# Lighting and Shading

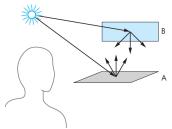
Thumrongsak Kosiyatrakul tkosiyat@cs.pitt.edu

### From 2D to 3D

- Without lighting effect, a sphere looks flat regardless of type of projection
- A lighting effect gives two-dimensional images an effect that make it looks like three-dimensional images
- We need to model the following:
  - light sources
  - light-material interactions
- Lighting model can be applied in various parts:
  - application,
  - vertex shader, or
  - fragment shader

# Light and Matter

- We are going to render our image based on physics
- A surface can either emits light, reflect right, or both
- The color of a point of an object is a result of multiple interactions

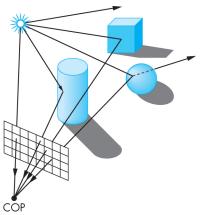


- Light can reflex back and forth among objects multiple times before it reaches the viewer
  - Requires a lot of calculations which is not suitable for real-time rendering
  - Need some approximate approaches



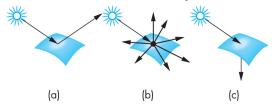
# Light and Matter

 We are only interested in light that enter the viewer (projection) plane



# Light-Material Interaction

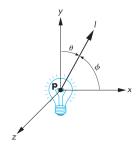
• Light interacts with materials differently:



- (a) **Specular surfaces**: Shiny reflect light in a narrow angle
- (b) **Diffuse surfaces**: Matte reflect light equally in all direction
- (c) **Translucent surfaces**: Transparent let light pass through (reflect some)

# Light Sources

- A light source emits light
- Every point (x, y, z) on the surface of a light source has its own characteristic:
  - ullet intensity of energy emitted at each wave length  $\lambda$ , and
  - direction of emission  $\theta$  and  $\phi$

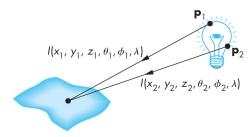


• Illumination function  $I(x, y, z, \theta, \phi, \lambda)$ 



# Light Sources

 For multiple light sources, we need to integrate all light sources



### Color Sources

- We are going to use human visual system which is based on three colors, red, green, and blue
- Each color has its own characteristic
- Thus each light source can be defined as luminance function as

$$\mathbf{I} = egin{bmatrix} I_{\mathsf{r}} \ I_{\mathsf{g}} \ I_{\mathsf{b}} \end{bmatrix}$$

# Ambient Light

- Ambient light gives a uniform illumination
- For simplicity, assuming that intensity of ambient light is identical at every point in the scene
- An ambient source also contains three color components:

$$\mathbf{I}_a = egin{bmatrix} I_{\mathsf{ar}} \ I_{\mathsf{ag}} \ I_{\mathsf{ab}} \end{bmatrix}$$

### Point Sources

- A point source emits light evenly in all directions
- ullet The characteristic of a point source at  ${f p}_0$  can be defined as

$$\mathbf{I}(\mathbf{p}_0) = egin{bmatrix} I_\mathsf{r}(\mathbf{p}_0) \ I_\mathsf{g}(\mathbf{p}_0) \ I_\mathsf{b}(\mathbf{p}_0) \end{bmatrix}$$

- Intensity of light decreases over the distance (inverse square)
- At point  ${\bf p}$ , the intensity of light from the point source at  ${\bf p}_0$  is given by

$$\mathbf{i}(\mathbf{p}, \mathbf{p}_0) = \frac{1}{|\mathbf{p} - \mathbf{p}_0|^2} \mathbf{I}(\mathbf{p}_0)$$

For a softer effect, we use

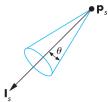
$$\mathbf{i}(\mathbf{p}, \mathbf{p}_0) = \frac{1}{a + bd + cd^2} \mathbf{I}(\mathbf{p}_0)$$

where d is the distance between  $\mathbf{p}$  and  $\mathbf{p}_0$ 

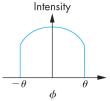


### **Spotlights**

• A spotlight create a narrow range of angle of illumination:



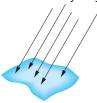
• Ideally, the intensity of a spotlight is 0 when a point is outside the cone:



ullet Generally use  $\cos^e heta$  where e determines how rapidly the light intensity drops off

### Distance Light Sources

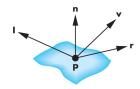
- The sun is an example of a distance light source
- Light from the sun hit all objects in the same direction
- For simplicity, we can use one vector to represent the direction of the light for every point on every object



 This can easily be done by simply change the location of the distance light source to a vector

$$\mathbf{p}_0 = \begin{bmatrix} x \\ y \\ z \\ 1 \end{bmatrix} \leadsto \mathbf{p}_0 = \begin{bmatrix} x \\ y \\ z \\ 0 \end{bmatrix}$$

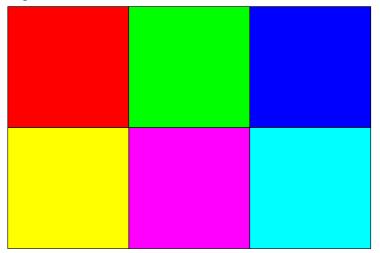
# The Phong Reflection Model



- Uses four vectors to calculate a color for an arbitrary point p
   on a surface
  - ullet n is the normal at  ${f p}$
  - v is in the direction from p to the viewer (COP)
  - ullet 1 is in the direction of a line from  ${f p}$  to light source
  - f r is in the direction that a perfectly reflected ray from l would take
- Support three types of material-light interactions
  - Ambient
  - Diffuse
  - Specular

# Light Colors

• A light source can have different color



# The Phong Reflection Model

- A light source has ambient, diffuse, and specular terms
- Each term contains three colors, red, green, and blue
- For any point  ${\bf p}$  on a surface need a  $3\times 3$  illumination matrix for each light source i:

$$\mathbf{L}_i = egin{bmatrix} L_{i\mathsf{ra}} & L_{i\mathsf{ga}} & L_{i\mathsf{ba}} \ L_{i\mathsf{rd}} & L_{i\mathsf{gd}} & L_{i\mathsf{bd}} \ L_{i\mathsf{rs}} & L_{i\mathsf{gs}} & L_{i\mathsf{bs}} \end{bmatrix}$$

- the first row represents ambient intensities of red, green, and blue
- the second row represents diffuse intensities, and
- the last row represents specular intensities.
- Code

```
vec4 light_i_ambient, light_i_diffuse, light_i_specular;
```

