

The Q Function and Baseband Data Communication

Eren Can Gungor
Riccardo Miccini

Technical University of Denmark - DTU

December 27, 2016

Contents

1	Eye Diagram for a Digital Communication Channel	3
1.1	Eye diagram	3
1.2	c5ce2.m: explanation	3
1.3	Channel model	4
1.4	c5ce2.m: different bandwidths	5
1.5	c5ce2.m: plots	6
2	The Q function	9
2.1	Normal probability density function 2.1	9
2.2	Q(u) function in relation to the normal probability density function	10
2.3	Q(u) function for argument values of relevance to the detection problems for digital communication receivers	10
2.4	Complementary error function	10
2.5	Plots	11
3	Source Code	11
4	The Matched Filter Base Band Receiver	13
4.1	Principles of a matched filter receiver for binary data in AWGN (Additive White Gaussian Noise) model	13
4.1.1	Derivation for Error Probability for Matched Filter	14
4.2	Explanation of the code in c8ce1a.m	14
4.3	Create the Matlab user-defined qfn function for code c9ce1.a	15

4.4	<code>c9ce1a.m</code> : Extending the code such that it can take 8 input arguments by given correlation coefficient inputs	16
4.5	Implementation of Matched filter with a Correlator that includes Cross-Correlations	17
4.6	Noise presence on the timing synchronisation in the receiver .	17
	4.6.1 Example	17
4.7	Plots	18
4.8	Source Code	18

1 Eye Diagram for a Digital Communication Channel

1.1 Eye diagram

A so-called *eye diagram* is the pattern that originates from overlapping a digital signal over the length of one or more transmitted bits. For several types of digital modulation the plot would show a series of round shapes (eyes) delimited by two rails, hence the name. The rails are formed by the low-frequency components derived by the bit sequences 00 and 11, whereas the round shapes are formed by the rising and falling edges of the high-frequency bit sequences 01 and 10.

This tool is commonly used to investigate several performance measures of a transmission channel, including noise level, distortion, inter-symbol interference, and synchronization errors.

An eye diagram can be generated with an oscilloscope by enabling infinite persistence, and setting the trigger to react on a separate clock signal.

1.2 c5ce2.m: explanation

Here follows a thoroughly commented version of the provided `c5ce2.m` MATLAB script. The code below generates and plots the eye diagrams of four band-limited signals composed of random sequences of bits.

Listing 1: `../scripts/1/c5ce2.m`

```
% clean figure and load signal package (only for Octave)
clf
pkg load signal

% simulation parameters:
% - nr of symbols (must be divisible by 4)
% - nr of samples per symbol
% - filter cutoff values (normalized bandwidth)
nsym = 1000;
nsamp = 50;
bw = [0.4 0.6 1 2];

% for each filter cutoff value..
for k = 1:length(bw)
    % generate filter coefficients using one of the cutoff values
    lambda = bw(k);
    [b,a] = butter(3,2*lambda/nsamp);

    % allocate space for total bit sequence
```

```

l = nsym*nsamp;
y = zeros(1,l-nsamp+1);

% initialize random output vector with +1 and -1
x = 2*round(rand(1,nsym))-1;

% for each overlap..
for i = 1:nsym
    % place one symbol into vector y, leaving nsamp samples of
    % spacing
    kk = (i-1)*nsamp+1;
    y(kk) = x(i);
end

% zero-order hold
datavector = conv(y,ones(1,nsamp));

% apply filter to the total sequence
filtout = filter(b, a, datavector);

% splice sequence into sub-sequences of 4 symbols
datamatrix = reshape(filtout, 4*nsamp, nsym/4);

% discard the first 5 sub-sequences
datamatrix1 = datamatrix(:, 6:(nsym/4));

% plot eye diagram
subplot(length(bw),1,k)
plot(datamatrix1, 'k')

% format: print axis labels, legend, and set plot range
ylabel('Amplitude')
axis([0 4*nsamp -1.4 1.4])
legend(['Bn□=□', num2str(lambda)])
if k == 4
    xlabel('t/Tsamp')
end
end

```

1.3 Channel model

In order to analyze the performances of a given transmission system, a model of the adopted transmission channel has to be devised.

