

# Introduction

**Miao Qiao**

The University of Auckland



# Database System Topics

- Relational Model, Relational Algebra
- SQL (Very Important!)
- Storage and Indexing
- ER Model and DB Design
- Relational DB Design and Normalization
- Query Processing
- Query Optimization
- Transaction

# Teaching Team

## ■ Lecturers

### • **Miao Qiao (Course Coordinator)**

- Room 303-524, [miao.qiao@auckland.ac.nz](mailto:miao.qiao@auckland.ac.nz)
- Office hour: Wednesday 2:30pm - 3:30pm
- Zoom Meeting ID: <https://auckland.zoom.us/j/3892847086>

### • **Gerald Weber**

- Room 303-527, [g.weber@auckland.ac.nz](mailto:g.weber@auckland.ac.nz)
- Office hour: Fri 2pm.

## ■ Tutors

- Yizhou Dai Email: [ydai992@aucklanduni.ac.nz](mailto:ydai992@aucklanduni.ac.nz)
- Hongyu Li Email: [cli776@aucklanduni.ac.nz](mailto:cli776@aucklanduni.ac.nz)
- Xizhe Zhang Email: [xzha593@aucklanduni.ac.nz](mailto:xzha593@aucklanduni.ac.nz)

# Assessment

- **Note: Students must obtain a pass in both the Practical (projects + assignments) and Theory (test + exam) work to pass the course as a whole.**
- **Assignments 35%**
  - A1 due Mar 28 11:59 pm 10%
  - A2 due Apr 18 11:59 pm 10%
  - A3 due May 23 11:59 pm 15%
- **Projects 15%**
  - P1 due May 9 11:59 pm 10%
  - P2 due May 30 11:59 pm 5%
- **Late policy:**
  - Each assignment/project can be submitted up to 2 days late, with a 10% penalty per day.
- **Term test 20%**
  - Friday, 2/05/2025, 18:00 (end of week 7)
- **Exam 30%**

# Expectations

## ■ Time Management

- This course is a standard 15 point course and students are expected to spend 10 hours per week on this course.
- Learning Activities: There is a 1-2 hours of preparation required before attending the 1-hour laboratories every week.
- Self-Directed Time: The remaining 4 to 5 hours should be devoted to self-directed research and completing assignments / exam prep.

## ■ Attendance

- Learning success highly depend on engagement, so please try and attend most lectures and tutorials.
- Please do not come to campus if you are unwell. When you are well again, watch the recordings and view the online content to catchup.

# Resources

- Lecture slides, recordings can be found on Canvas
- Reference Textbooks
  - (main) Database System Concepts, 7th edition, by Abraham Silberschatz, Henry F. Korth, S. Sudarshan, Mc Graw Hill.
    - If you want to keep an ebook we have a 20% discount code COMPSCI751 under link <https://www.mheducation.com.au/database-system-concepts-ise-9781260569568-aus>
  - (ref) Designing Data-Intensive Applications, by Martin Kleppmann, O'Reilly Media, Inc. Publisher.

# Class Representative

- Select a class rep
- Attends 2 staff student meetings
- Pass on students' feedback to lecturers

# Database Applications Examples

- Enterprise
- Manufacturing
- Banking and finance
  - Customer information, accounts, loans, and banking transactions.
  - Credit card transactions
- Universities
  - registration, grades
- Airlines
- Telecommunication
- Web-based services
- Navigation systems



# Purpose of Database Systems

- Massive
- Persistent
- Safe
- Multi-user
- Convenience
- Efficiency
- Reliable

# University Database Running Example

- In this text we will be using a university database to illustrate all the concepts
- Data consists of information about:
  - Students
  - Instructors
  - Classes
- Application program examples:
  - Add new students, instructors, and courses
  - Register students for courses, and generate class rosters
  - Assign grades to students, compute grade point averages (GPA) and generate transcripts

# Data Models

- A collection of tools for describing
  - Data
  - Data relationships
  - Data semantics
  - Data constraints
- Relational model
- Entity-Relationship data model (mainly for database design)
- Graph model (many to many relationships)
- Document (one to many relationships) XML JSON
- Key value (one to one relationships)

# Relational Model

- Database = a set of relations (names) = a set of tables
- A relation has a set of attributes (names, types/domains)
- A relation has an indefinite set of tuples
- Example of tabular data in the relational model
- Schema & instances

Columns / attributes

| <i>ID</i> | <i>name</i> | <i>dept_name</i> | <i>salary</i> |
|-----------|-------------|------------------|---------------|
| 22222     | Einstein    | Physics          | 95000         |
| 12121     | Wu          | Finance          | 90000         |
| 32343     | El Said     | History          | 60000         |
| 45565     | Katz        | Comp. Sci.       | 75000         |
| 98345     | Kim         | Elec. Eng.       | 80000         |
| 76766     | Crick       | Biology          | 72000         |
| 10101     | Srinivasan  | Comp. Sci.       | 65000         |
| 58583     | Califieri   | History          | 62000         |
| 83821     | Brandt      | Comp. Sci.       | 92000         |
| 15151     | Mozart      | Music            | 40000         |
| 33456     | Gold        | Physics          | 87000         |
| 76543     | Singh       | Finance          | 80000         |