Use vec4 to support translucent surface



### Ambient Component of a Light Source

- Ambient component indicates the ambient light causes by a light source
- Diffuse component indicates the direct light
- Specular component indicates the light that will be used for reflection
- Generally diffuse and specular components are the same

### The Phone Reflection Model

- For each term  $L_{ix}$  contributes to the intensity at a point  ${\bf p}$  by  $R_{ix}L_{ix}$ .
- $R_{i\times}$  depends on the following:
  - the material properties,
  - the orientation of the surface,
  - the direction of the light source, and
  - the distance between the light source and the viewer
- So, for each point, we need nine coefficients

$$\mathbf{R}_{i} = \begin{bmatrix} R_{i\mathsf{ra}} & R_{i\mathsf{ga}} & R_{i\mathsf{ba}} \\ R_{i\mathsf{rd}} & R_{i\mathsf{gd}} & R_{i\mathsf{bd}} \\ R_{i\mathsf{rs}} & R_{i\mathsf{gs}} & R_{i\mathsf{bs}} \end{bmatrix}$$

Code

vec4 reflect\_i\_ambient, reflect\_i\_diffuse, reflect\_i\_specular;

 Note that the reflective properties depend on the surface material



#### Material

- Ambient color is the color of an object where it is in shadow.
   This color is what the object reflect when illuminated by ambient light rather than direct light
- Diffuse color is the color of the object under pure white light
- Specular color is the color of the light of a specular reflection

# Lighting Model

Let's look at a photo



### The Phone Reflection Model

ullet For each source i, the red intensity that we see at  ${f p}$  is

$$I_{ir} = R_{ira}L_{ira} + R_{ird}L_{ird} + R_{irs}L_{irs}$$
$$= I_{ira} + I_{ird} + I_{irs}$$

The total red intensity (from all sources) is

$$I_{\mathsf{r}} = \sum_{i} (I_{i\mathsf{ra}} + I_{i\mathsf{rd}} + I_{i\mathsf{rs}}) + I_{\mathsf{ar}}$$

where  $I_{ar}$  is the red component of the global ambient light

Similarly, total green and blue intensity from all sources are

$$I_{ extsf{g}} = \sum_{i} (I_{i extsf{ga}} + I_{i extsf{gd}} + I_{i extsf{gs}}) + I_{ extsf{ag}}$$
  $I_{ extsf{b}} = \sum_{i} (I_{i extsf{ba}} + I_{i extsf{gb}} + I_{i extsf{bs}}) + I_{ extsf{ab}}$ 



#### The Phone Reflection Model

• Since computation are the same for each source and each primary color, we can omit subscripts  $i,\ r,\ g,$  and b for simplicity

$$I = I_{\mathsf{a}} + I_{\mathsf{d}} + I_{\mathsf{s}} = L_{\mathsf{a}}R_{\mathsf{a}} + L_{\mathsf{d}}R_{\mathsf{d}} + L_{\mathsf{s}}R_{\mathsf{s}}$$

### Ambient Reflection

- The intensity of ambient light is the same at every point on the surface
- The ambient reflection  $R_a$  can simply be  $k_a$  where

$$0 \le k_a \le 1$$

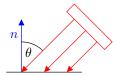
- If  $k_a = 1$ , it reflects everything back
- If  $0 < k_a < 1$ , some get absorbed by the surface
- If  $k_a = 0$ , it absorbs all the light
- Thus the intensity is  $I_a = k_a L_a$  which is a short notation for:

$$\begin{split} I_{\rm ra} &= k_{\rm ra} L_{\rm ra} = \sum_i (k_{\rm ira} L_{\rm ira}) \\ I_{\rm ga} &= k_{\rm ga} L_{\rm ga} = \sum_i (k_{\rm iga} L_{\rm iga}) \\ I_{\rm ba} &= k_{\rm ba} L_{\rm ba} = \sum_i (k_{\rm iba} L_{\rm iba}) \end{split}$$

### Diffuse Reflection

- A diffuse surface scatters the light equally in all direction
- So, we need to consider the angle that the light hit the surface and the distance of the light source
- If the light source coming in an angle, it needs to spread over a larger area.





- The diffuse reflection  $R_{\sf d}$  is proportional to  $\cos \theta$
- According to the dot product, we have

$$\cos \theta = \mathbf{l} \cdot \mathbf{n}$$

where  ${\bf n}$  is the plane normal (unit length) and  ${\bf l}$  is the direction of the light source (unit length)

### Diffuse Reflection

ullet We can also add in a reflection factor  $k_{
m d}$  which gives us

$$I_{\mathsf{d}} = k_{\mathsf{d}} (\mathbf{l} \cdot \mathbf{n}) L_{\mathsf{d}}$$

The distance term can be incorporated using the modified inverse-square

$$I_{\mathsf{d}} = \frac{k_{\mathsf{d}}}{a + bd + cd^2} (\mathbf{l} \cdot \mathbf{n}) L_{\mathsf{d}}$$

where d is the distance and a, b, and c are constants.

- Note that  $\mathbf{l} \cdot \mathbf{n}$  can be negative if the  $\theta > 90^{\circ}$  or  $\theta < -90^{\circ}$ .
  - We need to set  $\mathbf{l} \cdot \mathbf{n}$  to 0 if it happens using  $\max(\mathbf{l} \cdot \mathbf{n}, 0)$
- So, the final equation:

$$I_{\mathsf{d}} = \frac{k_{\mathsf{d}}}{a + bd + cd^2} \max(\mathbf{l} \cdot \mathbf{n}, 0) L_{\mathsf{d}}$$



### Diffuse Reflection

Thus, for each color and all light sources, we have

$$\begin{split} I_{\mathsf{rd}} &= \frac{k_{\mathsf{rd}}}{a + bd + cd^2} \max(\mathbf{l} \cdot \mathbf{n}, 0) L_{\mathsf{rd}} \\ &= \sum_i (\frac{k_{i\mathsf{rd}}}{a + bd_i + cd_i^2} \max(\mathbf{l}_i \cdot \mathbf{n}, 0) L_{i\mathsf{rd}}) \\ I_{\mathsf{gd}} &= \frac{k_{\mathsf{gd}}}{a + bd + cd^2} \max(\mathbf{l} \cdot \mathbf{n}, 0) L_{\mathsf{gd}} \\ &= \sum_i (\frac{k_{i\mathsf{gd}}}{a + bd_i + cd_i^2} \max(\mathbf{l}_i \cdot \mathbf{n}, 0) L_{i\mathsf{gd}}) \\ I_{\mathsf{bd}} &= \frac{k_{\mathsf{bd}}}{a + bd + cd^2} \max(\mathbf{l} \cdot \mathbf{n}, 0) L_{\mathsf{bd}} \\ &= \sum_i (\frac{k_{i\mathsf{bd}}}{a + bd_i + cd_i^2} \max(\mathbf{l}_i \cdot \mathbf{n}, 0) L_{i\mathsf{bd}}) \end{split}$$

