

# SOFTWARE ENGINEERING

ECE651  
SPRING 2020



# GOALS & DESIGN PRINCIPLES

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## **THINK, PAIR, SHARE**

- What characteristics of software would customers desire?
- Of these, which work well together, which are in opposition?

# GOALS

- **Correct:** does what it is supposed to (no bugs)
- **Robust:** secure, dependable, resilient
- **Useable:** UI, compatibility,...
- **Many features:** does lots of "good" stuff
- **Good performance:** fast, low energy usage,....
  - Scalable
- **Maintainable/extensible:** Can add and change features
- **Quick delivery:** low development time
- **Low cost:** less spending is preferred

# GOALS

■ **Correct:** does what it is supposed to (no bugs)

Must have

■ **Robust:** secure, dependable, resilient

■ **Useable:** UI, compatibility,...

■ **Many features:** does lots of "good" stuff

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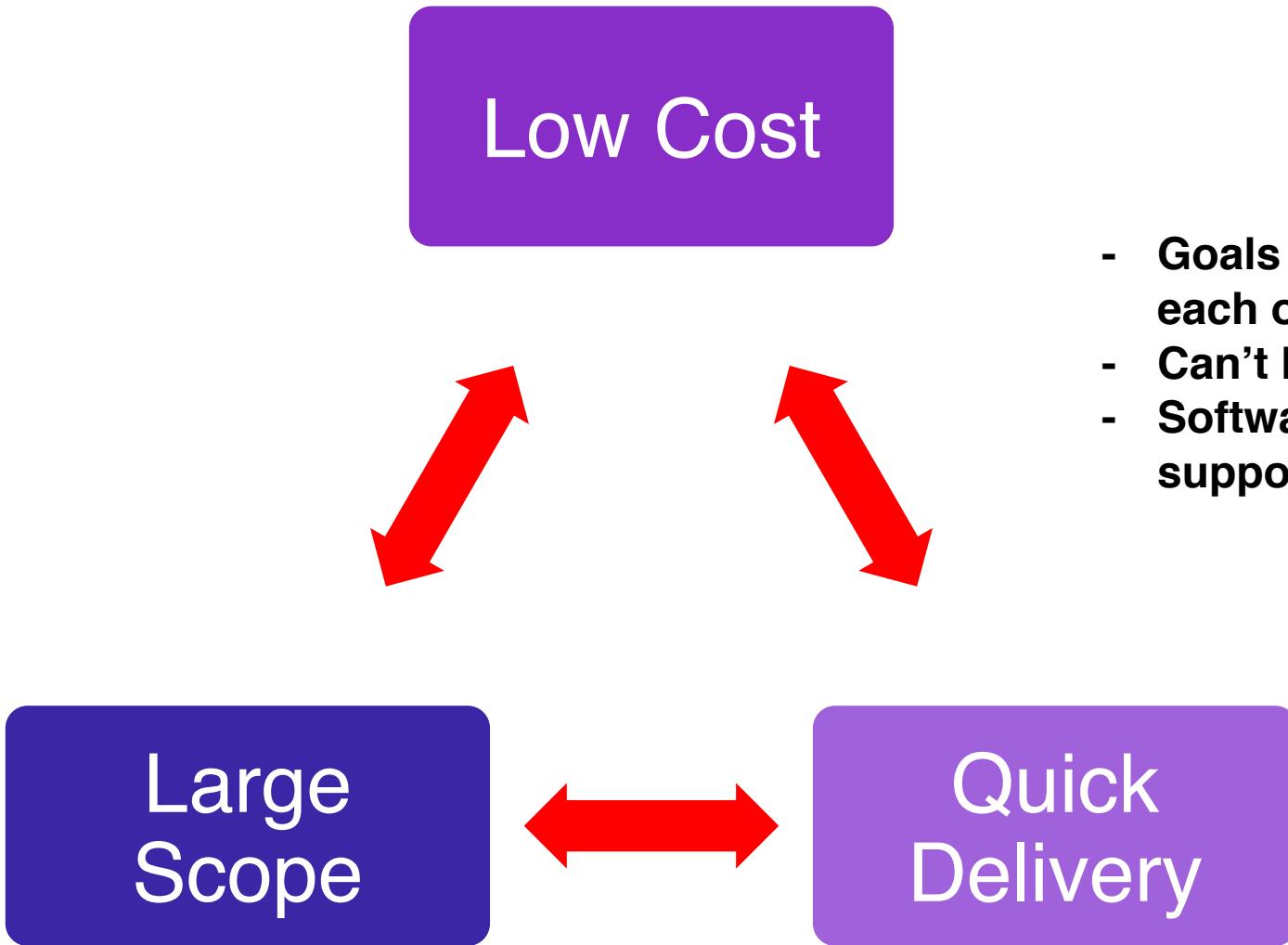
    ■ **Scalable**

■ **Maintainable/extensible:** Can add and change features

■ **Quick delivery:** low development time

■ **Low cost:** less spending is preferred

Scope: What we must build



- Goals are in opposition with each other
- Can't have all 3
- Software engineering may support more of each



- We have **10** developers to build our software product
  - Estimated time **1 year**
  - Developer salary: \$125,000/year
  - Total cost: \$1,250,000
- Can we just hire **20** developers and do it in **6 months**?
  - Each person does half as much work
  - Total cost still \$1,250,000
  - Seems better, right?
- Could we take this further?
  - 40 developers in 3 months?
  - 3,650 developers in 1 day?
  - 87,600 developers in 1 hour?
  - 5,256,000 developers in 1 minute?

# EMBARRASSINGLY PARALLEL PROBLEM

LITTLE OR NO  
EFFORT IS  
REQUIRED TO  
SEPARATE THE  
PROBLEM INTO A  
NUMBER OF  
**PARALLEL TASKS**

# SOFTWARE DEVELOPMENT IS **NOT** EMBARRASSINGLY PARALLEL

- Significant communication & synchronization overheads
- 20 developers accomplish in 9 months
- 40 developers accomplish in 8 months
- Adding person power to a project often makes it later

“HOW DOES A SOFTWARE  
SYSTEM GET TO BE ONE YEAR  
LATE? ONE DAY AT A TIME”

– FREDERICK P. BROOKS, PHD

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## COROLLARY

- **Effective parallelism** between developers requires independent tasks
- Independent tasks are achieved by clearly **specified interfaces** that one developer can use while another implements

# OBJECT- ORIENTED DESIGN FOUNDATIONS

Inheritance

Polymorphism

Abstraction

Encapsulation

# DESIGN PRINCIPLES

- Least Surprise/Astonishment
- Don't Repeat Yourself (DRY)
- Low Coupling/High Cohesion
- Single Responsibility
- Open/Closed
- Liskov Substitution
- Interface Separation
- Dependency Injection
- Design for testability

S.O.L.I.D.

