## MATH 161, Autumn 2024 SCRIPT 3: Introducing a Continuum

This sheet introduces a continuum C through a series of axioms.

**Axiom 1.** A continuum is a nonempty set C.

We often refer to elements of C as points.

**Definition 3.1.** Let X be a set. An *ordering* on the set X is a subset < of  $X \times X$ , with elements  $(x, y) \in <$  written as x < y, satisfying the following properties:

- (a) (Trichotomy)
  - For all  $x, y \in X$  exactly one of the following holds: x < y, y < x or x = y.
- (b) (Transitivity) For all  $x, y, z \in X$ , if x < y and y < z then x < z.

**Remarks 3.2.** a) In mathematics "or" is understood to be inclusive unless stated otherwise. So in a) above, the word "exactly" is needed.

- b) x < y may also be written as y > x.
- c) By  $x \le y$ , we mean x < y or x = y; similarly for  $x \ge y$ .

**Axiom 2.** A continuum C has an ordering <.

**Definition 3.3.** If  $A \subset C$  is a subset of C, then a point  $a \in A$  is a *first* point of A if, for every element  $x \in A$ , either a < x or a = x. Similarly, a point  $b \in A$  is called a *last* point of A if, for every  $x \in A$ , either x < b or x = b.

**Lemma 3.4.** If A is a nonempty, finite subset of a continuum C, then A has a first and last point.

*Proof.* We prove this by induction on  $|A| = n \in \mathbb{N}$ .

For the base case, n = 1, so let the singleton set  $A = \{x\}$ . Thus, x is both the first and last point of A.

For the inductive step, we want to show that if the set A with cardinality n, denoted  $A_n$ , has a first and last point, then  $A_{n+1}$  has a first and last point.

Consider  $A' = A_{n+1} \setminus \{x\}$  for some  $x \in A_{n+1}$ . Then, we know that |A'| = n, so A' has a first point (let this be F) and a last point (let this be L).

Then, consider  $x_0 \in A_{n+1}$ . By the ordering < on C, we know that either  $x_0 < F$  or  $x_0 = F$  or  $x_0 > F$ .

If x < F, then x is the first point of  $A_{n+1}$ . If x = F, then  $x \in A_{n+1} \setminus \{x\}$  and this is a contradiction. If x > F, then F is the first point of  $A_{n+1}$ .

Therefore, if a set with cardinality n has a first and last point, then a set with cardinality n+1 has a first and last point. This completes the proof.

QED

**Theorem 3.5.** Suppose that A is a set of n distinct points in a continuum C, or, in other words,  $A \subset C$  has cardinality n. Then symbols  $a_1, \ldots, a_n$  may be assigned to each point of A so that  $a_1 < a_2 < \cdots < a_n$ , i.e.  $a_i < a_{i+1}$  for  $1 \le i \le n-1$ .

*Proof.* We prove this by induction on n.

For the base case, n = 1, so let the singleton set  $A = \{a\}$ . Then,  $a = a_1$  and this completes the base case.

For the inductive step, consider the set  $A_{n+1}$  with cardinality n+1. By Lemma 3.4, we know that  $A_{n+1}$  has a last point (let this be denoted by  $a_{max}$ ). Define  $A' = A \setminus \{a_{max}\}$ . Then, we know that |A'| = n.

By the inductive hypothesis, A' is a set of cardinality n, hence A' can be written as  $a_1 < a_2 < \cdots < a_n$ . Then, we know that A can be written as  $a_1 < a_2 < \cdots < a_n < a_{max}$ . This completes the proof.

**QED** 

**Definition 3.6.** If  $x, y, z \in C$  and either (i) both x < y and y < z or (ii) both z < y and y < x, then we say that y is between x and z.

Corollary 3.7. Of three distinct points in a continuum, one must be between the other two.

*Proof.* Let  $x, y, z \in C$  such that  $x \neq y, x \neq z, y \neq z$ .

Then, let  $A \subset C$  such that  $A = \{x, y, z\}$ . We know that A can be expressed as  $\{a_1, a_2, a_3\}$ , and moreover these can be written as  $a_1 < a_2 < a_3$ . Then,  $a_1 < a_2$  and  $a_2 < a_3$ , so  $a_2$  is between  $a_1$  and  $a_3$ .

**QED** 

## **Axiom 3.** A continuum C has no first or last point.

In the next exercise we show that the integers and the rationals both have orderings that satisfy Axioms 1-3.

