A. OCL Rules

In this appendix we present the OCL rules that, together with the UML classes, define our models in DECOR.

A.1. MAPE-K Control Architecture Meta-Model

The following OCL rules are linked to the MAPE-K Control Architecture Meta-Model (see Figure 7).

The OCL invariant hasAtLeastOneMapeKComponent (see Listing 3) defined for Self-Adaptive Unit specifies that a Self-Adaptive Unit must contain at least one MAPE-K Component. The invariant retrieves the MAPE-K components of the Self-Adaptive Unit (e.g., self.monitor in line 2), and checks if at least one of them is not null.

Listing 3: invariant hasAtLeastOneMapeKComponent

```
invariant hasAtLeastOneMapeKComponent:

self.monitor <> null or

self.analyze <> null or

self.plan <> null or

self.execute <> null or

self.execute <> null or
```

The other invariants of the MAPE-K Control Architecture Meta-Model concern the interactions. All of these require that the target and context of the interaction are not null. This property is stored as an attribute targetAndContextNotNull in the Interaction class (see Listing 4).

Listing 4: attribute targetAndContextNotNull

The OCL invariant isInterComponent is linked to InterComponentInteraction. This invariant (see Listing 5) first checks if the context and target entities of the Interaction are not null (line 2), then checks if they are not of the same type (i.e., they belongs to different MAPE-K Components) (line 3). The oclType() is an OCL function that returns the class to which a calling object belongs to.

Listing 5: invariant isInterComponent

```
invariant isInterComponent:
if (targetAndContextNotNull)

then context->oclType() <> target->oclType()

else true
endif;
```

The OCL invariant isIntraComponent is linked to IntraComponentInteraction. This invariant (see Listing 6) first checks if the context and target entities of the Interaction are not null (line 2), then it checks if they are of the same type (i.e., they belongs to the same MAPE-K Components) (line 3). Notice that in the OCL syntax the symbol "="stands for the equality operator (usually represented as "==").

Listing 6: invariant isIntraComponent

```
invariant isIntraComponent:
    if(targetAndContextNotNull)
    then context->oclType() = target->oclType()
    else true
    endif;
```

The OCL invariant targetIsKnowledge relates to ReadWriteInteraction. This invariant (see Listing 7) checks if the target entity of the ReadWriteInteraction belongs to the Knowledge class. The question mark "?" is the safe object navigator operator, which was introduced in OCL 2.4 to avoid the navigation through a null object yielding an invalid value. The oclIsKindOf() is an OCL function that returns true if the calling object belongs to the class specified through the argument (it is equivalent to Java's instanceOf).

Listing 7: invariant targetIsKnowledge

```
invariant targetIsKnowledge:
self.target?.oclIsKindOf(Knowledge);
```

The OCL invariant is Inverse relates to CoordinationInteraction. This invariant (see Listing 8) checks if the inverse Coordination's target is equal to the calling Coordination's context, if the inverse's context is equal to the calling's target and finally if the inverse of the inverse Coordination is the calling.

Listing 8: invariant is Inverse

```
invariant isInverse:
isInverse: inverse?.target = self.context and
inverse?.context = self.target and
self = self.inverse?.inverse;
```

CAME makes use of pattern-specific OCL rules that support the definition of MAPE-K control architectures blueprints.

To this end, the ConcretePattern entity extends the MAPEKControlArchitecture by defining the OCL rules of all the available patterns. PatternType is an enum containing all the patterns' names that are available in DECOR, i.e., Master/Slave, Information Sharing, Coordinated Control, Hierarchical Control, Regional Planning, a CustomPattern with no predefined rules and a NoPattern default invalid PatternType, which indicates that the user has not yet selected a pattern.

The OCL invariant noPatternSelected (see Listing 9, line 3) relating to ConcretePattern checks if the user has selected a valid PatternType.

Listing 9: ConcretePattern entity

```
class ConcretePattern extends MAPEKControlArchitecture {
    attribute type : PatternType[1];
    invariant noPatternSelected: if(type = PatternType::NoPattern) then false else true endif;
    ...
    ...
    ...
    ...
    ...
    ...
    ...
```

To develop a new pattern in DECOR, the developer has therefore two possibilities: (i) using the CustomPattern PatternType, which does not place any constraints on the MAPE-K control architecture and thus will not be validated through OCL, or, more formally, (ii) extending the CAME by adding a new PatternType, and defining the OCL rules that characterize the new pattern. In the latter (and suggested) alternative the newly defined pattern can be validated with OCL according to the developer's rules.

