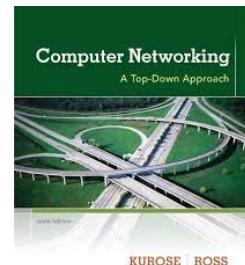


# Chapter 2

## Application Layer



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*Computer Networking:  
A Top Down Approach,  
6th edition  
Jim Kurose, Keith Ross  
Addison-Wesley  
March 2012*

2: Application Layer 1

## Chapter 2: Application layer

- 2.1 Principles of network applications
- 2.2 Web and HTTP
- 2.3 FTP
- 2.4 Electronic Mail
  - ❖ SMTP, POP3, IMAP
- 2.5 DNS
- 2.6 P2P applications
- 2.7 Convergent services
- 2.8 Network traffic characterization
- 2.9 Socket programming with TCP and UDP

2: Application Layer 2

## Chapter 2: Application Layer

### Our goals:

- conceptual, implementation aspects of network application protocols
  - ❖ transport-layer service models
  - ❖ client-server paradigm
  - ❖ peer-to-peer paradigm
- learn about protocols by examining popular application-level protocols
  - ❖ HTTP
  - ❖ FTP
  - ❖ SMTP / POP3 / IMAP
  - ❖ DNS
- programming network applications
  - ❖ socket API

2: Application Layer 3

## Some network apps

- e-mail
- web
- Text messaging
- remote login
- P2P file sharing
- multi-user network games
- streaming stored video (YouTube, Netflix)
- voice over IP
- real-time video conferencing
- Social networking
- cloud computing
- search
- 

2: Application Layer 4

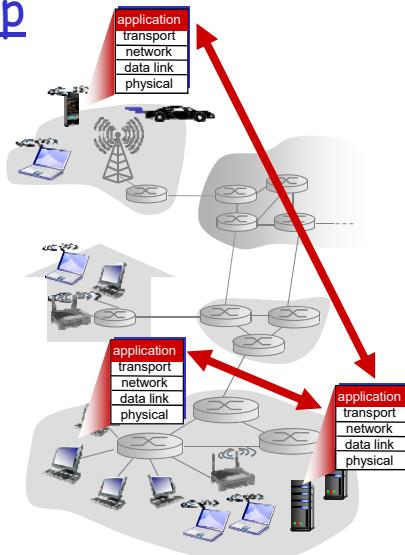
## Creating a network app

write programs that

- ❖ run on (different) end systems
- ❖ communicate over network
- ❖ e.g., web server software communicates with browser software

No need to write software for network-core devices

- ❖ Network-core devices do not run user applications
- ❖ applications on end systems allows for rapid app development, propagation



2: Application Layer 5

## Chapter 2: Application layer

- 2.1 Principles of network applications
  - ❖ App architectures
  - ❖ Proc communication
  - ❖ Internet services
  - ❖ Security issues
- 2.2 Web and HTTP
- 2.3 FTP
- 2.4 Electronic Mail
  - ❖ SMTP, POP3, IMAP
- 2.5 DNS
- 2.6 P2P applications
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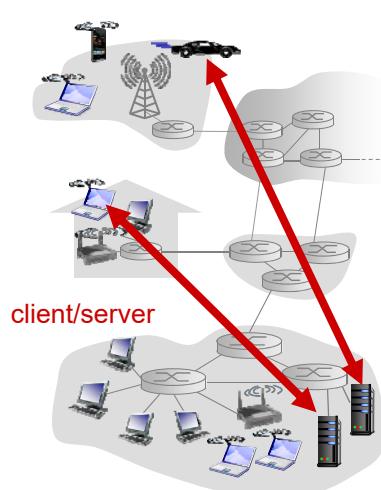
2: Application Layer 6

## Application architectures

- Client-server
- Peer-to-peer (P2P)
- Hybrid of client-server and P2P

2: Application Layer 7

## Client-server architecture



### server:

- ❖ always-on host
- ❖ permanent IP address
- ❖ datacenters for scaling

### clients:

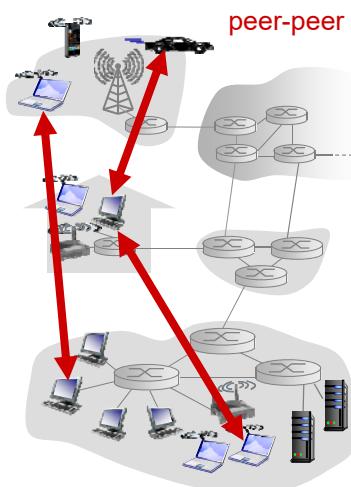
- ❖ communicate with server
- ❖ may be intermittently connected
- ❖ may have dynamic IP addresses
- ❖ do not communicate directly with each other

2: Application Layer 8

## Pure P2P architecture

- no always-on server
- arbitrary end systems directly communicate
- peers are intermittently connected and change IP addresses
- self scalability - new peers bring new service capacity, as well as new service demands

Highly scalable but difficult to manage



2: Application Layer 9

## Hybrid of client-server and P2P

### **Skype**

- ❖ voice-over-IP P2P application
- ❖ centralized server: finding address of remote party:
- ❖ client-client connection: direct (not through server)

### **Instant messaging**

- ❖ chatting between two users is P2P
- ❖ centralized service: client presence detection/location
  - user registers its IP address with central server when it comes online
  - user contacts central server to find IP addresses of buddies

2: Application Layer 10

## Processes communicating

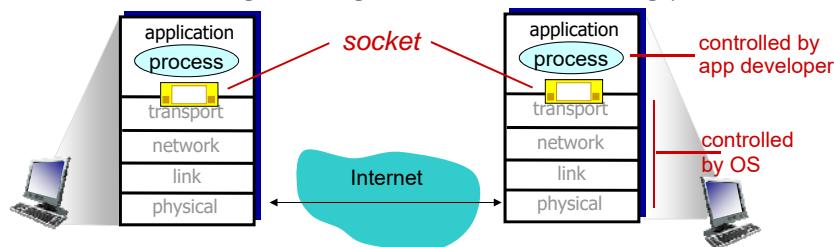
**Process:** program running within a host.

- within same host, two processes communicate using **inter-process communication** (defined by OS).
  - processes in different hosts communicate by exchanging **messages**
- Client process:** process that initiates communication  
**Server process:** process that waits to be contacted
- Note: applications with P2P architectures have client processes & server processes

2: Application Layer 11

## Sockets

- process sends/receives messages to/from its **socket**
- socket analogous to door
  - ❖ sending process shoves message out door
  - ❖ sending process relies on transport infrastructure on other side of door which brings message to socket at receiving process



- API: (1) choice of transport protocol; (2) ability to fix a few parameters

2: Application Layer 12

## Addressing processes

- to receive messages, process must have *identifier*
- host device has unique 32-bit IP address
- Q:** does IP address of host suffice for identifying the process?

2: Application Layer 13

## Addressing processes

- to receive messages, process must have *identifier*
- host device has unique 32-bit IP address
- Q:** does IP address of host on which process runs suffice for identifying the process?
  - ❖ **A:** No, many processes can be running on same host
- identifier* includes both **IP address** and **port numbers** associated with process on host.
- Example port numbers:
  - ❖ HTTP server: 80
  - ❖ Mail server: 25
- to send HTTP message to gaia.cs.umass.edu web server:
  - ❖ **IP address:** 128.119.245.12
  - ❖ **Port number:** 80
- more shortly...

2: Application Layer 14

## App-layer protocol defines

- Types of messages exchanged,
  - ❖ e.g., request, response
- Message syntax:
  - ❖ what fields in messages & how fields are delineated
- Message semantics
  - ❖ meaning of information in fields
- Rules for when and how processes send & respond to messages

### Public-domain protocols:

- defined in RFCs
- allows for interoperability
- e.g., HTTP, SMTP

### Proprietary protocols:

- e.g., Skype

2: Application Layer 15

## What transport service does an app need?

### Data integrity/loss

- some apps (e.g., audio) can tolerate some loss
- other apps (e.g., file transfer, telnet) require 100% reliable data transfer

### Timing

- some apps (e.g., Internet telephony, interactive games) require low delay to be "effective"

### Throughput

- some apps (e.g., multimedia) require minimum amount of throughput to be "effective"
- other apps ("elastic apps") make use of whatever throughput they get

### Security

- Encryption, data integrity, ...

2: Application Layer 16

## Transport service requirements of common apps

Application	Data loss	Throughput	Time Sensitive
file transfer	no loss	elastic	no
e-mail	no loss	elastic	no
Web documents	no loss	elastic	no
real-time audio/video	loss-tolerant	audio: 5kbps-1Mbps video:10kbps-5Mbps	yes, 100's msec
stored audio/video	loss-tolerant	same as above	yes, few secs
interactive games	loss-tolerant	few kbps up	yes, 100's msec
text messaging	no loss	elastic	yes and no

2: Application Layer 17

## Internet transport protocols services

### TCP service:

- connection-oriented:** setup required between client and server processes
- reliable transport** between sending and receiving process
- flow control:** sender won't overwhelm receiver
- congestion control:** throttle sender when network overloaded
- does not provide:** timing, minimum throughput guarantees, security

### UDP service:

- unreliable data transfer between sending and receiving process
- does not provide: connection setup, reliability, flow control, congestion control, timing, throughput guarantee, or security

**Q:** why bother? Why is there a UDP?

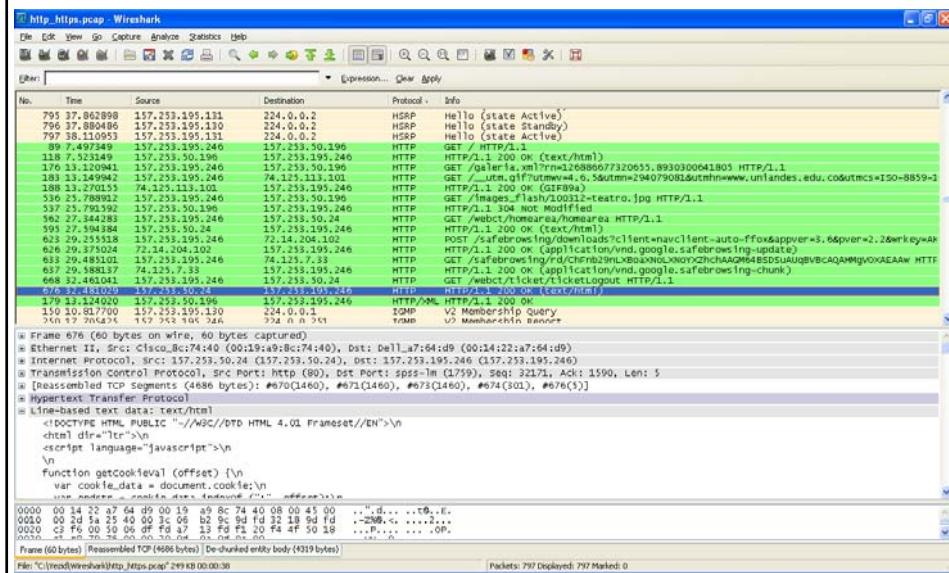
2: Application Layer 18

## Internet apps: application, transport protocols

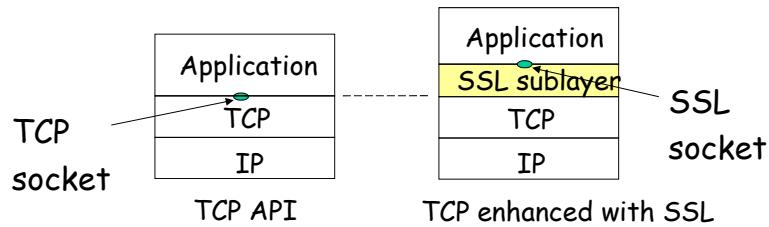
	<b>Application</b>	<b>Application layer protocol</b>	<b>Underlying transport protocol</b>
remote	e-mail	SMTP [RFC 2821]	TCP
	terminal access	Telnet [RFC 854]	TCP
	Web	HTTP [RFC 2616]	TCP
	file transfer	FTP [RFC 959]	TCP
	streaming multimedia	HTTP (eg Youtube), RTP [RFC 1889]	TCP or UDP
	Internet telephony	SIP, RTP, proprietary (e.g., Skype)	typically UDP

2: Application Layer 19

## Security Problems in TCP/UDP



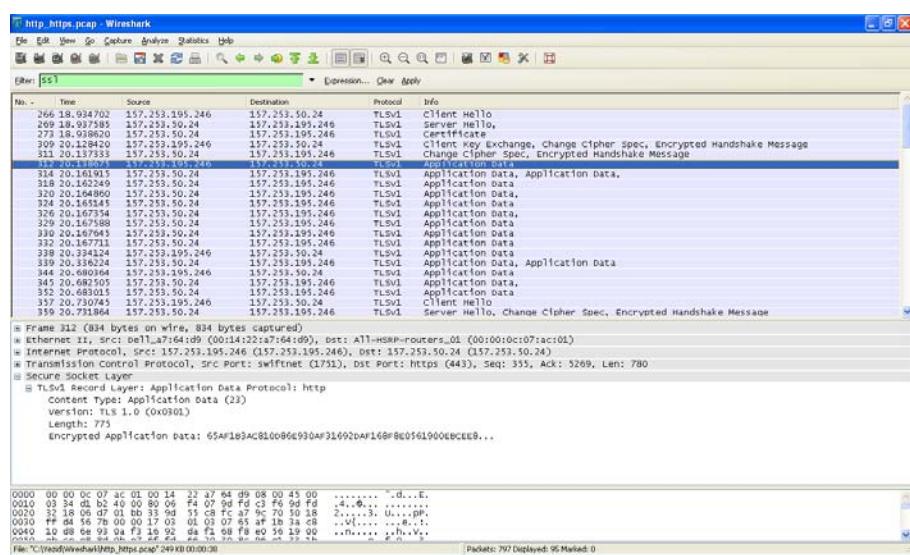
## SSL sublayer



- provides transport layer security to any TCP-based application using SSL services.
  - e.g., between Web browsers, servers for e-commerce (<https://>)
- security services:
  - server authentication, data encryption, data integrity, client authentication (optional)

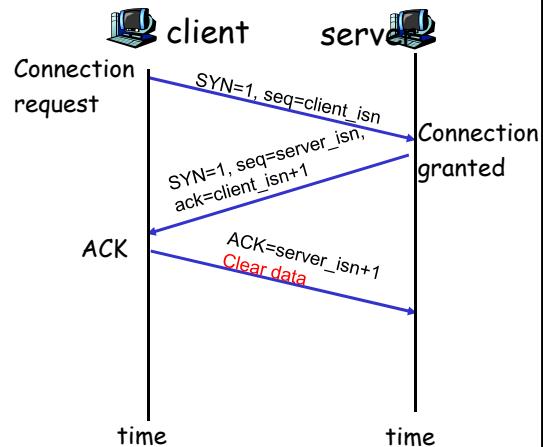
2: Application Layer 21

## SSL in action



## Normal handshake in TCP

### Handshake:

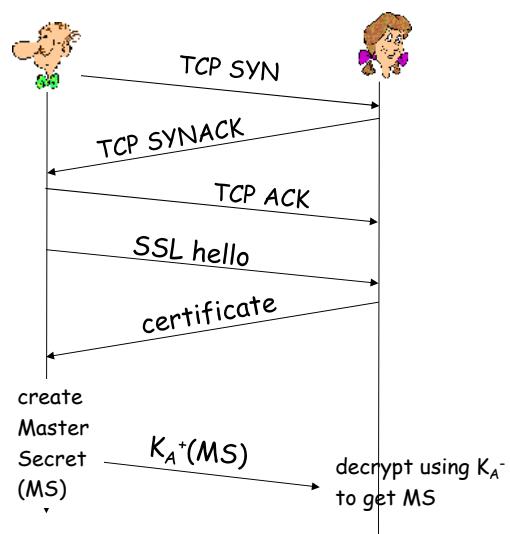


2: Application Layer 23

## SSL protocol

### 1. Handshake:

- Bob establishes TCP connection to Alice
- authenticates Alice via CA signed certificate
- creates, encrypts (using Alice's public key), sends master secret key to Alice
  - nonce exchange not shown



2: Application Layer 24

## SSL protocol

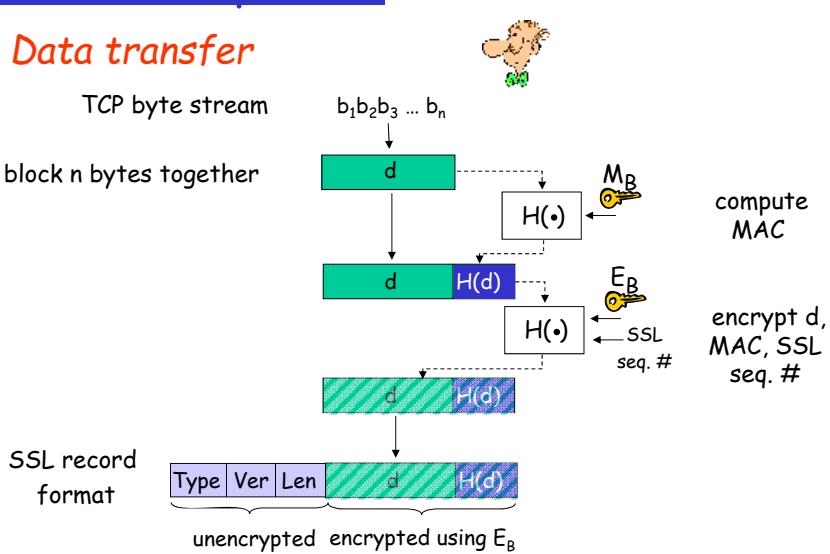
### 2. Key Derivation:

