# Dimensions of Precision in Reference Analysis of Object-Oriented Programming Languages

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#### Introduction

• Object-oriented (OO) languages have become mature and popular

- Call graphs are useful for OO program analysis
  - But call graph construction is related to reference analysis

• Dimensions of a reference analysis affect precision and cost

# Reference Analysis

"Determine information about the set of objects to which a reference variable or field may point during program execution."

- Applications
  - Tools: compiler optimizations, test harnesses, refactoring
  - Analyses: side-effect, escape, def-use
- Choosing the right cost/precision trade-off is very important

### Dimensions of Precision Analysis

- Flow sensitivity
- Context sensitivity
- Program representation
- Object representation
- Field sensitivity
- Reference representation
- Directionality

# Flow Sensitivity

An analysis is *flow-sensitive* if it accounts for the order of execution of statements in a program. Otherwise, an analysis is *flow-insensitive*.

- Flow-sensitive analyses are more precise, but expensive
- Methods in OO programs are generally small
  - Flow-sensitivity probably not that useful
  - Context-sensitivity probably more useful

```
1 A S, t;

2 S = new A(); // O<sub>1</sub>

3 t = S;

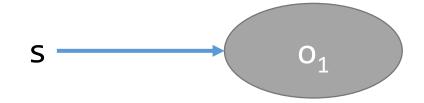
4 S = new A(); // O<sub>2</sub>
```

```
1 A s, t;

2 S = new A(); // o<sub>1</sub>

3 t = s;

4 S = new A(); // o<sub>2</sub>
```

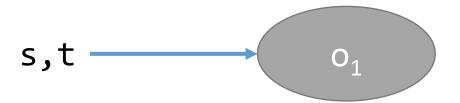


```
1 A S, t;

2 S = new A(); // o<sub>1</sub>

3 t = S;

4 S = new A(); // o<sub>2</sub>
```

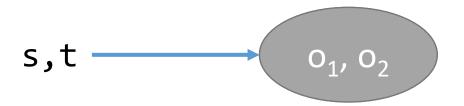


```
1 A S, t;

2 S = new A(); // o<sub>1</sub>

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```
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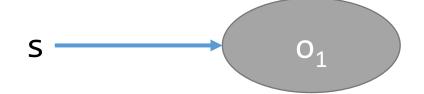
4 S = new A(); // O<sub>2</sub>
```

```
1 A S, t;

2 S = new A(); // o<sub>1</sub>

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4 S = new A(); // o<sub>2</sub>
```

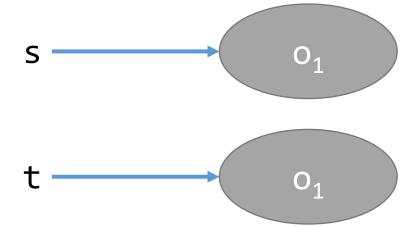


```
1 A S, t;

2 S = new A(); // O<sub>1</sub>

3 t = S;

4 S = new A(); // O<sub>2</sub>
```

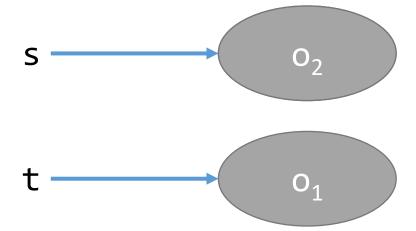


```
1 A S, t;

2 S = new A(); // O<sub>1</sub>

3 t = S;

4 S = new A(); // O<sub>2</sub>
```



#### Context Sensitivity

An analysis is *context-sensitive* if it distinguishes between different calling contexts. Otherwise, an analysis is *context-insensitive*.