**Exercise 3.8.** a) We define a relation < on  $\mathbb{Z}$  by m < n if n = m + c for some  $c \in \mathbb{N}$ . Show that,  $\mathbb{Z}$ , with the ordering <, satisfies Axioms 1-3.

*Proof.* We know that  $-1 \in \mathbb{Z}$ , hence  $\mathbb{Z} \neq \emptyset$ , so it satisfies Axiom 1.

For Axiom 2, we want to show that transitivity holds for the ordering <. We want to show that if  $x_1 < x_2$  and  $x_2 < x_3$ , then  $x_1 < x_3$ .

We know that  $x_2 = x_1 + c_1$  and  $x_3 = x_2 + c_2$ . Hence,  $x_3 = x_1 + c_1 + c_2$ . Let  $c_3 = c_1 + c_2$ , then  $x_3 = x_1 + c_3$ , hence  $x_3 > x_1$ .

We know that  $\mathbb{Z}$  goes from negative infinity to positive infinity, so it has no first or last point, so it satisfies Axiom 3. This completes the proof.

**QED** 

b) Show that, for any  $p = \left\lceil \frac{a}{b} \right\rceil \in \mathbb{Q}$ , there is some  $(a_1, b_1) \in p$  with  $0 < b_1$ .

*Proof.* We know that  $b \neq 0$ , so we proceed by cases.

Case 1: b > 0 and we are done.

Case 2: b < 0. Then, let a' = -a and b' = -b. We want to show that  $\left[\frac{a}{b}\right] = \left[\frac{a'}{b'}\right]$ .

 $a \cdot -b = -a \cdot b = -ab$ , hence  $ab' \sim ba'$ , hence  $(a,b) \sim (a',b')$ , hence  $\left[\frac{a}{b}\right] = \left[\frac{a'}{b'}\right]$ . Then,  $p = \left[\frac{a'}{b'}\right]$  and this completes the proof.

QED

c) We define a relation  $<_{\mathbb{Q}}$  on  $\mathbb{Q}$  as follows. For  $p,q \in \mathbb{Q}$ , let  $(a_1,b_1) \in p$  be such that  $0 < b_1$ , and let  $(a_2,b_2) \in q$  be such that  $0 < b_2$ . Then we define  $p <_{\mathbb{Q}} q$  if  $a_1b_2 < a_2b_1$ . Show that  $<_{\mathbb{Q}}$  is a well-defined relation on  $\mathbb{Q}$ .

*Proof.* We want to show that if  $\left[\frac{a}{b}\right] = \left[\frac{a'}{b'}\right]$  and  $\left[\frac{c}{d}\right] = \left[\frac{c'}{d'}\right]$  with b, b', d, d' > 0 and ad < bc, then a'd' < b'c'.

We know that ab' = a'b and cd' = c'd. Hence ab'dd' = a'bdd', where we are multiplying by dd' since d, d' > 0.

Then, ab'dd' = adb'd' < bcb'd'. Therefore ab'dd' < bcb'd', hence ab'dd' < cd'bb', hence ab'dd' < c'dbb'.

Hence, a'd'bd < b'c'bd, hence a'd' < b'c'. This completes the proof.

QED

d) Show that  $\mathbb{Q}$ , with the ordering  $<_{\mathbb{Q}}$ , satisfies Axioms 1-3.

*Proof.* We know that  $[1/2] \in \mathbb{Q}$ , hence  $\mathbb{Q} \neq \emptyset$ , so it satisfies Axiom 1.

For Axiom 2, we want to show that transitivity holds for the ordering <. We want to show that if  $\left[\frac{a}{b}\right] < \left[\frac{c}{d}\right]$  and  $\left[\frac{c}{d}\right] < \left[\frac{e}{f}\right]$ , then  $\left[\frac{a}{b}\right] < \left[\frac{e}{f}\right]$ .

Since ad < bc and cf < de, we know that adf < bcf and bcf < bde, hence adf < bde and af < be.

We know that  $\mathbb{Q}$  goes from negative infinity to positive infinity, so it has no first or last point, so it satisfies Axiom 3. This completes the proof.

**QED** 

**Definition 3.9.** If  $a, b \in C$  and a < b, then the set of points between a and b is called a *region*, denoted by ab.

**Warning 3.10.** One often sees the notation (a, b) for regions. We will reserve the notation (a, b) for ordered pairs in a product  $A \times B$ . These are very different things.

**Theorem 3.11.** If x is a point of a continuum C, then there exists a region  $\underline{ab}$  such that  $x \in \underline{ab}$ .

