005:      # do actions every .005 seconds
505 3         global pygame_commands
506
507 4
508 5         if not pygame_commands.empty():            # propagate entries to cluster
509 6             self.propagate_entries()
```

510

511

512 Listing 11. Translates commands into new entries

```
513 1 def propagate_entries():
514 2     # ...
515 3     while not pygame_commands.empty():
516 4         command = pygame_commands.get()
```

```

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```

Fig. 5. New entries with the last log's entry pushed to the top of the list

```

5       log_index += 1                      # lastLogEntry(index) + 1
6       self.new_entries.append(Raft.Entry(   # append to support list 'new_entries'
7           term= self.term,
8           index= log_index,
9           command= command
10      ))

```

Listing 12. Leader propagation procedure, for complete code refer to project's repository

```

1 def propagate_entries(self):
2     #
3     if self.log:
4         entries: list[Raft.Entry] = []
5         entries.append(self.log[-1])
6         entries.extend(self.new_entries)
7         log_iterator: int = -2          # log_iterator soft resets for each follower
8     else:

```

```

573   9     entries: list[Raft.Entry] = self.new_entries
574  10    log_iterator: int = -1
575  11
576  12    # ...
577  13    # inner function necessary for concurrent execution
578  14    def encapsulate_proxy(self, follower, entries, log_iterator):
579  15        # ...
580  16        with xmlrpclib.ServerProxy(complete_url, allow_none=True) as proxy:
581  17            while not propagation_successful:
582  18                # send new entries (local for each follower)
583  19                # ...
584  20                result = proxy.append_entries_rpc(entries, self.term, self.commit_index, all_log)
585  21                if result[0] == False:
586  22                    # add another entry from self.log to new entries
587  23                    entries = [self.log[log_iterator]] + entries
588  24                    log_iterator -= 1
589  25                elif result[0] == True:
590  26                    propagation_successful = True
591  27
592  28    return propagation_successful # to propagate_entries, make propagation counter increase
593  29
594  30    results = []
595  31
596  32    # fires RPCs concurrently using ThreadPoolExecutor
597  33    future_result = {           # clever python syntax trick
598  34        self.executor.submit(
599  35            encapsulate_proxy,      # function
600  36            self,                  # function's parameter
601  37            follower,              # function's parameter
602  38            entries,               # function's parameter
603  39            log_iterator           # function's parameter
604  40        ): follower for follower in self.cluster}
605  41    for future in concurrent.futures.as_completed(future_result):
606  42        # results of RPCs
607  43        data = future.result()
608  44        results.append(data)
609  45
610  46    # finally counts if propagation was successful enough
611  47    if results.count(True) >= len(self.cluster) / 2:
612  48        self.log.extend(self.new_entries)                      # add new entries to log
613  49        self.new_entries.clear()                            # clear new entries list
614  50        self.commit_index = self.log[-1].index          # ensure log gets eventually applied
615  51
616  52    else:
617  53        # new entries are not cleared, so they will be propagated again
618  54
619  55
620  56
621  57
622  58
623  59
624  60

```

3.3.2 *Follower Receives Entries.* When a follower receives an append entries request from the leader, first checks whether leader is up to date. If it's not, i.e., $\text{leaderTerm} < \text{followerTerm}$, rejects by answering with the tuple ($\text{False}, \text{followerTerm}$). In this context, answering is done via the remote procedure call's return value.

On the other hand, if the leader's term is equal or greater than its own (i.e., $\text{leaderTerm} \geq \text{followerTerm}$), the follower updates its commit index and, if $\text{leaderEntries} \neq \emptyset$, checks the all_log flag. If it's True, it clears all its own log to overwrite it with the leader's (fundamental to log forcing, listing 13). Otherwise ($\text{all_log} \neq \text{True}$), the leader did not send all its log, so

the follower searches through its own log for an entry equal to the leader's previous one (i.e., the entry preceding the new ones). Let's make an example:

- Leader's log = [1, 2, 3, 4, 5];
 - Leader's new entries = [6, 7];
 - Thus leader's prev = [5].