### Specular Reflection

- Without specular reflection, a surface will look dull (not shiny)
- We use Phong model

$$I_{\mathsf{s}} = k_{\mathsf{s}} L_{\mathsf{s}} \cos^{\alpha} \phi$$

#### where

- $k_s$  is the coefficient  $(0 \le k_s \le 1)$
- $\phi$  is the angle between the reflection direction  ${\bf r}$  and the direction of the viewer  ${\bf v}$
- $\bullet$   $\alpha$  is a shininess coefficient (the larger the shinier)
  - ullet  $\infty$  represents a perfect mirror
  - 100 500 for metallic
- As usual, if  ${\bf r}$  and  ${\bf v}$  are unit length vector,  $\cos \phi = {\bf r} \cdot {\bf v}$
- $\bullet$  Note that  $\mathbf{r}\cdot\mathbf{v}$  can be negative and the distance term can be incorporated
- The final equation:

$$I_{s} = \frac{k_{s}}{a + bd + cd^{2}} L_{s} \max(\mathbf{r} \cdot \mathbf{v}, 0)^{\alpha}$$



### Specular Reflection

Thus, for each color and all light sources, we have

$$I_{rs} = \frac{k_{rs}}{a + bd + cd^{2}} L_{rs} \max(\mathbf{r} \cdot \mathbf{v}, 0)^{\alpha}$$

$$= \sum_{i} \left(\frac{k_{irs}}{a + bd_{i} + cd_{i}^{2}} L_{irs} \max(\mathbf{r}_{i} \cdot \mathbf{v}, 0)^{\alpha}\right)$$

$$I_{gs} = \frac{k_{gs}}{a + bd + cd^{2}} L_{gs} \max(\mathbf{r} \cdot \mathbf{v}, 0)^{\alpha}$$

$$= \sum_{i} \left(\frac{k_{igs}}{a + bd_{i} + cd_{i}^{2}} L_{igs} \max(\mathbf{r}_{i} \cdot \mathbf{v}, 0)^{\alpha}\right)$$

$$I_{bs} = \frac{k_{bs}}{a + bd + cd^{2}} L_{bs} \max(\mathbf{r} \cdot \mathbf{v}, 0)^{\alpha}$$

$$= \sum_{i} \left(\frac{k_{ibs}}{a + bd_{i} + cd_{i}^{2}} L_{ibs} \max(\mathbf{r}_{i} \cdot \mathbf{v}, 0)^{\alpha}\right)$$

### The Phong Reflection Model

- Recall that we have
  - $I_{a} = k_{a}L_{a},$   $I_{d} = \frac{k_{d}}{k_{d}}$
  - ullet  $I_{\mathsf{d}} = rac{k_{\mathsf{d}}}{a + bd + cd^2} \max(\mathbf{l} \cdot \mathbf{n}, 0) L_{\mathsf{d}}$ , and
  - $I_{\mathsf{s}} = \frac{k_{\mathsf{s}}}{a + bd + cd^2} L_{\mathsf{s}} \max(\mathbf{r} \cdot \mathbf{v}, 0)^{\alpha}$ .
- Finally we have the Phong reflection model as

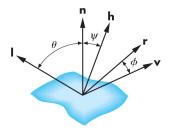
$$I = \frac{1}{a + bd + cd^2} (k_{\mathsf{d}} L_{\mathsf{d}} \max(\mathbf{l} \cdot \mathbf{n}, 0) + K_{\mathsf{s}} L_{\mathsf{s}} \max(\mathbf{r} \cdot \mathbf{v}, 0)^{\alpha}) + k_{\mathsf{a}} L_{\mathsf{a}}$$

- Note that the above formula is computed for each light source and for each primary color.
- Drawback:  $\mathbf{r} \cdot \mathbf{v}$  must be recalculated for every point on the surface:
  - r: reflection vector is slightly different
  - v: vector to the viewer is sightly different



# The Modified Phong Model

 Modified Phong model use the unit vector halfway between the viewer vector and the light-source vector:



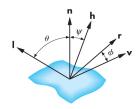
where

$$\mathbf{h} = \frac{\mathbf{l} + \mathbf{v}}{|\mathbf{l} + \mathbf{v}|}$$

 Adding two unit vectors results in a vector halfway between the two



# The Modified Phong Model



- The angle between I and  $n(\theta)$  must be the same as the angle between n and r since r is the perfect reflection.
- Thus, the angle from I to v is  $2\theta + \phi$ .
- The angle from I to h ( $\theta + \psi$ ), is the same as the angle from h to v (halfway)

 $2\theta + \phi = 2(\theta + \psi)$ 

- Thus, the angle from  ${\bf I}$  to  ${\bf v}$  is  $2(\theta + \psi)$
- So, we have

$$=2 heta+2\psi$$
  $\phi=2\psi$ 

### The Modified Phong Model

- We can avoid calculating  $\mathbf{r} \cot \mathbf{v}$  by simply use  $\mathbf{n} \cdot \mathbf{h}$ .
  - $\bullet$  We do not need to calculate  ${\bf r}$  since to calculate  ${\bf n} \cot {\bf h},$  we only need l and v
- ullet However, the angle is smaller  $(2\psi=\phi)$
- We can adjusted it since we know that  $2\psi = \phi$  but we end up using the same amount of calculation
- One way to reduce the calculation is to replace  $(\mathbf{r} \cdot \mathbf{v})^e$  by  $(\mathbf{n} \cdot \mathbf{h})^{e'}$  where  $(\mathbf{n} \cdot \mathbf{h})^{e'} \approx (\mathbf{r} \cdot \mathbf{v})^e$

### Computation of Vectors

- To use Phong reflection model, we need to know the following vectors for each point **p** on a surface:
  - ullet n is the normal vector at  ${f p}$
  - f v is in the direction from f p to the viewer (COP)
  - $\bullet$  1 is in the direction of a line from  ${\bf p}$  to a light source
  - $\bullet$   $\, {\bf r}$  is in the direction that a perfectly reflected ray from l would take
- We should normalize these vectors to unit vectors for simplicity