- Context-sensitive analyses are more precise, but expensive
- Call string vs functional approach
- An area of active research
  - OO method calls would probably benefit from context-sensitive analyses

```
class Y extends X {...}
class A {
    X f;
    void m(X q)
        { this.f = q; }
}

A ax = new A(); // o<sub>1</sub>
ax.m(new X()); // o<sub>2</sub>
A ay = new A(); // o<sub>3</sub>
ay.m(new Y()); // o<sub>4</sub>

ay o<sub>3</sub>.f

o<sub>1</sub>.f

o<sub>2</sub>

ax
o<sub>1</sub>.f

o<sub>2</sub>

ax
o<sub>1</sub>.f

o<sub>2</sub>

ax
o<sub>3</sub>.f

o<sub>4</sub>

ax
o<sub>1</sub>.f

o<sub>2</sub>

ax
o<sub>3</sub>.f

o<sub>4</sub>

o<sub>3</sub>.f

o<sub>4</sub>

o<sub></sub>
```

```
class Y extends X {...}
class A {
    X f;
    void m(X q)
        { this.f = q; }
}

A ax = new A(); // o<sub>1</sub>
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ay o<sub>3</sub>.f

o<sub>4</sub>

ax → o<sub>1</sub>.f

o<sub>2</sub>

ax → o<sub>1</sub>.f

o<sub>2</sub>

ax → o<sub>1</sub>.f

o<sub>2</sub>

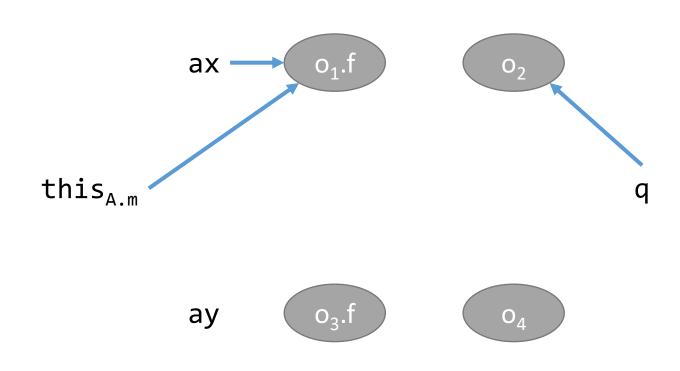
ax → o<sub>1</sub>.f

o<sub>4</sub>

q
```

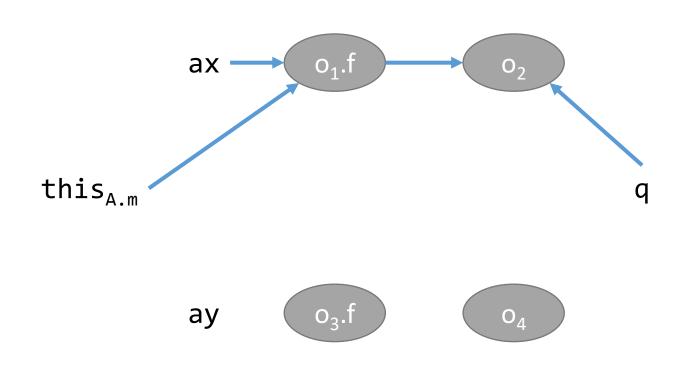
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class Y extends X {...}
class A {
    X f;
    void m(X q)
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}

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```



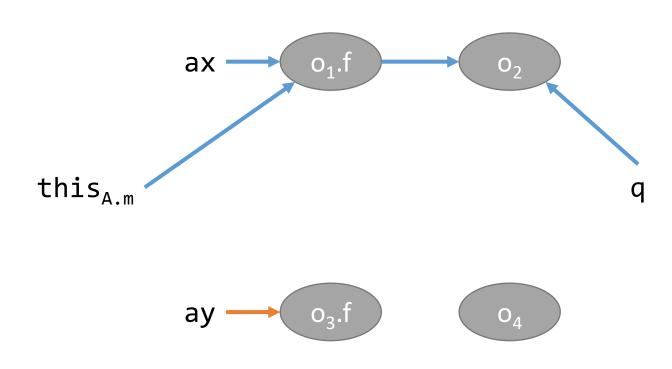
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class Y extends X {...}
class A {
    X f;
    void m(X q)
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}