If it finds an entry equal to leader's previous (i.e., $followerLog(someEntry) == leaderPrev$), deletes all log entries that follow it and appends the new ones, otherwise ($\#(followerLog(someEntry) == leaderPrev)$) rejects the request. Since the leader, when faced with a reject, adds a new prev and keeps repeating the send until it comprises all its log, at a certain point the follower will be forced to overwrite all its log, thus making it equal to the leader's. This overwriting is called log forcing and ensures that all logs are equal to the leader's.

The code can be seen at listing 14 (for the complete one refer to the repository).

Listing 13. Follower clears its own log to overwrite it with the leader's

```
1 if all_log == True:  
2     server.log.clear() # if leader sent all its log, clear and rewrite log (leader's log forcing)
```

Listing 14. Follower search in its own log for an entry equal to leader's prev

```

1 if commit_index is not None:
2     server.commit_index = commit_index # update commit index
3
4 if entries is not None:      # not an heartbeat
5     if all_log == True:          # complete overwrite
6         server.log.clear()
7
8     if server.log: # if follower's log not empty search for an entry equal to leader's prev
9         entry_log_index: int | None = None           # save its log index (!= entry index)
10        for i, my_entry in enumerate(server.log):
11            if (my_entry.index == entries[0].index
12                and my_entry.term == entries[0].term):
13                entry_log_index = i
14                break # no need to search further
15        # here entry_log_index == (position of entry equal to leader.prev) | None
16
17        if entry_log_index is None:           # entry equal to leader's prev not found
18            return(False, server.term)       # rejects
19
20    del server.log[(entry_log_index ):] # delete all log following leader prev
21
22    server.log.extend(entries) # append new entries

```

3.3.3 Follower Sends Entries. Since every server is a Raftian node with a game instance and thus player inputs, followers have their own Pygame commands to propagate. Just like the leader, in their `service_actions` function they periodically check whether there are new commands to propagate and call `propagate_entries` accordingly. Then, they translate all Pygame commands into entries (same code as listing 11) and propagate them to the leader via `append_entries` rpc. Nothing else.

As previously stated, followers are passive, meaning they do not apply their own player inputs when they register them, but only after the leader propagates them back to the whole cluster.

677 3.3.4 *Leader Receives Entries.* The leader does very little when receives entries from the followers: it just puts them into its
 678 own `pygame_commands` queue. They will get processed and propagated eventually, as stated in section 3.3.1.
 679

