

CS466: Design and Analysis of Algorithms  
Assignment 2  
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## Question 1

Suppose the claim is not true, then the amortized cost must be of  $\omega(\log(k))$ . Consider the following sequence of operations. Given a list of  $n$  number, perform  $n$  insertions and then  $n$  deletions. Since the average over this sequence (amortized cost of any operation) is  $\omega(\log(n))$  and this sequence has  $2n$  operations, the total cost must be  $2n * \omega(\log(n))$  or  $\omega(n \log(n))$ . This sequence of insertion and deletion gives us the  $n$  numbers in increasing order, effectively sorting them. Since the keys can only be accessed by pairwise comparison, this is a comparison sorting algorithm of  $\omega(\log(n))$ . However, we know comparison based sorting algorithms has a lower bound of  $\Omega(\log(n))$ . Contradiction. Therefore the claim must be true.

## Question 2

i)

Linking (adding one tree as a leftmost child of another) is obviously constant time. Insertion is just linking the heap with a new heap of one node. Merging is a linking. Decrease key is removing a tree (same as removing a child from the parent node, which is constant time) and a linking. Since these operations only involve one or two constant time operations, they are  $O(1)$ .

The only steps to take during deletion is to delete the root node and consolidate all of its children into a single tree. Suppose the root has  $r$  children, then after removing the root you are left with  $r$  separate trees. It'd take a constant time operation to decrease the number of trees left by one, so total cost is  $\Theta(r)$ . Since  $r \leq n$ , and if the tree has only two layers then  $r = n - 1$ , we conclude deletion cost  $\Theta(n)$  worst case.

ii)

$\text{cost}(i)$  is the cost for severing the root ( $O(1)$ ) and joining the children of the root together. Let  $k$  be the number of children the root has. Each joining operation removes one tree and takes  $O(1)$ . Since there are  $k$  trees and we apply join until only one tree is left, this takes  $O(k - 1)$ . Together with the sever, this is  $O(k)$ . Since  $k \leq n$ ,  $\text{cost}(i)$  is  $O(n)$ .

Let  $\Phi_i$  be the number of nodes in the tree,  $\Phi_0$  is zero and  $\Phi_n$  is non-negative. Then we have  $\Phi_n > \Phi_0$ . Change in potential from deletion is -1.  $\text{charge}(i) = \text{cost}(i) + \Phi_i - \Phi_{i-1} = O(n) - 1 = O(n)$