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```



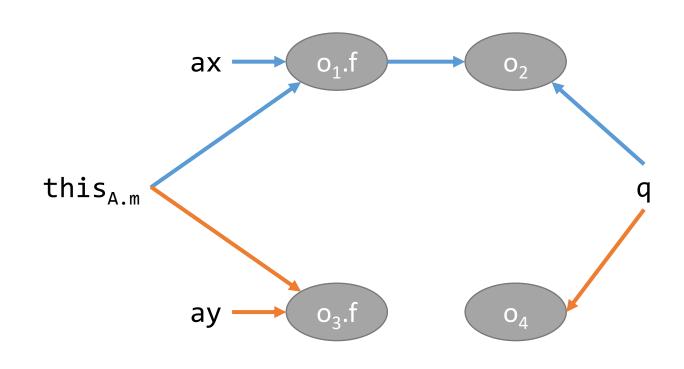
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ay.m(new Y()); // o<sub>4</sub>
```



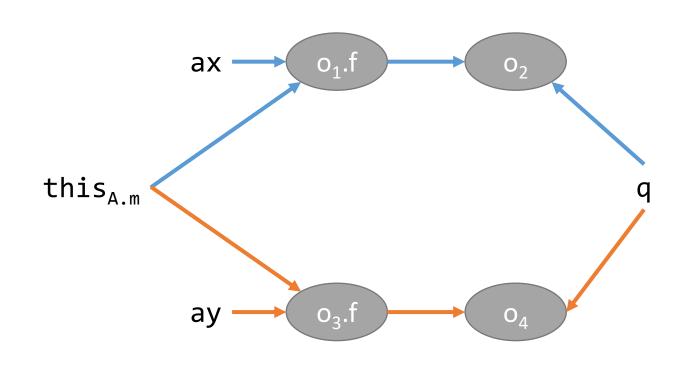
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class A {
    X f;
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}

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ay.m(new Y()); // o<sub>4</sub>
```



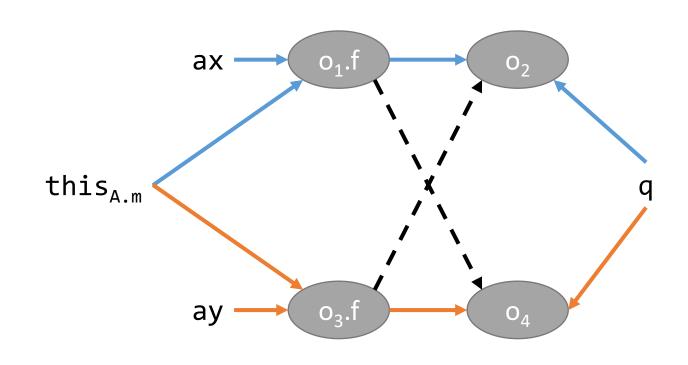
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```
class Y extends X {...}
class A {
    X f;
    void m(X q)
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A ax = new A(); // o<sub>1</sub>
ax.m(new X()); // o<sub>2</sub>
A ay = new A(); // o<sub>3</sub>
ay.m(new Y()); // o<sub>4</sub>
```

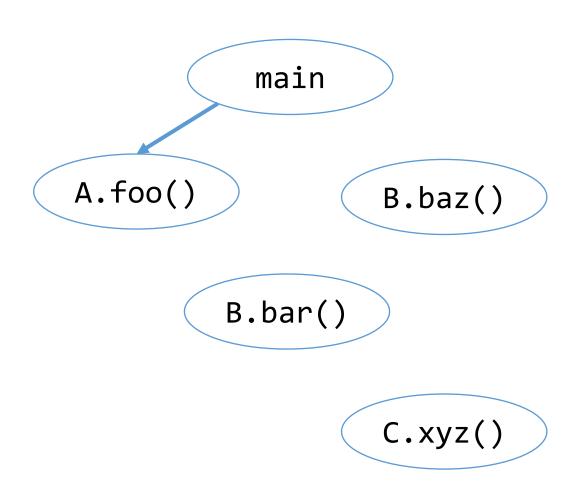


# Program Representation (Calling Structure)

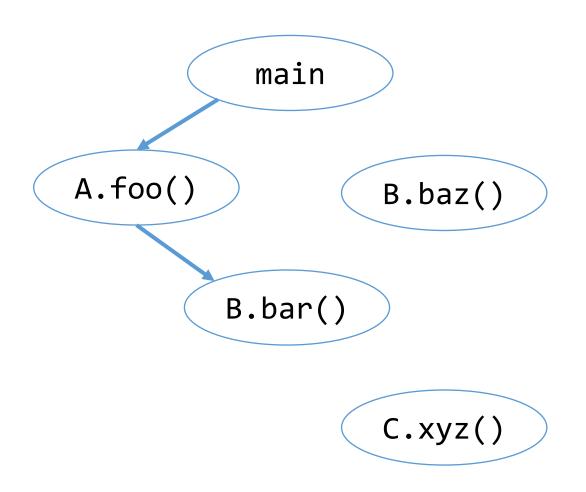
- Program calling structure is related to reference analysis solution
- Two approaches:
  - Approximate call graph and then do the reference analysis
  - Interleave reference analysis with call graph construction
- Lazy approach is preferred
  - Only reachable methods are in the call graph
  - Excluding unused methods improves cost and precision

