

Dynamical Clockwork Axions

Rupert Coy

Laboratoire Charles Coulomb (L2C), CNRS-Université de Montpellier

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In collaboration with Masahiro Ibe (ICRR/IPMU) and Michele Frigerio



Outline

- 1 What is Clockwork?
- 2 The Strong CP problem and Axions
- 3 Clockwork Composite Axions
- 4 Clockwork Axion phenomenology

What is Clockwork?

- A mechanism for generating **exponentially suppressed** couplings from a theory with only $\mathcal{O}(1)$ parameters
- Equivalently, generate an interaction scale much larger than the dynamical scale of the theory



Key features

1. $U(1)^{N+1}$ symmetry, either global or local
2. Breaking $U(1)^{N+1} \rightarrow U(1)_0$, either explicitly or spontaneously, by **asymmetric nearest neighbour** couplings
3. SM couples only to the last Clockwork site
4. Dynamics of remnant $U(1)_0$ symmetry gives exponential suppression

Scalar Clockwork¹

- $N + 1$ complex scalars, ϕ_j , **global $U(1)^{N+1}$ symmetry**
- Spontaneous symmetry breaking at high scale, f
- Asymmetric **explicit breaking** to $U(1)_0$ by nearest neighbour coupling at much lower scale,

$$V = \sum_{j=0}^N \left(-m^2 |\phi_j|^2 + \frac{\lambda}{4} |\phi_j|^4 \right) + \sum_{j=0}^{N-1} \frac{\epsilon}{f^{q-3}} \phi_j^\dagger \phi_{j+1}^q + h.c. \quad (1)$$

¹Choi & Im 1511.00132; Kaplan & Rattazzi 1511.01827; Giudice & McCullough 1610.07962

Scalar Clockwork

- High-energy Lagrangian,

$$V = \sum_{j=0}^N \left(-m^2 |\phi_j|^2 + \frac{\lambda}{4} |\phi_j|^4 \right) + \sum_{j=0}^{N-1} \frac{\epsilon}{f^{q-3}} \phi_j^\dagger \phi_{j+1}^q + h.c. \quad (2)$$

- Parametrise in terms of NGBs, $\phi_j \rightarrow U_j \equiv f e^{i\pi_j/\sqrt{2}f}$, then

$$V = -2\epsilon f^4 \sum_{j=0}^{N-1} \cos\left(\frac{\pi_j - q\pi_{j+1}}{\sqrt{2}f}\right)^2 \quad (3)$$

- Orthogonal rotation to mass basis $\pi_j = O_{jk} a_k$, with $m_{a_0} = 0$,

$$\begin{aligned} O_{j0} - qO_{j+1,0} &= 0 \\ \Rightarrow O_{j0} &= \frac{\mathcal{N}}{q^j}, \quad \mathcal{N} \approx 1 \end{aligned} \quad (4)$$

- a_0 component of π_j decreases **exponentially** with j

Scalar Clockwork

- Final step: couple Clockwork to the SM at the **final site**, e.g. to a dimension-4 operator of SM fields:

$$\begin{aligned}\mathcal{L} &= \mathcal{L}_{SM} + \mathcal{L}_{CW} + \frac{\pi_N \mathcal{O}_{SM}^{d=4}}{32\pi^2 f} + h.c. \\ &\approx \mathcal{L}_{SM} + \mathcal{L}_{CW} + \frac{a_0 \mathcal{O}_{SM}^{d=4}}{32\pi^2 q^N f} + \sum_{j=1}^N C_j \frac{a_j \mathcal{O}_{SM}^{d=4}}{32\pi^2 f} + h.c., \quad (5)\end{aligned}$$

where $C_j \sim \mathcal{O}(1)$.