*Proof.* By the axioms of a continuum, we know that C does not have a first point, hence there exists  $a \in C$  such that a < x. Similarly, we know that C does not have a last point, hence there exists  $b \in C$  such that x < b.

Since a < x < b, we know that there exists a region  $\underline{ab}$  such that  $x \in \underline{ab}$ . This completes the proof.

**QED** 

We now come to one of the most important definitions of this course:

**Definition 3.12.** Let A be a subset of a continuum C. A point p of C is called a *limit point* of A if every region R containing p has nonempty intersection with  $A \setminus \{p\}$ . Explicitly, this means:

for every region 
$$R$$
 with  $p \in R$ , we have  $R \cap (A \setminus \{p\}) \neq \emptyset$ .

Notice that we do not require that a limit point p of A be an element of A. We will use the notation LP(A) to denote the set of limit points of A.

**Theorem 3.13.** If  $A \subset B$ , then  $LP(A) \subset LP(B)$ .

*Proof.* Suppose  $x \in LP(A)$ . Then, for every region R with  $x \in R$ , there exists  $y \in R \cap (A \setminus \{x\})$  such that  $y \neq x, y \in R, y \in A, y \in B$ , where the last statement follows since  $A \subset B$ .

Then, we know that since  $y \in R, y \neq x, y \in B$ , therefore  $y \in R \cap (B \setminus \{x\})$ . Hence,  $R \cap (B \setminus \{x\}) \neq \emptyset$ , therefore  $x \in LP(B)$ .

Hence,  $LP(A) \subset LP(B)$ . This completes the proof.

QED

**Definition 3.14.** If  $\underline{ab}$  is a region in a continuum C, then  $C \setminus (\{a\} \cup \underline{ab} \cup \{b\})$  is called the *exterior* of ab and is denoted by ext ab.

**Lemma 3.15.** If  $\underline{ab}$  is a region in a continuum C, then

$$\operatorname{ext} \underline{ab} = \{ x \in C \mid x < a \} \cup \{ x \in C \mid b < x \}.$$

*Proof.* Suppose  $x \in \text{ext } \underline{ab}$ . Then,  $x \notin \{a\}, x \notin \{b\}, x \in \underline{ab}$ . Hence, either x < a or b < x. Therefore,  $x \in \{x \in C \mid x < a\} \cup \{x \in C \mid b < x\}$ . Hence,  $\text{ext } \underline{ab} \subset \{x \in C \mid x < a\} \cup \{x \in C \mid b < x\}$ .

Suppose  $x \in \{x \in C \mid x < a\} \cup \{x \in C \mid b < x\}$ . Then,  $x \notin \{a\} \cup \underline{ab} \cup \{b\}$ . Hence,  $x \in \text{ext }\underline{ab}$ . Hence,  $\{x \in C \mid x < a\} \cup \{x \in C \mid b < x\} \subset \text{ext }\underline{ab}$ .

This completes the proof.

QED

**Lemma 3.16.** No point in the exterior of a region is a limit point of that region. No point of a region is a limit point of the exterior of that region.

*Proof.* Suppose  $x \in \text{ext } \underline{ab}$ .

Case 1: x < a. Let  $c \in C$  such that c < x < a. Then,  $\underline{ca} \cap \underline{ab} = \emptyset = \underline{ca} \cap \underline{ab} \setminus \{x\}$ . Hence,  $x \notin LP(ab)$ .

Case 2: x > b. Let  $c \in C$  such that b < x < c. Then,  $\underline{bc} \cap \underline{ab} = \emptyset = \underline{bc} \cap \underline{ab} \setminus \{x\}$ . Hence,  $x \notin LP(\underline{ab})$ .

This proves that no point in the exterior of a region is a limit point of that region.

Next, suppose  $x \in \underline{ab}$ . Then,  $x \notin \operatorname{ext} \underline{ab}$ . Hence,  $\operatorname{ext} \underline{ab} \setminus \{x\} = \operatorname{ext} \underline{ab}$ . Therefore,  $\underline{ab} \cap (\operatorname{ext} \underline{ab} \setminus \{x\}) = \underline{ab} \cap \operatorname{ext} \underline{ab} = \emptyset$ . Hence,  $x \notin LP(\operatorname{ext} \underline{ab})$ .

This proves that no point of a region is a limit point of the exterior of that region.

QED

**Theorem 3.17.** If two regions have a point x in common, their intersection is a region containing x.

*Proof.* Let  $x \in \underline{ab}, x \in \underline{cd}$ , and let  $e = \max\{a, c\}, f = \min\{b, d\}$ . Then, we know that a < x < b and c < x < d, hence  $\max\{a, c\} < \min\{b, d\}$ , hence e < f. We want to show that  $\underline{ab} \cap \underline{cd} = ef$ .