```
class A {
                                              main
    public void foo(B b)
      { b.bar(); }
                                   A.foo()
                                                      B.baz()
class B
    public void bar() {}
    public void baz()
                                            B.bar()
      { bar(); C.xyz(); }
public static void main(...) {
    A = new A();
                                                      C.xyz()
    a.foo(new B());
```

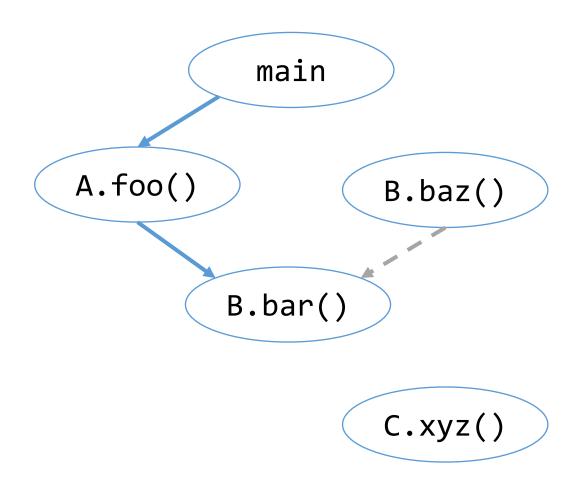
```
class A {
    public void foo(B b)
      { b.bar(); }
class B {
    public void bar() {}
    public void baz()
      { bar(); C.xyz(); }
public static void main(...) {
    A = new A();
    a.foo(new B());
```



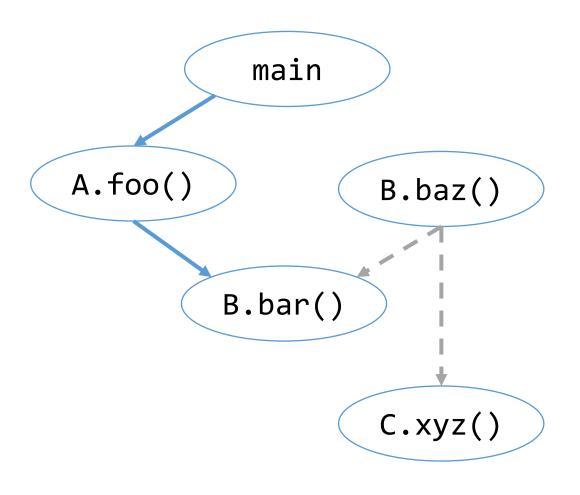
```
class A {
    public void foo(B b)
      { b.bar(); }
class B {
    public void bar() {}
    public void baz()
      { bar(); C.xyz(); }
public static void main(...) {
   A = new A();
    a.foo(new B());
```



```
class A {
    public void foo(B b)
      { b.bar(); }
class B {
    public void bar() {}
    public void baz()
      { bar(); C.xyz(); }
public static void main(...) {
   A = new A();
    a.foo(new B());
```



```
class A {
    public void foo(B b)
      { b.bar(); }
class B {
    public void bar() {}
    public void baz()
      { bar(); C.xyz(); }
public static void main(...) {
   A = new A();
    a.foo(new B());
```



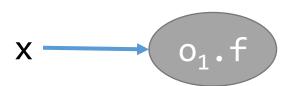
### Object Representation

- Two common approaches for elements in the analysis solution
  - One abstract object for all instantiations of a class
  - One abstract object for each creation site of a class
- There are also other, more precise approaches

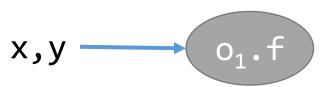
- One abstract object per class might be OK for call graph construction
  - May not be precise enough for other analyses