- Alice, Bob use shared secret (MS) to generate 4 keys:
  - $E_B$ : Bob  $\rightarrow$  Alice data encryption key
  - $E_A$ : Alice  $\rightarrow$  Bob data encryption key
  - $M_B$ : Bob  $\rightarrow$  Alice MAC key
  - $M_A$ : Alice  $\rightarrow$  Bob MAC key
- encryption and MAC algorithms negotiable between Bob, Alice

2: Application Layer 25

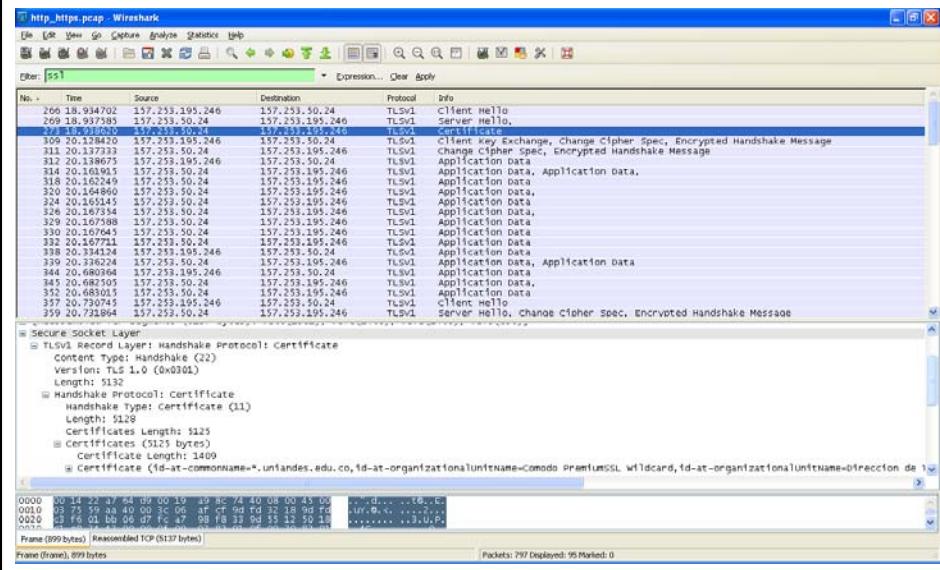
## SSL: three phases

### 3. Data transfer



2: Application Layer 26

## SSL in action



## Chapter 2: Application layer

- 2.1 Principles of network applications
- 2.2 Web and HTTP
  - ❖ HTTP connections
  - ❖ Cookies
  - ❖ Cache
  - ❖ Capacity planning
  - ❖ Security issues
- 2.4 Electronic Mail
  - ❖ SMTP, POP3, IMAP
- 2.5 DNS
- 2.6 P2P applications
- 2.7 Convergent services
- 2.8 Network traffic characterization
- 2.9 Socket programming with TCP and UDP



2: Application Layer 28

## Web and HTTP

### First some jargon

- Web page consists of objects
- Object can be HTML file, JPEG image, Java applet, audio file, ...
- Web page consists of base HTML-file which includes several referenced objects
- Each object is addressable by a URL
- Example URL:

www.someschool.edu/someDept/pic.gif

host name

path name

2: Application Layer 29

## HTTP overview

### **HTTP: hypertext transfer protocol**

- Web's application layer protocol
- client/server model
  - ❖ **client:** browser that requests, receives, "displays" Web objects
  - ❖ **server:** Web server sends objects in response to requests



2: Application Layer 30

## HTTP overview (continued)

### Uses TCP:

- client initiates TCP connection (creates socket) to server, port 80
- server accepts TCP connection from client
- HTTP messages (application-layer protocol messages) exchanged between browser (HTTP client) and Web server (HTTP server)
- TCP connection closed

### HTTP is "stateless"

- server maintains no information about past client requests

**Protocols that maintain "state" are complex!**

- past history (state) must be maintained
- if server/client crashes, their views of "state" may be inconsistent, must be reconciled

aside

2: Application Layer 31

## HTTP connections

### Nonpersistent HTTP

- At most one object is sent over a TCP connection
  - \* connection then closed
- downloading multiple objects required multiple connections

### Persistent HTTP

- Multiple objects can be sent over single TCP connection between client and server

2: Application Layer 32

## Nonpersistent HTTP

Suppose user enters URL

www.someSchool.edu/someDepartment/home.index (contains text, references to 10 jpeg images)

- 1a. HTTP client initiates TCP connection to HTTP server (process) at www.someSchool.edu on port 80
- 1b. HTTP server at host www.someSchool.edu waiting for TCP connection at port 80. "accepts" connection, notifying client
2. HTTP client sends HTTP *request message* (containing URL) into TCP connection socket. Message indicates that client wants object someDepartment/home.index
3. HTTP server receives request message, forms *response message* containing requested object, and sends message into its socket

time  
↓

2: Application Layer 33

## Nonpersistent HTTP (cont.)

time  
↓

4. HTTP server closes TCP connection.
5. HTTP client receives response message containing html file, displays html. Parsing html file, finds 10 referenced jpeg objects
6. Steps 1-5 repeated for each of 10 jpeg objects

2: Application Layer 34

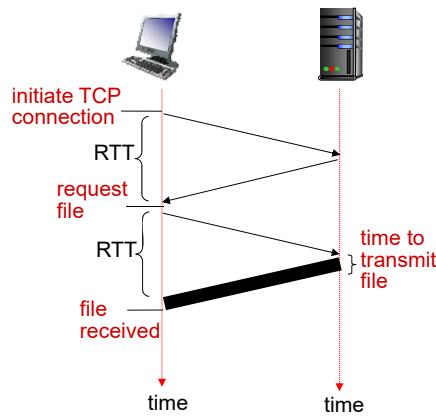
## Non-Persistent HTTP: Response time

**Definition of RTT:** time for a small packet to travel from client to server and back.

### Response time:

- one RTT to initiate TCP connection
- one RTT for HTTP request and first few bytes of HTTP response to return
- file transmission time

$$\text{total} = 2\text{RTT} + \text{transmit time}$$



2: Application Layer 35

## Persistent HTTP

### Nonpersistent HTTP issues:

- requires 2 RTTs per object
- OS overhead for each TCP connection
- browsers often open parallel TCP connections to fetch referenced objects

### Persistent HTTP

- server leaves connection open after sending response
- subsequent HTTP messages between same client/server sent over open connection

### Persistent without pipelining:

- client issues new request only when previous response has been received
- one RTT for each referenced object

### Persistent with pipelining:

- default in HTTP/1.1
- client sends requests as soon as it encounters a referenced object
- as little as one RTT for all the referenced objects

2: Application Layer 36

## HTTP request message

- two types of HTTP messages: *request, response*
- **HTTP request message:**
  - ❖ ASCII (human-readable format)

request line  
(GET, POST,  
HEAD commands)

header  
lines

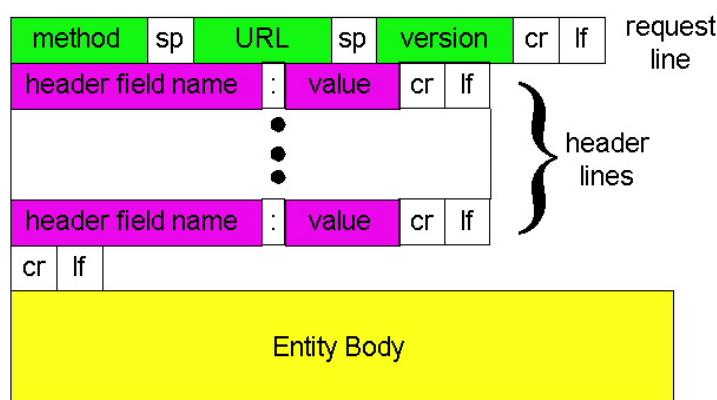
Carriage return  
line feed  
indicates end  
of message

```
GET /somedir/page.html HTTP/1.1
Host: www.someschool.edu
User-agent: Firefox/4.0
Connection: close
Accept-language:fr
```

(extra carriage return, line feed)

2: Application Layer 37

## HTTP request message: general format



2: Application Layer 38

## Uploading form input

### Post method:

- Web page often includes form input
- Input is uploaded to server in entity body

### URL method:

- Uses GET method
- Input is uploaded in URL field of request line:

www.somesite.com/animalsearch?monkeys&banana

2: Application Layer 39

## Method types

### HTTP/1.0

- GET
- POST
- HEAD
  - ❖ asks server to leave requested object out of response

### HTTP/1.1

- GET, POST, HEAD
- PUT
  - ❖ uploads file in entity body to path specified in URL field
- DELETE
  - ❖ deletes file specified in the URL field

2: Application Layer 40

## HTTP response message

status line  
(protocol  
status code  
status phrase)

header  
lines

data, e.g.,  
requested  
HTML file

```
HTTP/1.1 200 OK
Connection: Keep-Alive
Date: Thu, 06 Aug 2008 12:00:15 GMT
Server: Apache/2.3.0 (CentOS)
Last-Modified: Mon, 22 Jun 2008 .....
Content-Length: 6821
Content-Type: text/html
```

data data data data data ...

2: Application Layer 41

## HTTP response status codes

In first line in server->client response message.

A few sample codes:

### **200 OK**

- ❖ request succeeded, requested object later in this message

### **301 Moved Permanently**

- ❖ requested object moved, new location specified later in this message (Location:)

### **400 Bad Request**

- ❖ request message not understood by server

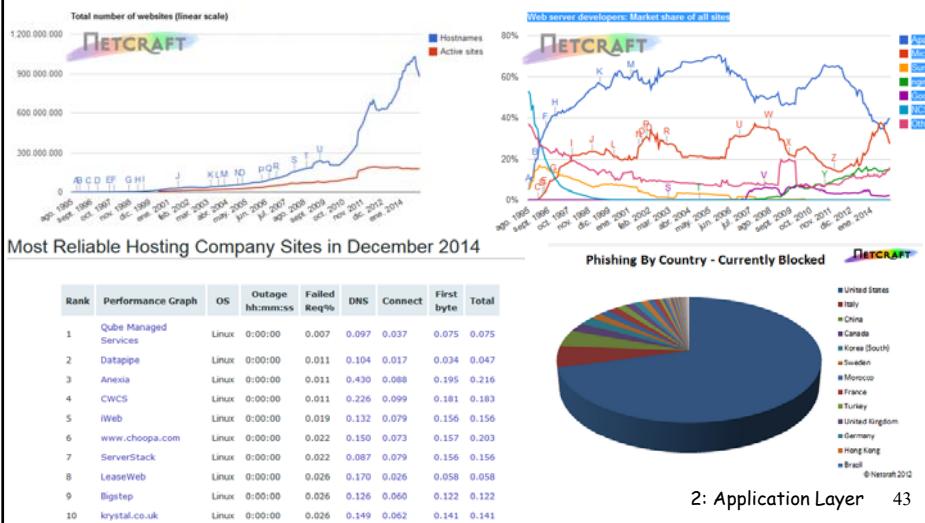
### **404 Not Found**

- ❖ requested document not found on this server

### **505 HTTP Version Not Supported**

2: Application Layer 42

## www.netcraft.com



## Trying out HTTP (client side) for yourself

### 1. Telnet to your favorite Web server:

```
telnet cis.poly.edu 80
```

Opens TCP connection to port 80 (default HTTP server port) at cis.poly.edu. Anything typed in sent to port 80 at cis.poly.edu

### 2. Type in a GET HTTP request:

```
GET /~ross/ HTTP/1.1  
Host: cis.poly.edu
```

By typing this in (hit carriage return twice), you send this minimal (but complete) GET request to HTTP server

### 3. Look at response message sent by HTTP server!

(or use Wireshark to look at captured HTTP request/response)

2: Application Layer 44

## User-server state: cookies

Many major Web sites use cookies

### Four components:

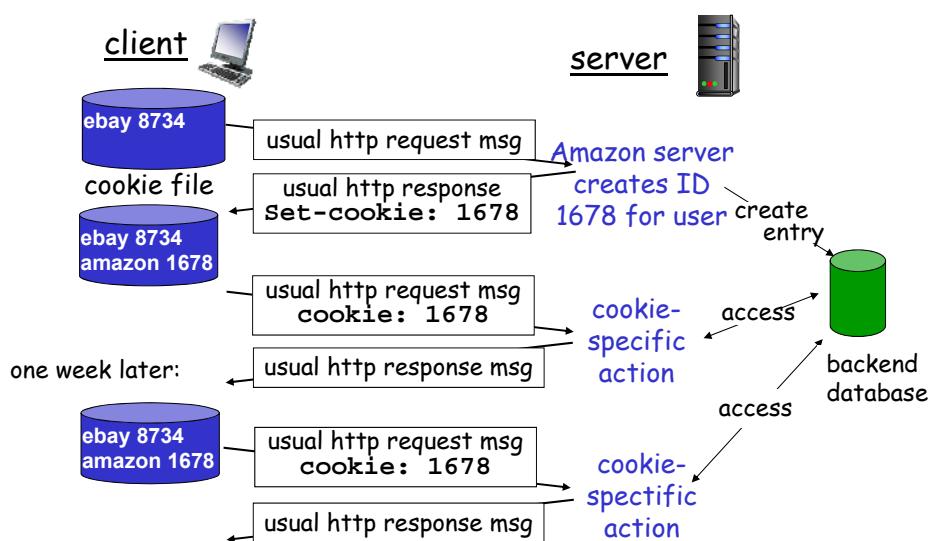
- 1) cookie header line of HTTP response message
- 2) cookie header line in HTTP request message
- 3) cookie file kept on user's host, managed by user's browser
- 4) back-end database at Web site

### Example:

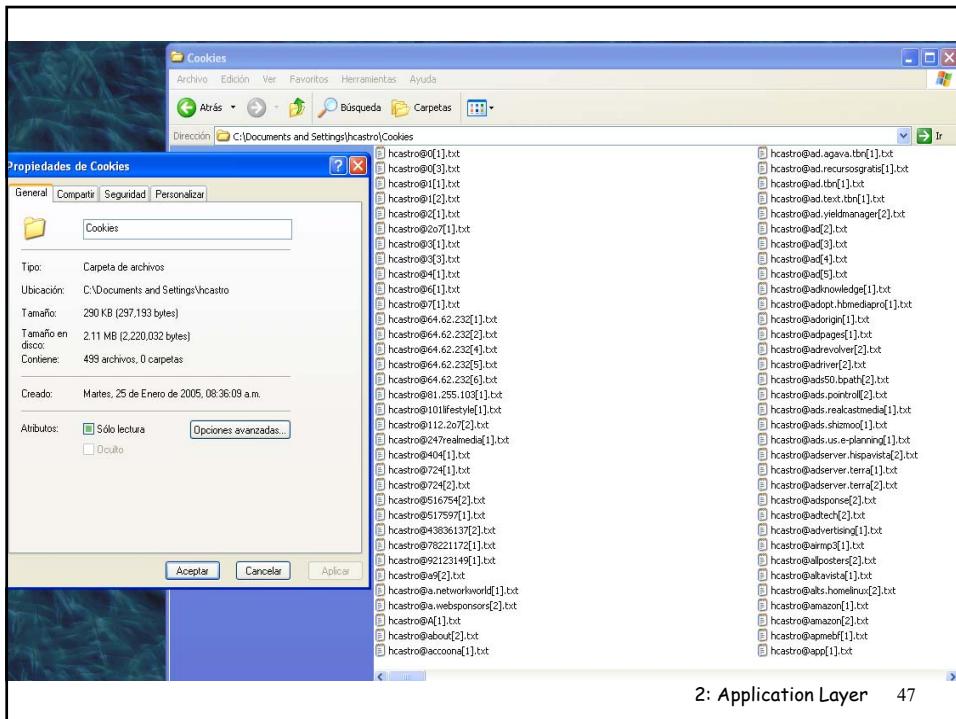
- Susan always access Internet always from PC
- visits specific e-commerce site for first time
- when initial HTTP request arrives at site, site creates:
  - ❖ unique ID
  - ❖ entry in backend database for ID

2: Application Layer 45

## Cookies: keeping "state" (cont.)



2: Application Layer 46



2: Application Layer 47

## Cookies (continued)

### What cookies can bring:

- authorization
- shopping carts
- recommendations
- user session state  
(Web e-mail)

### How to keep "state":

- protocol endpoints: maintain state at sender/receiver over multiple transactions
- cookies: http messages carry state

### Cookies and privacy:

- cookies permit sites to learn a lot about you
- you may supply name and e-mail to sites

2: Application Layer 48

## www.doubleclick.net (now a Google company)

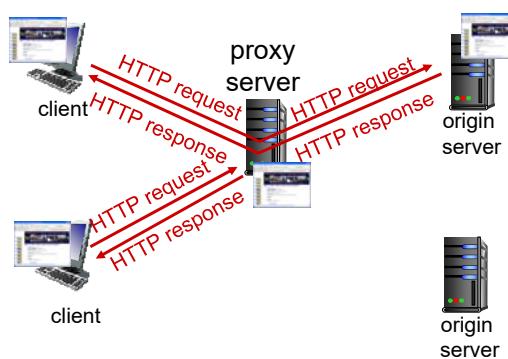
- Transactions (between their server and your machine) are transparent to you
  - ❖ Clear gif of 1x1 pixels
- Cross-site profiling
  - ❖ Very rich anonymous profiles
- Can see what you search at search engines
- Can link anonymous profiles with personal information
- Can do whatever they want
  - ❖ Do you know the privacy policy of every site you visit?
- And technology is moving: omniture.com, hitbox.com