In the following we show the specification of the standard patterns available in the tool: Master/Slave, Information Sharing, Coordinated Control, Hierarchical Control and Regional Planning.

A.2. Master/Slave Pattern

The Master/Slave pattern is composed by the SelfAdaptiveUnit Master entity and one or more Slaves. Listing 10 shows the Master class with all the invariants that characterize it. The Master has no Monitor (line 2) and no Execute (line 5) MapeKComponents, while it has Analyze (line 3) and Plan (line 4). The invariant analyzeSpeaksWithPlan (line 6) validates if the Analyze and Plan Master's MapeKComponents communicate through an InterComponentInteraction. First, it obtains all the Master's IntercomponentInteractions and then it selects those with context of type Analyze (line 7) and target of type Plan (line 8), and

then the invariant checks if their cardinality is 1. The function getMyContextInterComponentInteractions (line 6) is defined for the SelfAdaptiveUnit class and it returns all the InterComponentInteractions for which the caller instance is the context.

Listing 10: Master entity

```
class Master extends SelfAdaptiveUnit {
    invariant hasNOMonitor: self.monitor = null;
    invariant hasAnalyze: self.analyze <> null;
    invariant hasPlan: self.plan <> null;
    invariant hasNOExecute: self.execute = null;
    invariant analyzeSpeaksWithPlan: getMyContextInterComponentInteractions
    ->select(context.oclIsKindOf(Analyze))
    ->select(target.oclIsKindOf(Plan))->size()=1;
}
```

Listing 11 represents the *Slave* class with all its invariants. The *Slave* has no *Analyze* (line 4) and no *Plan* (line 5) *MapeKComponents*, while it has *Monitor* (line 3) and *Execute* (line 6).

The invariants checkONEManaged (line 7), actONEManaged (line 8) and checkAndAct (line 9) verify that (i) the Slave's Monitor is connected to one LocalManagedSystem, (ii) the Slave's Execute acts on one LocalManagedSystem and, (iii) that they both manage the same LocalManagedSystem's instance. The boolean auxiliary attributes hasOneManagedCheck (see Listing 12) and hasOneManagedAct are defined for the Monitor and Execute MapeKComponents, respectively, and verify if the MapeKComponents manage one instance only of type LocalManagedSystem.

Finally, the invariants slaveMonitorSpeaksWithMasterAnalyze (see Listing 11, line 9) and masterPlanSpeaksWithSlaveExecute (see Listing 11, line 11) verify that there is one InterComponentInteraction that connects the Slave's Monitor with an Analyze MapeKComponent and one InterComponentInteraction that connects a Plan MapeKComponent with the Slave's Execute, respectively. Notice that there is no need to specify that the Analyze and Plan MapeKComponents belong to the Master, since this constraint is implicitly satisfied by the union of all the defined invariants. The function getMyTargetInterComponentInteractions (see Listing 11, line 11) is defined for the SelfAdaptiveUnit class and it returns all the InterComponentInteractions for which the caller instance is the target.

Listing 11: Slave entity

```
class Slave extends SelfAdaptiveUnit {
            invariant hasMonitor: self.monitor <> null;
2
            invariant hasNOAnalyze: self.analyze = null;
3
            invariant hasNOPlan: self.plan = null;
            invariant hasExecute: self.execute <> null;
            {\bf invariant~checkONEManaged:~self.monitor?.hasOneManagedCheck;}
6
            {\tt invariant}\ act ONE Managed: self. execute?. has One Managed Act;
            invariant checkAndAct: self.monitor?.check = self.execute?.act;
            invariant slaveMonitorSpeaksWithMasterAnalyze: getMyContextInterComponentInteractions
                     ->select(context.oclIsKindOf(Monitor))->select(target.oclIsKindOf(Analyze))->size()=1;
10
            {\bf invariant}\ master Plan Speaks With Slave Execute:\ get My Target Inter Component Interactions
11
                    ->select(context.oclIsKindOf(Plan))->select(target.oclIsKindOf(Execute))->size()=1;
12
13
    }
```