```
class A {
    public B f;
    public void foo() {}
class B {}
A x = new A(); // o_1
A y = new A(); // o_1
A z = x; // o_1
y.f = new B() // o<sub>2</sub>
```

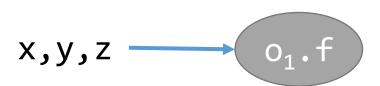
```
class A {
    public B f;
    public void foo() {}
class B {}
A x = new A(); // o_1
A y = new A(); // o_1
A z = x; // o_1
y.f = new B() // o_2
```



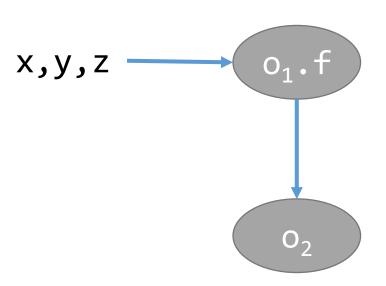
```
class A {
    public B f;
    public void foo() {}
class B {}
A x = new A(); // o_1
A y = new A(); // o_1
A z = x; // o_1
y.f = new B() // o_2
```



```
class A {
    public B f;
    public void foo() {}
class B {}
A x = new A(); // o_1
A y = new A(); // o_1
A z = x; // o_1
y.f = new B() // o_2
```



```
class A {
    public B f;
    public void foo() {}
class B {}
A x = new A(); // o_1
A y = new A(); // o_1
A z = x; // o_1
y.f = new B() // o_2
```



### Example: One Abstract Object Per Creation Site

```
class A {
    public B f;
    public void foo() {}
class B {}
A x = new A(); // o_1
A y = new A(); // o<sub>2</sub>
A z = x; // o_1
y.f = new B() // o_3
```

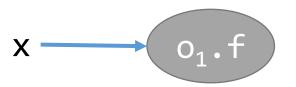
# Example: One Abstract Object Per Creation Site

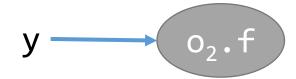
```
class A {
    public B f;
    public void foo() {}
class B {}
A x = new A(); // o_1
A y = new A(); // o<sub>2</sub>
A z = x; // o_1
y.f = new B() // o_3
```



## Example: One Abstract Object Per Creation Site

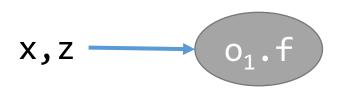
```
class A {
    public B f;
    public void foo() {}
class B {}
A x = new A(); // o_1
A y = new A(); // o<sub>2</sub>
A z = x; // o_1
y.f = new B() // o_3
```

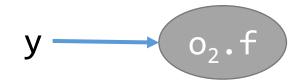




## Example: One Abstract Object Per Creation Site

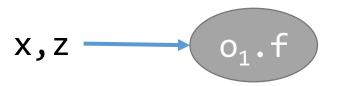
```
class A {
    public B f;
    public void foo() {}
class B {}
A x = new A(); // o_1
A y = new A(); // o<sub>2</sub>
A z = x; // o_1
y.f = new B() // o_3
```

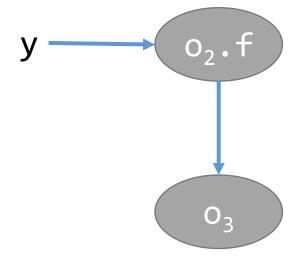




## Example: One Abstract Object Per Creation Site

```
class A {
    public B f;
    public void foo() {}
class B {}
A x = new A(); // o_1
A y = new A(); // o<sub>2</sub>
A z = x; // o_1
y.f = new B() // o_3
```





## Field Sensitivity

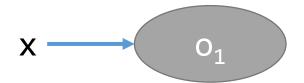
An analysis is *field-sensitive* if its fields are distinctly represented in the solution. Otherwise, an analysis is *field insensitive*.

Not distinguishing fields may decrease precision and increase cost

- But the interaction with other dimensions of precision is not clear
  - More evaluation is needed

```
class A {
     public B f1;
     public C f2;
class B {}
class C { public D f; }
class D {}
A x = new A(); // o_1 
 x.f1 = new B(); // o_2
x.f2 = new C(); // o_3
x.f2.f = new D(); // O_4
```

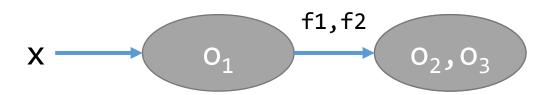
```
class A {
     public B f1;
     public C f2;
class B {}
class C { public D f; }
class D {}
A x = new A(); // o_1 
 x.f1 = new B(); // o_2
x.f2 = new C(); // o_3
x.f2.f = new D(); // O_A
```