- Coupling of NGB to SM suppressed by q^N
- New effective scale is $f_{\text{eff}} \approx q^N f \gg f$

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The Strong CP problem

- The SM Lagrangian includes the CP-violating term,

$$\mathcal{L}_\theta = \frac{\theta_{QCD}}{32\pi^2} G \tilde{G} \quad (6)$$

- Measured coefficient of this term is

$$\bar{\theta} = \theta_{QCD} - \arg(\det[Y_d Y_u]), \quad (7)$$

and experimentally (neutron EDM) we know $|\bar{\theta}| < 10^{-10}$

- QCD term and EW term should be unrelated!
- Severe fine-tuning required: this is the **Strong CP problem**

Axion solution

- Make $\bar{\theta}$ a **dynamical field**
- Impose a $U(1)_{PQ}$ symmetry, which must be
 - a. Axial
 - b. Spontaneously broken at scale $f_a \gg \Lambda_{QCD}$
 - c. Explicitly broken by the **QCD anomaly**, i.e. $aG\tilde{G}$ term
- The $U(1)_{PQ}$ pNGB is the axion, defined by $\bar{\theta} = \frac{a}{f_a}$
- Axion potential from the QCD anomaly is

$$V(a) \sim -\Lambda_{QCD}^4 \cos\left(\frac{a}{f_a}\right), \quad (8)$$

and this takes $\bar{\theta} \rightarrow \mathbf{0}$ dynamically

- Axions are a CDM candidate when produced via the **misalignment mechanism**, with relic abundance given by

$$\Omega_a h^2 \approx 0.07 \alpha_i^2 \left(\frac{f_a}{10^{12} \text{ GeV}} \right)^{7/6}, \quad (9)$$

where $\alpha_i \sim \mathcal{O}(1)$ is the initial misalignment angle

- Upper bound on f_a from overproduction of DM, lower bound from ν burst duration in SN 1987A:

$$4 \times 10^8 \text{ GeV} \lesssim f_a \lesssim 10^{12} \text{ GeV} \quad (10)$$

- $m_a \sim 10 \left(\frac{10^{12} \text{ GeV}}{f_a} \right) \mu\text{eV}$ from QCD anomaly

- Simple construction of axion model: $U(1)_{PQ}$ must be
 - a. axial \Rightarrow introduce vector-like fermion, ψ
 - b. anomalous w.r.t. to QCD \Rightarrow give ψ QCD charge
 - c. spontaneously broken \Rightarrow add a complex scalar, σ , with a VEV
- Giving ψ_L, ψ_R, σ appropriate charges, we can write

$$\mathcal{L} \supset y \bar{\psi}_L \sigma \psi_R + h.c., \quad (11)$$

and the VEV of $\sigma \sim \frac{f_a}{\sqrt{2}} e^{ia/f_a}$ breaks $U(1)_{PQ}$ spontaneously

- Below the scale f_a , axion couples to QCD,

$$\mathcal{L} \supset \frac{a G \tilde{G}}{32\pi^2 f_a}, \quad (12)$$

which generates the cosine potential that takes $\bar{\theta} \rightarrow 0$

²Kim, J.E., Phys. Rev. Lett. 43 (1979) 103; Shifman, M.A., Vainstein, V.I., and Zakharov, V.I., Nucl. Phys.

The Clockwork axion

- Recall Scalar Clockwork model
- If **only** π_N is coupled to QCD anomaly, we have

$$\begin{aligned}\mathcal{L} &= \frac{\pi_N}{32\pi^2 f} G \tilde{G} \\ &\approx \frac{a_0}{32\pi^2 q^N f} G \tilde{G} + \dots,\end{aligned}\tag{13}$$

i.e. an effective axion decay constant $f_a = q^N f \gg f$.

- So it's possible to set f at $\mathcal{O}(\text{TeV})$ and connect EW/LHC-scale physics to axion physics
- Shift in $\bar{\theta}$ from $U(1)_{PQ}$ -breaking gravity terms depends on the size of $\frac{f}{M_{Pl}}$, so lower dynamical scale **better protects** $\bar{\theta}$

But ...