Suppose  $y \in \underline{ab} \cap \underline{cd}$ . Then, a < y < b and c < y < d, hence e < y < f, hence  $y \in \underline{ef}$ , hence  $(\underline{ab} \cap \underline{cd}) \subset ef$ .

Suppose  $y \in \underline{ef}$ . Then, e < y < f, hence  $\max\{a,c\} < y < \min\{b,d\}$ . Hence,  $y \in \underline{ab}$  and  $y \in \underline{cd}$ , hence  $y \in \underline{ab} \cap \underline{cd}$ . Therefore,  $ef \subset (\underline{ab} \cap \underline{cd})$ .

**QED** 

**Corollary 3.18.** If n regions  $R_1, \ldots, R_n$  have a point x in common, then their intersection  $R_1 \cap \cdots \cap R_n$  is a region containing x.

*Proof.* We prove this by induction on n.

For the base case, we want to show that if two regions  $R_1, R_2$  have a point x in common, then their intersection  $R_1 \cap R_2$  is a region containing x. We know this from Theorem 3.17.

For the inductive step, we want to show that if n regions  $R_1, \ldots, R_n$  have a point x in common and if  $R_{n+1}$  is a region containing x, then the intersection  $R_1 \cap \cdots \cap R_n \cap R_{n+1}$  is a region containing x

By the inductive hypothesis, let  $M = R_1 \cap \cdots \cap R_n$  be a region containing x. Then, we want to show that  $M \cap R_{n+1}$  is a region containing x. We know that M and  $R_{n+1}$  are regions that contain x, so this follows from Theorem 3.17, and this completes the proof.

**QED** 

**Theorem 3.19.** Let A, B be subsets of a continuum C. Then  $LP(A \cup B) = LP(A) \cup LP(B)$ .

*Proof.* First, we show that  $LP(A) \cup LP(B) \subset LP(A \cup B)$ . By Theorem 3.13, we know that  $A \subset A \cup B$ , so  $LP(A) \subset LP(A \cup B)$ . Similarly,  $B \subset A \cup B$ , so  $LP(B) \subset LP(A \cup B)$ . Hence,  $LP(A) \cup LP(B) \subset LP(A \cup B)$ .

Next, we show that  $LP(A \cup B) \subset LP(A) \cup LP(B)$ . Suppose  $x \in LP(A \cup B)$ , then we want to show that  $x \in LP(A) \cup LP(B)$ . Suppose  $x \notin LP(A) \cup LP(B)$ . This is equivalent to  $x \notin LP(A)$ ,  $x \notin LP(B)$ .

Hence, there exists a region  $R_A$  such that  $x \in R_A$  and  $R_A \cap A \setminus \{x\} = \emptyset$ , and there exists a region  $R_B$  such that  $x \in R_B$  and  $R_B \cap B \setminus \{x\} = \emptyset$ .

Let a region  $R = R_A \cap R_B$ . Then,  $R \subset R_A$ . Hence,  $(R \cap A \setminus \{x\}) \subset (R_A \cap A \setminus \{x\})$ . Since the RHS is empty, the LHS must also be empty. Similarly,  $R \subset R_B$ . Hence,  $(R \cap B \setminus \{x\}) \subset (R_B \cap B \setminus \{x\})$ . Since the RHS is empty, the LHS must also be empty.

 $R \cap (A \cup B) \setminus \{x\} = (R \cap A \setminus \{x\}) \cup (R \cap B \setminus \{x\})$ . Since both sets on the RHS are empty, their union must be empty, hence the LHS must be empty. However, this contradicts our original assumption that  $R \cap (A \cup B) \setminus \{x\} \neq \emptyset$ , since  $x \in LP(A \cup B)$ .

**QED** 

**Corollary 3.20.** Let  $A_1, \ldots, A_n$  be n subsets of a continuum C. Then p is a limit point of  $(A_1 \cup \cdots \cup A_n)$  if, and only if, p is a limit point of at least one of the sets  $A_k$ .

*Proof.* We prove this by strong induction on n.

For the base case, let n=2. Then, by Theorem 3.19, we know that  $LP(A_1 \cup A_2) = LP(A_1) \cup LP(A_2)$ .