680
681

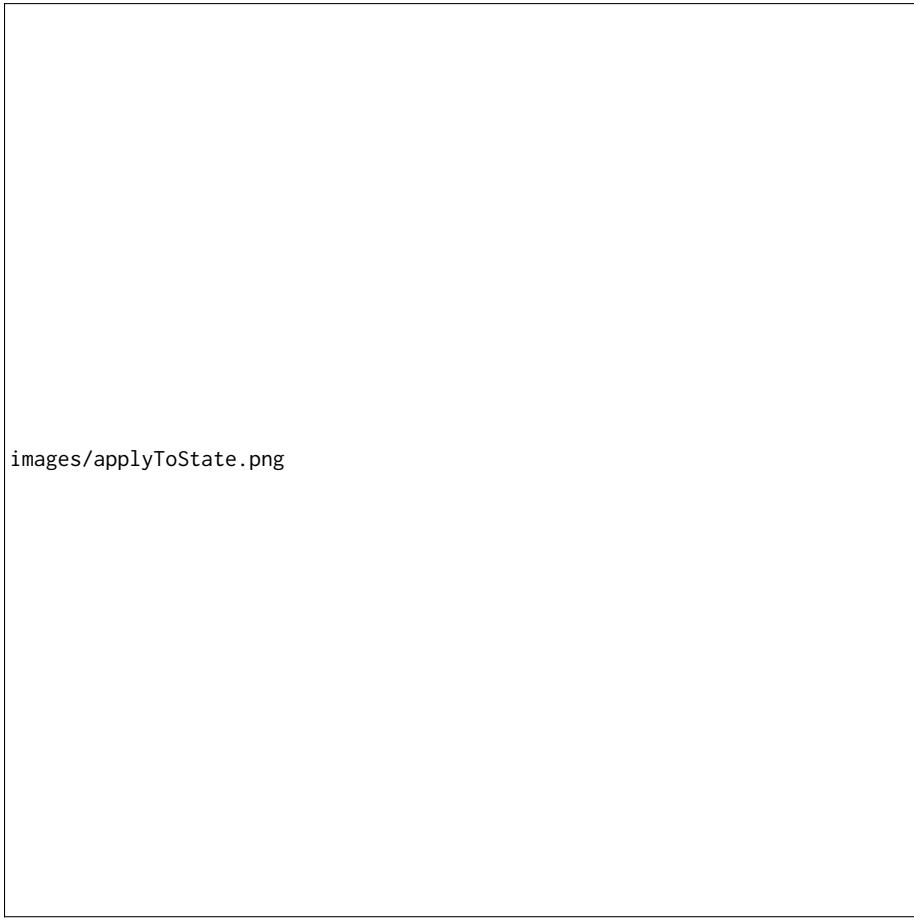
3.4 Apply Log to State

682 Let's first explain two key attributes: `commit index` and `last applied`. Both of these represent an index, but the former is the
 683 highest-index entry successfully propagated in the cluster, while the latter is the highest-index entry already applied to state.
 684

685 Every node, whether leader or follower, applies entries to state in the same way: inside their function `service_actions`
 686 they periodically check if there is a discrepancy between `commit index` and `last applied` attributes (i.e., `commit_index >`
 687 `last_applied`). Then, starting from the `last applied` entry, they apply to state all successive entries up to and including the
 688 one with the same index as `commit_index`, updating `last_applied` as they go. To clarify: servers apply all entries between
 689 `log(entry.index == last_applied)` and `log(entry.index == commit_index)` as shown in figure 6.
 690

691 To apply entries in our context means that they get appended to the queue `raft_orders`. The code can be seen at listing 15
 692 (for the complete source refer to the repository)
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images/applyToState.png

Fig. 6. All entries between `last_applied` and `commit_index` (included) get applied to state

Listing 15. All nodes apply entries to state based on *commit_index*

```

729
730 1 def service_actions(self):
731 2     # ...
732 3     if self.commit_index is not None and self.commit_index > self.last_applied:
733 4         global raft_orders  # applying means appending entries to this queue
734 5
735 6     #...
736 7
737 8     last_applied_log_position: int = -1
738 9     for i, my_entry in enumerate(self.log):
739 10        if (my_entry.index == self.last_applied):
740 11            last_applied_log_position = i
741 12            break # found log position of last applied entry
742 13
743 14     log_iterator = last_applied_log_position + 1      # improves code clarity
744 15
745 16     while self.last_applied != self.commit_index:
746 17         raft_orders.put(self.log[log_iterator])
747 18         self.last_applied = self.log[log_iterator].index
748 19         log_iterator = log_iterator + 1
749 20     # here self.last_applied == self.commit_index

```

750 3.5 Log Compaction

751 This functionality, as well as the following ones, have not been implemented due to time constraints. We decided to include them anyway since they were still the product of careful consideration and could prove useful in future implementations.

752 Log compaction, also called snapshot, is a way to clear servers' logs and save them in persistent memory, necessary in long-running or message-heavy applications. Every node autonomously decides when to do it.

753 The idea is as follows: inside their function *service_actions*, servers check whether their log is larger than a certain size (to be determined) and then call a *snapshot* method accordingly, which saves all entries in a JSON file progressively deleting them from the log, from the first one up to (but excluding) the last applied.

754 An alternative interpretation, closer to Ongaro and Ousterhout's idea, is saving the state of the cluster. When applied to our context, we can imagine a JSON file that describes all servers' state, by saving for each of them id, url, port, and hp values. Index and term of the last snapshotted entry should also be saved, as well as the current configuration (which will be mentioned in section 3.7). An example of the JSON structure can be seen at listing 16.