2: Application Layer 49

## Web caches (proxy server)

**Goal:** satisfy client request without involving origin server

- user sets browser:  
Web accesses via cache
- browser sends all HTTP requests to cache
  - ❖ object in cache: cache returns object
  - ❖ else cache requests object from origin server, then returns object to client



2: Application Layer 50

## More about Web caching

- cache acts as both client and server
- typically cache is installed by ISP (university, company, residential ISP)

### Why Web caching?

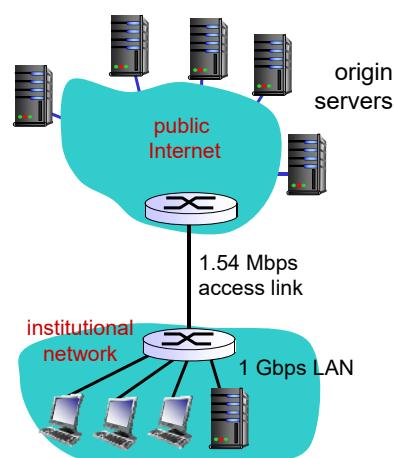
- reduce response time for client request
- reduce traffic on an institution's access link.
- Internet dense with caches: enables "poor" content providers to effectively deliver content (but so does P2P file sharing)

2: Application Layer 51

## Caching example

### Assumptions

- average object size = 100,000 bits
- avg. request rate from institution's browsers to origin servers = 15/sec
- delay from institutional router to any origin server and back to router = 2 sec



### Consequences

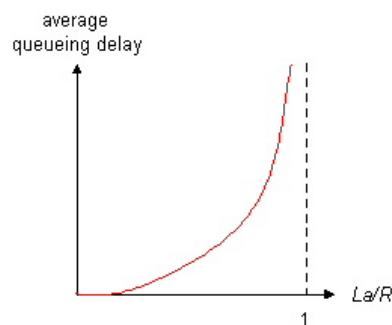
- utilization on LAN = 15% *problem!*
- utilization on access link = 99%
- total delay = Internet delay + access delay + LAN delay  
= 2 sec + minutes + microseconds

2: Application Layer 52

## Queueing delay (revisited)

- $R$ =link bandwidth (bps)
- $L$ =packet length (bits)
- $\alpha$ =average packet arrival rate

$$\text{traffic intensity} = \frac{L\alpha}{R}$$



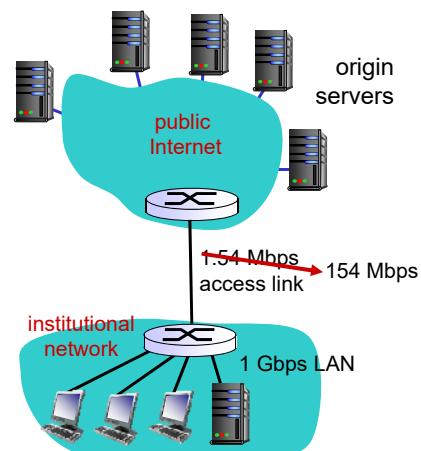
- ❖  $L\alpha/R \sim 0$ : average queueing delay small
- ❖  $L\alpha/R \rightarrow 1$ : delays become large
- ❖  $L\alpha/R > 1$ : more "work" arriving than can be serviced, average delay infinite!

2: Application Layer 53

## Caching example: fatter access link

### assumptions:

- ❖ avg object size: 100K bits
- ❖ avg request rate from browsers to origin servers: 15/sec
- ❖ avg data rate to browsers: 1.50 Mbps
- ❖ RTT from institutional router to any origin server: 2 sec
- ❖ access link rate: 1.54 Mbps



### consequences:

- ❖ LAN utilization: 15%
- ❖ access link utilization = ~~99%~~ 9.9%
- ❖ total delay = Internet delay + access delay + LAN delay  
= 2 sec + ~~minutes~~ + ~~usecs~~ msec

**Cost:** increased access link speed (not cheap!)

2: Application Layer 54

## Caching example: install local cache

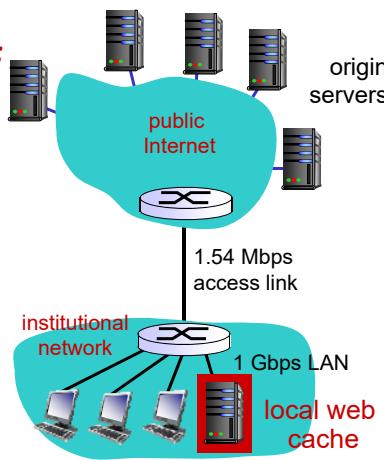
### Calculating access link utilization, delay with cache:

- suppose cache hit rate is 0.4

- ❖ 40% requests satisfied at cache,  
60% requests satisfied at origin
- ❖ access link utilization:
  - 60% of requests use access link
  - data rate to browsers over access link =  $0.6 \times 1.50 \text{ Mbps} = .9 \text{ Mbps}$
  - utilization =  $0.9 / 1.54 = .58$

- total delay

- =  $0.6 \times (\text{delay from origin servers}) + 0.4 \times (\text{delay when satisfied at cache})$
- =  $0.6 (2.01) + 0.4 (\sim \text{msecs})$
- =  $\sim 1.2 \text{ secs}$
- less than with 154 Mbps link (and cheaper too!)



Application Layer 2-55

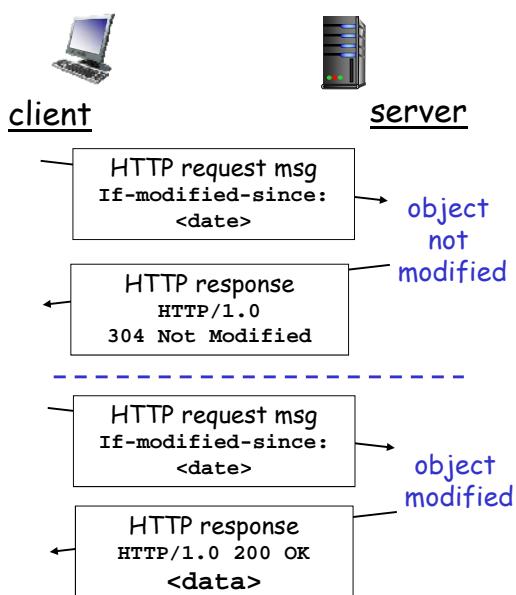
## Conditional GET

- Goal: don't send object if cache has up-to-date cached version

- cache: specify date of cached copy in HTTP request  
*If-modified-since: <date>*

- server: response contains no object if cached copy is up-to-date:

`HTTP/1.0 304 Not Modified`



2: Application Layer 56

## Capacity Planning in Web Traffic

### Traffic Generated by a Request for a 5-KB Page

Traffic Type	Bytes Sent
TCP Connection	180 (approx.)
GET Request	256 (approx.)
5-KB file	5,120
Protocols overhead	1,364 (approx.)
<b>Total</b>	<b>6,920</b>

2: Application Layer 57

## Capacity Planning in Web Traffic

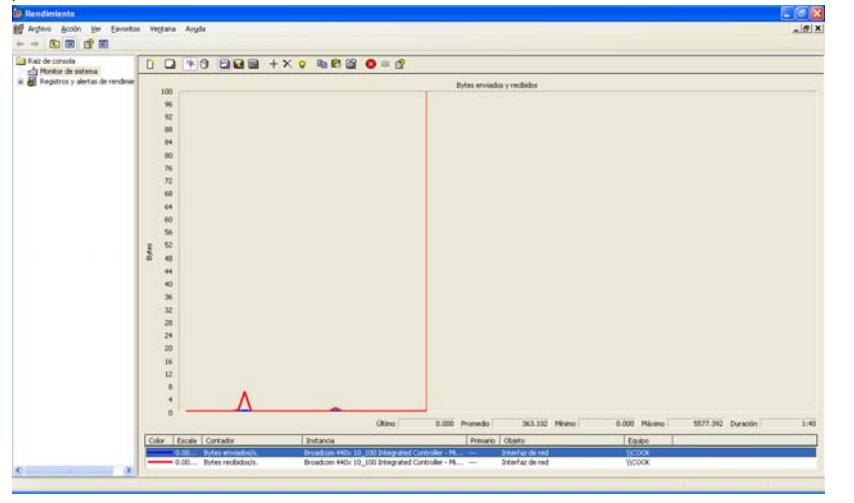
### Relative Network Interface Speed

Connection Type	Connection Speed	5-KB Pages Sent per Second
Dedicated PPP/SLIP via modem	28.8 Kbps	Roughly half of 1 page
Frame Relay or fast modem	56 Kbps	Almost 1 page
ISDN	128 Kbps	Just over 2 pages
Typical DSL	640 Kbps	Almost 11 pages
DSI/T1	1.536 Mbps	26 pages
10-Mb Ethernet	8 Mbps (best case)	(Up to) 136 pages
DS3/T3	44.736 Mbps	760 pages
OC1	51.844 Mbps	880 pages
100-Mb Ethernet	80 Mbps (best case)	(Up to) 1,360 pages
OC3	155.532 Mbps	2,650 pages
OC12	622.128 Mbps	10,580 pages
1-Gbps Ethernet	800 Mbps (best case)	(Up to) 13,600 pages

2: Application Layer 58

## Capacity Planning in Web Traffic

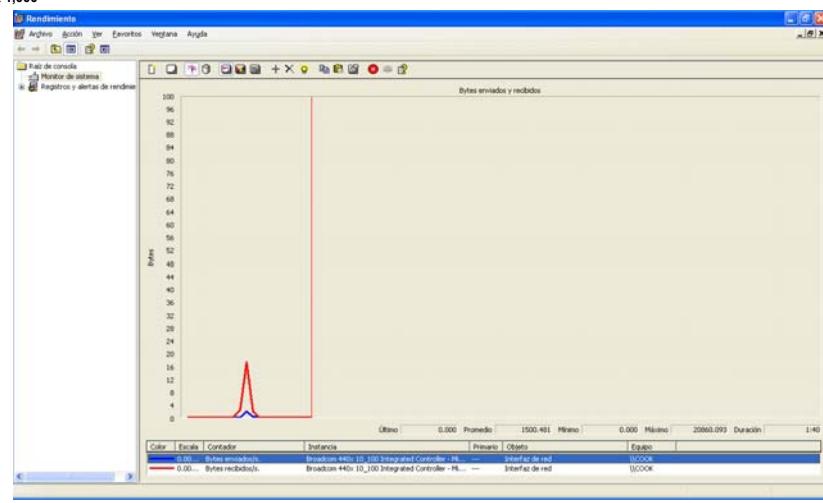
**Web Traffic – Text Page** <http://datatracker.ietf.org/doc/rfc5905/>



2: Application Layer 59

## Capacity Planning in Web Traffic

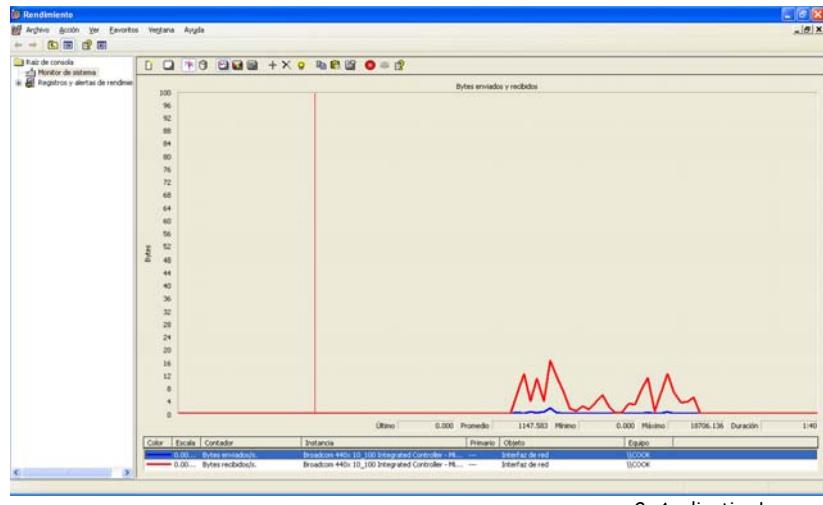
**Web Traffic – image Page** <http://www.uniandes.edu.co>



2: Application Layer 60

## Capacity Planning in Web Traffic

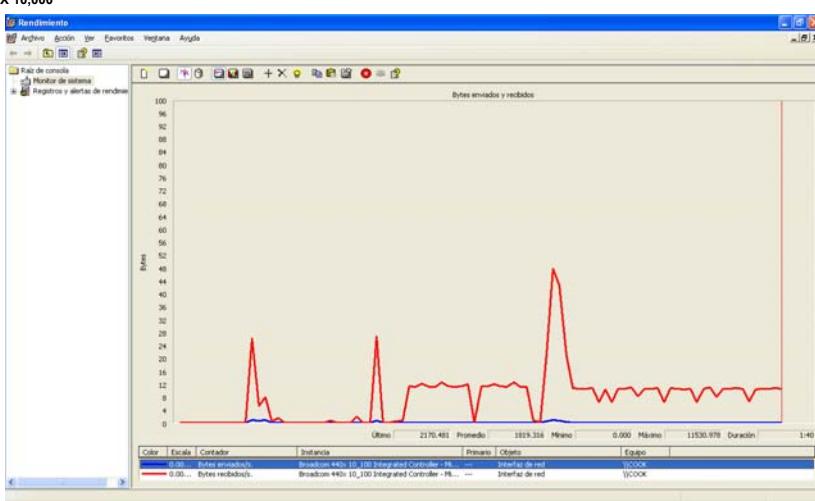
Web Traffic – image Page      <http://www.cisco.com/>  
x 1,000



2: Application Layer 61

## Capacity Planning in Web Traffic

Web Traffic – Streaming      <http://video.uniandes.edu.co/>  
x 10,000

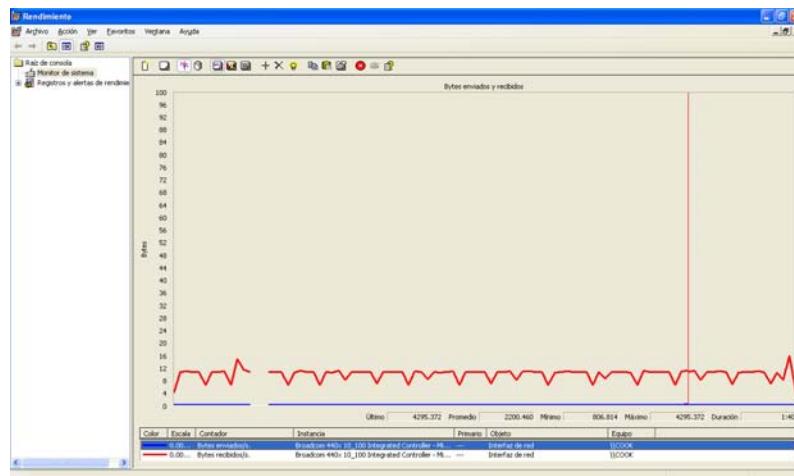


2: Application Layer 62

## Capacity Planning in Web Traffic

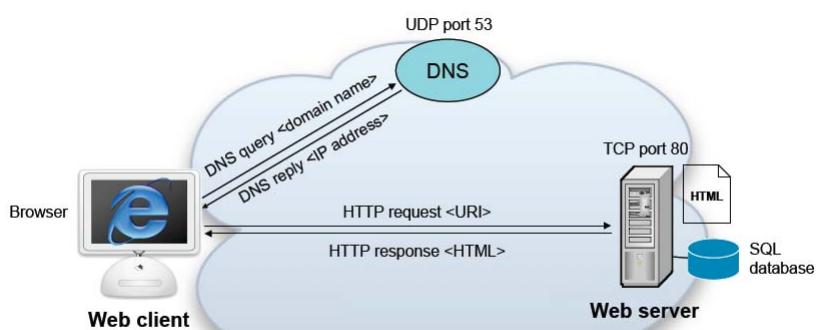
Web Traffic – Streaming  
X 10,000

<http://video.uniandes.edu.co/>



2: Application Layer 63

## Security Problems in HTTP



2: Application Layer 64

## Security Problems in HTTP

Threats			
	Client (browser) side	Network connection	
Goals:	Protect users from data theft, intrusions	Protect privacy and data integrity, provide authentication of clients and servers, provide nonrepudiation	
Examples:	Browser exploits, phishing, malware, cookie theft	IP spoofing, packet sniffing, deceptive domain names	Password cracking, malware, SQL injection, server exploits

2: Application Layer 65

## Security Problems in HTTP

### Why on browsers

- ❖ Easy targets: many naïve users
- ❖ Easy to find information: social engineering
- ❖ Browsers are complex programs: may have vulnerabilities
  - Capable to manage hypermedia, files, applets: many possible attacks
  - Keep private data (cookies, history) making them attractive targets