- This is a nice example of the Clockwork in action, but we may wonder:
 - Where do all these scalars come from?
 - What is the origin of the scale f ? Is it stable against quantum corrections?
 - What is the effect of gravity on the $U(1)^{N+1}$ symmetry?
 - What is the size of q ? (not predicted)
 - How can we distinguish this axion model?
- In short, many **open theory and phenomenology questions**



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Composite axion³

- Motivation: avoid elementary scalars, generate f_a dynamically
- Introduce strongly-coupled gauge $SU(N_c)_a$, condenses at Λ_a
- Vector-like fermions $Q_{L,R} \sim (\mathbf{N}_c, \mathbf{3})$ and $\psi_{L,R} \sim (\mathbf{N}_c, \mathbf{1})$ under $SU(N_c)_a \times SU(3)_{QCD}$
- There is a $U(1)_A^{(Q)} \times U(1)_A^{(\psi)}$ symmetry, which is broken:
 - spontaneously by fermion bilinears, $\langle \bar{Q}_{Li} Q_{Ri} \rangle = \langle \bar{\psi}_L \psi_R \rangle \sim \Lambda_a^3$
 - explicitly by $SU(N_c)_a$ and $SU(3)_{QCD}$ **anomalies**

³Kim, J.E., Phys. Rev. D31 (1985) 1733.

Composite axion

- $U(1)_{PQ}$ is linear combination broken **only** by QCD anomaly
- Q, ψ have PQ charges $+1, -3$ respectively
 - recall $Q_{L,R} \sim (\mathbf{N}_c, \mathbf{3}), \psi_{L,R} \sim (\mathbf{N}_c, \mathbf{1})$
- Light pNGB is the composite axion, $a \sim \frac{\bar{Q}\gamma^5 Q - 3\bar{\psi}\gamma^5 \psi}{\sqrt{10}}$
- Same coupling to QCD as before, with $f_a \sim \Lambda_a$, and $\bar{\theta} \rightarrow 0$

Extending the composite axion model with Clockwork

- For the composite axion, we introduced an additional, asymptotically-free $SU(N_c)$ and 2 vector-like fermions
- Let's **Clockwork** this, and introduce
 - N copies of asymptotically-free $SU(N_c)$
 - $N + 1$ vector-like fermions



Clockwork realisation in strongly-coupled gauge theories

- In Composite axion model, $\psi \sim (\mathbf{N}_c, \mathbf{1})$, $Q \sim (\mathbf{N}_c, \mathbf{3})$

Our Clockwork extension is:

	$SU(N_c)_1$	$SU(N_c)_2$	$SU(N_c)_3$	\dots	$SU(N_c)_N$	$SU(3)_{QCD}$
ψ_0	\mathbf{N}_c	$\mathbf{1}$	$\mathbf{1}$	\dots	$\mathbf{1}$	$\mathbf{1}$
ψ_1	\mathbf{R}	\mathbf{N}_c	$\mathbf{1}$	\dots	$\mathbf{1}$	$\mathbf{1}$
ψ_2	$\mathbf{1}$	\mathbf{R}	\mathbf{N}_c	\dots	$\mathbf{1}$	$\mathbf{1}$
\vdots	\vdots	\vdots	\vdots	\ddots	\vdots	\vdots
ψ_{N-1}	$\mathbf{1}$	$\mathbf{1}$	$\mathbf{1}$	\dots	\mathbf{N}_c	$\mathbf{1}$
ψ_N	$\mathbf{1}$	$\mathbf{1}$	$\mathbf{1}$	\dots	\mathbf{R}	$\mathbf{3}$

- Notably, this arrangement mimics the Clockwork's **asymmetric nearest-neighbour** couplings