755 This method requires more pre-processing to generate the JSON, and more post-processing to later check log's correctness, but is also more compact, which helps when the leader calls *install_snapshot*, a remote procedure call that can sometimes be needed to bring up to speed new or outdated servers by sending them the leader's snapshot.

756 It goes without saying, but whichever method is ultimately chosen, every new snapshot must comprise all information contained in the old ones.

757

Listing 16. The JSON for a snapshot that saves cluster's state would look something like this

```

758 1 {
759 2     servers:[
760 3         {
761 4             id : 1,
762 5             url : "localhost",
763 6             port : 8000,

```

```

781   7         hp : 70
782   8     },
783   9     {}, {}
784  10    ],
785  11    lastIndex : 11,
786  12    lastTerm : 3,
787  13    lastConfig : 8
788  14 }

```

789

3.6 Leader Election

As aforementioned, the leader is fundamental to grant consistency. To make the protocol fault tolerant, it can dynamically change over time via a distributed election: whenever a follower finds out that the leader is either outdated or missing (i.e., internal follower's timer times out before receiving any call from it), said follower starts an election. It changes its internal state to candidate, increases its own term by one, votes for itself, and then propagates to the whole cluster a specialized remote procedure call named `request_vote_rpc` (code, removed in the final version, at listing 17). Votes are given on a first-come-first-served basis, and to prevent split votes each server's election timeout is randomized between 150ms and 300ms at the start of every election. This ensures that in most cases only one server will be candidate at a time.

At this point there are two possible outcomes: more than half of the cluster votes for the candidate (which we will call "A"), that therefore becomes leader and propagates a heartbeat to the whole cluster, or another candidate (which we will call "B") is more up-to-date (i.e., B's term is greater than A's or equal but with a greater `lastIndex`). In this last case, candidate A reverts back to follower and votes for B. The pseudocode for all the above can be seen at listing 18.

One last eventuality is that the old leader manifests itself. In this case, if the old one is equally or more up-to-date than the new one (both term and last index count), the latter reverts back to follower and the preceding monarch gets reinstated.

808 Listing 17. Pseudocode for `request_vote_rpc`

```

809
810 1 def request_vote_rpc(...):
811 2     # if candidate less up to date -> reject
812 3     if self.term > candidate_term:
813 4         return (self.term, False)
814
815 6     # if a candidate already exists
816 7     if self.voted_for is not None and not candidate_id:
817 8         return (self.term, False)
818
819 10    # vote for candidate
820 11    self.voted_for = candidate_id
821 12    return (self.term, True)
822 13 #...
823
824 14 server.register_function(request_vote_rpc)

```

824 Listing 18. Pseudocode for `to_candidate`, gets fired on *election timer* timeout

```

825
826 1 def to_candidate(self):
827 2     self.mode = Raft.Mode.CANDIDATE
828 3     self.term += 1
829 4     self.voted_for = self.id
830
831 6     self.timer.reset() # reset election timer
832

```

```

833 8     for server in self.cluster:
834 9         server.request_vote_rpc(...)
835 10        count votes
836 11
837 12     if some_return.more_up_to_date:
838 13         self.mode = Raft.Mode.FOLLOWER
839 14         self.voted_for = some_return.id
840 15
841 16     if votes > len(self.cluster) / 2:
842 17         self.to_leader()      # handles mode change and heartbeat
843

```

3.7 What is Missing

There is one last functionality discussed by Ongaro and Ousterhout, which is gracefully managing changes in the cluster's members by leveraging a configuration attribute and keeping multiple configurations alive simultaneously for a certain period of time (figure 7). We never intended to include it due to time constraints, therefore there is nothing we can add beyond the original work.

Another concern, which was not considered in the Raft paper, is faults caused by bad actors that purposely send malicious information. This is a real problem for our use case, since players want to win and are therefore incentivized to act maliciously by cheating (a practice so widespread that has created its own multimillion-dollar market [1]).