```
class A {
     public B f1;
     public C f2;
class B {}
class C { public D f; }
class D {}
A x = new A(); // o_1 
 x.f1 = new B(); // o_2
x.f2 = new C(); // o_3
x.f2.f = new D(); // O_A
```



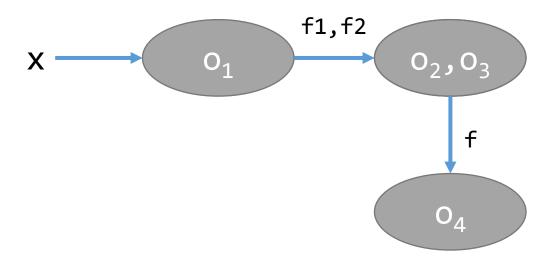
```
class A {
     public B f1;
     public C f2;
class B {}
class C { public D f; }
class D {}
A x = new A(); // o_1 
 x.f1 = new B(); // o_2
x.f2 = new C(); // o_3
x.f2.f = new D(); // O_A
```



```
class A {
      public B f1;
      public C f2;
class B {}
class C { public D f; }
class D {}
A x = new A(); // o_1

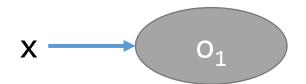
x.f1 = new B(); // o_2

x.f2 = new C(); // o_3
x.f2.f = new D(); // O_A
```

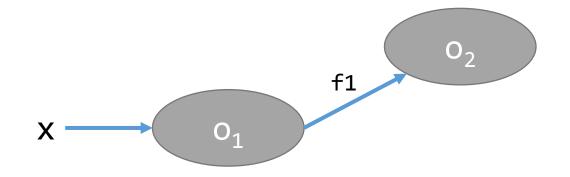


```
class A {
     public B f1;
     public C f2;
class B {}
class C { public D f; }
class D {}
A x = new A(); // o_1 
 x.f1 = new B(); // o_2
x.f2 = new C(); // o_3
x.f2.f = new D(); // O_A
```

```
class A {
     public B f1;
     public C f2;
class B {}
class C { public D f; }
class D {}
A x = new A(); // o_1 
 x.f1 = new B(); // o_2
x.f2 = new C(); // o_3
x.f2.f = new D(); // O_A
```



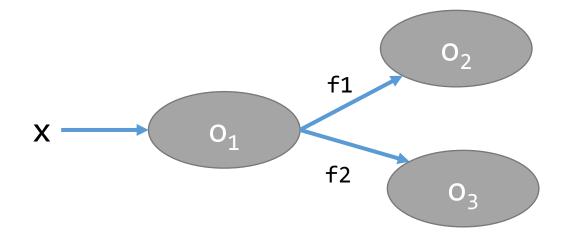
```
class A {
     public B f1;
     public C f2;
class B {}
class C { public D f; }
class D {}
A x = new A(); // o_1 
 x.f1 = new B(); // o_2
x.f2 = new C(); // o_3^2
x.f2.f = new D(); // O_A
```



```
class A {
      public B f1;
      public C f2;
class B {}
class C { public D f; }
class D {}
A x = new A(); // o_1

x.f1 = new B(); // o_2

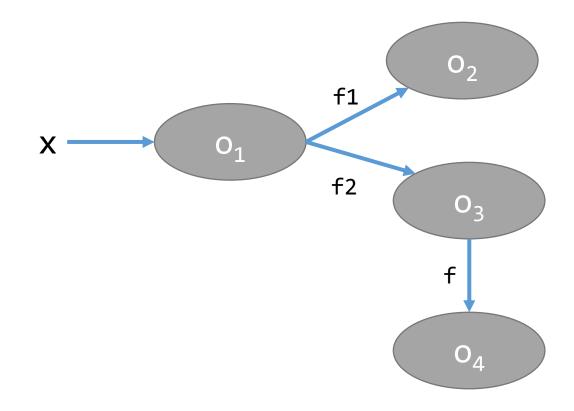
x.f2 = new C(); // o_3
x.f2.f = new D(); // O_A
```