For the inductive step, suppose  $LP(A_1 \cup A_2 \cup \cdots \cup A_n) = LP(A_1) \cup LP(A_2) \cup \cdots \cup LP(A_n)$ . Then,  $LP(A_1 \cup A_2 \cup \cdots \cup A_n \cup A_{n+1}) = LP(A_1 \cup A_2 \cup \cdots \cup A_n) \cup LP(A_{n+1}) = LP(A_1) \cup LP(A_2) \cup \cdots \cup LP(A_n) \cup LP(A_{n+1})$ .

This completes the proof.

**QED** 

**Theorem 3.21.** If p and q are distinct points of a continuum C, then there exist disjoint regions R and S containing p and q, respectively.

*Proof.* We know that  $p \neq q$ . Since a continuum has an ordering <, suppose without loss of generality that p < q. Since a continuum does not have a first or last point, let  $a, b \in C$  such that a < p, b > q.

Case 1:  $\exists x \in C$  such that p < x < q. Then, let region  $R = \underline{ax}$  and let region  $S = \underline{xb}$ .

Since  $a , we know that <math>p \in R$ . Since x < q < b, we know that  $q \in S$ .

Suppose R and S are not disjoint, i.e., there exists some  $y \in C$  such that  $y \in R, y \in S$ . Then, a < y < x and x < y < b, i.e., y < x and x < y, and this contradicts the trichotomy of the ordering <. Hence, R and S are disjoint.

Case 2:  $\not\exists x \in C$  such that p < x < q. Then, let region R = aq and let region S = pb.

Since  $a , we know that <math>p \in R$ . Since p < q < b, we know that  $q \in S$ .

Suppose R and S are not disjoint, i.e., there exists some  $y \in C$  such that  $y \in R, y \in S$ . Then, a < y < q and p < y < b, i.e., p < y < q, and this contradicts our original assumption that  $\not\exists y \in C$  such that p < y < q. Hence, R and S are disjoint.

This completes the proof.

**QED** 

Corollary 3.22. A subset of a continuum C consisting of one point has no limit points.

*Proof.* Let  $A \subset C$  such that  $A = \{a\}$ . We want to show that  $LP(A) = \emptyset$ .

Suppose  $LP(A) \neq \emptyset$ , then let  $p \in LP(A)$ . It suffices to show that there exists a region R such that  $R \cap (A \setminus \{p\}) = \emptyset$ .

Case 1: p = a. Then,  $A \setminus \{p\} = A \setminus \{a\} = \{a\} \setminus \{a\} = \emptyset$ . Hence,  $R \cap \emptyset = \emptyset$ .

Case 2:  $p \neq a$ . Then,  $A \setminus \{p\} = A$ . Hence, we want to show that there exists a region R that contains p such that  $R \cap A = \emptyset$ . It suffices to show that there exists a region R such that  $p \in R, a \notin R$ .

Subcase 2a: p > a. Then, let  $b \in C$  such that b > p and let  $R = \underline{ab}$ . Since  $a , <math>p \in R$  and  $a \notin R$ .

Subcase 2b: p < a. Then, let  $b \in C$  such that b < p and let  $R = \underline{ba}$ . Since  $b , <math>p \in R$  and  $a \notin R$ .

This completes the proof.

QED

**Theorem 3.23.** A finite subset A of a continuum C has no limit points.

*Proof.* Let  $A_n \subset C$  such that  $|A_n| = n$ . Then, we prove this theorem by induction on n.

For the base case, n = 1, i.e., A consists of one point. Corollary 3.22 completes the base case.

For the inductive step, we want to show that if  $LP(A_n) = \emptyset$ , then  $LP(A_{n+1}) = \emptyset$ .

We know that any finite subset of a continuum has a last point. Hence, let  $a_{max}$  denote the last point of  $A_{n+1}$ . Then, we know that  $|A_{n+1} \setminus \{a_{max}\}| = n$ . Hence, by the inductive hypothesis,  $LP(A_{n+1} \setminus \{a_{max}\}) = \emptyset$ . We also know from Corollary 3.22 that  $LP(\{a_{max}\}) = \emptyset$ .

By Theorem 3.19,  $LP(A_{n+1}) = LP(A_{n+1} \setminus \{a_{max}\}) \cup LP(a_{max}) = \emptyset \cup \emptyset = \emptyset$ . This completes the proof.

**QED** 

**Corollary 3.24.** If A is a finite subset of a continuum C and  $x \in A$ , then there exists a region R, containing x, such that  $A \cap R = \{x\}$ .

*Proof.* Since A is finite,  $LP(A) = \emptyset$ . Hence, there exists some region R such that  $x \in R$  and  $R \cap A \setminus \{x\} = \emptyset$ .