2: Application Layer 66

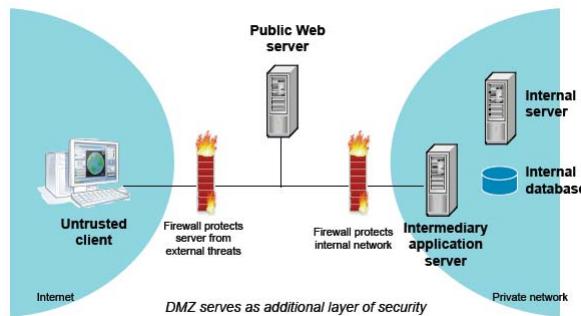
## Security Problems in HTTP

### □ Why on Web servers

- ❖ Web servers accept input from clients making them vulnerable to attacks
- ❖ Complex software
  - Not vulnerable proof
  - Attacks are easy to hide
- ❖ Keep valuable data easy to reach
- ❖ Trusted users: phishing
- ❖ High impact

2: Application Layer 67

## Security Problems in HTTP



2: Application Layer 68

## Security Problems in HTTP



### Hypertext Transfer Protocol Secure (HTTPS)

**Familia:** Familia de protocolos de Internet  
**Función:** Transferencia segura de hipertexto  
**Puertos:** 443/TCP

#### Ubicación en la pila de protocolos

Aplicación	HTTPS
Transporte	SSL/TLS TCP
Red	IP

**Estándares:** RFC 2818 – HTTP sobre TLS

2: Application Layer 69

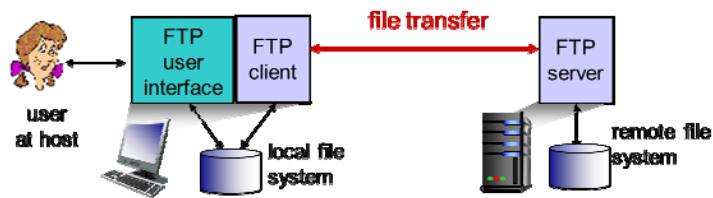
## Chapter 2: Application layer

- 2.1 Principles of network applications
- 2.2 Web and HTTP
- 2.3 FTP
  - ❖ Protocol
  - ❖ Capacity planning
  - ❖ Security issues
- 2.4 Electronic Mail
  - ❖ SMTP, POP3, IMAP
- 2.5 DNS
- 2.6 P2P applications
- 2.7 Convergent services
- 2.8 Network traffic characterization
- 2.9 Socket programming with TCP and UDP



2: Application Layer 70

## FTP: the file transfer protocol

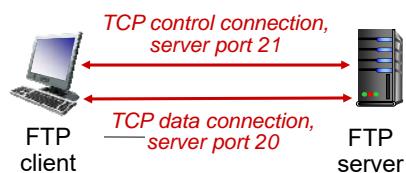


- transfer file to/from remote host
- client/server model
  - ❖ *client*: side that initiates transfer (either to/from remote)
  - ❖ *server*: remote host
- ftp: RFC 959
- ftp server: port 21

2: Application Layer 71

## FTP: separate control, data connections

- FTP client contacts FTP server at port 21, TCP is transport protocol
- client authorized over control connection
- client browses remote directory by sending commands over control connection.
- when server receives file transfer command, *server* opens 2<sup>nd</sup> TCP connection (for file) to client
- after transferring one file, server closes data connection.



- server opens another TCP data connection to transfer another file.
- control connection: "*out of band*"
- FTP server maintains "state": current directory, earlier authentication

2: Application Layer 72

## FTP commands, responses

### Sample commands:

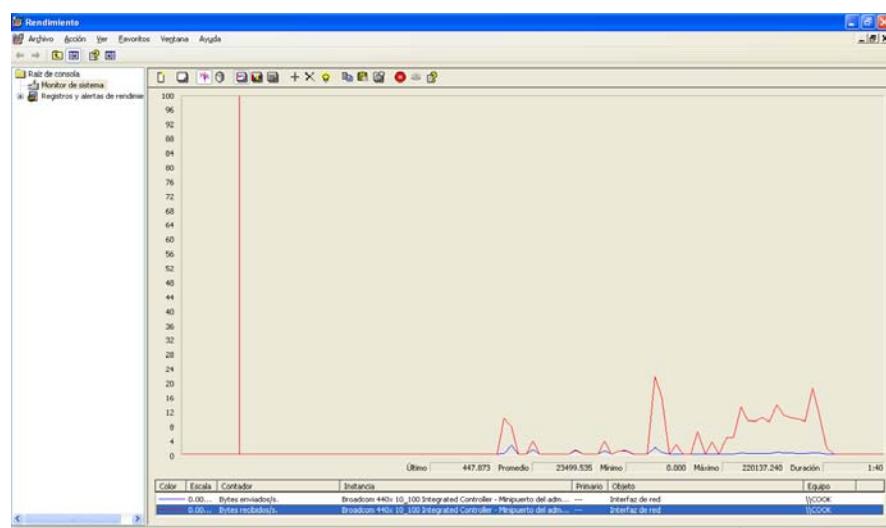
- sent as ASCII text over control channel
- USER *username*
- PASS *password*
- LIST return list of file in current directory
- RETR *filename* retrieves (gets) file
- STOR *filename* stores (puts) file onto remote host

### Sample return codes

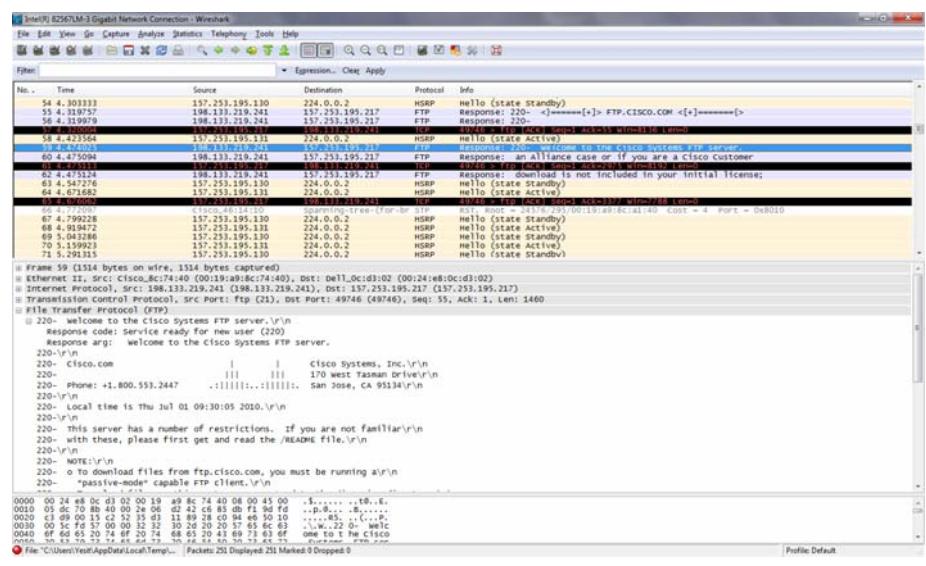
- status code and phrase (as in HTTP)
- 331 Username OK, password required
- 125 data connection already open; transfer starting
- 425 Can't open data connection
- 452 Error writing file

2: Application Layer 73

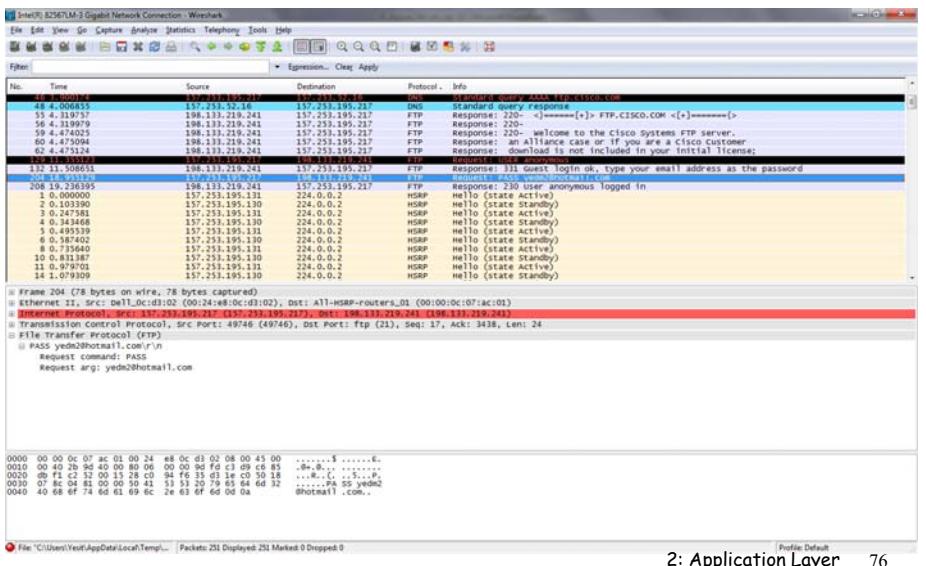
## Capacity Planning in FTP Traffic



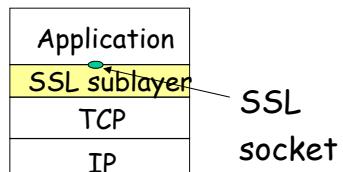
# Security Problems in FTP Traffic



## Security Problems in FTP Traffic



## Security Problems in FTP Traffic



TCP enhanced with SSL

2: Application Layer 77

## Chapter 2: Application layer

- 2.1 Principles of network applications
- 2.2 Web and HTTP
- 2.3 FTP
- 2.4 Electronic Mail
  - ❖ Protocols
  - ❖ Capacity planning
  - ❖ Security issues
- 2.5 DNS
- 2.6 P2P applications
- 2.7 Convergent services
- 2.8 Network traffic characterization
- 2.9 Socket programming with TCP and UDP



2: Application Layer 78

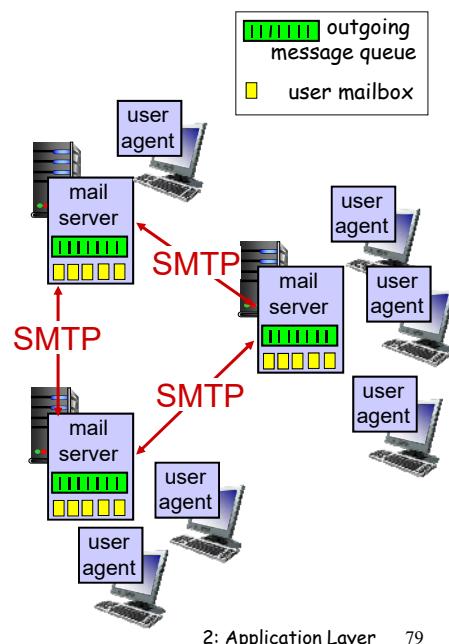
## Electronic Mail

### Three major components:

- user agents
- mail servers
- simple mail transfer protocol: SMTP

#### User Agent

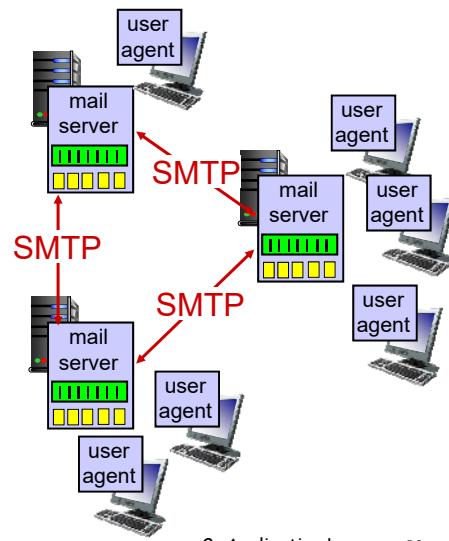
- "mail reader"
- composing, editing, reading mail messages
- e.g., Eudora, Outlook, Thunderbird, phone client
- outgoing, incoming messages stored on server



## Electronic Mail: mail servers

### Mail Servers

- mailbox** contains incoming messages for user
- message queue** of outgoing (to be sent) mail messages
- SMTP protocol** between mail servers to send email messages
  - ❖ client: sending mail server
  - ❖ "server": receiving mail server



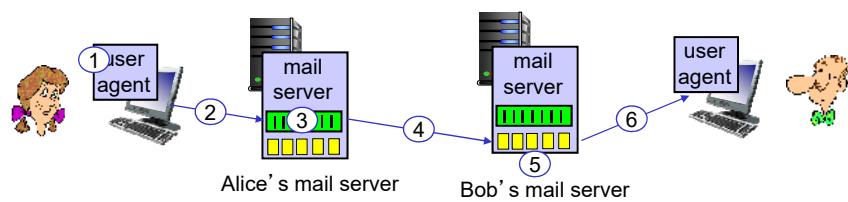
## Electronic Mail: SMTP [RFC 2821]

- uses TCP to reliably transfer email message from client to server, port 25 (SMTPS 465)
- direct transfer: sending server to receiving server
- three phases of transfer
  - ❖ handshaking (greeting)
  - ❖ transfer of messages
  - ❖ closure
- command/response interaction
  - ❖ commands: ASCII text
  - ❖ response: status code and phrase
- messages must be in 7-bit ASCII

2: Application Layer 81

## Scenario: Alice sends message to Bob

- 1) Alice uses UA to compose message and "to" bob@someschool.edu
- 2) Alice's UA sends message to her mail server; message placed in message queue
- 3) Client side of SMTP opens TCP connection with Bob's mail server
- 4) SMTP client sends Alice's message over the TCP connection
- 5) Bob's mail server places the message in Bob's mailbox
- 6) Bob invokes his user agent to read message



2: Application Layer 82

## Sample SMTP interaction

```
S: 220 hamburger.edu
C: HELO crepes.fr
S: 250 Hello crepes.fr, pleased to meet you
C: MAIL FROM: <alice@crepes.fr>
S: 250 alice@crepes.fr... Sender ok
C: RCPT TO: <bob@hamburger.edu>
S: 250 bob@hamburger.edu ... Recipient ok
C: DATA
S: 354 Enter mail, end with "." on a line by itself
C: Do you like ketchup?
C: How about pickles?
C: .
S: 250 Message accepted for delivery
C: QUIT
S: 221 hamburger.edu closing connection
```

2: Application Layer 83

## Try SMTP interaction for yourself:

- telnet servername 25
- see 220 reply from server
- enter HELO, MAIL FROM, RCPT TO, DATA, QUIT commands

above lets you send email without using email client  
(reader)

2: Application Layer 84

## SMTP: final words

- SMTP uses persistent connections
- SMTP requires message (header & body) to be in 7-bit ASCII
- SMTP server uses CRLF .CRLF to determine end of message

### Comparison with HTTP:

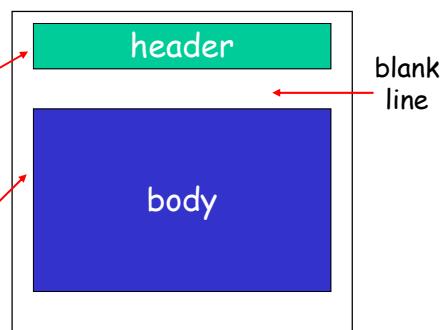
- HTTP: pull
- SMTP: push
- both have ASCII command/response interaction, status codes
- HTTP: each object encapsulated in its own response msg
- SMTP: multiple objects sent in multipart msg

2: Application Layer 85

## Mail message format

SMTP: protocol for exchanging email msgs  
RFC 822: standard for text message format:

- header lines, e.g.,
  - ❖ To:
  - ❖ From:
  - ❖ Subject:  
*different from SMTP commands!*
- body
  - ❖ the "message", ASCII characters only



2: Application Layer 86

## Message format: multimedia extensions

- **MIME**: multimedia mail extension, RFC 2045, 2056
- additional lines in msg header declare **MIME** content type

The diagram illustrates the structure of a MIME message. On the left, five categories are listed with arrows pointing to specific parts of the message header on the right:

- MIME version → **From: alice@crepes.fr**
- method used to encode data → **MIME-Version: 1.0**
- multimedia data type, subtype, parameter declaration → **Content-Type: image/jpeg**
- encoded data → **base64 encoded data .....**
- encoded data → **.....base64 encoded data**

```
From: alice@crepes.fr
To: bob@hamburger.edu
Subject: Picture of yummy crepe.
MIME-Version: 1.0
Content-Transfer-Encoding: base64
Content-Type: image/jpeg

base64 encoded data .....
.....base64 encoded data
```

2: Application Layer 87

## MIME types

**Content-Type: type/subtype; parameters**

### Text

- example subtypes: plain, html

### Image

- example subtypes: jpeg, gif

### Audio

- example subtypes: basic (8-bit mu-law encoded), 32kadpcm (32 kbps coding)

### Video

- example subtypes: mpeg, quicktime

### Application

- other data that must be processed by reader before "viewable"
- example subtypes: msword, octet-stream

text/plain; charset=us-ascii  
text/plain; charset="ISO-8859-1"  
text/html  
application/msword

2: Application Layer 88

## Multipart Type

From: alice@crepes.fr  
To: bob@hamburger.edu  
Subject: Picture of yummy crepe.  
MIME-Version: 1.0  
Content-Type: multipart/mixed; boundary=StartOfNextPart

--StartOfNextPart

Dear Bob, Please find a picture of a crepe.

--StartOfNextPart

Content-Transfer-Encoding: base64

Content-Type: image/jpeg

base64 encoded data .....

.....

.....base64 encoded data

--StartOfNextPart

Do you want the recipe?