We will keep  
coming back  
to these  
throughout the  
semester

# LEAST SURPRISE/ ASTONISHMENT

- Reduce how much another developer is surprised by what happens
  - **No unexpected side-effects**
    - `getSomething()` should not change state
  - **No reliance on hidden knowledge to make it work**
    - "You have to call `methodA` right before `methodB` or it crashes"
    - "It got stuck in an infinite loop? Yeah, that happens if you pass a negative number to `methodC`..."
  - **Names make sense.**

# DON'T REPEAT YOURSELF (DRY)

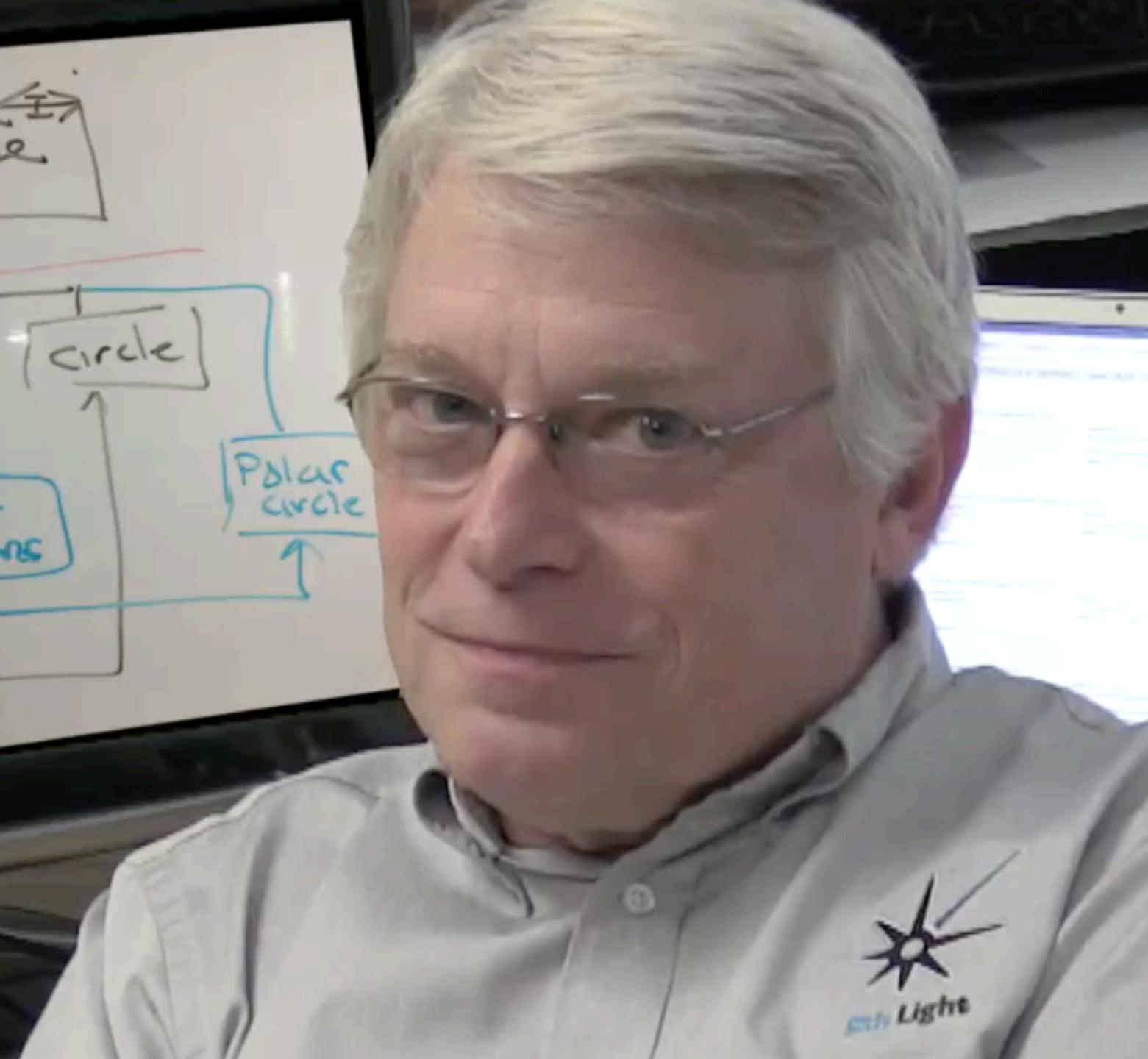
- Same code should only appear **once**
- If code needed more than once, use a function
- If constant needed more than once, give it a name
- **Gut Check:** If you change in place 1 and that requires you to make the same change in place 2, then you've violated DRY

# LOW COUPLING/ HIGH COHESION

- **Coupling:** interdependence and coordination between entities (i.e., classes, functions, modules)
- **Cohesion:** how related are things inside one entity

# SINGLE RESPONSIBILITY PRINCIPLE

- Software should do "one thing"



## “UNCLE BOB”

- "Gather together the things that change for the same reasons. Separate those things that change for different reasons."

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# SINGLE RESPONSIBILITY

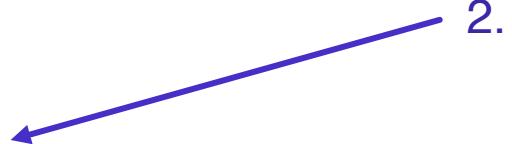
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  - Reads + parses some data
  - Performs some computation
  - Writes results
- How many responsibilities do we have?

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**At least two here:**

1. Getting the data  
(open file, setup network connection, etc)
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Lets just look at these two responsibilities for one second

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# NOT SINGLE RESPONSIBILITY

Note: pseudo OO language,

```
DataSet readAndParse() {  
    ConfigFileReader cfr = new ConfigFileReader("config.txt");  
    String addr= cfr.getLineFor("datasource").getValue();  
    InternetAddress addr = new InternetAddress(addr);  
    Socket sock = new Socket(source);  
    InputStream inp = sock.getInputStream();  
    String line;  
    DataSet ans = new DataSet();  
    while((line = inp.readLine()) != null) {  
        String[] parts = splitUp(line);  
        //some error checking on first part  
        firstPart = doSomething(parts[0]);  
        //some error checking on second part  
        secondPart = doOtherThing(parts[1]);  
        //some error checking on third part  
        thirdPart = anotherFn(parts[2]);  
        ans.add(new Data(firstPart, secondPart, thirdPart));  
    }  
    return ans;  
}
```

Why is this bad?

# NOT SINGLE RESPONSIBILITY

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        ans.add(new Data(firstPart, secondPart, thirdPart));  
    }  
    return ans;  
}
```

## Three responsibilities

1. Configuration
2. Network connection setup
3. Parsing input

Why does this matter?

# NOT SINGLE RESPONSIBILITY

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        thirdPart = anotherFn(parts[2]);  
        ans.add(new Data(firstPart, secondPart,  
                         thirdPart));  
    }  
    return ans;  
}
```

What if we want to....

- connect to a different network address?
- read data from a file instead of network?
- support a new version of the data format?