- ullet A normal vector  ${f n}$  of a plane is a vector perpendicular to the plane
  - Perpendicular to every point on a flat plane
- Suppose  $\mathbf{p}_0$  is a known point on the plane and  $\mathbf{p}$  is a point on a plane
  - A vector  $\mathbf{p} \mathbf{p}_0$  is also perpendicular to  $\mathbf{n}$ . From dot product, we have

$$\mathbf{n} \cdot (\mathbf{p} - \mathbf{p}_0) = 0$$

• Let n = (a, b, c), p = (x, y, z), and  $p_0 = (x_0, y_0, z_0)$ , we have

$$\begin{aligned} \mathbf{n} \cdot (\mathbf{p} - \mathbf{p}_0) &= 0 = (a, b, c) \cdot ((x, y, z) - (x_0, y_0, z_0)) \\ &= (a, b, c) \cdot (x - x_0, y - y_0, z - z_0) \\ &= a(x - x_0) + b(y - y_0) + c(z - z_0) \\ &= ax + by + cz - (ax_0 + by_0 + cz_0) \\ &= ax + by + cz + d \end{aligned}$$

where  $d = -(ax_0 + by_0 + cz_0)$ .

• Formally a plane (surface) is given by f(x,y,z)=k for some constant k.

 For example, the equation of the surface of a unit sphere center at the origin is given by

$$x^2 + y^2 + z^2 = 1$$

where  $f(x, y, z) = x^2 + y^2 + z^2$ .

- This surface consists of various points.
  - An example of a point on this sphere is  $P_0 = (1, 0, 0)$
- This surface consists of various lines
  - $\bullet$  An example of a line is g(t)=(x(t),y(t),z(t)) where

$$x(t) = \cos t$$
  $y(t) = \sin t$   $z(t) = 0$ 

• Note that this line pass through  $P_0$  since when t=0,  $g(t)=(1,0,0)=P_0$ 



- Suppose we have a surface f(x, y, z) = k
- Let  $P = (x_0, y_0, z_0)$  be a point on this surface
- Let g(t)=(x(t),y(t),z(t)) be a line on this surface where  $g(t_0)=(x_0,y_0,z_0)=P$
- ullet Since g(t) is a line on the surface, ever point generated by g(t) must satisfy the equation of the surface

$$f(x(t), y(t), z(t)) = k$$

• From the Chain Rule, we have

$$\frac{\partial f}{\partial x}\frac{dx}{dt} + \frac{\partial f}{\partial y}\frac{dy}{dt} + \frac{\partial f}{\partial z}\frac{dz}{dt} = 0$$

which is a dot product

$$\nabla f \cdot g'(t) = 0$$



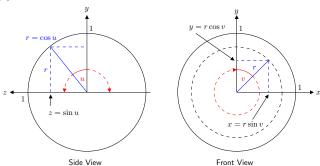
• At  $t = t_0$ , we have

$$\nabla f(x_0, y_0, z_0) \cdot g'(t_0) = 0$$

- The above equation tells us that the gradient vector  $\nabla f(x_0,y_0,z_0)$  is orthogonal to the tangent vector  $g'(t_0)$  to any curve g(t) that passes through  $P_0$  on the surface.
- Therefore,  $\nabla f(x_0,y_0,z_0)$  is orthogonal to the surface at point  $(x_0,y_0,z_0)$ .
- On a curve surface, we can use the gradient vector at a point as the normal vector.



 $\bullet$  A unit sphere can also be represented in parametric form  $f(\theta,\phi)$ 



where

$$x = x(u, v) = r \sin v = \cos u \sin v$$
$$y = y(u, v) = r \cos v = \cos u \cos v$$
$$z = z(u, v) = \sin u$$

where  $-\pi/2 < u < \pi/2$  and  $-\pi < v < \pi$ 

• At point  $\mathbf{p}(u,v)$  the normal vector can be calculated by

$$\mathbf{n} = \frac{\partial \mathbf{p}}{\partial u} \times \frac{\partial \mathbf{p}}{\partial v}$$

where

$$\frac{\partial \mathbf{p}}{\partial u} = \begin{bmatrix} \frac{\partial x}{\partial u} \\ \frac{\partial y}{\partial u} \\ \frac{\partial z}{\partial u} \end{bmatrix} \quad \text{and} \quad \frac{\partial \mathbf{p}}{\partial v} = \begin{bmatrix} \frac{\partial x}{\partial v} \\ \frac{\partial y}{\partial v} \\ \frac{\partial z}{\partial v} \end{bmatrix}$$

In our case

$$\frac{\partial \mathbf{p}}{\partial u} = \begin{bmatrix} -\sin u \sin v \\ -\sin u \cos v \\ \cos u \end{bmatrix} \quad \text{and} \quad \frac{\partial \mathbf{p}}{\partial v} = \begin{bmatrix} \cos u \cos v \\ -\cos u \sin v \\ 0 \end{bmatrix}$$

#### Thus

$$\frac{\partial \mathbf{p}}{\partial u} \times \frac{\partial \mathbf{p}}{\partial v} = \begin{bmatrix} -\sin u \sin v \\ -\sin u \cos v \\ \cos u \end{bmatrix} \times \begin{bmatrix} \cos u \cos v \\ -\cos u \sin v \\ 0 \end{bmatrix}$$

$$= \begin{bmatrix} \cos u \cos u \sin v \\ \cos u \cos u \cos v \\ \sin u \sin v \cos u \sin v + \sin u \cos v \cos u \cos v \end{bmatrix}$$

$$= \cos u \begin{bmatrix} \cos u \sin v \\ \cos u \cos v \\ \sin u (\sin^2 v + \cos^2 v) \end{bmatrix}$$

$$= \cos u \begin{bmatrix} \cos u \sin v \\ \cos u \cos v \\ \sin u (\sin^2 v + \cos^2 v) \end{bmatrix}$$