Listing 12: attribute hasOneManagedCheck

```
attribute hasOneManagedCheck: Boolean[1] {derived readonly transient volatile} {
    initial:
    self.check?->size() = 1 and self.check->select(oclIsKindOf(LocalManagedSystem))->size() = 1;
}
```

The invariant exactlyOneMaster (see Listing 13, line 1) checks that there is only one *Subsystem* of type *Master* in the *ConcretePattern*, while the invariant atLeastOneSlave (see Listing 13, line 6) checks that at least one *Subsystem* in the *ConcretePattern* is of type *Slave*.

Listing 13: invariants exactlyOneMaster and atLeastOneSlave

```
invariant exactlyOneMaster:
    if(type = PatternType::MasterSlave)
        then self.subsystems->select(oclIsKindOf(Master))->size() = 1
else true
endif;
invariant atLeastOneSlave:
    if(type = PatternType::MasterSlave)
        then self.subsystems->select(oclIsKindOf(Slave))->size() >= 1
else true
endif;
```

The invariant maxMasterSlavePatternInteractionAllowed (see Listing 14, line 1) limits the number of Interactions allowed, to be two times the number of the Slave instances, i.e., all InterComponentInteractions connecting the Slaves' Monitor with the Master's Analyze and the Master's Plan with the Slaves' Execute, plus one Interaction, i.e., the InterComponentInteraction connecting the Master's Analyze with the Master's Plan. The invariant presenceOfNotAllowedElementsInMasterSlavePattern (see Listing 14, line 7) limits the SelfAdaptiveUnits of the ConcretePattern to be only those of type Master and Slave. The invariant first collects all the SelfAdaptiveUnits of the ConcretePattern, rejects those of type Master and Slave (line 10 and 11), and force the cardinality of this resulting set to be 0 (line 11).

Listing~14:~invariants~max Master Slave Pattern Interaction Allowed~and~presence Of Not Allowed Elements In MSP attern Interaction Allowed and presence Of Not Allowed Elements In MSP attern Interaction Allowed Interaction Al

```
invariant maxMasterSlavePatternInteractionAllowed:
            if(type = PatternType::MasterSlave)
2
                then self.interactions—>select(oclIsKindOf(Interaction))
                            -> size() <= 2 * (self.subsystems -> select(oclIsKindOf(Slave)) -> size()) + 1
5
            else true
            endif:
6
    invariant presenceOfNotAllowedElementsInMSPattern:
7
            if(type = PatternType::MasterSlave)
                    then self.subsystems -> select(oclIsKindOf(SelfAdaptiveUnit))
                    -> reject(oclIsKindOf(Master))
10
                    -> reject(oclIsKindOf(Slave))->size() = 0
11
            else true
12
            endif;
```

A.3. Information Sharing Pattern

This paragraph describes the OCL rules defined for the information sharing pattern, which is composed only by the SelfAdaptiveUnit Peer entities. Peer extends the abstract entity AbstractPeer (see Listing 15) that defines the constraints on the type of MapeKComponents, i.e., Monitor, Analyze, Plan and Execute (line 2-5), the InterComponentInteractions allowed, i.e., Monitor-Analize, Analize-Plan, Plan-Execute (line 6,9 and 12), and limits the InterComponentInteractions to be the three just described (line 15). The Peer entity (see Listing 16) extends AbstractPeer by only adding the constraints checkONEManaged, actONEManaged and checkAndAct that force the Peer's Monitor and Execute to manage one LocalManagedSubsystem and they are equivalent of those defined for the Slave SelfAdaptiveUnit in Listing 11.