### Delimiter

Two dashes

8-7 bits: quoted-printable encoding

2: Application Layer 89

2: Application Layer 90

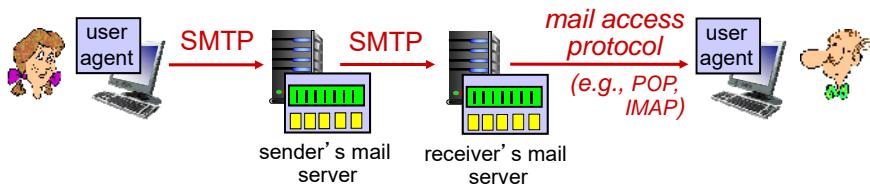
```

uXmtYVuJY7pboeWuUWN8bRnk+/fivQoLn7WJIpY/Iu4cCaHdnbnognjchwcNgdCCAQwFG/02
K5XEiA4OQcdK3hiHHSRIPDxkrxOFDW5Y27SFD1h3ybVj2+p9SDnHqaq/ZJLq0knkuo4gSVjW
QHnkhvOK19Y0i5AKrbISMjBMQAOPQ/zqhfxzGCCrKZZVQiZMIVi6dafU8E11qcXszjcJR3
RTIkvbm4D3EoYwRbYUONOibjHwmMnviof7bu+eNnVKdERAmCxZQFkOfPfv17e9V8n+/H/3
yKq5J9VRrxSMMcUGU7eOtQmTJ5NefdHoWYOKYc05pBiozIKkoYyioXUVIWFRs/HFFgOd8V2
DzaPLewCnqVkpntX8re+VIYxrghsOF2EA8hu/SrI8ueFJoXWSKRQyOhBDKeQQu4rUJyTmsP
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1qLNGlzM02yuxiWFN3oyj+dUv8AhEtM/wCfVfrfIt+2Kj+z/WmpyWzE4xe6O4kmG3AqsZs
Gq73I7moXuB61TZFi48/FV3uOetUpLnPQ1We5PPNjyHY0WuSO9MNz3zWSbnHU037VkdavX8p
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riJfEd1Cx862jI9IJ9x/8eCjH41XPisk82s//fUf/wAVT9nIXtIo7hrzd3pq3Jzwa46LxEXP
FnCH6PF/8XV9NaKYfLpt0f8AgcP/AMco5GhqaZ0onJPWpII965pdXnP/ADCrz/vuH/45Uw1e
cf8AMIVvv++4f/jIKw+ZGnqcn2d7XU1PNu+yX08lyA+T2CK5PpHjIBNawl965S5v5r2yntJN
J1ARzxtGxV4M4YYOP3nXmtbTpbg6da/bT/pXIJ53T7+Bu6cdc9Kb2EtzbWY+tP8AN96z1k56
0/zRUH8Tl8XXDjCJisubWr2YkmVgD2BrPorOFFLY85zk92StczOctIx/GmeY/8Aeb86bRTJ
HCR1OQzA+oNWodUvYMBLh/xOap0UrIabRvW3iq+hPz4cfIWxbeNI2wJUdf1riaWpdOL6FqrN
dT0218U2TKYnAPvWxbrUMgG2VT9DXjVPSaSM/I7L9DWboLoarEPqj21NTQ/xj86I/tJPX9a8
Zh1m/g+7OxHo3NWF+Em1b+8Kn2D7lrEx7FIxL6VE0WfwoorpucbQwoMD3pm3iiimITFLiiig
AAzS7eKKKAuOCZ704Q+9FFSND/s/+1sfZ/eiigD/2Q=-
-----020601020701020006040809-

```

2: Application Layer 91

## Mail access protocols



- **SMTP:** delivery/storage to receiver's server
- Mail access protocol: retrieval from server
  - ❖ **POP:** Post Office Protocol [RFC 1939]
    - authorization (agent <-->server) and download
    - Port: 110/TCP Secure: 995/TCP
  - ❖ **IMAP:** Internet Mail Access Protocol [RFC 1730]
    - more features (more complex)
    - Port: 143/TCP 220/TCP (IMAP3) 993/TCP (IMAPS)
    - manipulation of stored msgs on server
  - ❖ **HTTP:** gmail, Hotmail, Yahoo! Mail, etc.

2: Application Layer 92

## POP3 protocol

### authorization phase

- client commands:
  - ❖ user: declare username
  - ❖ pass: password
- server responses
  - ❖ +OK
  - ❖ -ERR

### transaction phase, client:

- list: list message numbers
- retr: retrieve message by number
- dele: delete
- quit

```
S: +OK POP3 server ready
C: user bob
S: +OK
C: pass hungry
S: +OK user successfully logged on

C: list
S: 1 498
S: 2 912
S: .
C: retr 1
S: <message 1 contents>
S: .
C: dele 1
C: retr 2
S: <message 1 contents>
S: .
C: dele 2
C: quit
S: +OK POP3 server signing off
```

2: Application Layer 93

## POP3 (more) and IMAP

### More about POP3

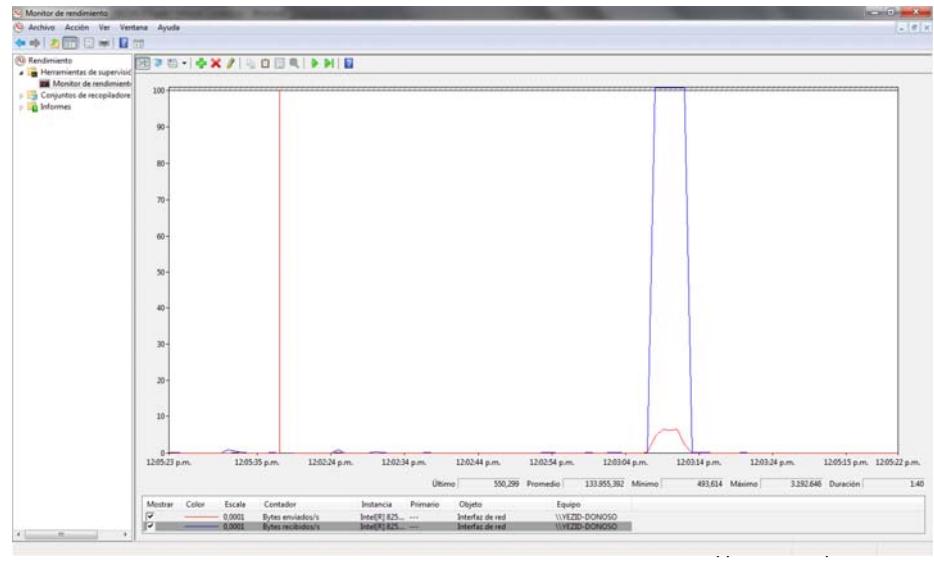
- Previous example uses "download and delete" mode.
  - ❖ Bob cannot re-read e-mail if he changes client
- "Download-and-keep": copies of messages on different clients
- POP3 is stateless across sessions

### IMAP

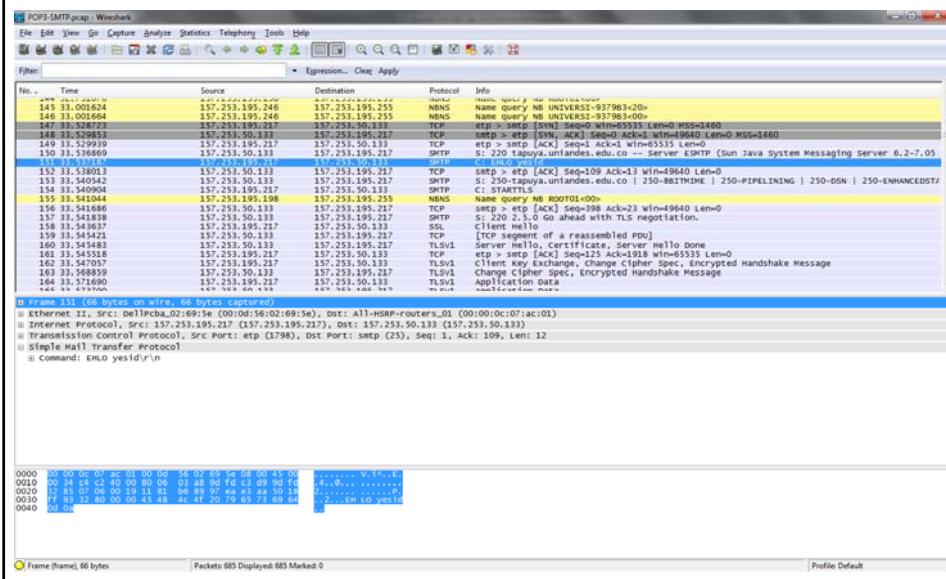
- Keep all messages in one place: the server
- Allows user to organize messages in folders
- IMAP keeps user state across sessions:
  - ❖ names of folders and mappings between message IDs and folder name

2: Application Layer 94

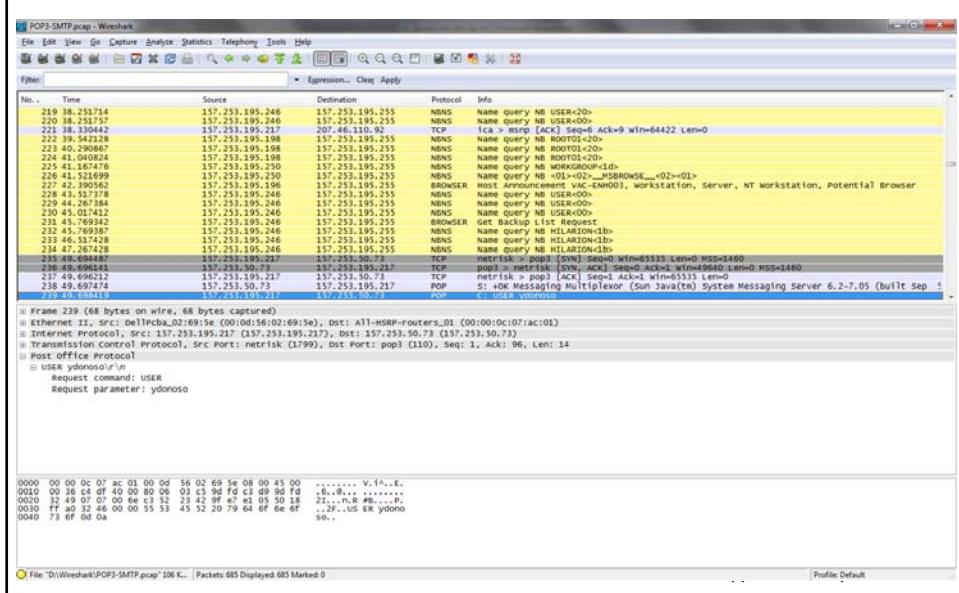
## Capacity Planning in email



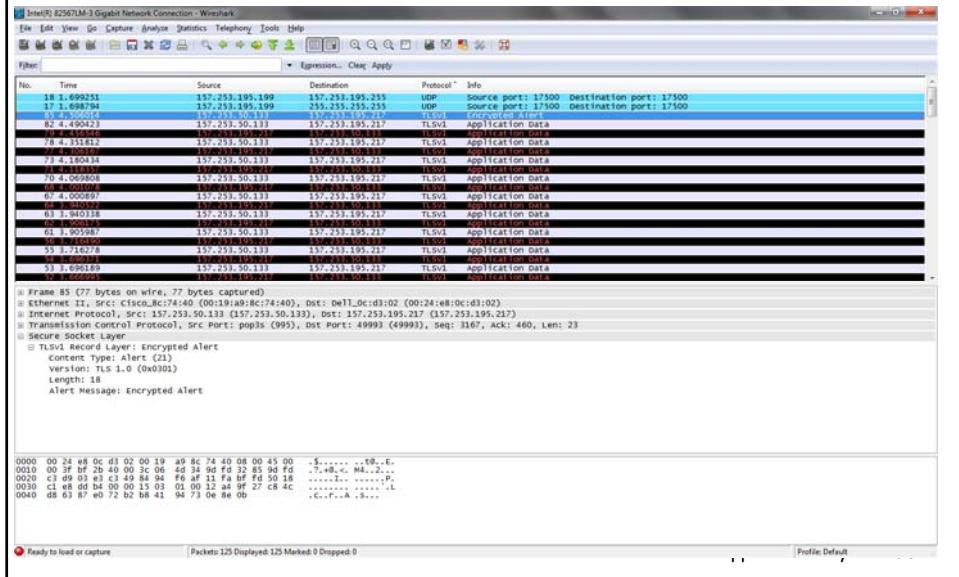
## Security in email



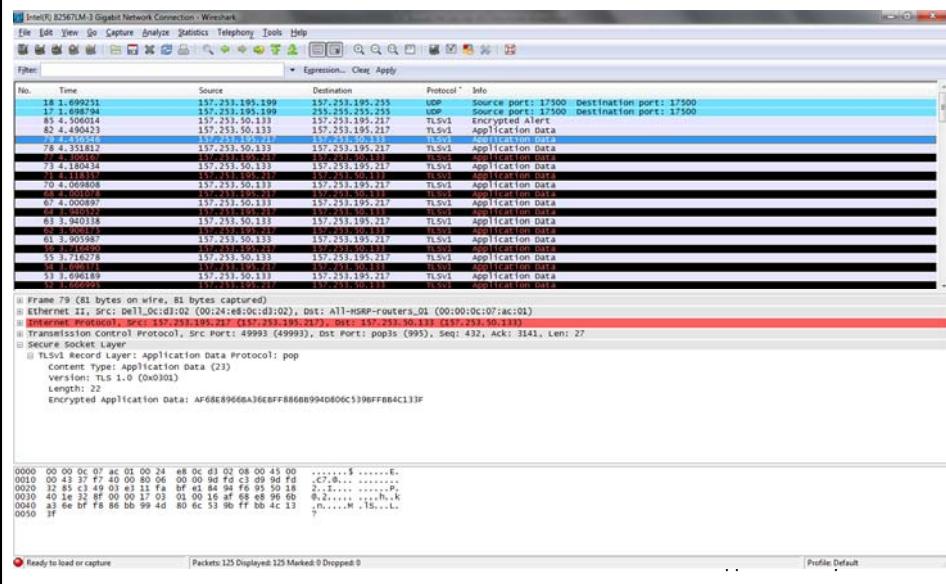
## Security in email



## Security in email

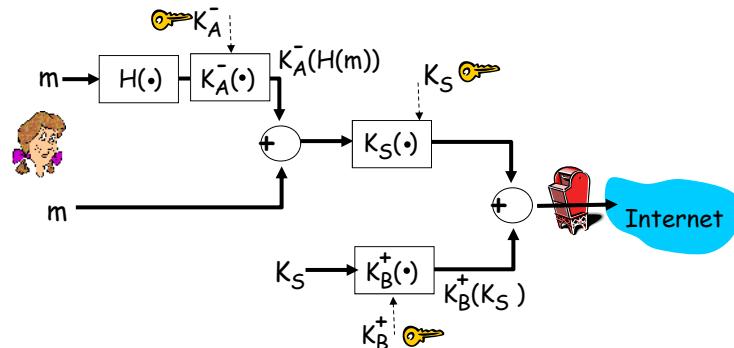


## Security in email



## Security in email

- Alice wants to provide secrecy, sender authentication, message integrity.



Alice uses three keys: her private key, Bob's public key, newly created symmetric key

## Chapter 2: Application layer

- 2.1 Principles of network applications
- 2.2 Web and HTTP
- 2.3 FTP
- 2.4 Electronic Mail
  - ❖ SMTP, POP3, IMAP
- 2.5 DNS
  - ❖ Architecture
  - ❖ Security issues
- 2.6 P2P applications
- 2.7 Convergent services
- 2.8 Network traffic characterization
- 2.9 Socket programming with TCP and UDP



2: Application Layer 101

## DNS: Domain Name System

**People:** many identifiers:

- ❖ SSN, name, passport #

**Internet hosts, routers:**

- ❖ IP address (32 bit) - used for addressing datagrams
- ❖ "name", e.g., [www.yahoo.com](http://www.yahoo.com) - used by humans

**Q:** map between IP addresses and name ?

**Domain Name System:**

- **distributed database** implemented in hierarchy of many **name servers**
- **application-layer protocol** host, routers, name servers to communicate to **resolve** names (address/name translation)
  - ❖ note: core Internet function, implemented as application-layer protocol
  - ❖ complexity at network's "edge"

2: Application Layer 102

## DNS

### DNS services

- hostname to IP address translation
- host aliasing
  - ❖ Canonical, alias names
- mail server aliasing
- load distribution
  - ❖ replicated Web servers: set of IP addresses for one canonical name

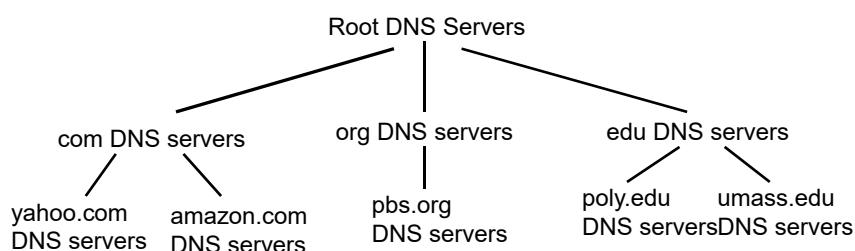
### Why not centralize DNS?