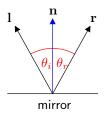
- Recall that we generally draw a plane by drawing a triangle using three vertices  $\mathbf{p}_0$ ,  $\mathbf{p}_1$ , and  $\mathbf{p}_2$ .
- Do not forget the right-hand rule:
  - Orders  $\mathbf{p}_0 \to \mathbf{p}_1 \to \mathbf{p}_2$ ,  $\mathbf{p}_1 \to \mathbf{p}_2 \to \mathbf{p}_0$ , and  $\mathbf{p}_2 \to \mathbf{p}_0 \to \mathbf{p}_1$  give you the same triangle facing the same direction
  - Orders  $\mathbf{p}_0 \to \mathbf{p}_2 \to \mathbf{p}_1$ ,  $\mathbf{p}_2 \to \mathbf{p}_1 \to \mathbf{p}_0$ , and  $\mathbf{p}_1 \to \mathbf{p}_0 \to \mathbf{p}_2$  give you the same triangle as in previous three orders but facing in the opposite direction
- Suppose a triangle is defined by three vertices  $\mathbf{p}_0$ ,  $\mathbf{p}_1$ , and  $\mathbf{p}_2$  and it is drawn in that order, the plane normal can be found using their cross product:

$$\mathbf{n} = (\mathbf{p}_2 - \mathbf{p}_0) \times (\mathbf{p}_1 - \mathbf{p}_0)$$

This is a normal vector of a flat surface created by a triangle



- To calculate the angle of reflection or the direction of reflection (r) of a point, we need
  - the normal at the point (n), and
  - the direction of the light source (1).
- There are conditions that they must satisfy:
  - The angle between  ${\bf l}$  and  ${\bf n}$  ( $\theta_i$ ) must be the same as the angle between  ${\bf n}$  and  ${\bf r}$  ( $\theta_r$ )
  - r, n, and l must lie in the same pane



- ullet Note that there are two possible  ${f r}$ :
  - ullet if  ${f r}={f l},$  it also satisfies above condition but it is not what we want

- ullet For simplicity, assume that  $l,\ n$ , and r are unit vector
- According to dot product
  - $\mathbf{l} \cdot \mathbf{n} = |\mathbf{l}| |\mathbf{n}| \cos \theta_i = \cos \theta_i$
  - $\mathbf{n} \cdot \mathbf{r} = |\mathbf{n}||\mathbf{r}|\cos\theta_r = \cos\theta_r$
- Since  $\theta_i = \theta_r$ , we have  $\mathbf{l} \cdot \mathbf{n} = \mathbf{n} \cdot \mathbf{r}$ .
- Since r lies in the same plane as  $\mathbf{l}$  and  $\mathbf{n}$ , we can express  $\mathbf{r}$  as a linear combination of  $\mathbf{l}$  and  $\mathbf{n}$  as

$$\mathbf{r} = \alpha \mathbf{l} + \beta \mathbf{n}$$

$$\mathbf{n} \cdot \mathbf{r} = (\alpha \mathbf{l} + \beta \mathbf{n}) \cdot \mathbf{n}$$

$$\mathbf{n} \cdot \mathbf{r} = \alpha \mathbf{l} \cdot \mathbf{n} + \beta \mathbf{n} \cdot \mathbf{n}$$

$$\mathbf{n} \cdot \mathbf{r} = \alpha \mathbf{l} \cdot \mathbf{n} + \beta$$

• Since  $\mathbf{l} \cdot \mathbf{n} = \mathbf{n} \cdot \mathbf{r}$ , we have

$$\mathbf{l} \cdot \mathbf{n} = \alpha \mathbf{l} \cdot \mathbf{n} + \beta$$
$$\mathbf{l} \cdot \mathbf{n} - \alpha \mathbf{l} \cdot \mathbf{n} = \beta$$



• r must be a unit length:

$$1 = \mathbf{r} \cdot \mathbf{r}$$

$$= (\alpha \mathbf{l} + \beta \mathbf{n}) \cdot (\alpha \mathbf{l} + \beta \mathbf{n})$$

$$= \alpha^2 \mathbf{l} \cdot \mathbf{l} + 2\alpha \beta \mathbf{l} \cdot \mathbf{n} + \beta^2 \mathbf{n} \cdot \mathbf{n}$$

$$= \alpha^2 + 2\alpha \beta \mathbf{l} \cdot \mathbf{n} + \beta^2$$

• Substitute  $\beta$  by  $\mathbf{l} \cdot \mathbf{n} - \alpha \mathbf{l} \cdot \mathbf{n}$ , we have

$$1 = \alpha^2 + 2\alpha(\mathbf{l} \cdot \mathbf{n} - \alpha \mathbf{l} \cdot \mathbf{n})\mathbf{l} \cdot \mathbf{n} + (\mathbf{l} \cdot \mathbf{n} - \alpha \mathbf{l} \cdot \mathbf{n})^2$$

$$1 = \alpha^2 + 2\alpha(\mathbf{l} \cdot \mathbf{n})^2 - 2\alpha^2(\mathbf{l} \cdot \mathbf{n})^2 + (\mathbf{l} \cdot \mathbf{n})^2 - 2\alpha(\mathbf{l} \cdot \mathbf{n})^2 + \alpha^2(\mathbf{l} \cdot \mathbf{n})^2$$

$$1 = \alpha^2 - \alpha^2(\mathbf{l} \cdot \mathbf{n})^2 + (\mathbf{l} \cdot \mathbf{n})^2$$

$$1 = \alpha^2(1 - (\mathbf{l} \cdot \mathbf{n})^2) + (\mathbf{l} \cdot \mathbf{n})^2$$

$$1 - (\mathbf{l} \cdot \mathbf{n})^2 = \alpha^2(1 - (\mathbf{l} \cdot \mathbf{n})^2)$$

$$1 = \alpha^2$$

• Thus,  $\alpha = 1$  or  $\alpha = -1$ .