The implementation of Byzantine fault tolerance was beyond the scope of this work. Therefore, we refer the reader to some example works for further details: "A Raft Algorithm with Byzantine Fault-Tolerant Performance" by Xir and Liu [7], and "VSSB-Raft: A Secure and Efficient Zero Trust Consensus Algorithm for Blockchain" by Tian et al. [6].

4 RAFTIAN NODE ARCHITECTURE

In the previous section (3), we explained in detail how nodes communicate with each other and handle their log. Now we will explain what actually happens inside a node, i.e., the architecture of a single node of the application, comprising of both a server and a game instance. Before showcasing it, we will briefly explain how Pygame works, thus taking the opportunity to present the user interface.

4.1 Pygame

Pygame's approach is very straightforward: first comes the declaration and set up of all the graphical components, such as game window, fonts, colors, variables and constants. Every element gets positioned on the main window by coordinates (x,y), where (0,0) is the top left corner. Most items are made of two fundamental Pygame classes: Rect, which creates non-graphical objects that expose many useful methods, for example to position, move, and resize themselves, or to detect collisions and mouse clicks, and Surface, which is the most basic graphical component that has dimensions and can be drawn upon. Often we want to bind, thus constrain, surfaces with rects so that we use the latter for spatial operations. One last fundamental is the blit function, a method that draws one image onto another or, to be precise, that draws a source Surface onto the object Surface that calls it. We can give it an optional argument to specify a drawing destination, either with coordinates or a rect. To clarify: baseSurface.blit(sourceSurface, destination) draws sourceSurface onto baseSurface at the coordinates specified by destination. An example of all the above can be seen at listing 19.

Pygame, being low-level in nature, is very flexible and allows us to do pretty much whatever we want. For example, we defined our players as dataclasses that encapsulate both the players' data (like id or health points) and their Rect and Surface objects, as in listing 20.

```

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895
896     images/raftMembershipChange.png
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911

```

Fig. 7. Cluster goes through a hybrid configuration to pass from the old to the new one. Source: Raft paper [5]

```

912 Finally, Pygame gives us direct access to the game loop, which is implemented as nothing more than a while loop. In it, we
913 can process player inputs and refresh the screen, dynamically changing what is displayed. In short, we manage everything
914 that happens while the game is running. In listing 21 we can see two types of player input, one for quitting the game and
915 a left-mouse click, the latter of which causes a refresh of the header. Specifically, if Player 2 gets clicked, the header will
916 display "Player 2 pressed", reverting back to its original state after a couple of seconds. The last command, clock.tick(fps),
917 allows us to limit the framerate, effectively slowing down or speeding up the game engine itself by constraining the amount
918 of times per second the game loop repeats itself.
919
920
921
922
```

Listing 19. Pygame base components

```

923 1 pygame.init()                                     # starts pygame
924 2 GREY = (125, 125, 125)                         # define a color
925 3 DISPLAY = pygame.display.set_mode((1000, 1200)) # creates game window 1000x1200 pixels in resolution
926 4 clock = pygame.time.Clock()                     # necessary to mange fps
927 5 font = pygame.font.Font(None, 60)               # creates default font
928 6 toptext = font.render("Top Text", False, BLACK) # header text
929 7 rect_header = pygame.Rect(0, 0, 1000, 100)      # creates rect for header
930 8 header = pygame.Surface((1000, 100))           # creates surface for header
931 9 header.fill(WHITE)                            # draw on surface
932 10
933 11 DISPLAY.blit(header, rect_header)            # draw on DISPLAY the header surface
934 12                                              # position is given by rect_header
935 13 #...
936 14 # draw text on coordinates
```

```
937 15 DISPLAY.blit(toptext, (rect_header.centerx - xoffset, rect_header.centery - yoffset))
```

```
938
```

```
939
```