Listing 15: AbstractPeer entity

```
abstract class AbstractPeer extends patternGenerator::SelfAdaptiveUnit {
invariant hasMonitor: self.monitor <> null;
invariant hasAnalyze: self.analyze <> null;
```

```
invariant hasPlan: self.plan <> null;
            invariant hasExecute: self.execute <> null;
            {\bf invariant}\ monitor Speaks With Analyze:\ get My Context Inter Component Interactions
6
                     ->select(context.oclIsKindOf(Monitor))
                     ->select(target.oclIsKindOf(Analyze))->size() = 1;
            {\bf invariant}\ analyze Speaks With Plan:\ get My Context Inter Component Interactions
10
                     ->select(context.oclIsKindOf(Analyze))
                     ->select(target.oclIsKindOf(Plan))->size() = 1;
            {\bf invariant}\ plan Speaks With Execute:\ get My Context Inter Component Interactions
12
                     ->select(context.oclIsKindOf(Plan))
13
                     ->select(target.oclIsKindOf(Execute))->size() = 1;
14
            invariant maxInterInteractionAllowed: getMyContextInterComponentInteractions -> size() <= 3;
15
16
```

Listing 16: Peer entity

```
class Peer extends AbstractPeer {
    invariant checkONEManaged: self.monitor?.hasOneManagedCheck;
    invariant actONEManaged: self.execute?.hasOneManagedAct;
    invariant checkAndAct: self.monitor.check = self.execute.act;
}
```

The following OCL rules relate to *CustomPattern* in the case *InfoSharing* or *CoordControl* (which will be described later) is chosen as *PatternType*.

The invariant atLeastOnePeer (see Listing 17, line 1) validates that there is at least one Subsystem of type Peer in the ConcretePattern, while the invariant presenceOfNotAllowedElements (see Listing 17, line 6) validates that there are no other Subsystems in the ConcretePattern except those of type Peer.

 $Listing\ 17:\ invariants\ at Least One Peer\ and\ presence Of Not Allowed Elements$

```
invariant atLeastOnePeer:
            if(type = PatternType::InfoSharing or type = PatternType::CoordControl)
2
                    then self.subsystems->select(oclIsKindOf(Peer)) -> size() > 0
3
            else true
4
            endif;
   {\bf invariant}\ presence Of Not Allowed Elements:
6
            if(type = PatternType::InfoSharing or type = PatternType::CoordControl)
                    then self.subsystems -> select(oclIsKindOf(SelfAdaptiveUnit))
                    - > reject(oclIsKindOf(Peer)) -> size() = 0
            else true
10
11
            endif;
```

The invariant intraComponentInteractionIsMCoord (see Listing 18), defined for *CustomPattern* in the case *InfoSharing* is chosen as *PatternType*, validates that the only *IntraComponentInteractions* allowed are the *Coordinations* between *MapeKComponents* of type *Monitor* (line 6 and 7).

Listing 18: invariant intra ComponentInteractionIsMCoord

```
invariant intraComponentInteractionIsMCoord:

if(type = PatternType::InfoSharing)

then self.interactions—>select(oclIsKindOf(IntraComponentInteraction))

->select(oclAsType(Interaction).targetAndContextNotNull)

-> reject(oclIsKindOf(Coordination) and

oclAsType(Interaction).context?.oclIsKindOf(Monitor) and

oclAsType(Interaction).target?.oclIsKindOf(Monitor)) -> size() = 0

else true

endif;
```

A.4. Coordinated Control Pattern

The Coordinated Control pattern is similar to the Information Sharing pattern, except for the fact that in the first the *Coordinations* can be among *Monitors*, as well as *Analyzes*, *Plans* and *Executes*, while in the latter the *Coordinations* are only between *Monitor MapeKComponents*. Their strong similarity implies that most of the OCL rules are the same for both. The Coordinated Control pattern does not have the intraComponentInteractionIsMCoord invariant. The invariant intraComponentInteractionIsCoord (see Listing 19) relates to *CustomPattern* in the case *CoordControl* is choosen as *PatternType* and it validates that the only *IntraComponentInteractions* are the *Coordinations* and thus that no *Delegations* are present.

Listing 19: invariant intraComponentInteractionIsCoord

```
if(type = PatternType::CoordControl)
then self.interaction>>select(oclIsKindOf(IntraComponentInteraction))
->select(oclAsType(Interaction).targetAndContextNotNull)
->select(oclIsKindOf(Delegation))->size() = 0
else true
endif;
```

A.5. Hierarchical Control Pattern

The Hierarchical Control pattern is composed only by the SelfAdaptive Unit HPeer entity (see Listing 20), which extends AbstractPeer by just specifying with hasOneCheckAndAct invariant (line 2) that the Subsystems checked by the Monitor MapeKComponent and those acted by the Execute are at least one and they both are the same instances. Notice that differently for the Peer entity (see Listing 16) in this case we are not constraining the type of managed entity to be LocalManagedSubsystem, since in the hierarchical control pattern SelfAdaptiveUnits can manage other SelfAdaptiveUnits, as well as LocalManagedSubsystems.