- single point of failure
- traffic volume
- distant centralized database
- maintenance

*doesn't scale!*

2: Application Layer 103

## Distributed, Hierarchical Database



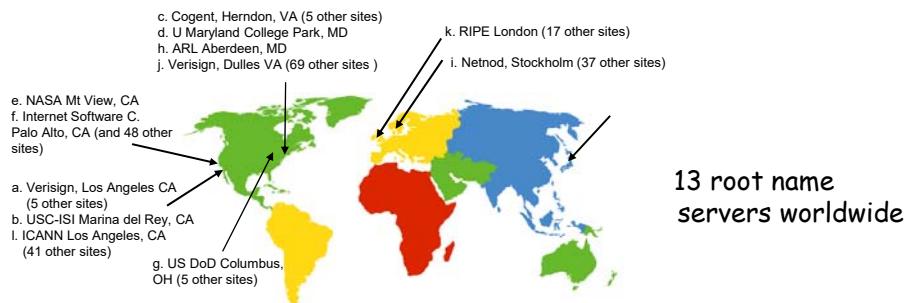
### Client wants IP for www.amazon.com; 1<sup>st</sup> approx:

- client queries a root server to find com DNS server
- client queries com DNS server to get amazon.com DNS server
- client queries amazon.com DNS server to get IP address for www.amazon.com

2: Application Layer 104

## DNS: Root name servers

- contacted by local name server that can not resolve name
- root name server:
  - ❖ contacts authoritative name server if name mapping not known
  - ❖ gets mapping
  - ❖ returns mapping to local name server



2: Application Layer 105

## TLD and Authoritative Servers

- **Top-level domain (TLD) servers:**
  - ❖ Two groups: generic (gTLDs) and countries (ccTLDs)
    - gTLDs: com, org, net, edu, museum, biz, name, etc.
    - ccTLDs: uk, fr, co,
  - ❖ Network Solutions maintains servers for com TLD, educause for edu TLD
- **Authoritative DNS servers:**
  - ❖ organization's DNS servers, providing authoritative hostname to IP mappings for organization's servers (e.g., Web, mail).
  - ❖ can be maintained by organization or service provider

2: Application Layer 106

## Local Name Server

- ❑ does not strictly belong to hierarchy
- ❑ each ISP (residential ISP, company, university) has one.
  - ❖ also called "default name server"
- ❑ when host makes DNS query, query is sent to its local DNS server
  - ❖ acts as proxy, forwards query into hierarchy
  - ❖ has local cache of recent name-to-address translation pairs (but may be out of date!)

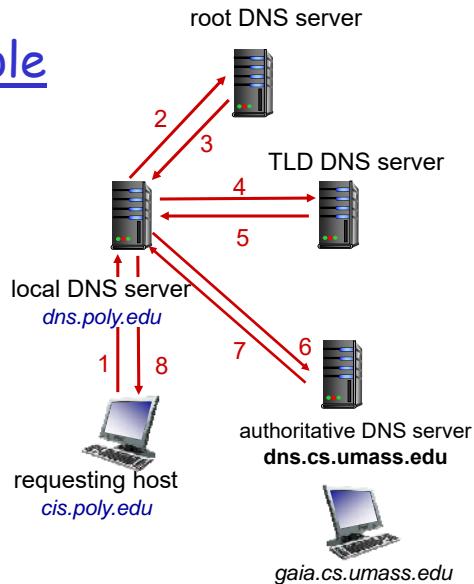
2: Application Layer 107

## DNS name resolution example

- ❑ Host at `cis.poly.edu` wants IP address for `gaia.cs.umass.edu`

### iterated query:

- ❑ contacted server replies with name of server to contact
- ❑ "I don't know this name, but ask this server"

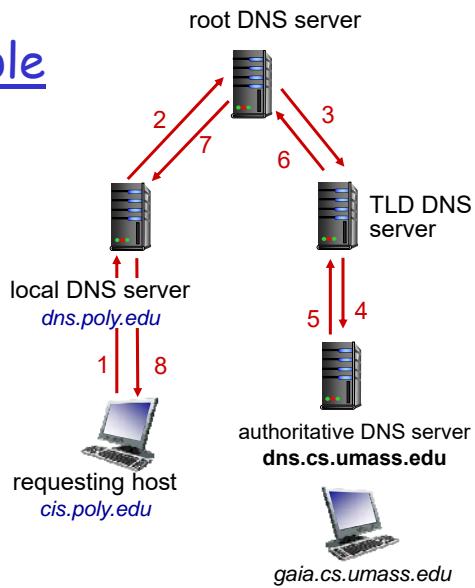


2: Application Layer 108

## DNS name resolution example

### recursive query:

- puts burden of name resolution on contacted name server
- heavy load at upper levels?



2: Application Layer 109

## DNS: caching and updating records

- once (any) name server learns mapping, it *caches* mapping
  - ❖ cache entries timeout (disappear) after some time (TTL)
  - ❖ TLD servers typically cached in local name servers
    - Thus root name servers not often visited
- cached entries may be *out-of-date* (best effort name-to-address translation!)
  - ❖ if name host changes IP address, may not be known Internet-wide until all TTLs expire
- update/notify mechanisms under design by IETF
  - ❖ RFC 2136
  - ❖ <http://www.ietf.org/html.charters/dnsind-charter.html>

2: Application Layer 110

## DNS records

DNS: distributed db storing resource records (RR)

RR format: (name, value, type, ttl)

Type=A

- ❖ name is hostname
- ❖ value is IP address

Type=NS

- ❖ name is domain (e.g. foo.com)
- ❖ value is hostname of authoritative name server for this domain

Type=CNAME

- ❖ name is alias name for some "canonical" (the real) name www.ibm.com is really severeast.backup2.ibm.com
- ❖ value is canonical name

Type=MX

- ❖ value is name of mailserver associated with name

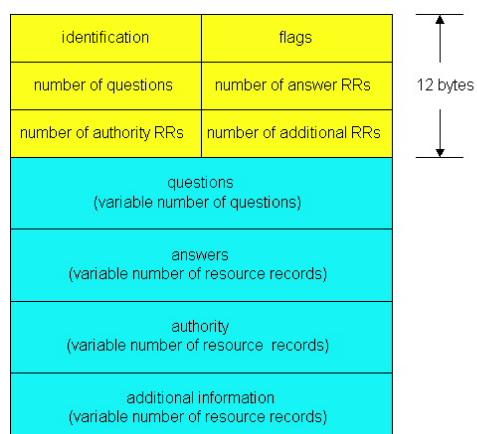
2: Application Layer 111

## DNS protocol, messages

DNS protocol: query and reply messages, both with same message format

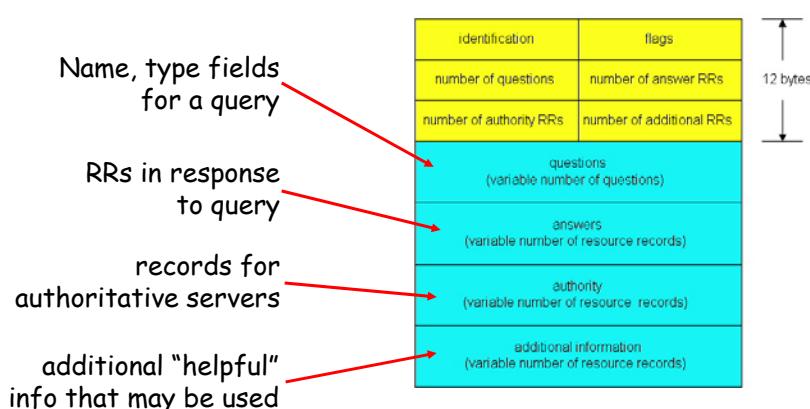
msg header

- identification: 16 bit # for query, reply to query uses same #
- flags:
  - ❖ query or reply
  - ❖ recursion desired
  - ❖ recursion available
  - ❖ reply is authoritative



2: Application Layer 112

## DNS protocol, messages



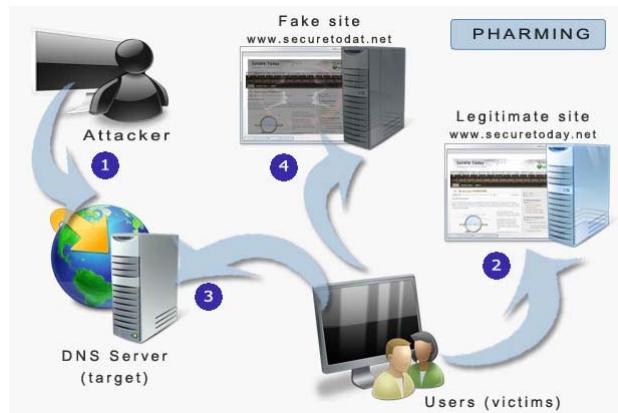
2: Application Layer 113

## Inserting records into DNS

- example: new startup "Network Utopia"
- register name networkuptopia.com at **DNS registrar** (e.g., Network Solutions)
  - ❖ provide names, IP addresses of authoritative name server (primary and secondary)
  - ❖ registrar inserts two RRs into com TLD server:  
(networkutopia.com, dns1.networkutopia.com, NS)  
(dns1.networkutopia.com, 212.212.212.1, A)
- create authoritative server Type A record for www.networkutopia.com; Type MX record for networkutopia.com
- How do people get IP address of your Web site?**

2: Application Layer 114

## Security in DNS (DNS Spoofing)

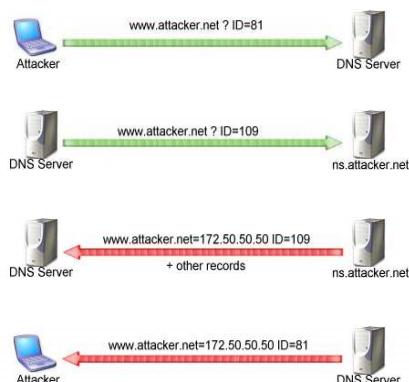


2: Application Layer 115

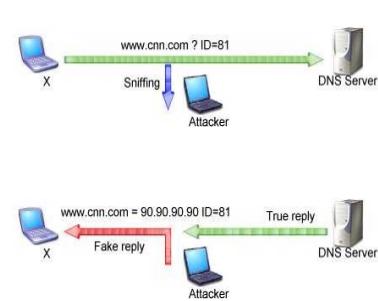
## How difficult is the DNS spoofing attack?

1. /etc/hosts or c:\Windows\System32\drivers\etc\hosts

### 2. Cache poisoning



### 3. ID spoofing



2: Application Layer 116

## Security in DNS (DNS Spoofing)

- To disable unused ports
- To implement MAC validations on switches
- To implement 802.1x
- To use DNSSec
  - ❖ Use certificates to authenticate authoritative servers
- To use IPSec
  - ❖ Use encryption to ensure confidentiality

2: Application Layer 117

## Chapter 2: Application layer

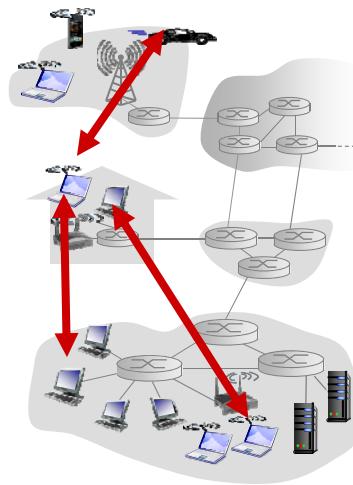
- 2.1 Principles of network applications
  - ❖ app architectures
  - ❖ app requirements
- 2.2 Web and HTTP
- 2.4 Electronic Mail
  - ❖ SMTP, POP3, IMAP
- 2.5 DNS
- 2.6 P2P applications
  - ❖ Content distribution
  - ❖ Examples: BitTorrent, Skype
- 2.7 Convergent services
- 2.8 Network traffic characterization
- 2.9 Socket programming with TCP and UDP



2: Application Layer 118

## Pure P2P architecture

- no always-on server
- arbitrary end systems directly communicate
- peers are intermittently connected and change IP addresses
- Examples:
  - ❖ File distribution
  - ❖ Streaming
  - ❖ Skype

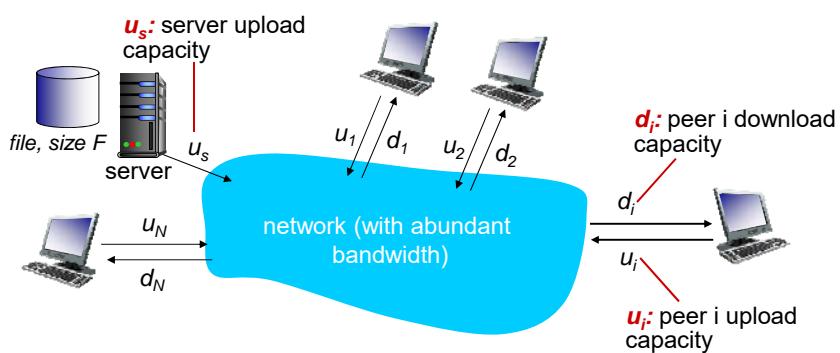


2: Application Layer 119

## File Distribution: Server-Client vs P2P

Question: How much time to distribute file from one server to  $N$  peers?

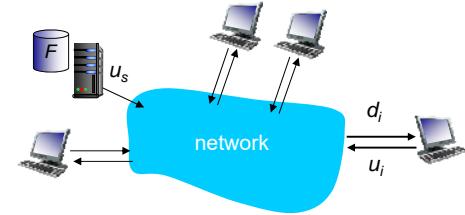
- ❖ peer upload/download capacity is limited resource



2: Application Layer 120

## File distribution time: client-server

- **server transmission:** must sequentially send (upload)  $N$  file copies:
  - ❖ time to send one copy:  $F/u_s$
  - ❖ time to send  $N$  copies:  $NF/u_s$
- ❖ **client:** each client must download file copy
  - $d_{min}$  = min client download rate
  - min client download time:  $F/d_{min}$



time to distribute  $F$   
 to  $N$  clients using  
 client-server approach

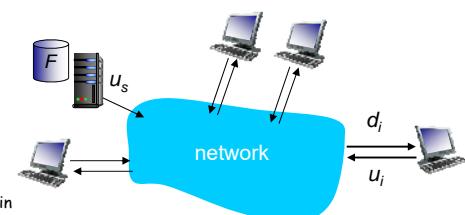
$$D_{C-S} \geq \max\{NF/u_s, F/d_{min}\}$$

increases linearly in  $N$

2: Application Layer 121

## File distribution time: P2P

- **server transmission:** must upload at least one copy
  - ❖ time to send one copy:  $F/u_s$
- ❖ **client:** each client must download file copy
  - min client download time:  $F/d_{min}$
- ❖ **clients:** as aggregate must download  $NF$  bits
  - max upload rate (limiting max download rate) is  $u_s + \sum u_i$



time to distribute  $F$   
 to  $N$  clients using  
 P2P approach

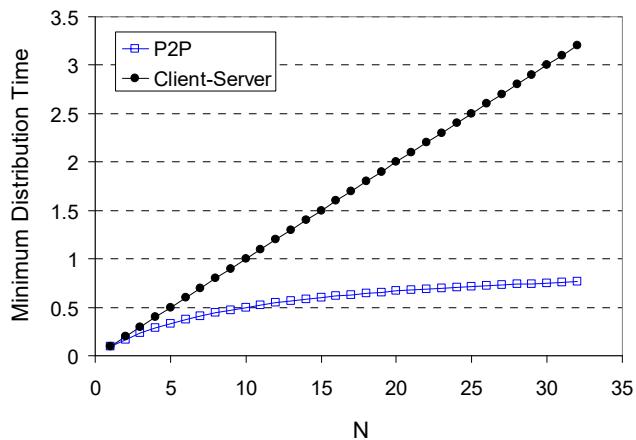
$$D_{P2P} \geq \max\{F/u_s, F/d_{min}, NF/(u_s + \sum u_i)\}$$

increases linearly in  $N$  ...  
 ... but so does this, as each peer brings service capacity

Application Layer 2-  
122

## Server-client vs. P2P: example

Client upload rate =  $u$ ,  $F/u = 1$  hour,  $u_s = 10u$ ,  $d_{\min} \geq u_s$



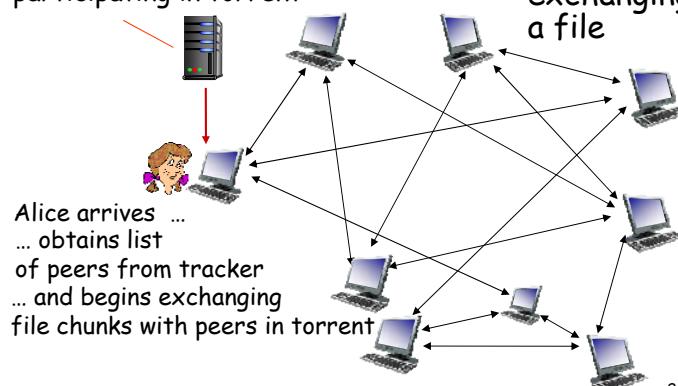
2: Application Layer 123

## P2P file distribution: BitTorrent

- ❖ file divided into 256Kb chunks
- ❖ peers in torrent send/receive file chunks

**tracker:** tracks peers participating in torrent

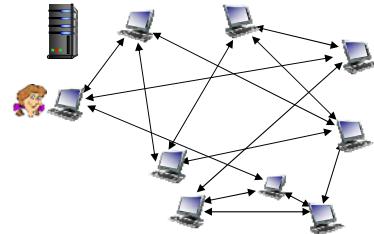
**torrent:** group of peers exchanging chunks of a file



2: Application Layer 124

## P2P file distribution: BitTorrent

- peer joining torrent:
  - ❖ has no chunks, but will accumulate them over time from other peers
  - ❖ registers with tracker to get list of peers, connects to subset of peers ("neighbors")
  - ❖ while downloading, peer uploads chunks to other peers
  - ❖ peer may change peers with whom it exchanges chunks
  - ❖ **churn**: peers may come and go
  - ❖ once peer has entire file, it may (selfishly) leave or (altruistically) remain in torrent



2: Application Layer 125

## BitTorrent questions

- Who should I ask for new chunks?
- Which chunks should I ask first?
- To whom should I answer?
- How to become attractive to others?
  - ❖ What if I'm not?