# SINGLE RESPONSIBILITY

Note: pseudo OO language,

```
DataSet readAndParse(Socket sock) {  
    InputStream inp = sock.getInputStream();  
    String line;  
    DataSet ans = new DataSet();  
    while((line = inp.readLine()) != null) {  
        String[] parts = splitUp(line);  
        //some error checking on first part  
        firstPart = doSomething(parts[0]);  
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        //some error checking on third part  
        thirdPart = anotherFn(parts[2]);  
        ans.add(new Data(firstPart, secondPart, thirdPart));  
    }  
    return ans;  
}
```

This code is **better**, but **not great**

- + Follows Single Responsibility
- Tightly coupled to use of a Socket

# REDUCE COUPLING

Note: pseudo OO language,

```
DataSet parseData(InputStream inp) {  
    String line;  
    DataSet ans = new DataSet();  
    while((line = inp.readLine()) != null){  
        String[] parts = splitUp(line);  
        //some error checking on first part  
        firstPart = doSomething(parts[0]);  
        //some error checking on second part  
        secondPart = doOtherThing(parts[1]);  
        //some error checking on third part  
        thirdPart = anotherFn(parts[2]);  
        ans.add(new Data(firstPart, secondPart, thirdPart));  
    }  
    return ans;  
}
```

This code is **even better**:

- + Follows Single Responsibility
- + Works on any InputStream: not coupled to data coming from network

## SRP AT CLASS LEVEL (AND COHESION)

Note: pseudo OO language,

```
class DataParser {  
    public:  
        InternetAddress getSourceAddress() {...}  
        InputStream getInputStream(InternetAddress addr) {...}  
        DataSet parseData(InputStream inp) {...}  
}
```

This does not follow SRP at the class level.

These belong in different classes.

Note that this class has low cohesion: three very different tasks grouped together  
- Two of the tasks do not even go with the name.

## SRP AT CLASS LEVEL (AND COHESION)

Note: pseudo OO language,

```
class DataParser {  
    public:  
        InternetAddress getSourceAddress() {...}  
        String getSourceFileName() {...}  
        InputStream getInputStream(InternetAddress addr) {...}  
        InputStream getInputStream(String filename) {...}  
        DataSet parseData(InputStream inp) {...}  
}
```

As we make our changes, our class becomes a big confusing mess.

# GUT CHECK

IF YOUR  
DESCRIPTION  
OF A CLASS  
HAS MULTIPLE  
“ANDS” THEN IT  
PROBABLY  
NEEDS TO BE  
REFACTORED.

# OPEN/CLOSED PRINCIPLE

- **Software entities should be open to extension but closed to modification**
- We should be able to use software entities in new ways, without changing the code
  - Changing code risks introducing bugs
    - Sometimes might not even have access to code.
  - But use for things we did not think of when we wrote it



## OPEN/CLOSED PRINCIPLE

- Writing software is hard
  - Testing software is hard
  - Anytime we change something, we might break it



# OPEN/CLOSED PRINCIPLE

- Writing software is hard
  - Testing software is hard
  - Anytime we change something, we might break it
- If we have written and tested code and are confident in that code, we want to NOT change it



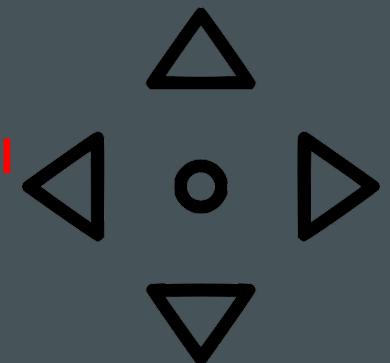
# OPEN/CLOSED PRINCIPLE

- Writing software is hard
  - Testing software is hard
  - Anytime we change something, we might break it
- If we have written and tested code and are confident in that code, we want to NOT change it
- But we'd like to be able to use that code in new ways
  - As we add features
  - As we change behaviors
  - As we re-use code across projects



# FLYING BIRDS PROGRAM

Use to Control  
bird motion



```
9 class Bird {  
10 public:  
11     virtual void setLocation(double longitude, double latitude) = 0;  
12     virtual void setAltitude(double altitude) = 0;  
13     virtual void draw() = 0;  
14 };
```

VERSION 1: IS A SUCCESS!

# BUT WHAT IF WE USED IF/ELSE FOR BIRDS?

- What if we want to check the bird type in 100 places?
- What if we want to add Hawk with this structure?

```
8 void someMethod(Bird b) {  
9     if (b.getType() == Bird.PIGEON) {  
10         //pigeon code  
11     }  
12     else if (b.getType() == Bird.PARROT) {  
13         //parrot code  
14     }  
15     else if (b.getType() == Bird.EAGLE) {  
16         //eagle code  
17     }  
18     //...  
19 }
```

Note that if we add has by writing  
`else if (b.getType() == Bird.HAWK) { ....}`  
we risk breaking existing code :(

# BUT WHAT IF WE USED IF/ELSE FOR BIRDS?

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16         //eagle code  
17     }  
18     //...  
19 }
```

If/Else and switch/case are the enemies of Open/Closed

- Especially on object types
- This does NOT mean "never use if"

```
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16         //eagle code  
17     }  
18     //...  
19 }
```

```
21 class Pigeon : public Bird {  
22     void someMethod() {  
23         //pigeon code  
24     }  
25 };  
26  
27 class Parrot : public Bird {  
28     void someMethod() {  
29         //parrot code  
30     }  
31 };  
32  
33  
34 class Eagle : public Bird {  
35     void someMethod() {  
36         //eagle code  
37     }  
38 };
```

## WHAT SHOULD WE DO INSTEAD?

- Dynamic dispatch and polymorphism

Instead of `someMethod(b)` we do  
`b.someMethod();`

```
16 void Hawk::setAltitude(double altitude)
17 {
18     //code here
19 }
```

VERSION 2: ADDS A HAWK AND 10 OTHER BIRDS WITH NO ISSUE

Open/Closed Principle Satisfied

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**VERSION 3: ADDS A  
PENGUIN**

**DO YOU EXPECT  
ANY ISSUE?**



# PENGUIN IS-A BIRD, RIGHT?

- A penguin is-a bird, right?
- So use inheritance: class Penguin : public Bird{ .... };



# PENGUIN IS-A BIRD, RIGHT?

- A penguin is-a bird, right?
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- But birds fly and penguins dont
  - But we can make that work, right?

# PENGUIN IS-A BIRD, RIGHT?

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- So use inheritance:
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```
class Bird {  
    virtual void fly(int altitude) {  
        //whatever code to make it fly up to height  
    }  
};  
class Penguin : public Bird {  
    virtual void fly(int altitude) {  
        //do nothing: penguins dont fly  
    }  
};
```

Does this seem like a good idea or a bad idea?

# PENGUIN IS-A BIRD, RIGHT?

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Does this seem like a good idea or a bad idea?

The rest of our code has certain expectations of what `b->fly(n)` means.

It expects certain results (the bird `b` flies at height `n`) and we now have birds that do not obey those rules

# PENGUIN IS-A BIRD, RIGHT?

```
class Penguin : public Bird { ... }
```

- Remember that inheritance gives sub-type polymorphism
  - A Penguin Is-A Bird
  - It has all the methods of Birds
  - We can use a Penguin wherever we need a Bird
    - (In C++, with pointers and references)
- The type system ensures that sub-type polymorphism is ok in terms of methods **existing**
- But what about method **behavior**?