• If  $\alpha = 1$ , we have

$$\beta = \mathbf{l} \cdot \mathbf{n} - \alpha \mathbf{l} \cdot \mathbf{n} = \mathbf{l} \cdot \mathbf{n} - (1)\mathbf{l} \cdot \mathbf{n} = 0$$

Thus, we have

$$\mathbf{r} = \alpha \mathbf{l} + \beta \mathbf{n} = (1)\mathbf{l} + (0)\mathbf{n} = \mathbf{l}$$

- ullet The result satisfies all conditions but  ${f r}$  is not what we want
- If  $\alpha = -1$ , we have

$$\beta = \mathbf{l} \cdot \mathbf{n} - \alpha \mathbf{l} \cdot \mathbf{n} = \mathbf{l} \cdot \mathbf{n} - (-1)\mathbf{l} \cdot \mathbf{n} = 2\mathbf{l} \cdot \mathbf{n}$$

In this case, we have

$$\mathbf{r} = \alpha \mathbf{l} + \beta \mathbf{n} = (-1)\mathbf{l} + (2\mathbf{l} \cdot \mathbf{n})\mathbf{n}$$
  
=  $2(\mathbf{l} \cdot \mathbf{n})\mathbf{n} - \mathbf{l}$ 



# Specifying Lighting Parameter

- There are four type of light sources:
  - Ambient
  - Point
  - Spotlight
  - Distance
- Note that a spotlight and a distance light sources are simply a point source
  - Spotlight: In stead of emitting light in all direction, simply limit the direction
  - Distance: Simply move the point source to infinite so the position becomes vector (direction)

# Specifying Lighting Parameter

- For every light source, we need color and either location or direction
- Each light source need three components, ambient, diffuse, and specular:

```
vec4 light_ambient = {0.1, 0.1, 0.1, 1.0};
vec4 light_diffuse = {1.0, 1.0, 1.0, 1.0};
vec4 light_specular = {1.0, 1.0, 1.0, 1.0};
```

• For a point source, the position is a point:

```
vec4 light_position = {1.0, 2.0, 3.0, 1.0};
```

For a distance source, the position is a direction (vector):

```
vec4 light_position = {1.0, 2.0, 3.0, 0.0};
```

# Specifying Lighting Parameter

• We also need distance-attenuation model

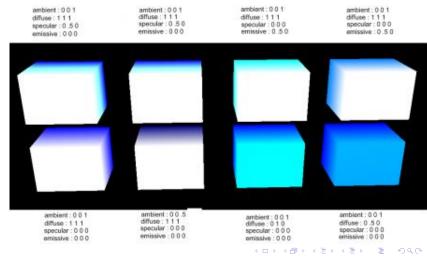
$$f(d) = \frac{1}{a + bd + cd^2}$$

which can be defined by three floating-point values:

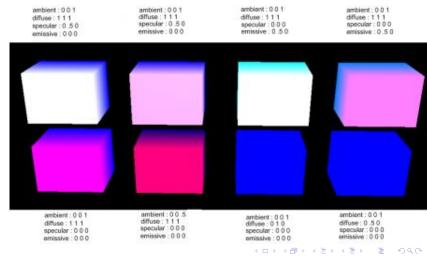
```
GLfloat attenuation_constant;
GLfloat attenuation_linear;
GLfloat attenuation_quadratic;
```

- Ambient color is the color of an object where it is in shadow.
   This color is what the object reflect when illuminated by ambient light rather than direct light
- Diffuse color is the color of the object under pure white light
- Specular color is the color of the light of a specular reflection
- Emissive color is the self-illumination color of an object

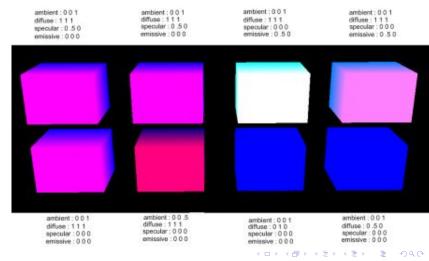
 Light Parameters: Ambient color is white and diffuse color is also white



 Light Parameters: Ambient color is red and diffuse color is white



 Light Parameters: Ambient color is red and diffuse color is also red



- For each material, it contains ambient, diffuse, and specular reflectivity coefficient for each primary color
- For example,

```
vec4 reflect_ambient = {0.2, 0.2, 0.2, 1.0};
vec4 reflect_diffuse = {1.0, 0.8, 0.0, 1.0};
vec4 reflect_specular = {1.0, 1.0, 1.0, 1.0};
```

Small amount of white ambient, yellow diffuse, and white specular.

• We also need to specify a shininess for specular

```
GLfloat shininess = ...;
```

 If the reflectivity properties of front and back are different, simply specify for the back

```
vec4 back_ambient, back_diffuse, back_specular;
```



- You may have various object and each object has different materials
- It is a good idea to create a structure for each material

```
typedef struct
{
   vec4 reflect_ambient;
   vec4 reflect_diffuse;
   vec4 reflect_specular;
   vec4 emission;
   float shininess;
} material;
```

This will allow us to define reflection properties of each

#### material

## C Programming Trick

- Suppose you have 5 different materials, table, ball, chair, door, and carpet.
  - You may want to create five variables:

But you cannot use a loop to iterate through material

You may want to use an array of materials

```
material materials[5];
```

But you cannot refer to each material by a familiar name

# C Programming Trick

• In C, you can use a combination of enum type and array

• If you have a new material, simply insert a new name in the enum statement before NUM MATERIALS

# Applying the Lighting Model

- Lighting model can be applied in various stages:
  - Application
  - Vertex Shader
  - Fragment Shader
- Each stage has it own advantages and drawbacks

- What we have done so far, we generate an array of vertices and an array of colors
- Each vertex has its own specific color
- If two vertices of the same triangle have different colors, the fragment shader interpolate the color of each fragment for us
- To apply the lighting model in the application:
  - Each vertex is assigned a color as usual
  - However, the color of each vertex comes from lighting model instead of predefined color

 First, we need to define the light source (let's create a single point light source)

```
vec4 light_ambient = {L_ra, L_ga, L_ba, 1.0};
vec4 light_diffuse = {L_rd, L_gd, L_bd, 1.0};
vec4 light_specular = {L_rs, L_gs, L_bs, 1.0};
vec4 light_position = ...;
```

Assume we have only one single material:

```
vec4 reflect_ambient = {k_ra, k_ga, k_ba, 1.0};
vec4 relecct_diffuse = {k_rd, k_gd, k_bd, 1.0};
vec4 reflect_specular = {k_rs, k_gs, k_bs, 1.0};
GLfloat shininess = ...;
```

Suppose the color of ith vertex is stored in colors[i], what
we need to do is to assign a value to colors[i] based on
lighting model

- For simplicity, create three variables of vec4 for ambient, diffuse, and specular
- Suppose we use the normal of a triangle (from three vertices)

```
vec4 ambient, diffuse, specular;
for(i = 0; i < num \ vertices; i = i + 3)
   vec4 p0 = vertices[i];
   vec4 p1 = vertices[i + 1]:
   vec4 p2 = vertices[i + 2]:
    // Calculate normal for all three vertices
    // Calculate color for the first vertex
    colors[i] = ambient + diffuse + specular;
    colors[i].w = 1.0:
    // Calculate color for the second vertex
    colors[i + 1] = ambient + diffuse + specular;
    colors[i + 1].w = 1.0:
    // Calculate color for the third vertex
    colors[i + 2] = ambient + diffuse + specular;
    colors[i + 2].w = 1.0:
}
```