For the purpose of this simulation, the most interesting characteristic of the channel is its bandwidth, and therefore its suitability for transmitting information at a specific rate. The limitation in bandwidth is modeled by feeding the signal into a third-order Butterworth low-pass filter.

The filter is characterized by its *normalized bandwidth*, which represents the bandwidth as a percentage of the data bit rate; e.g if the bit rate is 8000 Hz and the normalized bandwidth is 0.5, the channel will have a cutoff frequency of 4000 Hz.

The MATLAB tools for generating digital filters (`butter`, `ellip`, `cheby1...`) accepts the normalized frequency as input argument, which is the cutoff frequency in terms of the *Nyquist frequency* (half of the sampling frequency). The normalized bandwidth is proportional to the normalized frequency by a factor equivalent to the number of samples per bit.

1.4 c5ce2.m: different bandwidths

The following section will present a modified version of the previously introduced script, which can plot the eye diagrams for the normalized bandwidth 0.15, 0.3, 0.7, 1.2, and 4.

In order to do so, the input vector of `bw` has been changed to reflect the given values, together with the subplot format, and x-axis label clause.

Listing 2: `../scripts/1/c5ce2_augm.m`

```
% clean figure and load signal package (only for Octave)
clf
pkg load signal

% simulation parameters:
% - nr of symbols (must be divisible by 4)
% - nr of samples per symbol
% - filter cutoff values (normalized bandwidth)
nsym = (100)*4;
nsamp = 50;
bw = [0.15 0.3 0.7 1.2 4];

% for each filter cutoff value..
for k = 1:length(bw)
    % generate filter coefficients using one of the cutoff values
    lambda = bw(k);
    [b,a] = butter(3,2*lambda/nsamp);

    % allocate space for total bit sequence
    l = nsym*nsamp;
    y = zeros(1,l-nsamp+1);

    % initialize random output vector with +1 and -1
    x = 2*round(rand(1,nsym))-1;

    % for each overlap..
```

```

for i = 1:nsym
    % place one symbol into vector y, leaving nsamp samples of
    % spacing
    kk = (i-1)*nsamp+1;
    y(kk) = x(i);
end

% zero-order hold
datavector = conv(y, ones(1, nsamp));

% apply filter to the total sequence
filtout = filter(b, a, datavector);

% splice sequence into sub-sequences of 4 symbols
datamatrix = reshape(filtout, 4*nsamp, nsym/4);

% discard the first 5 sub-sequences
datamatrix1 = datamatrix(:, 6:(nsym/4));

% plot eye diagram
subplot(length(bw), 1, k)
plot(datamatrix1, 'k')

% format: print axis labels, legend, and set plot range
ylabel('Amplitude')
axis([0 4*nsamp -1.4 1.4])
legend(['Bn□=□', num2str(lambda)])
if k == length(bw)
    xlabel('t/Tsamp')
end
end

```

In order to properly visualize the resulting plots, the original bandwidth values have been removed. In order to view all the eight eye diagrams, line 11 can be substituted with the following:

Listing 3:

```
bw = [0.15 0.3 0.7 1.2 4];
```

1.5 c5ce2.m: plots

This section will elaborate on the structure and implications of the eye diagrams generated using the scripts above.

The plots are generated by the original and augmented versions of the script respectively, and show the eye diagram for an antipodal baseband transmission at various channel bandwidths.