2: Application Layer 126

## BitTorrent (2)

### Requesting Chunks

- at any given time, different peers have different subsets of file chunks
- periodically, a peer (Alice) asks each neighbor for list of chunks that they have.
- Alice sends requests for her missing chunks
  - ❖ rarest first

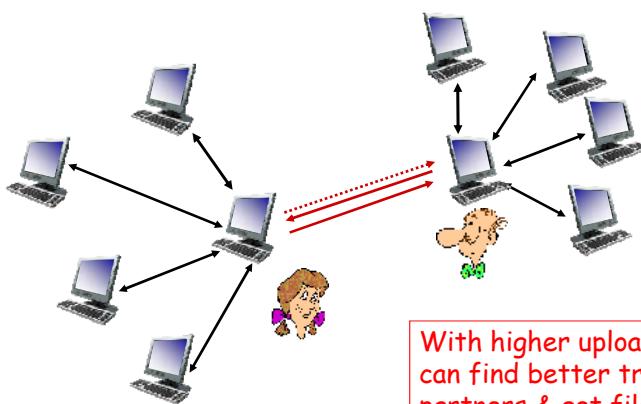
### Sending Chunks: tit-for-tat

- Alice sends chunks to four neighbors currently sending her chunks at the highest rate
  - ❖ re-evaluate top 4 every 10 secs
- every 30 secs: randomly select another peer, starts sending chunks
  - ❖ newly chosen peer may join top 4
  - ❖ "optimistically unchoke"

2: Application Layer 127

## BitTorrent: Tit-for-tat

- (1) Alice "optimistically unchoke" Bob
- (2) Alice becomes one of Bob's top-four providers; Bob reciprocates
- (3) Bob becomes one of Alice's top-four providers



With higher upload rate,  
can find better trading  
partners & get file faster!

2: Application Layer 128

## Distributed Hash Table (DHT)

- Hash table
- DHT paradigm
- Circular DHT and overlay networks
- Peer churn

## Simple Database

Simple database with **(key, value)** pairs:

- key: human name; value: social security #

Key	Value
John Washington	132-54-3570
Diana Louise Jones	761-55-3791
Xiaoming Liu	385-41-0902
Rakesh Gopal	441-89-1956
Linda Cohen	217-66-5609
.....	.....
Lisa Kobayashi	177-23-0199

- key: movie title; value: IP address

## Hash Table

- More convenient to store and search on numerical representation of key
- key = hash(original key)

Original Key	Key	Value
John Washington	8962458	132-54-3570
Diana Louise Jones	7800356	761-55-3791
Xiaoming Liu	1567109	385-41-0902
Rakesh Gopal	2360012	441-89-1956
Linda Cohen	5430938	217-66-5609
.....	.....	.....
Lisa Kobayashi	9290124	177-23-0199

## Distributed Hash Table (DHT)

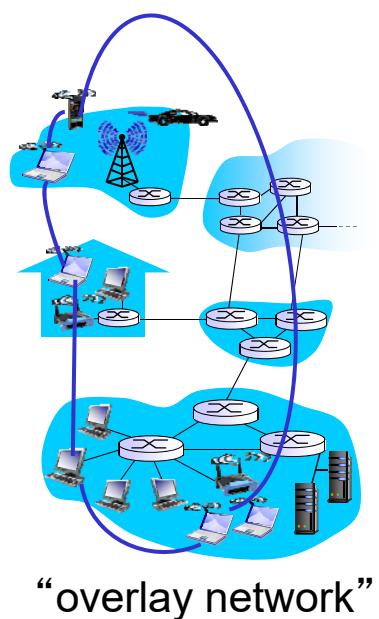
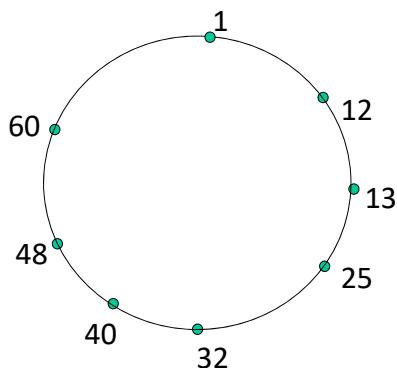
- Distribute (key, value) pairs over millions of peers
  - ❖ pairs are evenly distributed over peers
- Any peer can **query** database with a key
  - ❖ database returns value for the key
  - ❖ To resolve query, small number of messages exchanged among peers
- Each peer only knows about a small number of other peers
- Robust to peers coming and going (churn)

## Assign key-value pairs to peers

- ❑ rule: assign key-value pair to the peer that has the *closest* ID.
- ❑ convention: closest is the *immediate successor* of the key.
- ❑ e.g., ID space {0,1,2,3,...,63}
- ❑ suppose 8 peers: 1,12,13,25,32,40,48,60
  - ❖ If key = 51, then assigned to peer 60
  - ❖ If key = 60, then assigned to peer 60
  - ❖ If key = 61, then assigned to peer 1

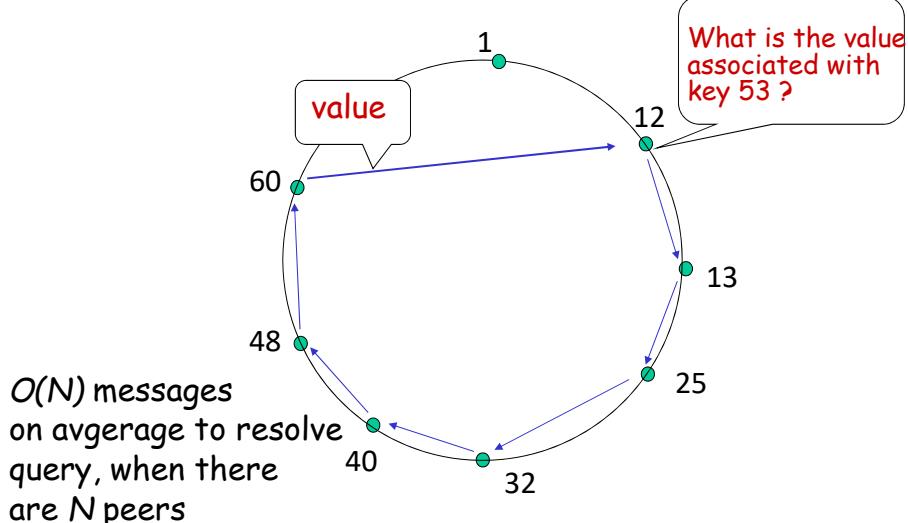
## Circular DHT

- each peer *only* aware of immediate successor and predecessor.

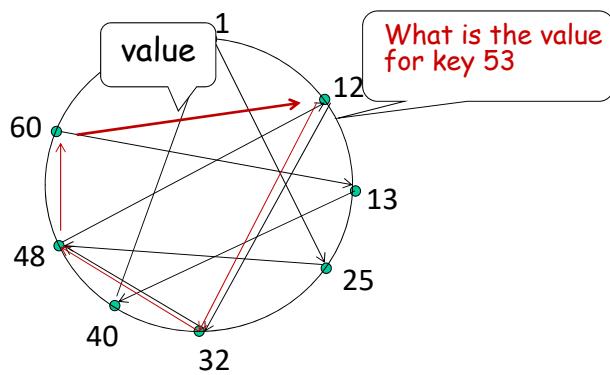


“overlay network”

## Resolving a query

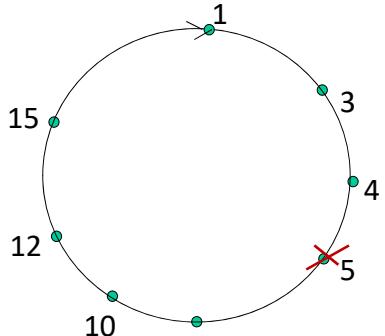


## Circular DHT with shortcuts



- each peer keeps track of IP addresses of predecessor, successor, short cuts.
- reduced from 6 to 3 messages.
- possible to design shortcuts with  $O(\log N)$  neighbors,  $O(\log N)$  messages in query

## Peer churn

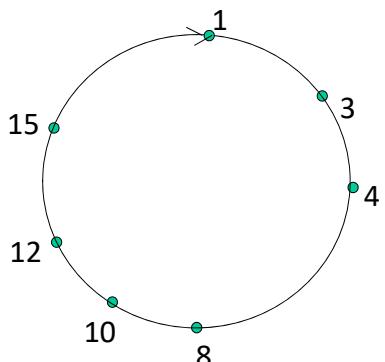


example: peer 5 abruptly leaves

### handling peer churn:

- ❖ peers may come and go (churn)
- ❖ each peer knows address of its two successors
- ❖ each peer periodically pings its two successors to check aliveness
- ❖ if immediate successor leaves, choose next successor as new immediate successor

## Peer churn



example: peer 5 abruptly leaves

- peer 4 detects peer 5's departure; makes 8 its immediate successor
- 4 asks 8 who its immediate successor is; makes 8's immediate successor its second successor.

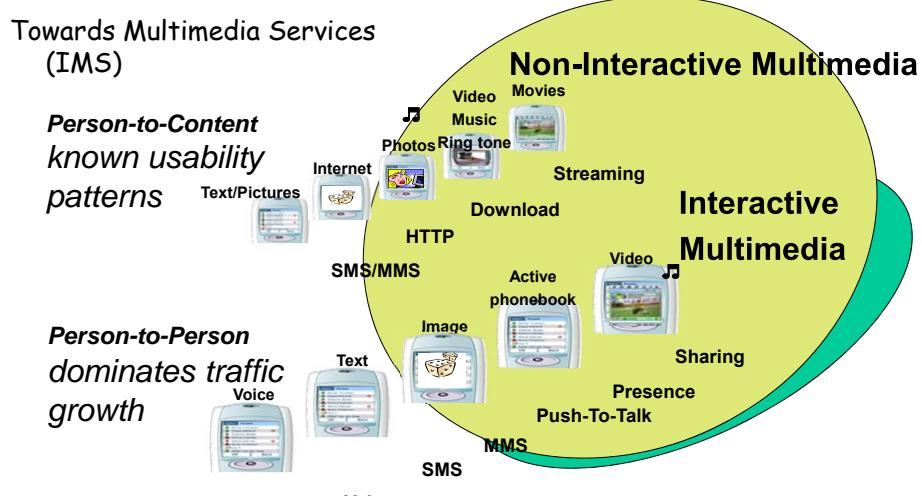
## Chapter 2: Application layer

- 2.1 Principles of network applications
- 2.2 Web and HTTP
- 2.3 FTP
- 2.4 Electronic Mail
  - ❖ SMTP, POP3, IMAP
- 2.5 DNS
- 2.6 P2P applications
- 2.7 Convergent services
- 2.8 Network traffic characterization
- 2.9 Socket programming with TCP and UDP



2: Application Layer 139

## Convergent Services



2: Application Layer 140

## Convergent Services



2: Application Layer 141

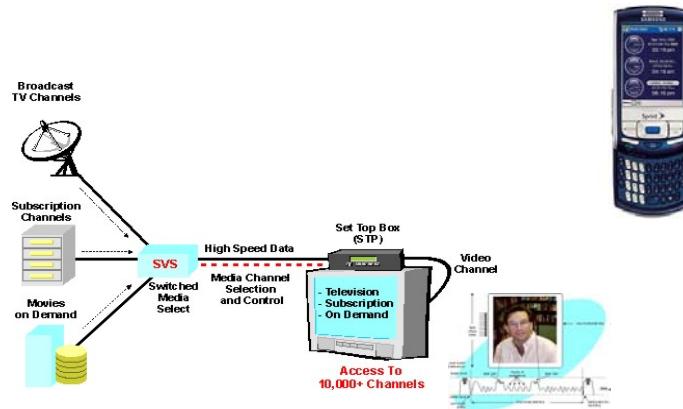
## Convergent Services



- Corporate voice and video services with high requirements on network resources. Those requirements are supported by the operator's network infrastructure.

2: Application Layer 142

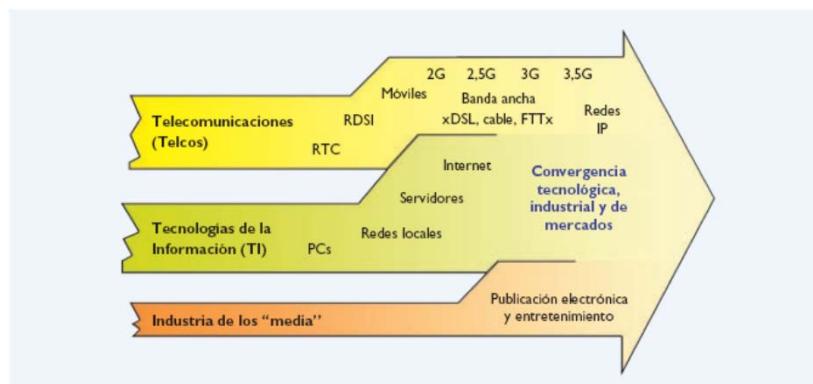
## Convergent Services



- TV convergence onto the IP network. Operators must offer a high available Backhaul
- Cell phones connected to the IP network. IP communication from/to the mobile end device