The Clockwork realisation

- For each ψ_j there is a $U(1)_A^{(\psi_j)}$ symmetry, overall there is a $U(1)_A^{N+1}$ symmetry
- Since the $SU(N_c)_j$ confine, the fermion bilinear condensates,

$$\langle \bar{\psi}_i \psi_i \rangle \approx \Lambda^3, \quad (14)$$

spontaneously break the $U(1)_A^{N+1}$

- Assume Λ are all the same, in fact RG running drives them together
- The NGBs, π_j , associated with SSB of $U(1)_A^{(\psi_j)}$ are given by

$$\bar{\psi}_j \psi_j \sim \Lambda^3 e^{i\pi_j} \quad (15)$$

Preserved $U(1)_{PQ}$ symmetry

- $U(1)_A^{(\psi_j)}$ **explicitly broken** by $SU(N_c)_j$ and $SU(N_c)_{j+1}$ anomalies
- However, there is a **preserved**, anomaly-free $U(1)_A$, with current

$$j_A^\mu = \sum_{j=0}^N q_j \times j_j^\mu; \quad j_j^\mu = \frac{1}{2} \bar{\psi}_j \gamma_5 \gamma^\mu \psi_j. \quad (16)$$

- As in scalar example, there is one NGB and N massive pNGBs
- Identify exact NGB as the axion and exact $U(1)_A$ as $U(1)_{PQ}$

Anomaly calculations

- The $U(1)_{PQ}$ charges are $q_j \approx q^{-j}$, with the Clockwork factor

$$q = -\frac{2T(\mathbf{R})N_c}{d(\mathbf{R})}, \quad (17)$$

where $T(\mathbf{R})$, $d(\mathbf{R})$ are the Dynkin index and dimension of \mathbf{R}

- The axion component in the pNGBs is

$$\pi_j = q_j \frac{a}{f}, \quad (18)$$

where $f \sim \Lambda/4\pi$

Group Theory and Clockwork factor

- Choices of N_c , \mathbf{R} are restricted by: a) $SU(N_c)$ must be asymptotically-free; b) need $|q| > 1$
- To ensure asymptotic freedom, require

$$11N_c - 4N_c T(\mathbf{R}) - 2d(\mathbf{R}) > 0 \quad (19)$$

- Should choose $\mathbf{R} \equiv \mathbf{A}_2$ and $N_c = 4, 5$, giving

$$q = -\frac{4}{3} \quad (N_c = 4); \quad q = -\frac{3}{2} \quad (N_c = 5) \quad (20)$$

Realising the QCD axion

	$SU(N_c)_1$	$SU(N_c)_2$	$SU(N_c)_3$	\dots	$SU(N_c)_N$	$SU(3)_{QCD}$
ψ_0	\mathbf{N}_c	$\mathbf{1}$	$\mathbf{1}$	\dots	$\mathbf{1}$	$\mathbf{1}$
ψ_1	\mathbf{R}	\mathbf{N}_c	$\mathbf{1}$	\dots	$\mathbf{1}$	$\mathbf{1}$
ψ_2	$\mathbf{1}$	\mathbf{R}	\mathbf{N}_c	\dots	$\mathbf{1}$	$\mathbf{1}$
\vdots	\vdots	\vdots	\vdots	\ddots	\vdots	\vdots
ψ_N	$\mathbf{1}$	$\mathbf{1}$	$\mathbf{1}$	\dots	\mathbf{R}	$\mathbf{3}$

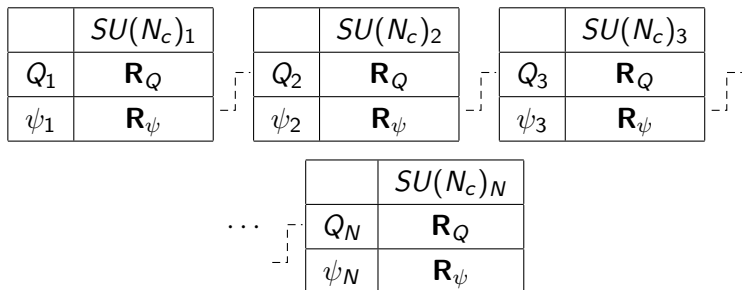
- $U(1)_{PQ}$ is broken by the QCD anomaly, and the Clockwork axion arises as desired:

$$\mathcal{L} \supset \frac{d(\mathbf{R})\pi_N}{32\pi^2} G\tilde{G} \approx \frac{d(\mathbf{R})a}{32\pi^2 q^N f} G\tilde{G} + \dots, \quad (21)$$

i.e. effective axion decay constant is $f_a \approx \frac{q^N}{d(\mathbf{R})} f \gg f$

Alternative model: contact connection

Can we have a larger Clockwork factor? Yes!