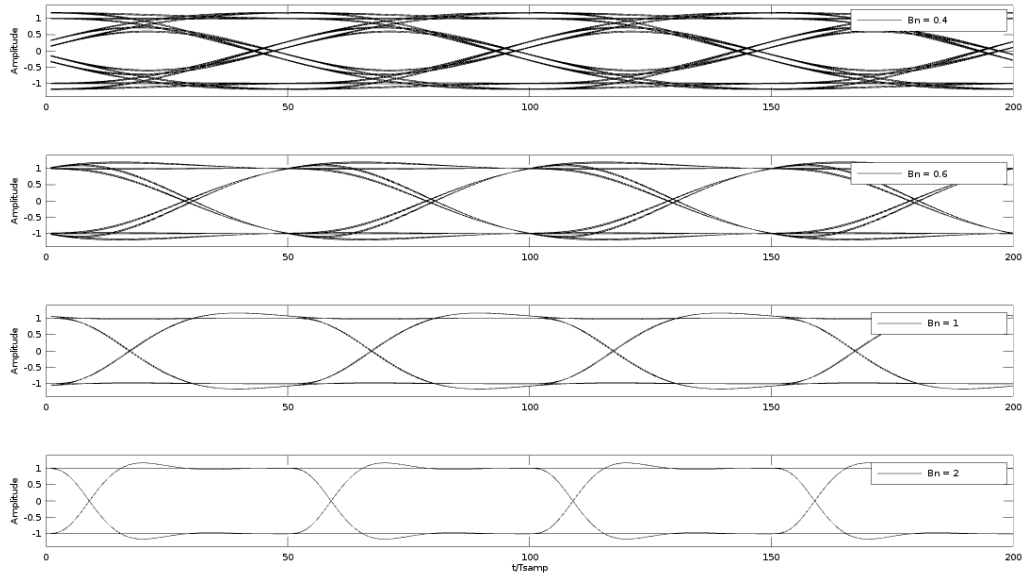


Figure 1: Eye diagrams of signals with filter coefficients 0.4, 0.6, 1, and 2

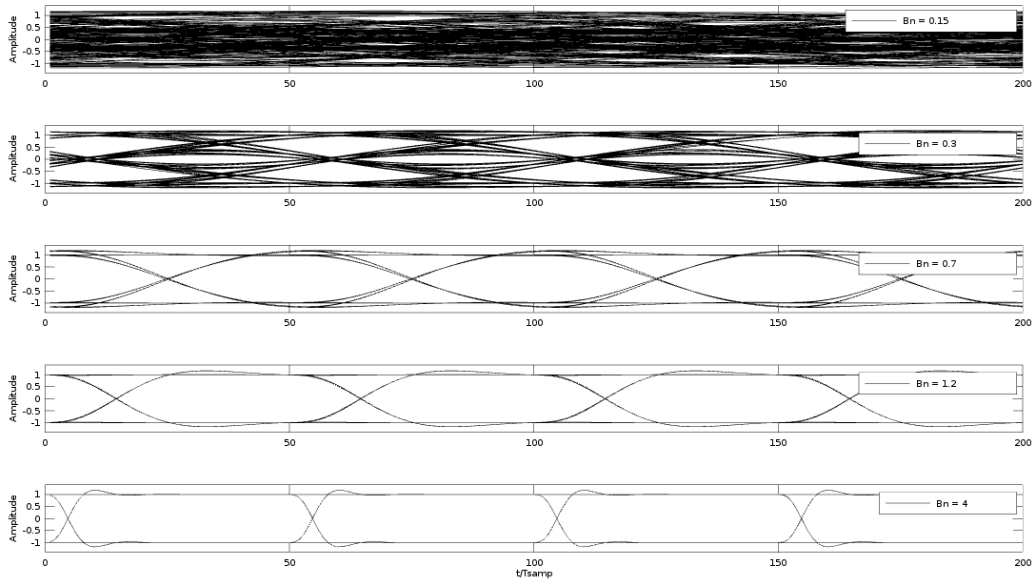


Figure 2: Eye diagrams of signals with filter coefficients 0.15, 0.3, 0.7, 1.2, and 4

When observing an eye diagram, the two main measures of the system performance are the amplitude jitter A_j and the timing jitter T_j . The former

measures the difference in amplitude between the low-frequency and high-frequency components of the signals, sampled where the eye is at its maximum opening. Low channel bandwidth causes the eye to shrink and amplitude jitter to increase, which in turns increases the bit error probability. The latter parameter represents the time interval at which the zero-crossing may occur. It is caused by inter-symbol interference, and a high value could result in synchronization issues.

The eye diagrams from both scripts clearly show how a low bandwidth results in overall more jittery and less intelligible signals. For the normalized bandwidth value of 0.15, the inter-symbol interference is so high that the eye figures become completely indistinguishable, which would likely result in an extremely high bit error probability (close to 0.5).

For channel bandwidth values higher than the bit rate, the resulting eye figures are particularly wide with negative amplitude jitter and no timing jitter, suggesting that there is no inter-symbol interference. Specifically, higher bandwidth values yield steeper slew rates. However, it is worth noting how increasing the available bandwidth beyond the bit rate may cause more noise to enter the system, negatively affecting the overall signal-to-noise figure and bit error probability.