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- But what about method **behavior**?

```
void goToNext(Bird * b, Nest *n) {  
    b->fly(n->getHeight());  
    //reasonable to expect b is flying  
    //to that height  
    ...  
}
```

```
goToNest(somePenguin, itsNext); //broken
```

# PENGUIN IS-A BIRD, RIGHT?

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class Penguin : public Bird { ... }
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We shouldn't really have "reasonable to expect"... What do we actually mean?

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We shouldn't really have "reasonable to expect"... What do we actually mean?

The **postcondition** of fly method is that the bird is flying to that altitude.

If you took Algorithms with Drew,  
this should be sooooo familiar!  
If not, more soon.

## PRECONDITIONS & POST-CONDITIONS

**Preconditions:** things that are supposed to be true before the method is called

**Post-conditions:** things that are true after the method (assuming preconditions were satisfied)

# POSTCONDITIONS

- Bird promised something
- Penguin did not deliver
- This is not ok
  - Code that uses Bird broken
  - Relies on promised behaviors
  - Behaviors not provided

```
class Bird {  
    //postcondition: bird will be flying towards altitude  
    virtual void fly(int altitude) {  
        //whatever code to make it fly up to height  
    }  
};  
class Penguin : public Bird  
    //postcondition: bird's behavior unchanged  
    virtual void fly(int altitude) {  
        //do nothing: penguins dont fly  
    }  
};
```

- Formally, the Penguin subclass violates the **Liskov Substitution Principle**.
- **Substitution:** being able to put one thing (Penguin) in place of another (Bird)

## LISKOV SUBSTITUTION PRINCIPLE

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# LISKOV SUBSTITUTION

- Ability to replace any instance of a superclass with an instance of one of its subclasses without negative side effects
- Not the same as subtype polymorphism because "not breaking the program" is a requirement

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## PRECONDITIONS & POST-CONDITIONS

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# PRECONDITIONS & POST-CONDITIONS

```
template<typename T>
class LinkedList {
    //precondition: 0<=index< number of elements in list
    //postcondition: return value is indexth element
    T& operator[](size_t index) {....}

    //precondition: none
    //postcondition: this list contains no values that == toRemove
    void removeAll(const T& toRemove) {...}
};
```

# SUBCLASSES THAT DO NOT MAKE SENSE

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template<typename T>
class LinkedList {
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```

Suppose we made `WeirdList`, a `LinkedList` subclass that overrode these such that...

1. `operator[]` only works on the first 100 elements
2. `removeAll` does not remove the first element

**Would code that uses `LinkedList` work correctly if we pass it a `WeirdList`?**

# SUBCLASSES THAT DO NOT MAKE SENSE

```
void myFunction(LinkedList & ll) {  
    for (size_t i = 0; i < ll.getSize(); i++) {  
        T& data = ll[i];          //we expect this to work: precondition is 0<=index<size, and we enforce that  
        //other code that uses data  
    }  
}  
  
void otherFn(LinkedList & ll){  
    //some other code  
    ll.removeAll(someValue);  
    //you expect ll not to have someValue at all here  
    //and this code might rely on it  
}
```

Suppose we made `WeirdList`, a `LinkedList` subclass that overrode these such that...

1. `operator[]` only works on the first 100 elements
2. `removeAll` does not remove the first element

**Would code that uses `LinkedList` work correctly if we pass it a `WeirdList`?**

No: even though inheritance guarantees the methods exist, we have overridden them in ways that breaks the code.

# LISKOV SUBSTITUTION

**Preconditions:** things that are supposed to be true before the method is called

- Must not be strengthened in a subclass

**Post-conditions:** things that are true after the method (assuming preconditions were satisfied)

- Must not be weakened in a subclass

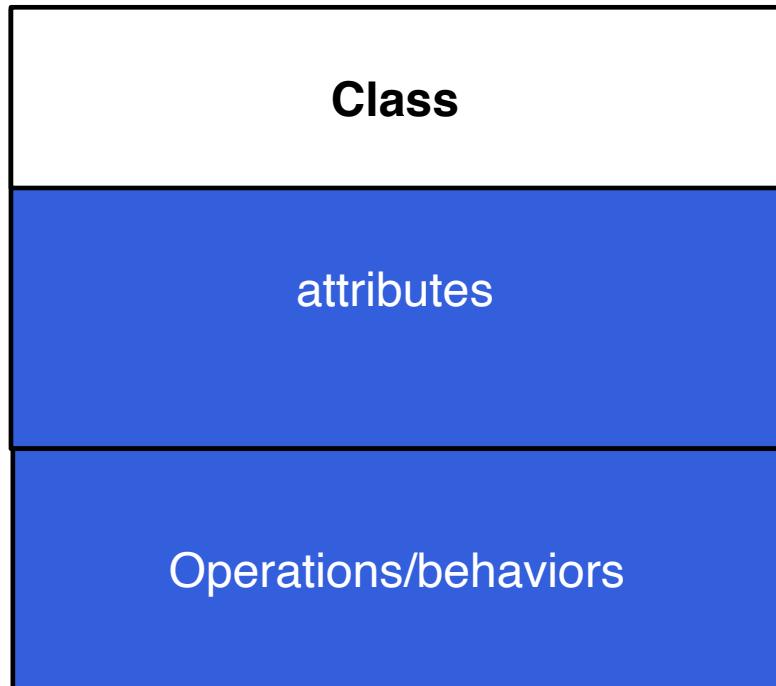
**Invariants:** must be valid before and after a method call

- Invariants of the superclass must be preserved in the subclass

**History:** observable states of the object

- Any history must be valid for the superclass

# QUICK PREVIEW: UML CLASS DIAGRAMS



- We will discuss more in a forthcoming lecture
- Simplified class diagram to visually describe a system's organization
- Inheritance