Rows / tuples

| <i>dept_name</i> | <i>building</i> | <i>budget</i> |
|------------------|-----------------|---------------|
| Comp. Sci.       | Taylor          | 100000        |
| Biology          | Watson          | 90000         |
| Elec. Eng.       | Taylor          | 85000         |
| Music            | Packard         | 80000         |
| Finance          | Painter         | 120000        |
| History          | Painter         | 50000         |
| Physics          | Watson          | 70000         |

(a) The *instructor* table

(b) The *department* table

# Relational Model: Attributes

- Domain
- Atomic types / structured types
- NULL**: a special value, indicating that the particular value is unknown.
- The **NULL** value causes complications of many operations
- Example:

| <i>ID</i> | <i>name</i> | <i>dept_name</i> | <i>salary</i> |
|-----------|-------------|------------------|---------------|
| 10101     | Srinivasan  | Comp. Sci.       | 65000         |
| 12121     | Wu          | Finance          | 90000         |
| 15151     | Mozart      | Music            | 40000         |
| 22222     | Einstein    | Physics          | <b>NULL</b>   |
| 32343     | El Said     | History          | 60000         |
| 33456     | Gold        | Physics          | 87000         |
| 45565     | Katz        | Comp. Sci.       | 75000         |
| 58583     | Califieri   | History          | 62000         |
| 76543     | Singh       | Finance          | 80000         |
| 76766     | Crick       | Biology          | 72000         |
| 83821     | Brandt      | Comp. Sci.       | 92000         |
| 98345     | Kim         | Elec. Eng.       | 80000         |

# Relational Model: Keys

- Attribute of a relation where every attribute value of the relation is **unique**
- **A set of attributes** that are unique:  $\{ID\}$  and  $\{ID, name\}$  are both keys
- Candidate key (minimal)
- **Primary key**
- Why key is important
  - Identify specific tuples
  - Query/index efficiency
  - Reference (**Foreign keys**)

| <i>dept_name</i> | <i>building</i> | <i>budget</i> |
|------------------|-----------------|---------------|
| Comp. Sci.       | Taylor          | 100000        |
| Biology          | Watson          | 90000         |
| Elec. Eng.       | Taylor          | 85000         |
| Music            | Packard         | 80000         |
| Finance          | Painter         | 120000        |
| History          | Painter         | 50000         |
| Physics          | Watson          | 70000         |

(b) The *department* table

| <i>ID</i> | <i>name</i> | <i>dept_name</i> | <i>salary</i> |
|-----------|-------------|------------------|---------------|
| 22222     | Einstein    | Physics          | 95000         |
| 12121     | Wu          | Finance          | 90000         |
| 32343     | El Said     | History          | 60000         |
| 45565     | Katz        | Comp. Sci.       | 75000         |
| 98345     | Kim         | Elec. Eng.       | 80000         |
| 76766     | Crick       | Biology          | 72000         |
| 10101     | Srinivasan  | Comp. Sci.       | 65000         |
| 58583     | Califieri   | History          | 62000         |
| 83821     | Brandt      | Comp. Sci.       | 92000         |
| 15151     | Mozart      | Music            | 40000         |
| 33456     | Gold        | Physics          | 87000         |
| 76543     | Singh       | Finance          | 80000         |

(a) The *instructor* table

# Relations are Unordered

- Order of tuples is irrelevant (tuples may be stored in an arbitrary order)
- Example: *instructor* relation with unordered tuples

| <i>ID</i> | <i>name</i> | <i>dept_name</i> | <i>salary</i> |
|-----------|-------------|------------------|---------------|
| 22222     | Einstein    | Physics          | 95000         |
| 12121     | Wu          | Finance          | 90000         |
| 32343     | El Said     | History          | 60000         |
| 45565     | Katz        | Comp. Sci.       | 75000         |
| 98345     | Kim         | Elec. Eng.       | 80000         |
| 76766     | Crick       | Biology          | 72000         |
| 10101     | Srinivasan  | Comp. Sci.       | 65000         |
| 58583     | Califieri   | History          | 62000         |
| 83821     | Brandt      | Comp. Sci.       | 92000         |
| 15151     | Mozart      | Music            | 40000         |
| 33456     | Gold        | Physics          | 87000         |
| 76543     | Singh       | Finance          | 80000         |





# Wrap up

- Database application examples
- Purpose of database systems
- Data models
- Relational model
  - NULL values
  - Keys
  - Schema Diagram

FIN

Any questions?