It is also possible to notice the time offset of the eye shapes across the different plots, caused by the delay introduced by the channel frequency response.

2 The Q function

2.1 Normal probability density function 2.1

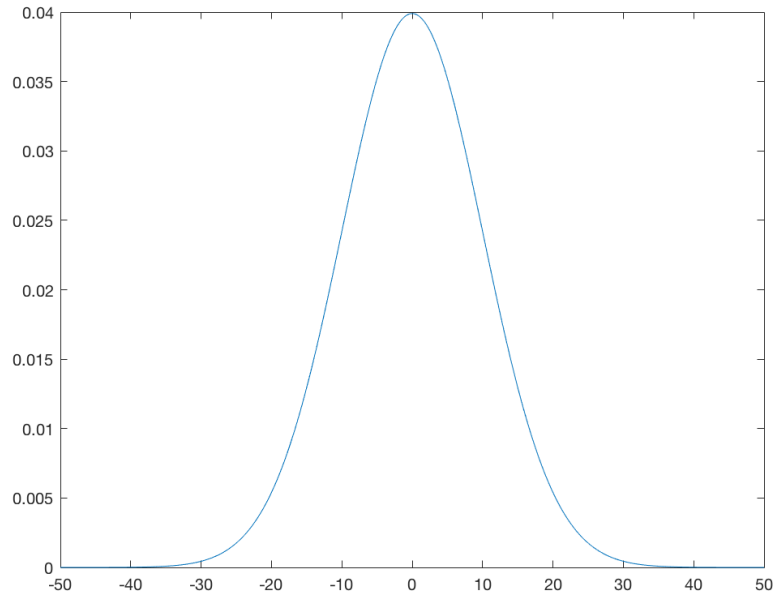


Figure 3: Normal Gaussian pdf graph with defined intervals

Normal gaussian distribution is one of the most important concept in Communication system and in statistics. It is used as a powerful tool when investigating random signals in communication systems. Such as investigating behaviour and application of noise signals.

The other reason is that because it is characterised by the limited variables. Such as mean μ and σ . It is easier compute and understand when we apply on communication systems.

All the variables that has been used in graphingpdf.m has been explained below;

mu . This is the mean value (μ) for the normal probability density function.

sigma This the sensible standard deviation number. (σ).

MAX 50; Maximum x value that x vector will get

MIN -50; Minimum x value that x vector will get

Also general formula for gaussian pdf is ; $y=f(x|\mu, \sigma) = (\frac{1}{\sigma\sqrt{2\pi}})e^{\frac{-(x-\mu)^2}{2(\sigma)^2}}$
 As we can see and understand from the variables above and the formula that all variables has been specified and we only need σ , μ and range.

2.2 Q(u) function in relation to the normal probability density function

As we have explained and investigated probability density density function above . We can easily link $Q(u)$ function by exploiting the properties of cumulative distribution function and Probability density function.

- The Gaussian probability density function of unit variance and zero mean is $Z(x) = \frac{1}{\sqrt{2\pi}} \exp(\frac{-x^2}{2})$
- And corresponding cumulative distribution function is $P(x) = \int_{-\infty}^x Z(t)dt$
- The Gaussian Q function is defined as:

$$- Q(x) = 1 - P(x) = \int_x^{\infty} Z(t)dt$$

As we can see from the above mathematical derivation that $Q(u)$ function is directly related to gaussian probability function and cumulative distribution function.

2.3 Q(u) function for argument values of relevance to the detection problems for digital communication receivers

Script file that has been created for the assignment 2.3 and 2.4 has been added to the Appendix. Important concept has been explained and relevant digital communication input values specified.

2.4 Complementary error function

To understand the relationship between complementary error function, we need to investigate the $Q(u)$ function and it's relation to We know that $Q(u)$ function is :

- $Q(z) = \int_z^{\infty} \frac{1}{\sqrt{2\pi}} \exp(\frac{-y^2}{2})dy$

And we have also learnt that complementary error function(erfc) is:

- $erfc(z) = \frac{2}{\sqrt{\pi}} \int_z^{\infty} \exp(-x^2)dx$

From the limits of the integrals in previously defined $Q(z)$ function and $erfc(z)$ function that we can conclude that Q function is directly related to $erfc$. Mathmatically by combining Q function and $erfc$ we get the following Q function that directly related to $erfc$:

- $Q(z) = \frac{1}{2}erfc(\frac{z}{\sqrt{2}})$

2.5 Plots

Plotting for the questions 2.3 and 2.4

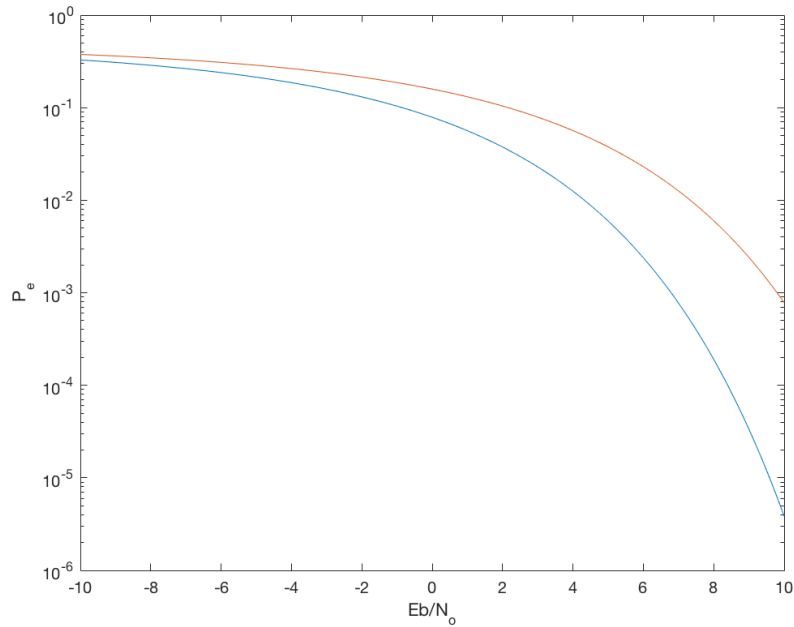


Figure 4: Plot for the $Q(u)$ function relevant to the argument values for the detection problems for digital communication receivers

3 Source Code

Here we have the properly prepared MATLAB codes for the second part of the second project. It has been used for observations, calculations and comparing with specified commands that given in this project.

The code belows computes and graphs normal(Gaussian) probability density function (pdf) in an appropriate intervals

Listing 4: ../scripts/2/graphingpdf.m

```
%graphing PDF function with random variables
mu=0;
sigma=10;
MAX = 50;
MIN = -50;
STEP = (MAX - MIN) / 1000;
PDF = normpdf(MIN:STEP:MAX, mu, sigma);
plot(MIN:STEP:MAX, PDF)
```

For the question 2.3 and 2.4 we have created the following code below. This code will plot the $Q(u)$ function for argument values relevant to the digital communication receivers. As we know from previous chapters that there are two common argument values :

- $R_12 = 0$ (Orthogonal Signals)
- $R_12 = -1$ (Antipodal Signals)

We also used z_{db} for the ratio for E_b/N_o . We should also notice that z_{db} is dimensionless ratio.

After that we have investigated the graph for further investigation to see whether we have achieved a satisfactory results for the assignment 2.3 and 2.4. Mathematical calculations are matching up with MATLAB simulation results.

Listing 5: ../scripts/2/qfunction.m

```
z_db = -10:.1:10;
r12 = [-1 0];
z = db2pow(z_db);
p_e(1,:) = qfunc(sqrt((1 - r12(1))*z));
p_e(2,:) = qfunc(sqrt((1 - r12(2))*z));
semilogy(z_db, p_e(1,:))
hold on
semilogy(z_db, p_e(2,:))
xlabel('Eb/N_o')
ylabel('P_e')
```

4 The Matched Filter Base Band Receiver

4.1 Principles of a matched filter receiver for binary data in AWGN (Additive White Gaussian Noise) model

Firstly, we will use the block diagram below to explain important variables for the matched filter receiver for binary data in AWGN.

A matched filter is achieved by correlating a known signal (reference signal) with an unknown signal to detect the presence of the template in the unknown signal. The matched filter is the "optimal" linear filter for maximising the SNR (signal-to-noise ratio) in the presence of additive stochastic noise. In this specific case, our additive noise is white gaussian noise.