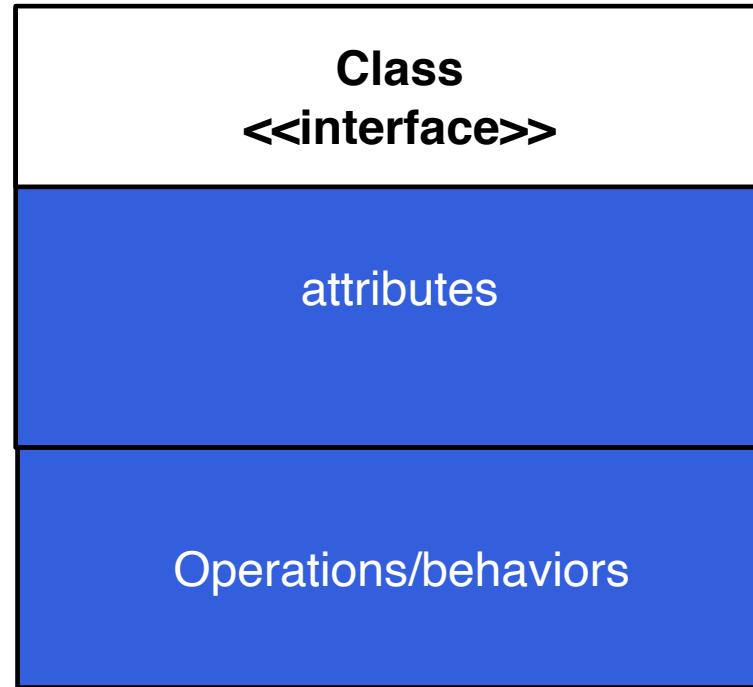
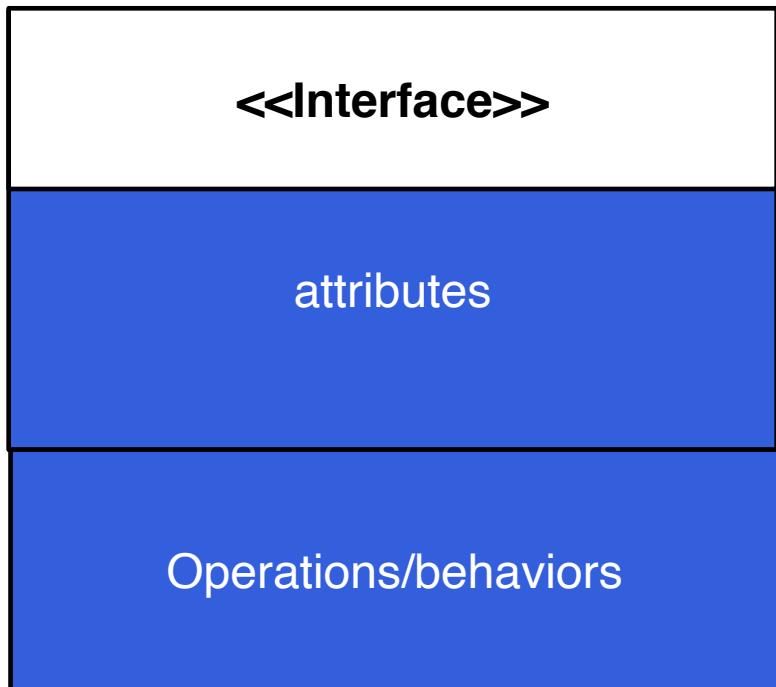


Generalization (class extends another class)

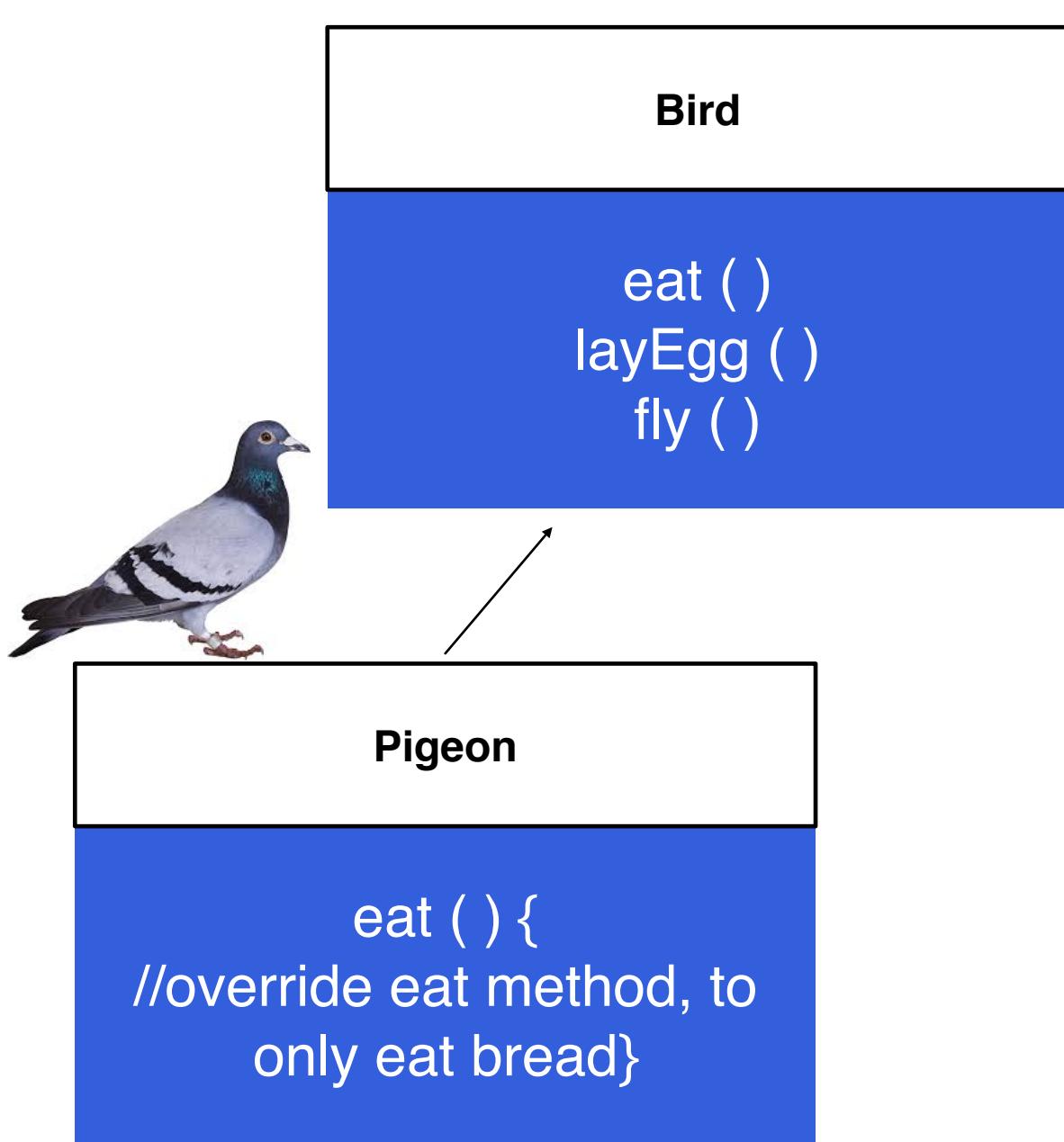


Realization (class implements an interface)