Listing 20: HPeer entity

```
class HPeer extends AbstractPeer {
    invariant hasOneCheckAndAct:
    self.monitor?.check—>size() > 0 and
    self.execute?.act—>size() > 0 and
    self.monitor?.check = self.execute?.act;
}
```

The following OCL rules relate to CustomPattern in the case HierchicalControl is choosen as PatternType. The invariant atLeastOneHPeer (see Listing 21) validates that there is at least one Subsystem in the ConcretePattern of type HPeer. The invariant presenceOfNotAllowedElementsInHCPattern (see Listing 22, line 1) validates that there are no other Subsystems in the ConcretePattern except those of type HPeer (line 3). Finally, the invariant noIntraComponentInteraction (see Listing 22, line 7) validates that there are no IntraComponentInteractions.

Listing 21: invariant atLeastOneHPeer

```
invariant atLeastOneHPeer:
if(type = PatternType::HierchicalControl)
then self.subsystems->select(oclIsKindOf(HPeer))->size() >= 1
else true
endif;
```

 ${\bf Listing~22:~invariants~presence Of Not Allowed Elements In HCP attern~and~no Intra Component Interaction}$

```
invariant presenceOfNotAllowedElementsInHCPattern:
    if(type = PatternType::HierchicalControl)
    then self.subsystems—>select(oclIsKindOf(SelfAdaptiveUnit))
```

```
-> reject(ocllsKindOf(HPeer)) -> size() = 0

else true

endif;
invariant noIntraComponentInteraction:

if(type = PatternType::HierchicalControl)

then self.interactions -> select(ocllsKindOf(IntraComponentInteraction)) -> size() = 0

else true

endif;
```

A.6. Regional Planning Pattern

The Regional Planning pattern is composed by one or more SelfAdaptiveUnit RegionalPlanner entities and one or more UnderlyingSubsystems. Listing 23 represents the RegionalPlanner class with all its invariants. The RegionalPlanner has no Monitor (line 2), no Analyze (line 3) and no Execute (line 5) MapeKComponents, while it has the Plan MapeKComponent (line 4).

Listing 23: RegionalPlanner entity

Listing 24 represents the *UnderlyingSubsystem* entity with all its invariants. The *UnderlyingSubsystem* has *Monitor* (line 2), *Analyze* (line 3) and *Execute* (line 5) *MapeKComponents*, while it has no *Plan MapeKComponent* (line 4). The invariants checkONEManaged (line 6), actONEManaged (line 7) and checkAndAct (line 8) force the *UnderlyingSubsystem*'s *Monitor* and *Execute* to manage exactly one *LocalManagedSubsystem*.

The invariants monitorSpeaksWithAnalyze, analyzeSpeaksWithPlan, and planSpeaksWithExecute define the constraints on the *InterComponentInteractions* allowed (lines 9,12 and 14, respectively), i.e., *Monitor-Analyze*, *Analize-Plan*, *Plan-Execute*. The invariant maxInterInteractionAllowed limits the *Inter-ComponentInteractions* to be the three just described.

Finally, the invariant hasOneOnlyAssociatedPlanner (line 18) binds the *Plan MapeKComponent* of the *Analyze-Plan InterComponentInteraction* to belong to the same *RegionalPlanner* instance of the one of the *Plan-Execute* interaction.