Listing 20. Players defined as dataclasses that encapsulate Pygame elements

```
940
941 1 @dataclass
942 2 class Player:
943 3     id: int
944 4     hp: int
945 5     rc: pygame.Rect      # represents player position and size
946 6     ui: pygame.Surface    # exposes UI of the player e.g., colour
947 7
948 8     player1 = Player(
949 9         id=1,
950 10        hp=100,
951 11        rc=pygame.Rect(585, 685, 80, 80),   # x0, y0, width, height
952 12        ui=pygame.Surface((80,80))
953 13    )
954 14     player1.ui.fill(RED)                  # colour player red
955 15     DISPLAY.blit(player1.ui, player1.rc)  # draw on display via rect
```

```
956
```

Listing 21. All interactions and frame-by-frame rendering happen in the game loop

```
957
958 1 while True: # game loop
959 2     for event in pygame.event.get():      # process player inputs
960 3         if event.type == pygame.QUIT:
961 4             pygame.quit()
962 5
963 6         if event.type == pygame.MOUSEBUTTONDOWN and event.button == 1: # left mouse button click
964 7
965 8             pos = pygame.mouse.get_pos() # gets mouse position
966 9
967 10            if player.rc.collidepoint(pos): # rect allows us to detect collisions
968 11                toptext = font.render(f"Player {player.id} pressed", False, BLACK)
969 12
970 13                DISPLAY.blit(header, rect_header)    # erase previous text
971 14                DISPLAY.blit(toptext, (rect_header.centerx - xoffset, rect_header.centery - yoffset))
972 15
973 16            pygame.display.flip() # refresh on-screen display
974 17            clock.tick(60)       # limits framerate
```

```
974
```

4.2 Raftian User Interface

```
975
```

Figure 8 shows different phases of a normal Raftian’s game session. Specifically, they demonstrate how the interface changes when the player repeatedly clicks on (thus attack) Player 3, the blue one on the top left (players are represented as four coloured squares on the board). Players’ colours become progressively desaturated as their health points decrease, by modifying their alpha channels as shown in listing 22. When a player is dead, its colour changes to a darker shade.

In the header an attack message gets written, reverting back after half a second. Said message changes depending whether the player is still alive; if not, damage is ignored.

```
976
```

```
977
```

Listing 22. Whenever a player gets damaged, its colour gets desaturated

```
978
979 1 for player in players:
980 2     if player.id == order.command and player.hp > 0:
```



Fig. 8. Different phases of a normal Raftian's game session. Player 3 keeps getting damaged until it dies

4.3 Raftian Node Architecture

The architecture of a Raftian node can be seen at figure 9. Let's explain it: first of all, the game loop and the server are encapsulated in two functions to be handed over to two different threads, enabling concurrent execution (listing 23). Whenever a player clicks on (i.e., attacks) one of the four players, the game engine does not apply damage immediately. Instead, it generates a command which represent, if we want, the intention of attacking said player. This command is thus appended to a queue called `pygame_commands`, one of the two synchronized FIFO queues¹⁵ necessary to allow communication between server and Pygame's threads (listing 24). Both are instances of Python's standard library `queue` module¹⁶, which implements thread-safe, multi-producer, multi-consumer queues.

¹⁵FIFO, or First-In-First-Out, is a method for organizing the manipulation of a data structure, often data buffers, where the oldest data inserted is the first that gets processed, making it work in a sense like a pipeline

¹⁶Python's `queue`, a synchronized queue class: <https://docs.python.org/3/library/queue.html>

1041 At this point, Pygame does not concern itself anymore with said user input. The server, by itself, periodically checks the
 1042 pygame_commands queue (as in listing 10) and, when not empty, removes elements from it (as in listing 11) and propagates
 1043 them as entries to the leader (or to the whole cluster if said server is the leader, as in listing 12).

1044 Then, the leader propagates the received commands to the whole cluster, which we will now call orders. Each server
 1045 adds received orders to its own log, as explained in section 3.3, so that they can later be appended to the raft_orders queue
 1046 when entries get applied to state (as in section 3.4). This way, the original user input gets propagated back to the server that
 1047 generated it in the first place.

1048 Finally, Pygame checks (periodically) the raft_orders queue for orders. When it finds them, it removes them from the
 1049 queue and updates the user interface accordingly (an example can be seen at listing 25).