The matched filter with AWGN is used in communication systems that send binary messages from transmitter to receiver through AWGN channel. It is important to firstly define error analysis for general binary noise signals as we try to optimise signal to noise ratio for the filter.

For general error analysis for binary signals in noise are;

- Receive 0 sends 1
- Receive 1 sends 0

After we received and processed binary signals. The received signal is filtered and filter output is sampled every T seconds. As we can see from the figure that threshold will decide which signal it will pass with respect to gain k .

To understand this concept further from error analysis following important variables has been considered. Those are

- Minimize the average probability error
- Choose the optimal threshold

– Our optimal threshold formula is $0.5[s_1(T) + s_2(T)]$

As we know that P_E is a function of the difference between two signals. Therefore, P_E will decrease with increasing argument values. To achieve that we need to make $h(t)$ such that P_E is a minimum when difference between the signals at the output of the filter is maximum.

Solution for that would be $h_0(t) = s_2(T - t) - s_1(T - t)$ As we can see that the optimum filter related to only the input signals.

Important variables has been derived below to see how important they are for the system and enhance our understanding of the block diagram. We will derive formulas for optimum filter and error probability.

4.1.1 Derivation for Error Probability for Matched Filter

Recall $P_E = Q(d/2)$ and we know that our maximum value of the distance is:

- $d_m = \frac{2}{N_o}(E_1 + E_2 - 2\sqrt{E_1 E_2} \rho_1 2)$

We know the energy formulas from the previous chapters. These energy formulas can be used to define ρ formula. Following steps has been done to represent $\rho_1 2$ in terms of energy.

- $E_1 = \int_{t_o}^{t_o+T} s_1^2(t) dt$
- $E_2 = \int_{t_o}^{t_o+T} s_2^2(t) dt$
- $\rho_1 2 = \frac{1}{\sqrt{E_1 E_2}} \int_{-\infty}^{\infty} s_1(t) s_2(t) dt$

And finalised version of Probability error will become:

- $P_E = Q\left(\left(\frac{E_1 + E_2 - 2\sqrt{E_1 E_2} \rho_1 2}{2N_o}\right)^{1/2}\right)$

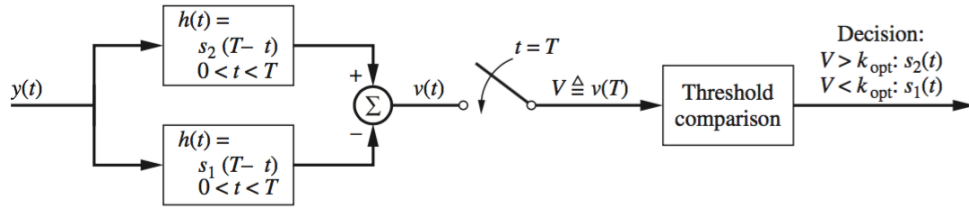


Figure 5: Matched Filter for binary data in AWGN

4.2 Explanation of the code in c8ce1a.m

Here follows a thoroughly commented version of the provided question32.m MATLAB script. The code below generates and calculates gain estimate, delay estimate, estimate of px, estimate of py and snr estimate in ratios by given reference and measurement sine waves.

It can be seen below:

Listing 6: ../scripts/2/question32.m

```
% File: c8ce1a.m
t = 1:6400; % time interval that given between 1 to 6400
fs = 1/32; % Sampling Frequency that has been specified for
            this assignment
```

```

x = 2*sin(2*pi*fs*t); % Reference signal that consists of a sine
    wave
y = 10*sin(2*pi*fs*t+pi)+0.1*sin(2*pi*fs*10*t); % Measurement
    signal that has been specified for this argument. One has a
    slighthly higher frequency component.
[gain,delay,px,py,rxymax,rho,snrdb] = snrest(x,y); % This snrest
    estimates the given output arguments
snr=db2pow(snrdb); % Convert signal to noise ratio from decibels
    to a ratio
format long e % it will set the output format to the long fixed-
    decimal format and display value of e
a = ['The_gain_estimate_is_',num2str(gain),'.']; % it will
    convert gain estimate number into a string
b = ['The_delay_estimate_is_',num2str(delay),'_samples.']; % it
    will conver delay estimate number into a string
c = ['The_estimate_of_px_is_',num2str(px),'.']; % it will
    convert power in reference vector number estimate into a
    string
d = ['The_estimate_of_py_is_',num2str(py),'.']; % it will
    convert gain estimate number into a string
e = ['The_snr_estimate_is_',num2str(snr),'.']; % it will convert
    signal to noise ratio number convert into a string
f = ['The_snr_estimate_is_',num2str(snrdb),'_db.']; % it will
    convert snr estimate in db into a string
disp(a); disp(b); disp(c); disp(d); disp(e); disp(f) % it will
    display the values a,b,c,d in a string at output
%px= determines power in reference vector
%py=determine power in measurement vector
%rxymax= finds the max of the cross-correlation matrix
%rho=estimate of the correlation coefficient
%snr= estimate of signal to noise ratio
%snrdb= snr estimate in db