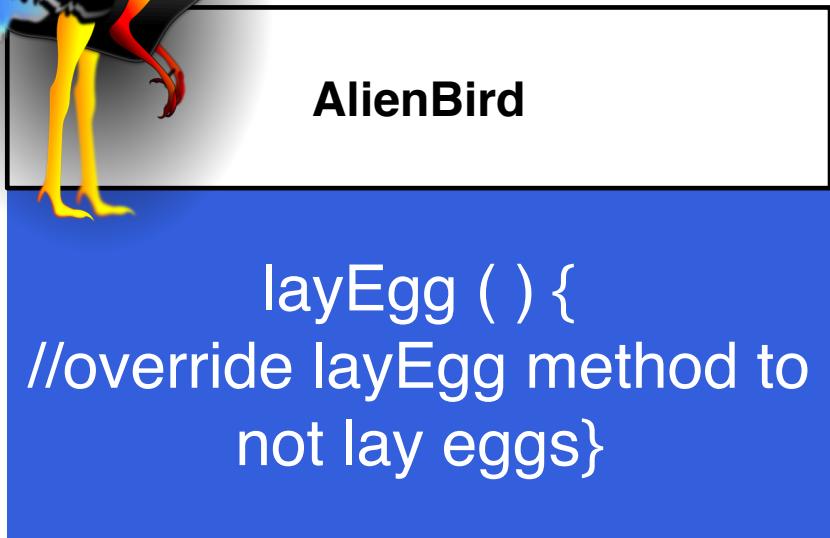
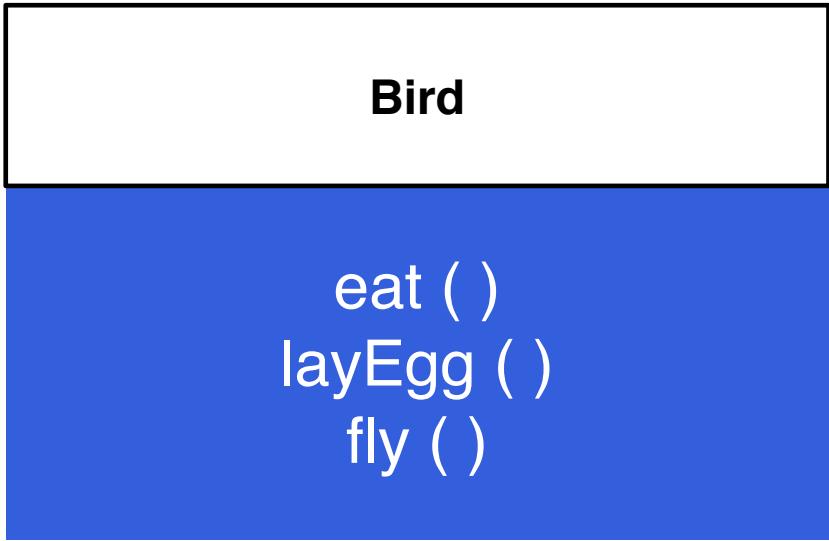
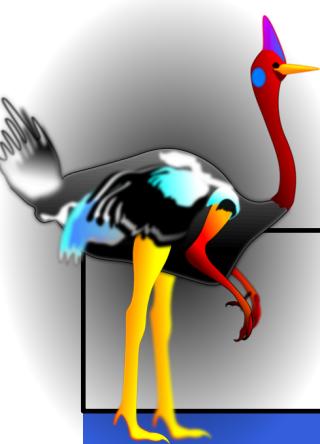
## QUICK PREVIEW: UML CLASS DIAGRAMS (2)



- Interface representations



- Subclass should not strengthen preconditions
- Stated Differently: **Requirements on inputs to a subclass cannot be stricter than its base class**
- If attempt bird.eat(worm), then raises an error
- Violates LSP because Pigeon expectations for input (only bread) stricter than Bird



- Postconditions must not be weakened in a subtype
- Stated Differently: **Possible outputs from a subclass must be more than or equally as restrictive as from the base class**
- If attempt bird.hatch( ) exception raised because no return in alien subclass
- Violates LSP because there are less possible outputs. So post conditions are weakened

## INVARIANTS MUST ALWAYS BE TRUE

Example Bird Invariant:

If *isFlying()* is true, then  
*get Altitude() > 0*

**All subclasses must  
ensure this relationship  
too!**





Egg



Hatching



Baby



Adult



Parenting



Incubating

A sub-class that can go from Adult->Baby  
Violates LSP



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# INTERFACE SEGREGATION PRINCIPLE

- A client shouldn't be forced to depend on interfaces they don't use
- Better to have many small interfaces than a few very large interfaces
- “Too many is better than too few”

## NOT INTERFACE SEGREGATION PRINCIPLE (ISP)

Note: pseudo OO language,

```
class DataParser {  
    public:  
        InternetAddress getSourceAddress() {...}  
        InputStream getInputStream(InternetAddress addr) {...}  
        DataSet parseData(InputStream inp) {...}  
}
```

Let's go back to our DataParser for a moment.

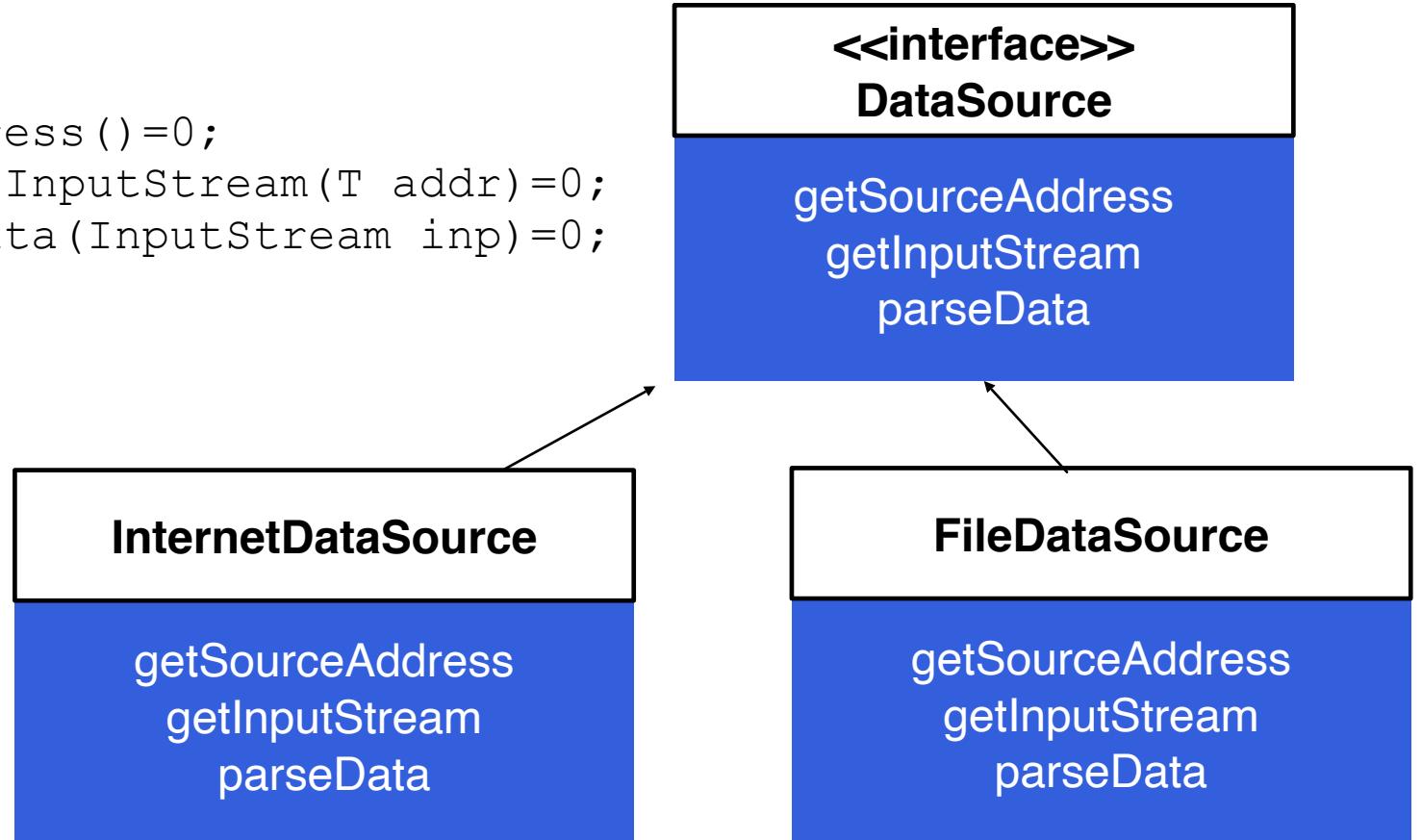
Suppose we decide to make an abstract parent class for a data source