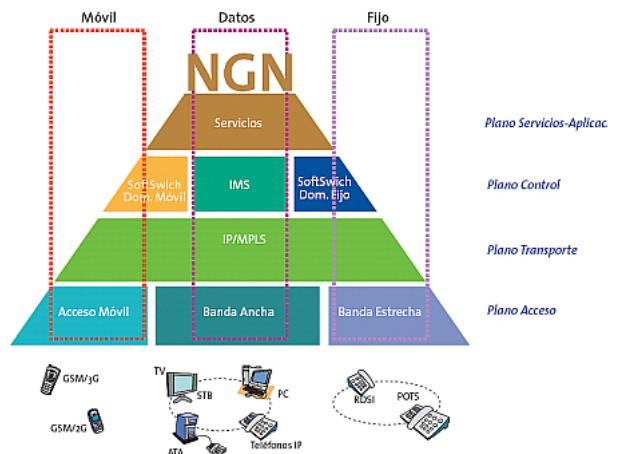
2: Application Layer 143

## Convergent industries



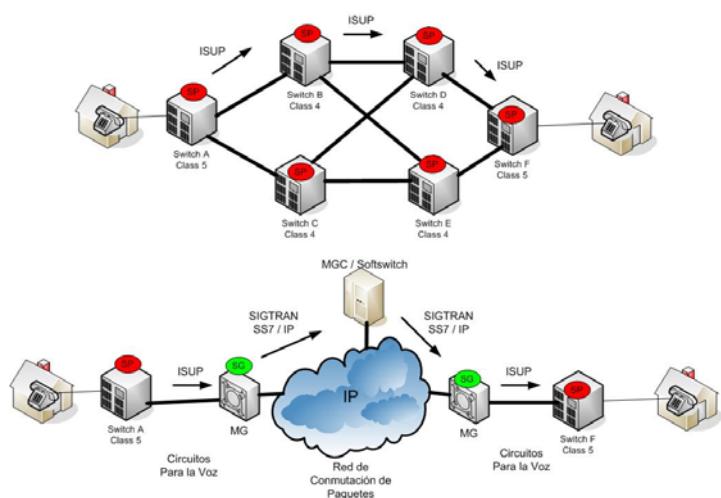
2: Application Layer 144

## Architecture



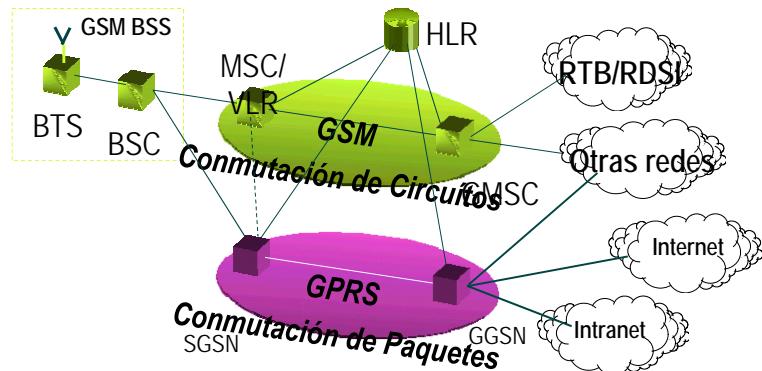
2: Application Layer 145

## Convergent infrastructures



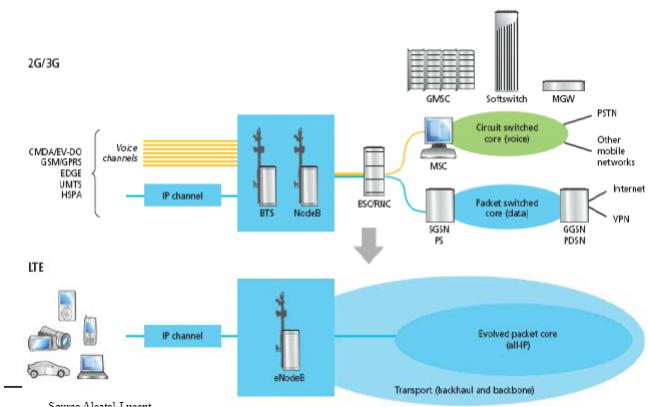
2: Application Layer 146

## Convergent infrastructures



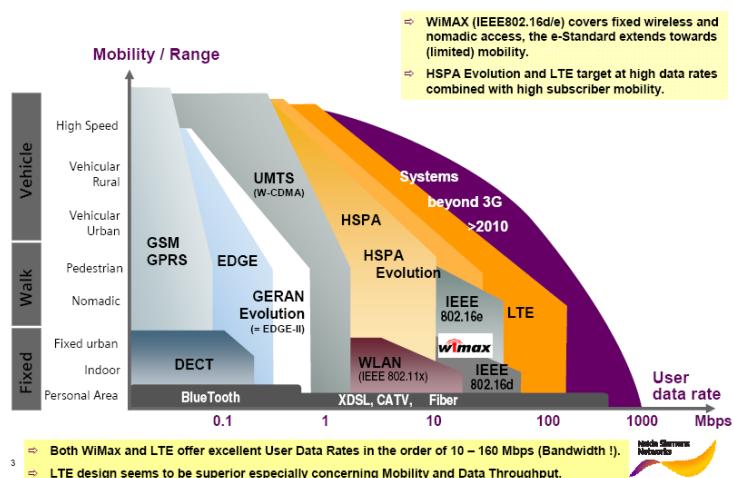
2: Application Layer 147

## Convergent infrastructures



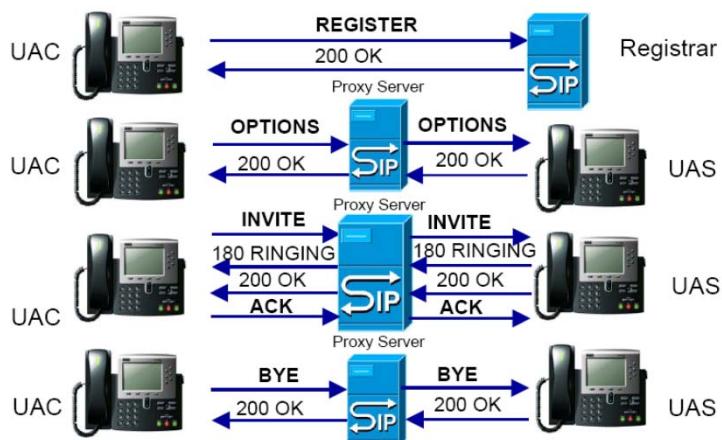
2: Application Layer 148

## NGN: Evolution



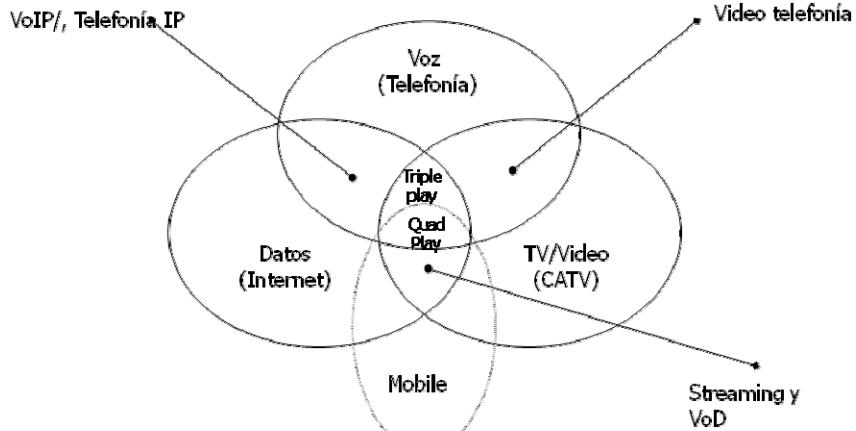
2: Application Layer 149

## New IP protocols



2: Application Layer 150

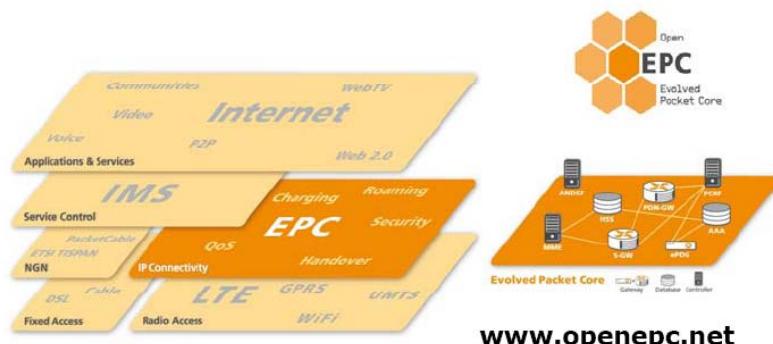
## Convergence bills



2: Application Layer 151

## Total integration

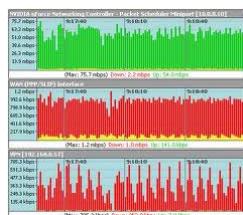
### EPC



2: Application Layer 152

## Chapter 2: Application layer

- 2.1 Principles of network applications
- 2.2 Web and HTTP
- 2.3 FTP
- 2.4 Electronic Mail
  - ❖ SMTP, POP3, IMAP
- 2.5 DNS
- 2.6 P2P applications
- 2.7 Convergent services
- 2.8 Network traffic characterization
- 2.9 Socket programming with TCP and UDP



2: Application Layer 153

## Caracterización del tráfico de una Red

Identificar el origen de los Tráficos más altos en la red

Nombre Comunidad de Usuarios	Tamaño Comunidad (# usuarios)	Localización	Aplicaciones Usadas

2: Application Layer 154

## Caracterización del tráfico de una Red

Identificar el origen de los más altos Almacenamientos

Almacenamiento de Datos	Localización	Aplicaciones	Datos Usados

2: Application Layer 155

## Caracterización del tráfico de una Red

Determinación del Flujo de Tráfico en la Red

	Destino 1		Destino 2	
	Mbps	Ruta	Mbps	Ruta
Origen 1				
Origen 2				

Flujo de Tráfico entre Cliente-Servidor  
Flujo de Tráfico entre peer-to-peer  
Flujo de Tráfico entre Servidor-Servidor  
Flujo de Tráfico Computación Distribuida

2: Application Layer 156

## Caracterización del tráfico de una Red

Características de Tráfico de las Aplicaciones

Nombre de la Aplicación	Tipo del Flujo de Datos	Protocolos usados por la Aplicación	Comunidad de Usuarios que utilizan la Aplicación	Datos almacenados (Servidores, Hosts)	Ancho de Banda aproximado requerido por la aplicación	Requerimientos de QoS

2: Application Layer 157

## Chapter 2: Application layer

- 2.1 Principles of network applications
- 2.2 Web and HTTP
- 2.3 FTP
- 2.4 Electronic Mail
  - ❖ SMTP, POP3, IMAP
- 2.5 DNS
- 2.6 P2P applications
- 2.7 Convergent services
- 2.8 Network traffic characterization
- 2.9 **Socket programming with TCP and UDP**



2: Application Layer 158

## Socket programming

**Goal:** learn how to build client/server application that communicate using sockets

### Socket API

- introduced in BSD4.1 UNIX, 1981
- explicitly created, used, released by apps
- client/server paradigm
- two types of transport service via socket API:
  - ❖ unreliable datagram
  - ❖ reliable, byte stream-oriented

### socket

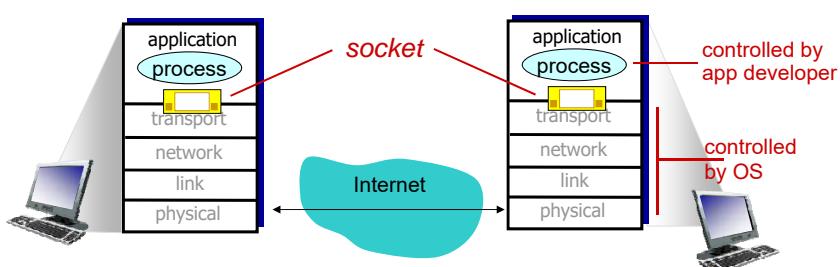
a *host-local, application-created, OS-controlled* interface (a "door") into which application process can both send and receive messages to/from another application process

2: Application Layer 159

## Socket-programming using TCP

**Socket:** a door between application process and end-end-transport protocol (UCP or TCP)

**TCP service:** reliable transfer of **bytes** from one process to another



2: Application Layer 160

## Socket programming with TCP

### Client must contact server

- server process must first be running
- server must have created socket (door) that welcomes client's contact

### Client contacts server by:

- creating client-local TCP socket
- specifying IP address, port number of server process
- When **client creates socket**: client TCP establishes connection to server TCP

- When contacted by client, **server TCP creates new socket** for server process to communicate with client
  - ❖ allows server to talk with multiple clients
  - ❖ source port numbers used to distinguish clients (*more in Chap 3*)

### application viewpoint

TCP provides reliable, in-order transfer of bytes ("pipe") between client and server

2: Application Layer 161

## Client/server socket interaction: TCP

### Server (running on hostid)

```
create socket,  
port=x, for  
incoming request:  
welcomeSocket =  
    ServerSocket()  
  
wait for incoming  
connection request  
connectionSocket =  
    welcomeSocket.accept()  
  
read request from  
connectionSocket  
  
write reply to  
connectionSocket  
  
close  
connectionSocket
```

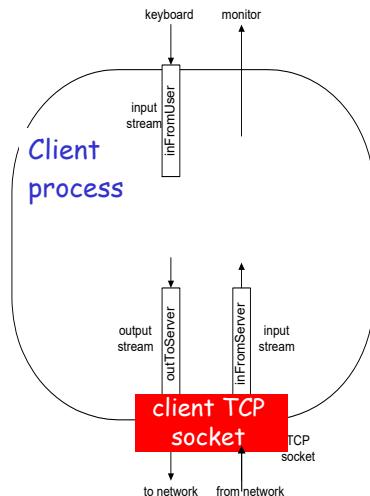
### Client

```
create socket,  
connect to hostid, port=x  
clientSocket =  
    Socket()  
  
send request using  
clientSocket  
  
read reply from  
clientSocket  
  
close  
clientSocket
```

2: Application Layer 162

## Stream jargon

- A **stream** is a sequence of characters that flow into or out of a process.
- An **input stream** is attached to some input source for the process, e.g., keyboard or socket.
- An **output stream** is attached to an output source, e.g., monitor or socket.



2: Application Layer 163

## Socket programming with TCP

### Example client-server app:

- 1) client reads line from standard input (`inFromUser` stream), sends to server via socket (`outToServer` stream)
- 2) server reads line from socket
- 3) server converts line to uppercase, sends back to client
- 4) client reads, prints modified line from socket (`inFromServer` stream)

2: Application Layer 164

## Example: Java client (TCP)

```
import java.io.*;
import java.net.*;
class TCPClient {

    public static void main(String argv[])
        throws Exception
    {
        String sentence;
        String modifiedSentence;

        Create input stream → BufferedReader inFromUser =
            new BufferedReader(new InputStreamReader(System.in));

        Create client socket, connect to server → Socket clientSocket =
            new Socket("hostname", 6789);

        Create output stream attached to socket → DataOutputStream outToServer =
            new DataOutputStream(clientSocket.getOutputStream());
    }
}
```

2: Application Layer 165

## Example: Java client (TCP), cont.

```
Create input stream attached to socket → BufferedReader inFromServer =
    new BufferedReader(new
        InputStreamReader(clientSocket.getInputStream()));

    sentence = inFromUser.readLine();

Send line to server → outToServer.writeBytes(sentence + '\n');

Read line from server → modifiedSentence = inFromServer.readLine();
    System.out.println("FROM SERVER: " + modifiedSentence);

    clientSocket.close();

    }
}
```

2: Application Layer 166

## Example: Java server (TCP)

```
import java.io.*;
import java.net.*;

class TCPServer {

    public static void main(String argv[]) throws Exception
    {
        String clientSentence;
        String capitalizedSentence;

        Create
        welcoming socket
        at port 6789 → ServerSocket welcomeSocket = new ServerSocket(6789);

        Wait, on welcoming
        socket for contact
        by client → while(true) {
                    Socket connectionSocket = welcomeSocket.accept();

        Create input
        stream, attached
        to socket → BufferedReader inFromClient =
                    new BufferedReader(new
                    InputStreamReader(connectionSocket.getInputStream()));


```

2: Application Layer 167

## Example: Java server (TCP), cont

```
Create output
stream, attached
to socket → DataOutputStream outToClient =
                new DataOutputStream(connectionSocket.getOutputStream());

Read in line
from socket → clientSentence = inFromClient.readLine();
                capitalizedSentence = clientSentence.toUpperCase() + '\n';

Write out line
to socket → outToClient.writeBytes(capitalizedSentence);
}

} → End of while loop,
      loop back and wait for
      another client connection
```

2: Application Layer 168

## Socket programming with UDP

UDP: no "connection" between client and server

- no handshaking
- sender explicitly attaches IP address and port of destination to each packet
- server must extract IP address, port of sender from received packet

UDP: transmitted data may be received out of order, or lost

application viewpoint

UDP provides unreliable transfer of groups of bytes ("datagrams") between client and server

2: Application Layer 169

## Client/server socket interaction: UDP

### Server (running on hostid)

```
create socket,  
port= x  
serverSocket =  
DatagramSocket()
```

```
read datagram from  
serverSocket
```

```
write reply to  
serverSocket  
specifying  
client address,  
port number
```

### Client

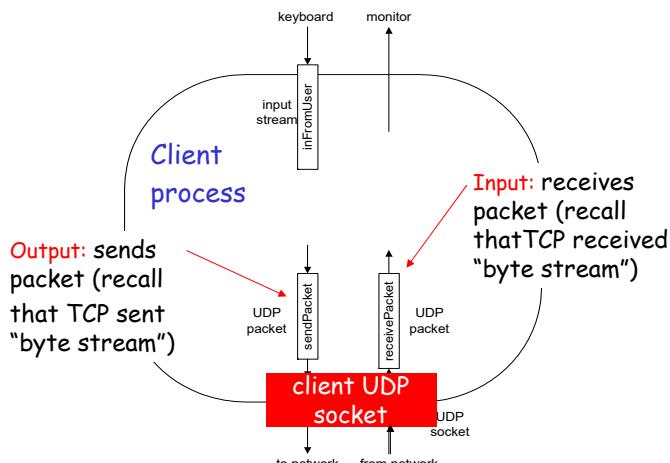
```
create socket,  
clientSocket =  
DatagramSocket()
```

```
Create datagram with server IP and  
port=x; send datagram via  
clientSocket
```

```
read datagram from  
clientSocket  
close  
clientSocket
```

2: Application Layer 170

## Example: Java client (UDP)



2: Application Layer 171

## Example: Java client (UDP)

```
import java.io.*;
import java.net.*;

class UDPClient {
    public static void main(String args[]) throws Exception
    {
        Create input stream
        Create client socket
        Translate hostname to IP address using DNS
        BufferedReader inFromUser =
            new BufferedReader(new InputStreamReader(System.in));
        DatagramSocket clientSocket = new DatagramSocket();
        InetAddress IPAddress = InetAddress.getByName("hostname");
        byte[] sendData = new byte[1024];
        byte[] receiveData = new byte[1024];

        String sentence = inFromUser.readLine();
        sendData = sentence.getBytes();
    }
}
```

2: Application Layer 172

## Example: Java client (UDP), cont.

```
Create datagram  
with data-to-send,  
length, IP addr, port → DatagramPacket sendPacket =  
new DatagramPacket(sendData, sendData.length, IPAddress, 9876);  
  
Send datagram  
to server → clientSocket.send(sendPacket);  
  
Read datagram  
from server → DatagramPacket receivePacket =  
new DatagramPacket(receiveData, receiveData.length);  
clientSocket.receive(receivePacket);  
  
String modifiedSentence =  
new String(receivePacket.getData());  
  
System.out.println("FROM SERVER:" + modifiedSentence);  
clientSocket.close();  
}  
}
```

2: Application Layer 173

## Example: Java server (UDP)

```
import java.io.*;  
import java.net.*;  
  
class UDPServer {  
    public static void main(String args[]) throws Exception  
    {  
        DatagramSocket serverSocket = new DatagramSocket(9876);  
  
        byte[] receiveData = new byte[1024];  
        byte[] sendData = new byte[1024];  
  
        while(true)  
        {  
            Create space for  
            received datagram → DatagramPacket receivePacket =  
            new DatagramPacket(receiveData, receiveData.length);  
            Receive  
            datagram → serverSocket.receive(receivePacket);  
        }  
    }  
}
```

2: Application Layer 174

## Example: Java server (UDP), cont

```
String sentence = new String(receivePacket.getData());
Get IP addr
port #, of
sender
InetAddress IPAddress = receivePacket.getAddress();
int port = receivePacket.getPort();

String capitalizedSentence = sentence.toUpperCase();

sendData = capitalizedSentence.getBytes();
Create datagram
to send to client
DatagramPacket sendPacket =
new DatagramPacket(sendData, sendData.length, IPAddress,
port);

Write out
datagram
to socket
serverSocket.send(sendPacket);
}

}
End of while loop,
loop back and wait for
another datagram
```

2: Application Layer 175

## Chapter 2: Summary

our study of network apps now complete!

- application architectures
  - ❖ client-server
  - ❖ P2P
  - ❖ hybrid
- application service requirements:
  - ❖ reliability, bandwidth, delay
- Internet transport service model
  - ❖ connection-oriented, reliable: TCP
  - ❖ unreliable, datagrams: UDP
- specific protocols:
  - ❖ HTTP
  - ❖ FTP
  - ❖ SMTP, POP, IMAP
  - ❖ DNS
  - ❖ P2P: BitTorrent, Skype
- socket programming

2: Application Layer 176