```

4.3 Create the Matlab user-defined qfn function for code c9ce1.a

Code has been constructed and located in the source code section of this report. It can be seen from the source part and it works perfectly with the code ce9e1.m. Detailed explanation given in the source code section.

4.4 **c9ce1a.m**: Extending the code such that it can take 8 input arguments by given correlation coefficient inputs

We enter $[-1 \ -0.75 \ -0.5 \ 0 \ 0.5 \ 0.75 \ 0.8 \ .995]$ matrix when we run script and it plots probability of error for different correlation values. For lower correlation values the probability of error decreases since the signals affect each other less. As the correlation values increase, the signals affect each other, e.g., if one is sent both matched filters high values of outputs and with noise the one that isn't sent may become higher easily. In Fig. 6, the results can be seen as expected.

For the part 3.4 and 3.5 `question35.m` MATLAB code has been created. It can be seen that code is able take 8 correlation input. It is accessible from the appendix part of this report. Given 8 correlation-coefficient inputs are $[-1, -0.75, -0.5, 0, 0.5, 0.75, 0.8, 0.995]$. Plot has been given below.

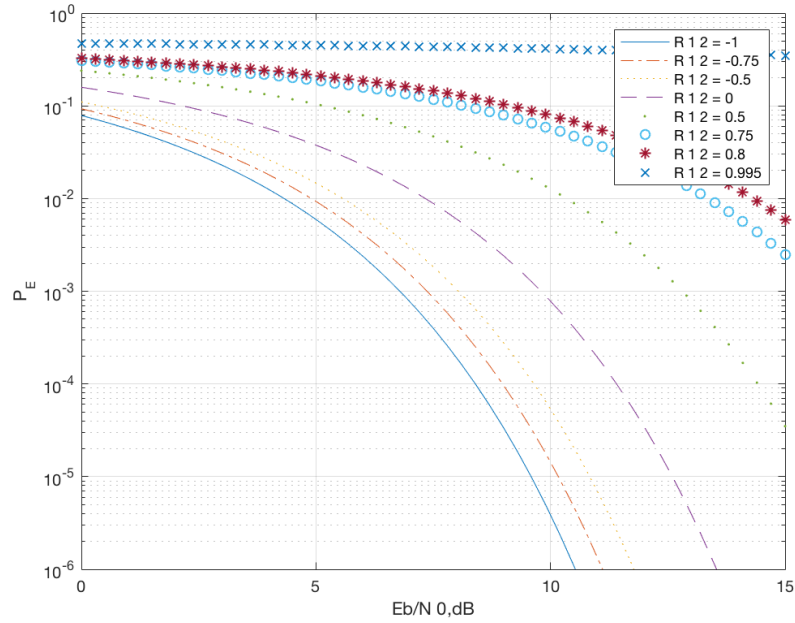


Figure 6: P_E over E_b/N_o graph for investigating how it will change with introduced correlation coefficients

4.5 Implementation of Matched filter with a Correlator that includes Cross-Correlations

In matched filter, $h(t) = s(T - t)$ and output of this filter can be written as convolution

$$h(t) * y(t) = \int_0^T s(T - \tau)y(t - \tau)d\tau$$

where $y(t)$ is received signal. Since system samples signals at $t=T$ and with change of variables to $\alpha = T - \tau$ we can rewrite above equation as

$$v(T) = \int_0^T s(\alpha)y(\alpha)d\alpha$$

where $v(T)$ is sampled version of convolution. The result is integration of the multiplication of received signal with original signal $s(t)$ and it is simply correlation of signals. Therefore, one can implement matched filter receiver with correlator as shown above.