(as we will see soon, Java has an “interface” which is like a purely abstract class)

# NOT INTERFACE SEGREGATION PRINCIPLE (ISP)

Note: pseudo OO language,

```
template<typename T>
class DataSource {
public:
    virtual T getSourceAddress()=0;
    virtual InputStream getInputStream(T addr)=0;
    virtual DataSet parseData(InputStream inp)=0;
};
```

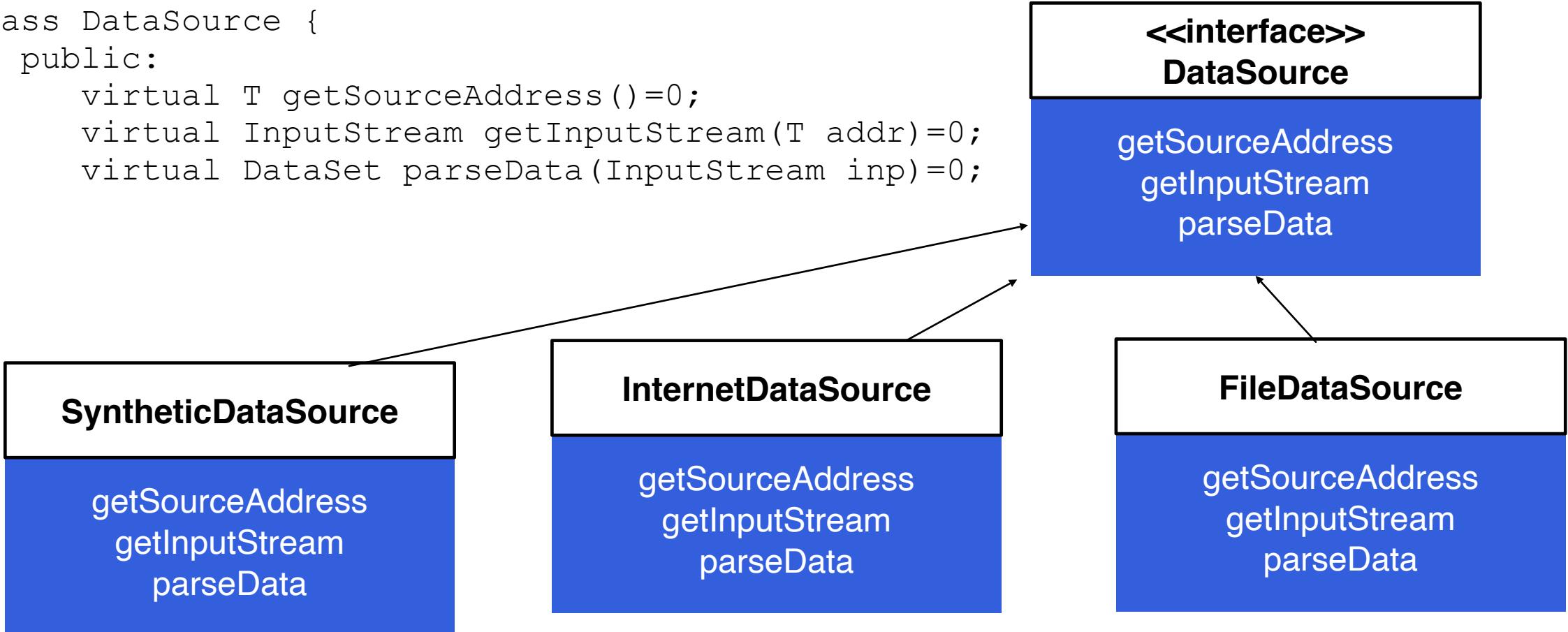


Now this could serve as the parent of e.g. InternetDataSource and FileDataSource...  
Code that gets the data could then take a DataSource.

# NOT INTERFACE SEGREGATION PRINCIPLE (ISP)

Note: pseudo OO language,

```
template<typename T>
class DataSource {
public:
    virtual T getSourceAddress()=0;
    virtual InputStream getInputStream(T addr)=0;
    virtual DataSet parseData(InputStream inp)=0;
};
```

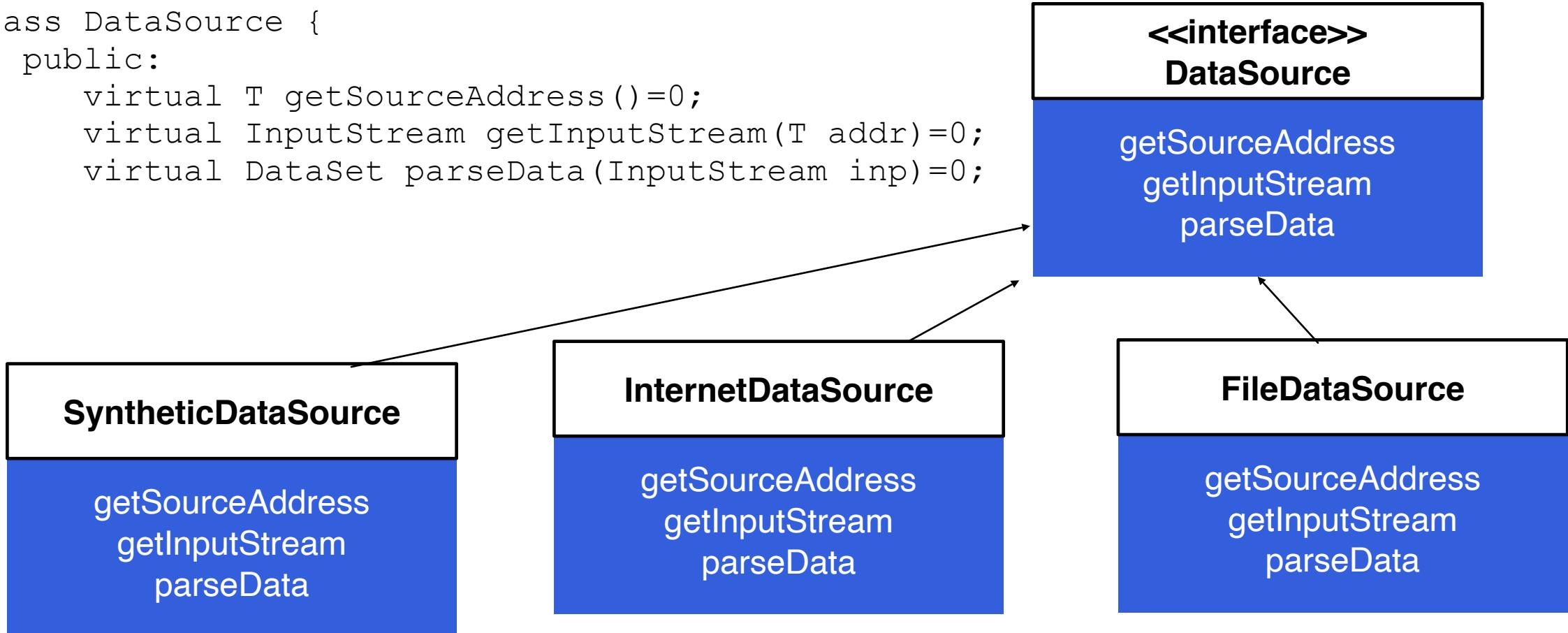


Now we want to make a synthetic data source (i.e., generate data according to some distribution)...  
All our code takes a **DataSource**, so we implement that interface...