- Contact interactions (enforced by a \mathbb{Z}_m^{N-1} symmetry)

$$\mathcal{L}_{\text{contact}} = \frac{1}{M_*^2} \sum_{j=1}^{N-1} (\bar{\psi}_{Lj} \psi_{Rj})^\dagger (\bar{Q}_{Lj+1} Q_{Rj+1}) + h.c. \quad (22)$$

Contact-connection model

- $U(1)_A^{2N}$ broken spontaneously by fermion condensates,

$$\overline{Q}_j Q_j \sim \Lambda^3 e^{i\pi_j}; \quad \overline{\psi}_j \psi_j \sim \Lambda^3 e^{i\xi_j} \quad (23)$$

- Contact interactions and anomalies explicitly breaks $U(1)_A^{2N} \rightarrow U(1)_A \equiv U(1)_{PQ}$, so there is one exact NGB
- Preserved $U(1)_A$ current given by

$$j_A^\mu = \sum_{j=1}^N (q_{Qj} j_{Qj}^\mu + q_{\psi j} j_{\psi j}^\mu), \quad j_{fj}^\mu = \frac{1}{2} \bar{f} \gamma_5 \gamma^\mu f \quad (24)$$

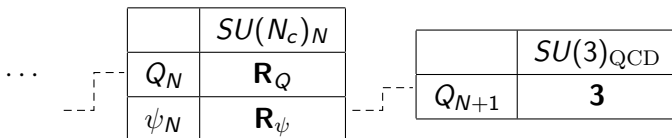
- Axion component in pNGB modes is in geometric progression

$$\begin{aligned} \pi_j &\approx q_{Qj} \frac{a}{f} + \dots, & \xi_j &\approx q_{\psi j} \frac{a}{f} + \dots, \text{ with} \\ q_{Qj} &= q^{1-j}, & q_{\psi j} &= q^{-j}. \end{aligned} \quad (25)$$

- This model admits a larger Clockwork factor,

$$q = -\frac{T(\mathbf{R}_\psi)}{T(\mathbf{R}_Q)} \sim \mathcal{O}(N_c) \gg 1. \quad (26)$$

Realising the QCD axion



- Can introduce N_c flavours of Q_{N+1} with $q_{Q_{N+1}} = q_{\psi_N}$
- $U(1)_A$ is broken by the QCD anomaly, and

$$\mathcal{L} \supset \frac{N_c q_{Q_{N+1}} \pi_{N+1}}{32\pi^2} G \tilde{G} \approx \frac{N_c a}{32\pi^2 q^N f} G \tilde{G} + \dots, \quad (27)$$

i.e. effective axion decay constant is $f_a \approx \frac{q^N}{N_c} f \gg f$

Why these models are nice

- We can connect EW/LHC-scale physics to axion physics
- Better protection of $\bar{\theta}$ against cut-off (depends on $\frac{f}{M_{Pl}}$)
- Specific to these realisations:
 - Provides a symmetry explanation for the convenient nearest neighbour couplings
 - Initial scale, Λ , is stable against quantum corrections
 - $U(1)_{PQ}$ arises as accidental symmetry from the gauge symmetries and fermion content
 - Predicts Clockwork factor
 - Consequently, interesting phenomenology ...