Listing 24: UnderlyingSubsystem entity

```
class UnderlyingSubsystem extends patternGenerator::SelfAdaptiveUnit {
            invariant hasMonitor: self.monitor <> null;
            invariant hasAnalyze: self.analyze <> null;
3
            invariant hasNoPlan: self.plan = null;
4
            invariant hasExecute: self.execute <> null;
5
            invariant checkONEManaged: self.monitor.hasOneManagedCheck;
6
            invariant actONEManaged: self.execute.hasOneManagedAct;
            invariant checkAndAct: self.monitor.check = self.execute.act;
            {\bf invariant}\ monitor Speaks With Analyze:\ get My Context Inter Component Interactions
9
                    -> select(context.oclIsKindOf(Monitor)) -> select(target.oclIsKindOf(Analyze))
10
                    -> size() = 1;
11
            invariant analyzeSpeaksWithPlan: getMyContextInterComponentInteractions
                    -> select(context.oclIsKindOf(Analyze)) -> select(target.oclIsKindOf(Plan)) -> size() = 1;
13
            invariant planSpeaksWithExecute: getMyTargetInterComponentInteractions
14
15
                    -> select(context.oclIsKindOf(Plan)) -> select(target.oclIsKindOf(Execute)) -> size() = 1;
16
            invariant maxInterInteractionAllowed: getMyContextInterComponentInteractions->size() <= 2
                    and getMyTargetInterComponentInteractions->size()<=2;</pre>
17
            invariant hasOneOnlyAssociatedPlanner:
```

```
if(hasAssociatedContextPlanner and hasAssociatedTargetPlanner)
19
                            then self.getMyContextInterComponentInteractions
20
                             -> any(target.oclIsKindOf(Plan)).target.oclContainer().oclAsType(RegionalPlanner)
22
                                = self.getMyTargetInterComponentInteractions
                             -> any(context.oclIsKindOf(Plan)).context.oclContainer()
23
                                .oclAsType(RegionalPlanner)
24
25
                    else true
                    endif;
26
27
    }
```

The OCL rules in Listing 25 relate to CustomPattern in the case RegionalPlanning is chosen as Pattern-Type. The rules atLeastOneUnderlyingSubsystem (line 1) and atLeastOneRegionalPlanner (line 6) specify that in the CustomPattern subsystems there must be at least one UnderlyingSubsystem and at least one RegionalPlanner entities. The invariant presenceOfNotAllowedElementsInRegionalPlanningPattern (line 11) limits the Subsystems of the CustomPattern to be only those of type RegionalPlanner or Underlying-Subsystem. This invariant first selects all the SelfAdaptiveUnits instances of the CustomPattern's subsystems (line 13), then it rejects all RegionalPlanners and UnderlyingSubsystems, and force the cardinality of this resulting set to be equal to 0 (line 15). Finally, the Invariant intraComponentInteractionIsPCoord (line 18) limits the IntraComponentInteractions of the CustomPattern to be only the Coordinations between the Plan MapeKComponents of the RegionalPlanners.

Listing 25: Regional Planning Pattern invariants

```
invariant atLeastOneUnderlyingSubsystem:
2
             if(type = PatternType::RegionalPlanning)
                     then self.subsystems—>select(oclIsKindOf(UnderlyingSubsystem)) -> size() > 0
3
4
             else true
             endif:
5
    invariant atLeastOneRegionalPlanner:
6
             if(type = PatternType::RegionalPlanning)
7
                     then self.subsystems—>select(oclIsKindOf(UnderlyingSubsystem)) -> size() > 0
9
             else true
             endif:
10
    {\bf invariant}\ presence Of Not Allowed Elements In Regional Planning Pattern:
11
            if(type = PatternType::RegionalPlanning)
12
                     then self.subsystems->select(oclIsKindOf(SelfAdaptiveUnit))
                     -{\rm > reject}({\rm oclIsKindOf(RegionalPlanner}))
14
                     -> \text{reject}(\text{oclIsKindOf}(\text{UnderlyingSubsystem})) -> \text{size}() = 0
15
             else true
16
             endif:
17
18
    invariant intraComponentInteractionIsPCoord:
             if(type = PatternType::RegionalPlanning)
19
                     then self.interactions—>select(oclIsKindOf(IntraComponentInteraction))
20
                     -> select(oclAsType(Interaction).targetAndContextNotNull)
21
                     -> reject(oclIsKindOf(Coordination) and
22
                         oclAsType(Interaction).context.oclIsKindOf(Plan) and
23
24
                         oclAsType(Interaction).target.oclIsKindOf(Plan)) -> size() = 0
25
             else true
             endif;
26
```