 To calculate values of variables ambient, diffuse, and specular, we need to define a special product operation:

```
vec4 product(vec4 u, vec4 v)
{
    vec4 result;
    result.x = u.x * v.x;
    result.y = u.y * v.y;
    result.z = u.z * v.z;
    result.w = u.w * v.w;
}
```

- Note that is is not a matrix multiplication
- This will allow use to calculate all red, green, and blue, component in one function

Recall the ambient for each color are as follows:

$$\begin{split} I_{\rm ra} &= k_{\rm ra} L_{\rm ra} \\ I_{\rm ga} &= k_{\rm ga} L_{\rm ga} \\ I_{\rm ba} &= k_{\rm ba} L_{\rm ba} \end{split}$$

 With the product() function defined previously, we can simply use

```
ambient = product(light_ambient, reflect_ambient);
```

Now the ambient becomes

```
{k_ra * L_ra, k_ga * L_ga, k_ba * L_ba, 1.0}
```



Recall the diffuse reflection:

$$I_{\mathsf{d}} = \frac{k_{\mathsf{d}}}{a + bd + cd^2} \max(\mathbf{l} \cdot \mathbf{n}, 0) L_{\mathsf{d}}$$

- Thus, we need the normal of each vertex
- Each triangle consists of three vertices, p0, p1, p2
- All of these vertices create a flat plane (same normal vectors)
- Recall that p1 p0 and p2 p1 are vectors
- A vector perpendicular with two vectors can be calculated by their cross product
- Note that the order matter

```
vec4 n = normalize(cross(p1 - p0, p2 - p1));
```

Replace normalize, cross, and - with your functions



 If the light is a distance light source, the vector I are the same for every vertex

 For a finite light source I depends on the position of light source and the vertex of interest:

• Recall that we need to use  $max(\mathbf{l} \cdot \mathbf{n}, 0)$ , thus

```
vec4 diffuse = {0.0, 0.0, 0.0, 1.0};
GLfloat d = dot(n, normalize(1));
if(d > 0.0)
    diffuse = product(light_diffuse, reflect_diffuse) * d;
```

• Note that we did not include the term  $\frac{1}{a+bd+cd^2}$  yet

- Suppose all three vertices of a triangle have the same normal:
  - For a distance light source:
    - I are the same for all three vertices
    - ullet  $1 \cdot n$  are the same for all three vertices
    - Interpolate and constant diffuse shading are the same
    - Only need one calculation for all three vertices
  - For a finite light source:
    - I are not the same for all three vertices
    - ullet  $\mathbf{l} \cdot \mathbf{n}$  are not the same
    - Results are different between interpolate and constant diffuse shading
    - Need one calculation for each vertex

Recall the specular reflection term:

$$I_{s} = \frac{k_{s}}{a + bd + cd^{2}} L_{s} \max(\mathbf{r} \cdot \mathbf{v}, 0)^{\alpha}$$

 If we use modified Phong model, the specular reflection term becomes:

$$I_{s} = \frac{k_{s}}{a + bd + cd^{2}} L_{s} \max(\mathbf{n} \cdot \mathbf{h}, 0)^{\alpha'}$$

where 
$$\mathbf{h} = rac{\mathbf{l} + \mathbf{v}}{|\mathbf{l} + \mathbf{v}|}$$

 $\bullet$  First, create the half vector  ${\bf h}$  which requires vectors  ${\bf l}$  and  ${\bf v}$ 



- The vector I are the same as in the diffuse reflection
- The vector  ${\bf v}$  is the vector from a vertex to the eye point at (0.0,0.0,0.0)

```
vec4 v = normalize({0.0, 0.0, 0.0, 1.0} - vertex_position);
```

Thus, our half vector h becomes:

```
vec4 half = normalize(1 + v);
```

Finally, our specular reflection term becomes:

We need to include attenuation factor for diffuse and specular

$$f(d) = \frac{1}{a + bd + cd^2}$$

where d is the distance from the light source to a vertex of interest

In our case.

```
d = magnitude(light_position - vertex_position);
```

# Efficiency

- Suppose we want to rotate objects with lighting effect
  - $\bullet$  Vectors l and v of a vertex change
  - Color of each vertex must be recalculated every time we rotate
  - Perform lighting effect in application requires the application to send the array of colors to the graphics pipeline every time we rotate
- Suppose we want to rotate an object in front of us where the light position is fixed
  - Vertices position are changed by the model view matrix in the vertex shader
- We can increase performance by performing the lighting model in the vertex shader



- We can also generate color of each vertex in the vertex shader
- Let's start with the following vertex shader program:

```
#version 130
in vec4 vPosition;
uniform mat4 ModelView;
uniform mat4 Porjection;
void main()
{
    gl_Position = Projection * ModelView * vPosition;
}
```

- Note that we do not send the array of colors to the graphic pipeline in this case
  - The color of each vertex will be generated in the vertex shader itself

 We are going to calculate the color of each vertex inside the vertex shader program and send it into the fragment shader

```
out vec4 color
vec4 ambient, diffuse, specular
color = ambient + attenuation(diffuse + specular);
```

where attenuation is the term  $\frac{1}{a+bd+cd^2}$  where d is the distance of the vertex to the light source

- The products of light and reflection terms needed to be calculated only once
  - ullet  $k_{\mathsf{a}}L_{\mathsf{a}},\ k_{\mathsf{d}}L_{\mathsf{d}},\ \mathsf{and}\ k_{\mathsf{s}}L_{\mathsf{s}}$
  - So, we can simply send them all three products in as uniform variables

```
uniform vec4 AmbientProduct, DiffuseProduct, SpecularProduct;
```

• In case of ambient, it is straightforward:

```
ambient = AmbientProduct;
```

- For the diffuse and specular, we need to know the normal of each vertex
- We can send the array of normal vectors into the graphics pipeline in the same fashion as when we sent the array of colors

```
in vec4 vNormal;
```

 We also need the position of the light source which can be sent as a uniform variable

```
uniform vec4 LightPosition;
```

- Note that vertices will be transformed by the model view matrix but what about the light source position?
  - If the light source is fixed based on the camera frame, no need to transform
  - If the light source is fixed based on the object (model) frame, it must be transformed as well