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Clockwork axion phenomenology

Several interesting avenues:

- Collider signatures
- Cosmology
- Coupling to photons



- Spectrum of new coloured particles depends on model
- Dynamical model and one realisation of contact-connection model predicts colour octet scalar meson with mass $m_8 \sim \frac{g_s \Lambda}{4\pi}$, which can be pair produced or singly produced via

$$\mathcal{L} \supset \frac{g_s^2}{4\pi\Lambda} S_8 G \tilde{G} \quad (28)$$

- Dijet search in Run II can constrain production cross section to $\sigma \lesssim \mathcal{O}(0.1)$ pb, testing $m_8 \sim \text{TeV}$ ⁴
- $SU(N_c)$ baryons and other hadrons generally too heavy to be found at LHC

⁴See e.g. Belyaev, A. et al., JHEP 1701 (2017) 094

- Contact-connection model may include vector-like quarks, Q_{N+1} , with mass $m_{Q_{N+1}} \sim \mathcal{O}(\Lambda^3/M_*^2)$, that interact with the SM, e.g. via

$$\mathcal{L} \supset \epsilon \bar{q}_{Li} \tilde{H} Q_{N+1R} \quad (29)$$

- ATLAS and CMS set $m_{T,B} \gtrsim 800$ GeV at 95% CL
- CMS expects to set $m_{T,B} \gtrsim 1.85$ TeV at 95% CL with 3000 fb^{-1} of $\sqrt{s} = 14$ TeV data

- Coherent oscillation of axion field provides a relic abundance,

$$\Omega_a h^2 \approx 0.07 \alpha_i^2 \left(\frac{f_a}{10^{12} \text{ GeV}} \right)^{7/6}, \quad (30)$$

for initial misalignment angle, $\alpha_i \in [-\pi, \pi]$

- If $f = 1 \text{ TeV}$ (recall $f_a \approx q^N f$), correct relic density
 - For $N \sim \mathcal{O}(50)$ in $N_c = 5$ dynamical model
 - For $N \sim \mathcal{O}(15)$ in $N_c = 4$ contact-connection model
- Large domain wall number due to fractional clockwork factor, $N_{DW} \sim s^N$, where $q = r/s$
- To avoid domain wall problem, require $U(1)_{PQ}$ be broken during inflation and not restored afterwards

- Each fermion, ψ_j, Q_j has associated $U(1)_V$ symmetry in contact-connection model
- Lightest baryon under each $U(1)_V$ is a **stable bound state** with mass $m_B \sim \mathcal{O}(N_c \Lambda)$
- Large relic density if reheating temperature lies in the window

$$T_F \lesssim T_R \lesssim \Lambda, \quad (31)$$

where the baryonic freeze-out temperature is given by

$$x_F \sim \frac{1}{2} \log(x_F) + 35 - \log\left(\frac{m_B}{\text{TeV}}\right); \quad x_F \equiv \frac{m_B}{T_F} \quad (32)$$

- Then relic density of baryonic dark matter is

$$\Omega_B h^2 \sim 0.1 \frac{N}{15} \left(\frac{m_B}{20 \text{ TeV}}\right)^2 \quad (33)$$

Coupling to photons

- Suppose ψ_j or Q_j has $\mathcal{O}(1)$ charge under $U(1)_Y$
- Then axion-photon coupling goes as

$$\mathcal{L} \sim \frac{a}{32\pi^2 q^j f} F \tilde{F} \approx \frac{q^{N-j} a}{32\pi^2 f_a} F \tilde{F} + \dots \quad (34)$$

- Axion can have much larger coupling to photons than conventional models!⁵
- Makes axion more 'visible' in haloscopes, e.g. ADMX

⁵For more details, see Farina, M. et al., JHEP 1701 (2017) 095

Summary

- Clockwork is an interesting mechanism to generate exponentially small couplings
- We have constructed models where Clockwork emerges in a sequence of strongly-coupled gauge theories, and applied them to the composite axion
- Can link LHC-scale physics to axion physics with stable f_a , protection of $\bar{\theta}$, prediction of Clockwork factor
- Range of phenomenology, from the collider to the cosmos, and the possibility that the axion has a large coupling to photons
- Still early days for Clockwork: many unexplored realisations/applications!

Questions or comments?