4.6 Noise presence on the timing synchronisation in the receiver

Even if we manage to recover the timing, it does not guarantee that the correct operation of data-aided frequency estimation algorithms. The reason is that for is the presence of noise on the timing synchronisation in the receiver. Due to fact that for a frequency offset in order of $1/T$ the signal will be severely distorted when it passed through the matched filter. Severely distorted matched filter will not able to maximise the signal to noise ratio in the presence of additive noise.

4.6.1 Example

For example, if our noise signal is delta, sampling the matched filters output at some time $T + \delta$ (where represents a receiver timing offset due to introduction of noise) will significantly reduce the effective SNR seen by subsequent receiver blocks. This example that linked to theory above proves that it is important to keeping receiver timing offset close to zero as possible and thus delivers motivation for the inclusion of a timing recovery loop in the receiver.

4.7 Plots

4.8 Source Code

For the question 3.5 following code has been created to take relevant correlation coefficients

Listing 7: ../scripts/2/question35.m

```
% file: c9ce1
% Bit error probability for binary signaling;
% vector of correlation coefficients allowed
%
clf
R12 = input('Enter_vector_of_desired_R12_values;_<=8_values_')
);
A = char('-', '-.', ':', '--', '. ', 'o', '*', 'x');
LR = length(R12);
z_dB = 0:.3:15;
z = db2pow(z_dB);
for k = 1:LR
    P_E=qfn(sqrt(z*(1-R12(k)))); % Probability of error for
        vector of z-values
                                % Plot probability of error
                                versus Eb/N0 in dB
    semilogy(z_dB,P_E,A(k,:)),axis([0 15 10^(-6) 1]),xlabel('Eb/
        N0,dB'),ylabel('P_E'),...
    if k==1
    hold on; grid % Hold plot for plots for other values of R12
    end
end
end
if LR == 1 % Plot legends for R12 values
legend(['R12= ', num2str(R12(1))])
elseif LR == 2
legend(['R12= ', num2str(R12(1))], ['R12= ', num2str(R12(2))
    ])
elseif LR == 3
legend(['R12= ', num2str(R12(1))], ['R12= ', num2str(R12(2))
    ], ['R12= ', num2str(R12(3))])
elseif LR == 4
legend(['R12= ', num2str(R12(1))], ['R12= ', num2str(R12(2))
    ], ['R12= ', num2str(R12(3))], ['R12= ', num2str(R12(4))])
elseif LR == 5
legend(['R12= ', num2str(R12(1))], ['R12= ', num2str(R12(2))
    ], ['R12= ', num2str(R12(3))], ['R12= ', num2str(R12(4))], [
    'R12= ', num2str(R12(5))])
elseif LR == 6
legend(['R12= ', num2str(R12(1))], ['R12= ', num2str(R12(2))
    ], ['R12= ', num2str(R12(3))], ['R12= ', num2str(R12(4))], [
```

```

    'R_1_2_=_', num2str(R12(5))], ['R_1_2_=_', num2str(R12(6))])
elseif LR == 7
legend(['R_1_2_=_', num2str(R12(1))], ['R_1_2_=_', num2str(R12(2))
], ['R_1_2_=_', num2str(R12(3))], ['R_1_2_=_', num2str(R12(4))], [
'R_1_2_=_', num2str(R12(5))], ['R_1_2_=_', num2str(R12(6))], ['R_
1_2_=_', num2str(R12(7))])
elseif LR == 8
legend(['R_1_2_=_', num2str(R12(1))], ['R_1_2_=_', num2str(R12(2))
], ['R_1_2_=_', num2str(R12(3))], ['R_1_2_=_', num2str(R12(4))], [
'R_1_2_=_', num2str(R12(5))], ['R_1_2_=_', num2str(R12(6))], ['R_
1_2_=_', num2str(R12(7))], ['R_1_2_=_', num2str(R12(8))])

end

```

For the question 3.3. We have created a user-defined $q(\cdot)$ function because in the `ce9e1.m`, `q` function has not defined with any error functions in the code. To overcome this problem and make sure code will work. We have created the following $q(\cdot)$ below:

Listing 8: `../scripts/2/qfn.m`

```

function Q=qfn(x)
Q = 0.5*erfc(x/sqrt(2));

```