- The normal of each vertex is also transformed by the model view matrix
- ullet Thus, the vector  ${f n}$  can be calculcated by

```
vec4 N = normalize(model_view * vNormal);
```

Thus, the diffuse term can be calculated by

```
// Light source position is fixed to the object frame
vec4 L_temp = model_view * (LightPosition - vPosition);
vec4 L = normalize(L_temp);
diffuse = max(dot(L, N), 0.0) * DiffuseProduct;
```

#### or

```
// Light source position is fixed to the camera frame
vec4 L_temp = LightPosition - (model_view * vPosition);
vec4 L = normalize(L_temp);
diffuse = max(dot(L, N), 0.0) * DiffuseProduct;
```

• The variable L\_temp will also be used to calculate attenuation



 For the specular, we also need to know the shininess factor which can be sent as uniform variables

```
uniform float Shininess
```

• Note that the viewer position is always at origin (0.0,0.0,0.0) according to the camera frame

```
vec4 EyePosition = vec4(0.0, 0.0, 0.0, 1.0);
```

Now, the specular can be calculated by

```
vec3 V = normalize(EyePosition - vPosition);
vec3 H = normalize(L + V);
specular = pow(max(dot(N, H), 0.0), Shininess) * SpecularProduct;
```

• The last thing we need is the attenuation

All three attenuation constants can be sent as uniform variables

```
uniform float AttenuationConstant, AttenuationLinear,
AttenuationQuadratic;
```

 The distance is the magnitude of the vector from the vertex position to the light source

```
// Light source position is fixed to the object frame
vec4 L_temp = model_view * (LightPosition - vPosition);
:
float distance = length(L_temp);
```

```
Or
// Light source position is fixed to the camera frame
vec4 L_temp = LightPosition - (model_view * vPosition);
:
float distance = length(L_temp);
```

Therefore, the attenuation factor can be calculated as

 Finally, our vertex shader (light position is fixed with object frame) becomes:

```
#version 130
in vec4 vPosition:
in vec4 vNormal:
out vec4 color:
uniform mat4 model view, projection:
uniform vec4 AmbientProduct, DiffuseProduct, SpecularProduct, LightPosition:
uniform float shininess, attenuation_constant, attenuation_linear, attenuation_quadratic
vec4 ambient, diffuse, specular;
void main()
    ambient = AmbientProduct:
    vec4 N = normalize(model view * vNormal);
   vec4 L_temp = model_view * (LightPosition - vPosition);
   vec4 L = normalize(L temp):
   diffuse = max(dot(L,N), 0.0) * DiffuseProduct:
   vec4 EyePoint = vec4(0.0, 0.0, 0.0, 1.0);
   vec4 V = normalize(EyePoint - (model_view * vPosition));
    vec4 H = normalize(L + V):
    specular = pow(max(dot(N, H), 0.0), shininess) * SpecularProduct;
   float distance = length(L_temp);
   float attenuation = 1/(attenuation constant + (attenuation linear * distance) +
                          (attenuation quadratic * distance * distance)):
    color = ambient + (attenuation * (diffuse + specular));
    gl_Position = projection * model_view * vPosition;
```

### Lighting in the Shader

• Currently we send a  $4 \times 4$  matrix (transformation, model view, and projection matrices):

```
void init()
    an_m_location = glGetUniformLocation(program, "m_name");
}
void display()
    glUniformMatrix4fv(an_m_location, 1, GL_FALSE,
                        (GLfloat *) &a_matrix);
}
```

#### where

- an\_m\_location is a global variable of type GLuint,
- m\_name is a uniform variable of type mat4 in a shader program, and
- a\_matrix is a variable (most likely global) of type mat4\_\_\_

### Lighting in the Shader

• To send a vector (e.g., location, light parameters, etc):

```
void init()
{
    :
        a_v_location = glGetUniformLocation(program, "v_name");
    :
}

void display()
{
    :
        glUniform4fv(an_v_location, 1, GL_FALSE, (GLfloat *) &a_vec);
    :
}
```

#### where

- an\_v\_location is a global variable of type GLuint,
- v\_name is a uniform variable of type vec4 in a shader program, and
- a\_vec is a variable (most likely global) of type vec4.

### Lighting in the Shader

To send a floating-point (shininess, attenuation, etc):

```
void init()
{
    :
      a_f_location = glGetUniformLocation(program, "f_name");
    :
}
void display()
{
    :
      glUniform1fv(a_f_location, 1, GL_FALSE, (GLfloat *) &a_float);
    :
}
```

#### where

- a\_f\_location is a global variable of type GLuint,
- f\_name is a uniform variable of type float in a shader program, and
- a\_float is a variable (most likely global) of type GLfloat.

- By vertex shader, we calculate color on a per-vectex basis
- We can also perform calculation on a per-fragment basis
- Recall that data are interpolate when they passing through from a vertex shader to a fragment shader
- The following data should be interpolated:
  - normal of each vertex
  - the I vector according to each vertexq
  - $\bullet$  the v vector according to each vertex
- As usual, the application will send an array of normal vectors

Our vertex shader file should look like the following:

```
#version 130
in vec4 vPosition:
in vec4 vNormal;
uniform mat4 model_view, projection;
uniform mat4 light_position;
out vec4 N;
out vec4 L:
out vec4 E:
out float distance:
void main()
{
    gl_position = projection * model_view * vPosition;
   N = vNormal;
    L = light_position - (model_view * vPosition);
    vec4 EyePoint = vec4(0.0, 0.0, 0.0, 1.0);
    E = EyePoint - (model_view * vPosition);
    distance = length(E):
```



#### Fragment Shader

```
in vec4 N:
in vec4 L:
in vec4 E;
in float distance:
uniform mat4 AmbientProduct, DiffuseProduct, SpecularProduct;
uniform mat4 model view:
uniform float Shininess:
uniform float attenuation_constant, attenuation_linear, attenuation_quadratic;
vec4 ambient, diffuse, specular:
void main()
   vec4 NN = normalize(N):
   vec4 EE = normalize(E);
   vec4 LL = normalize(L):
    ambient = AmbientProduct:
   vec4 H = normalize(LL + EE):
   diffuse = max(dot(LL, NN), 0.0) * DiffuseProduct;
    specular = pow(max(dot(NN, H), 0.0), shininess) * SpecularProduct;
    float attenuation = 1/(attenuation_constant + (attenuation_linear * distance) +
                          (attenuation_quadratic * distance * distance));
   gl FragColor = ambient + attenuation * (diffuse + specular):
}
```