We can write  $A \cap R = A \cap (R \setminus \{x\} \cup \{x\}) = (A \cap (R \setminus \{x\})) \cup (A \cap \{x\}) = \emptyset \cup \{x\} = \{x\}$ . This completes the proof.

**QED** 

**Theorem 3.25.** If p is a limit point of A and R is a region containing p, then the set  $R \cap A$  is infinite.

*Proof.* Assume  $R \cap A$  is finite. Then,  $LP(R \cap A) = \emptyset$ , hence  $p \notin LP(R \cap A)$ .

Hence, there exists a region R' such that  $p \in R'$  and  $R' \cap R \cap A \setminus \{p\} = \emptyset$ .

Then,  $p \in R'$ ,  $p \in R$ , hence  $R' \cap R$  is a region containing p, and let this be S, i.e.,  $p \in S$ .

Then,  $S \cap A \setminus \{p\} = \emptyset$ , hence  $p \notin LP(A)$ .

QED

**Exercise 3.26.** Find realizations of a continuum (C, <). That is, find concrete sets C endowed with a relation < satisfying all of the axioms (so far). Are they the same? What does "the same" mean here?

*Proof.*  $\mathbb{Q}$ ,  $\mathbb{Z}$  are realizations of a continuum.

**QED** 

## Additional Exercises

In all exercises you are expected to prove your answer, unless explicitly stated otherwise.

1. Show that for all  $p, q \in \mathbb{Q}$  such that  $p <_{\mathbb{Q}} q$ , there is some  $r \in \mathbb{Q}$  with  $p <_{\mathbb{Q}} r <_{\mathbb{Q}} q$ .

*Proof.* Let  $r = \frac{p+q}{2}$ . Then, p < q, hence 2p < p+q, hence  $\frac{2p}{2} < \frac{p+q}{2}$ , hence p < r.

Next, p < q, hence p + q < 2q, hence  $\frac{p+q}{2} < \frac{2q}{2}$ , hence r < q.

Therefore, p < r < q. This completes the proof.

**QED** 

2. By identifying  $n \in \mathbb{Z}$  with  $\left[\frac{n}{1}\right] \in \mathbb{Q}$  we can think of  $\mathbb{Z}$  as a subset of  $\mathbb{Q}$ . We shall write n to mean  $\left[\frac{n}{1}\right]$ . Show that for all  $p \in \mathbb{Q}$ , there is some  $n \in \mathbb{Z}$  such that  $p <_{\mathbb{Q}} n$ .

*Proof.* Let  $p = \begin{bmatrix} \frac{a}{b} \end{bmatrix}$  for  $a \in \mathbb{Z}, b \in \mathbb{N}$ .

Case 1: If a = 0, let n = 1. Then,  $p = [\frac{0}{1}] < 1 = n$ .

Case 2: If a > 0, let n = a. Then,  $p = \left[\frac{a}{b}\right] < a = n$ .

Case 3: If a < 0, let n = 0. Then,  $p = [\frac{a}{b}] < 0 = n$ .

This completes the proof.

**QED** 

3.

**Definition 3.27.** Given two sets A and B, we say that  $A \subseteq B$  if  $A \subset B$  and  $A \neq B$ .

For each set X and subset  $<_X \subset X \times X$ , determine if  $<_X$  is an ordering:

- (a) Let  $X = \wp(\mathbb{N})$  and  $<_X = \{(A, B) \in \wp(\mathbb{N}) \times \wp(\mathbb{N}) | A \subset B\}$ .
- (b) Let  $X = \{ \{x \in \mathbb{N} | x \le n\} \in \wp(\mathbb{N}) | n \in \mathbb{N} \}$  and  $A = \{ (A, B) \in X \times X | A \subsetneq B \}$ .
- (c) Let  $X = \{f \subset \mathbb{N} \times \mathbb{N} | f \text{ is a function}\}\$ and  $<_X = \{(f,g) \in X \times X | f(n) < g(n) \text{ for all } n \in \mathbb{N}\}.$
- (d) Let  $X = \{f \subset \mathbb{N} \times \mathbb{N} | f \text{ is a function} \}$  and  $<_X = \{(f,g) \in X \times X | f(n) \leq g(n) \text{ for all } n \in \mathbb{N} \}$  and there exists  $n \in \mathbb{N}$  such that  $f(n) < g(n) \}$ .