# NOT INTERFACE SEGREGATION PRINCIPLE (ISP)

Note: pseudo OO language,

```
template<typename T>
class DataSource {
public:
    virtual T getSourceAddress()=0;
    virtual InputStream getInputStream(T addr)=0;
    virtual DataSet parseData(InputStream inp)=0;
};
```



But getSourceAddress and getInputStream don't make sense!

We only want parseData.... But we have to implement the other two to have a concrete class..

## NOT INTERFACE SEGREGATION PRINCIPLE (ISP)

Note: pseudo OO language,

```
class SyntheticDataSource: public DataSource<int> {  
public:  
    virtual int getSourceAddress() {  
        throw some_exception("this method is not appropriate to this type");  
    }  
    virtual InputStream getInputStream(int x) {  
        throw some_exception("this method is not appropriate to this type");  
    }  
    virtual DataSet parseData(InputStream inp) {  
        //whatever code  
    }  
};
```

Then we end up with bogus implementations, as above.

Key indicator of ISP violations: forced to implement a method that does not make sense

- Either it just throws an exception because it is not relevant for that type
- Or (even worse) you put a dummy implementation, e.g., return 0 and return NULL above.

# DEPENDENCY INVERSION PRINCIPLE

- HIGH LEVEL OBJECTS SHOULD NOT DEPEND ON LOW LEVEL IMPLEMENTATIONS
- Higher classes are not dependent on the lower classes instead depend upon the abstraction of the lower classes

## Manager

- Developers
- Testers
- Designers

- addDevelopers
- addTesters
- addDesigners

**Manager always need to have concern over who employees are and what they do**

Manages

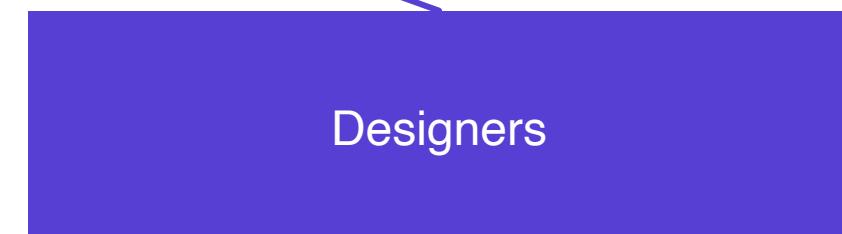
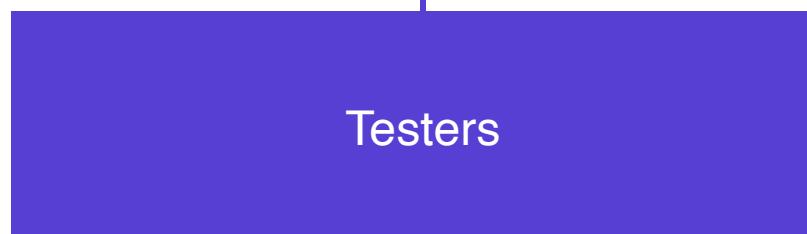
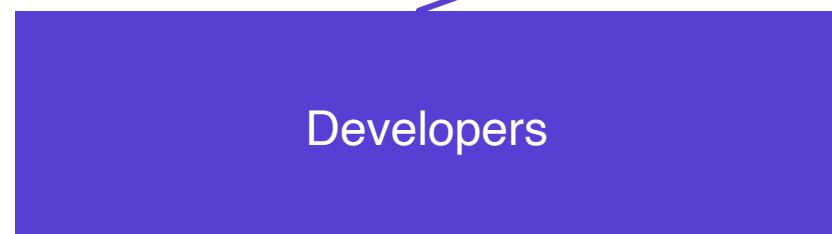
Manages

Manages

Developers

Testers

Designers





Manages



Developers

Testers

Designers

**The manager doesn't have an idea beforehand about all the type of workers that may come under him/her**

# DEPENDENCY INJECTION

AN OBJECT  
RECEIVES THE  
OTHER OBJECTS  
IT DEPENDS ON  
FROM OUTSIDE

# DEPENDENCY INVERSION & DEPENDENCY INJECTION

```
class Manager {  
    std::vector<Developer> developers;  
    void addDeveloper() {  
        developers.push_back(Developer()); //not dependency injection  
    }  
}
```

- Notice that our first Manager which does not do Dependency Inversion does not do Dependency Injection
  - Manager creates the objects on which it depends (its employees)
  - Creates tight coupling between Manager and specific Employee types
  - Violates Open/Closed principle: add new employee types -> change Manager

# DEPENDENCY INVERSION & DEPENDENCY INJECTION

```
class Manager {  
    std::vector<Employee *> employees;  
    void addDeveloper() {  
        employees.push_back(Developer()); //not dependency injection  
    }  
}
```

- Changing the data type helps a bit...
  - Other code in Manager that iterates over employees can remain unchanged with new Employee type
  - ...but we still hard code the creation of specific types
    - Same problems as before: tight coupling + not open/closed

# DEPENDENCY INVERSION & DEPENDENCY INJECTION

```
class Manager {  
    std::vector<Employee *> employees;  
    void addEmployee(Employee * e) { //dependency injection  
        employees.push_back(e);  
    }  
}
```

- Now using dependency injection
  - This object (Manager) depends on other objects (Employees)
  - Those other objects (Employees) now come from outside this object
  - New employee types created? Can be added to Manager with no changes (open/closed principle)
    - Manager no longer depends on specific Employee types!

# DEPENDENCY INJECTION: OFTEN IN CONSTRUCTOR

```
class Employee {  
    Employee * boss;  
    Employee(Employee * b): boss(b) {}  
}
```

- We frequently see dependency injection in constructors
  - Take parameters for other objects on which this object depends



## DESIGNING FOR TESTING

- **Controllability:** how well can my test code control the state of the module I want to test?
- **Observability:** how well can my test code inspect the state (to see if correct) of the module I want to test?
- **Isolatability:** how well can I separate out the module I want to test it by itself?

# TESTING AND SRP

HOW DOES  
FOLLOWING THE  
SINGLE  
RESPONSIBILITY  
PRINCIPLE HELP  
WITH TESTING?