1050 The whole idea is to keep server and game engine as separated as possible: the former reads commands, propagates them
 1051 and writes received orders, the latter reads orders, updates the UI, and writes commands, following a unidirectional cyclic
 1052 communication pattern.

1056 Listing 23. Start both Pygame and server's threads

```
1057
1058 1 def handle_pygame():
1059 2     pygame.init()
1060 3     #...
1061 4     While True:
1062 5         #...
1063 6     def handle_server():
1064 7         with Raft(...) as server:
1065 8             #...
1066 9             server.serve_forever()
106710 server_thread = threading.Thread(target=handle_server)
106811 server_thread.start()
106912 pygame_thread = threading.Thread(target=handle_pygame)
107013 pygame_thread.start()
```

1072 Listing 24. Queues for commands and orders, they allow inter-thread communication

```
1073
1074 1 # user inputs through Pygame which writes them here
1075 2 # Raft reads them and propagates them to the cluster
1076 3 pygame_commands = Queue()
1077 4
1078 5 # commands that have been applied to state are written here by Raft
1079 6 # Pygame reads them and updates UI accordingly
1080 7 raft_orders = Queue()
```

1081 Listing 25. Pygame periodically checks whether there are new orders and updates the UI accordingly

```
1082
1083 1 while True: # Pygame's main loop
1084 2     #...
1085 3     while not raft_orders.empty():
1086 4         order: Raft.Entry = raft_orders.get()
1087 5
1088 6         for player in players:
1089 7             if player.id == order.command and player.hp > 0:
1090 8                 player.hp -= 30 # apply damage to player
1091 9                 #...
```

```

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```

Fig. 9. Raftian node architecture

4.4 Evaluation and Results

While we did not have enough time to implement evaluation procedures for measuring things like response time or network latency, the game has been thoroughly tested. Responsiveness is good, with no noticeable input latency and a solid framerate way above sixty frames per second (which is still the preferred limit), and both log replication and overwriting functionalities have been confirmed working as intended. The proof of this fact can be observed in the logs at <https://github.com/mhetacc/RuntimesConcurrencyDistribution/tree/main/logs>, specifically by comparing logs among

1145 folders *bob1*, *bob2*, *bob3*, *bob4*, and *raftian*: all logs (meaning Raft logs) contain the same entries, in the same order, between
 1146 all players.

1148 5 REFLECTION

1150 Let's now discuss problems, potential future expansions and learning outcomes of this project.

1152 5.1 Self-Assessment

1154 Using XML-RPC and threading libraries proved to be sub-optimal: the former has a very contrived syntax and makes writing
 1155 procedure calls a bit unintuitive, since forces the programmer to think in the "opposite direction". When writing a remote
 1156 procedure call (i.e., those functions that get registered by *register_function()*) is important to keep in mind that they are
 1157 going to be used by the caller and not by sender (in whom code block they are written in).

1159 Code could be less coupled: both server and game loop reside in the same file, and a lot of components are either internal
 1160 classes or nested functions. Moreover, both command and order queues are global variables, which is generally a practice to
 1161 be avoided.

1162 On the other hand, code is well documented and as understandable as possible, even though following the flow of, for
 1163 example, an input propagation requires jumping through it many times.

1166 5.2 Future Works

1167 Apart from the two features already discussed (leader election and log compaction), future expansions could implement
 1168 cluster's membership change and a Byzantine fault-tolerant version of Raft. Adding new game functionalities, thus command
 1169 types, should be easy since they can be propagated by the existing infrastructure, and the same is true for adding new
 1170 players: provided that a new, bigger, user interface gets created, changing cluster's size should, in our testing, work without
 1171 any issues.

1174 5.3 Learning Outcomes

1176 We started this project by having very limited Python competencies, having never written concurrent programming, never
 1177 touched a game engine and never worked with network transmission protocols. All in all, we learned all of the above, in
 1178 some cases going so far as trying different alternative solutions (we implemented Raft nodes mockups with threading,
 1179 multiprocessing and asyncio libraries), making this project an invaluable learning experience.

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