(For the purposes of this exercise, "<" means the usual ordering on  $\mathbb{N}$ , i.e. if  $m, n \in \mathbb{N}$  then m < n if and only if n = m + k for some  $k \in \mathbb{N}$ .)

- 4. Which of the following pairs of a set and an ordering satisfy Axioms 1,2, and 3 (and are thus examples of a "continuum")?
  - i)  $C_1 = \{[n] \in \mathcal{P}(\mathbb{N}) \mid n \in \mathbb{N} \cup \{0\}\}$ , where, for  $[n], [m] \in C_1$ , we say  $[n] <_{C_1} [m]$  if  $[n] \subsetneq [m]$ ;
  - ii)  $C_2 = \mathbb{Z}$ , where  $<_{\mathbb{Z}}$  is the usual ordering on  $\mathbb{Z}$ ;
  - iii)  $C_3 = \mathbb{Z} \times \mathbb{N}$ , where, for  $(a, b), (x, y) \in \mathbb{Z} \times \mathbb{N}$  we say that  $(a, b) <_3 (x, y)$  if a < x or if a = x and b < y.
- 5. Find, without proof, the exterior of each region.
  - (a) Let  $C_2$  and  $<_{\mathbb{Z}}$  be as in Exercise 5. Let  $R = \underline{38}$ .
  - (b) Let  $C_3$  and  $<_3$  be as in Exercise 5. Let R = (-2, 5)(-2, 10).
  - (c) Let  $C_3$  and  $<_3$  be as in Exercise 5. Let R = (-2, 5)(1, 10).
- 6. Find the limit points of each region.
  - (a) Let  $C_2$  and  $<_{\mathbb{Z}}$  be as in Exercise 5. Let  $R = \underline{38}$ .
  - (b) Let  $C_3$  and  $<_3$  be as in Exercise 5. Let R = (-2, 5)(-2, 10).
  - (c) Let  $C_3$  and  $<_3$  be as in Exercise 5. Let R = (-2, 5)(1, 10).

7. Let A and B be realizations of the continuum, with orderings  $<_A, <_B$ , respectively. We say that A and B are isomorphic if there is a bijection  $f: A \longrightarrow B$  such that

$$a_1 <_A a_2 \Longrightarrow f(a_1) <_B f(a_2).$$

Show that  $\mathbb{Z}$  and  $\mathbb{Q}$  (with the orderings given in Scripts 1 and 2) are realizations of the continuum that are *not* isomorphic.

*Proof.* Suppose  $f: \mathbb{Q} \to \mathbb{Z}$  such that  $a_1 <_{\mathbb{Q}} a_2 \Longrightarrow f(a_1) <_{\mathbb{Z}} f(a_2)$  and f is bijective.

Let  $a_1 = \begin{bmatrix} 0 \\ 1 \end{bmatrix}$  and  $a_2 = \begin{bmatrix} 1 \\ 1 \end{bmatrix}$ . Then, we know by the ordering  $<_{\mathbb{Q}}$  that  $a_1 <_{\mathbb{Q}} a_2$ .

Let  $f(a_1) = z_1$  and  $f(a_2) = z_2$ , then we know by our original assumption that  $z_1 <_{\mathbb{Z}} z_2$ .

Then, for all q such that  $q \in \underline{01}$ , we have that  $f(q) \in \underline{z_1 z_2}$ . However, there are finitely many points  $z \in \mathbb{Z}$  in the region  $\underline{z_1 z_2}$ , but infinitely many points  $q \in \mathbb{Q}$  in the region  $\underline{01}$ , hence by the pigeonhole principle f cannot be injective, hence it cannot be bijective. This is a contradiction.

**QED** 

- 8. Suppose that  $R_1, R_2, \ldots$  are regions in a continuum C and that  $x \in LP(\bigcup_{i=1}^{\infty} R_i)$ . Is it true that there exists  $i \in \mathbb{N}$  such that  $x \in LP(R_i)$ ? Does this answer change if we add the additional hypothesis that there exists  $k \in \mathbb{N}$  such that  $x \in R_k$ ?
- 9. Show that any continuum C satisfying Axioms 1-3 is infinite and write C as a union of regions in C.

*Proof.* Assume continuum C is finite. By Axiom 1,  $C \neq \emptyset$ . By Axiom 2 for the ordering and Theorem 3.5, C can be written as  $a_1 < a_2 < \cdots < a_n$ , where n = |C|. Then,  $a_1$  is the first point of C and  $a_n$  is the last point of C, and this violates Axiom 3, which says that C has no first or last point. Hence, C is infinite.