```
class A {
      public B f1;
      public C f2;
class B {}
class C { public D f; }
class D {}
A x = new A(); // o_1

x.f1 = new B(); // o_2

x.f2 = new C(); // o_3
x.f2.f = new D(); // O_A
```



## Reference Representation

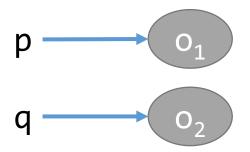
- Generally, each reference has a unique representative
- Alternative approaches:
  - One abstract reference per type
  - One abstract reference per method
- Fewer references are less precise, but the analysis is more efficient

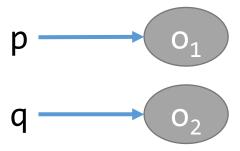
• Examples: CTA, FTA/MTA, XTA

## Directionality

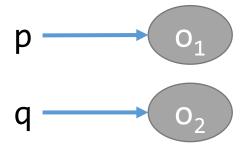
- How does the analysis interpret assignments (p = q)?
- Symmetric (unification constraints)
  - p and q have the same information after the assignment
  - E.g. Steensgaard's pointer analysis (worst case almost linear time)
- Directional (inclusion constraints)
  - Information flows from q to p
  - E.g. Andersen's pointer analysis (worst case cubic time)
- Inclusion more precise than unification, but slightly more cost

#### **Unification Constraints**

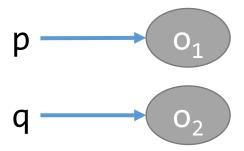




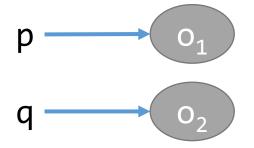
#### **Unification Constraints**



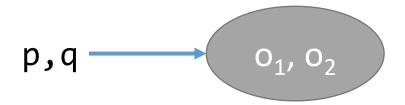
$$p = q$$

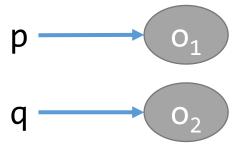


#### **Unification Constraints**

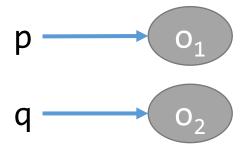


$$p = q$$

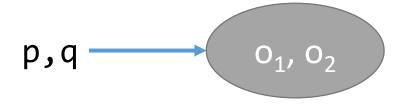


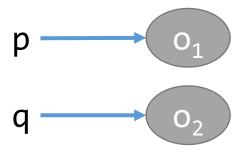


#### **Unification Constraints**



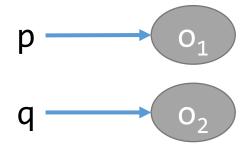
$$p = q$$



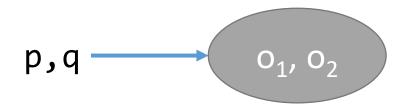


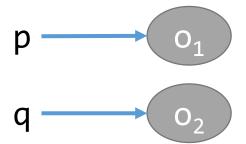
$$p = q$$

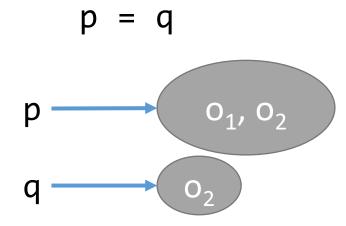
#### **Unification Constraints**



$$p = q$$







### Open Issues

- Reflection
- Native methods
- Exceptions
- Dynamic class loading
- Incomplete programs
- Benchmarks

### Conclusions

- Different dimensions affect the precision and cost of an analysis
  - Challenge: picking the right analysis for a specific application, and making the appropriate precision/cost trade-off
- Observations:
  - OO programs usually have many small methods, and method calls are primary control flow structure
  - Context sensitivity probably more useful than flow sensitivity
  - Inclusion constraints more precise than unification, and still practical
- No single analysis works for all applications

### Discussion

• Some of the approaches seem to be "obviously" better than others. Are there cases where this might not be the case?

- Not all of the dimensions are binary. Could we use some hybrid approach?
  - E.g. part of an analysis is flow sensitive, the rest is flow insensitive
- What are other dimensions of precision in a reference analysis?