By Theorem 3.11, if  $p \in C$ , then there exists a region  $R_p$  such that  $p \in R_p$ . Then, we know by Axiom of Choice that we can select points p in a continuum C, hence  $C = \bigcup_{p \in C} R_p$ .

QED

10. Prove that if  $A \subset \mathbb{Z}$ , A has no limit points.

*Proof.* We know that if  $A \subset \mathbb{Z}$ , then  $LP(A) \subset LP(\mathbb{Z})$ , hence it suffices to show that  $LP(\mathbb{Z}) = \emptyset$ .

Assume  $LP(\mathbb{Z}) \neq \emptyset$ , then let  $p \in LP(\mathbb{Z})$ . We want to show that there exists some region R such that  $p \in R, R \cap \mathbb{Z} \setminus \{p\} = \emptyset$ .

Let R = (p-1)(p+1). Then, we know that  $p-1 , hence <math>p \in R$ .

If p is a limit point of  $\mathbb{Z}$ , then by Theorem 3.25,  $R \cap \mathbb{Z}$  is infinite, and this is a contradiction.

QED

- 11. Let  $i^2 = -1$  and define  $\mathbb{Z}[i] = \{a + bi \mid a, b \in \mathbb{Z}\}$ . Define an ordering on  $\mathbb{Z}[i]$  by a + bi < c + di if, and only if, either a < c, or a = c and b < d.
  - (a) Prove that < is indeed an ordering. (So you must verify the properties in Definition 3.1. Note that you may assume the usual properties of  $\mathbb{Z}$  see Script 0 for full details.)
  - (b) Prove that, with this ordering,  $\mathbb{Z}[i]$  satisfies Axioms 1,2 and 3, so is a realization of the continuum as defined so far.

\*\*\*\*\*Food For Thought\*\*\*\*

1 - Suppose  $C_1, C_2, \ldots$  are continua, where  $<_i$  is the order of  $C_i$ . Prove that the following are continua:

a -  $C_1 \times C_2$  equipped with lexicographic order  $<_L$ , that is,

 $(x,y) <_L (u,v)$  if  $x <_1 u$  or  $(x = u \text{ and } y <_2 v)$ .

*Proof.* Since  $C_1 \neq \emptyset$ ,  $C_2 \neq \emptyset$ , we know that  $C_1 \times C_2 \neq \emptyset$ . This satisfies Axiom 1. transitive trichotomy

Let  $(p,q) \in C_1 \times C_2$ . Since  $C_1$  has no first point, there exists  $p' \in C_1$  such that p' < p. Then,  $(p',q) <_L (p,q)$ . Since  $(p,q) \in C_1$  is arbitrary,  $C_1 \times C_2$  has no first point.

Let  $(p,q) \in C_1 \times C_2$ . Since  $C_2$  has no last point, there exists  $q' \in C_2$  such that q < q'. Then,  $(p,q) <_L (p,q')$ . Since  $(p,q) \in C_1$  is arbitrary,  $C_1 \times C_2$  has no last point. This satisfies Axiom 3.

QED

b -  $\prod_{i=1}^{n} C_i$  (i.e.,  $C_1 \times \cdots \times C_n$ ) equipped with lexicographic order  $<_L$ , that is,  $(x_1, \ldots, x_n) <_L (y_1, \ldots, y_n)$  if there exists  $i \in [n]$  such that  $x_j = y_j$  for every  $j \in [i-1]$  and  $x_i <_i y_i$ .

*Proof.* strong induction on n

QED

c -  $\prod_{i \in \mathbb{N}} C_i$  (i.e., the set of infinite sequences  $(x_i)_{i \in \mathbb{N}}$  such that  $x_i \in C_i$  for every  $i \in \mathbb{N}$ ) equipped with lexicographic order  $<_L$ , that is,

 $(x_i)_{i\in\mathbb{N}} <_L (y_i)_{i\in\mathbb{N}}$  if there exists  $i\in\mathbb{N}$  such that  $x_j=y_j$  for every  $j\in[i-1]$  and  $x_i<_i y_i$ .

2 - Using the constructions above of continua (along with the fact that  $\mathbb{Z}$  and  $\mathbb{Q}$  are continua), decide how many "different" continua you can get considering  $C_1 \times \cdots \times C_n$  where each  $C_i$  is either  $\mathbb{Z}$  or  $\mathbb{Q}$ . What about if you also consider  $\prod_{i \in \mathbb{N}} C_i$  where each  $C_i$  is either  $\mathbb{Z}$  or  $\mathbb{Q}$ ?