www.schwab.com **1-800-435-4000** (inside the U.S.) **+1-415-667-8400** (outside the U.S.) **1-888-686-6916** (multilingual services)

Visit www.schwab.com/gainloss to make your own updates.

Use this form to add or change cost basis for noncovered securities. "Noncovered" refers to securities that are not subject to cost basis reporting on Form 1099-B, such as fixed income securities or options purchased prior to 1/1/14, stocks purchased prior to 1/1/11, mutual funds, and ETF or DRIP shares purchased prior to 1/1/12.

1. Schwab Account Information (Use one form per account.)

Schwab Account Number

2. Cost Basis Information

- Please provide current adjusted cost basis in the Cost Basis column for open positions only. If applicable, adjust the cost basis to include commissions and fees, corporate actions and wash sales, and the return of capital or principal payments received.
- Share quantity should match the current share quantity in the account.
- Please provide the original cost and settlement date for fixed income securities.

	Description	Symbol or CUSIP Number	Trade Date	Settlement Date Fixed income investments only.	Quantity/Par Use ( ) for short positions.	Cost per Unit Including comm./ fees. Excluding interest for fixed income investments.	Cost Basis Including comm./ fees. Excluding interest for fixed income investments.
	{ Description[0] }	{ symbol[0] }	{ tradedate[0] }	{ settlementdate[0] }	{ qty[0] }	{ costperunit[0] }	{ costabasis[0] }
1	{ Description[1] }	{ symbol[1] }	{ tradedate[1] }	{ settlementdate[1] }	{ qty[1] }	$ { costperunit[1] }	$ { costabasis[1] }
2	{ Description[2] }	{ symbol[2] }	{ tradedate[2] }	{ settlementdate[2] }	{ qty[2] }	$ { costperunit[2] }	$ { costabasis[2] }
3	{ Description[3] }	{ symbol[3] }	{ tradedate[3] }	{ settlementdate[3] }	{ qty[3] }	$ { costperunit[3] }	$ { costabasis[3] }
4	{ Description[4] }	{ symbol[4] }	{ tradedate[4] }	{ settlementdate[4] }	{ qty[4] }	$ { costperunit[4] }	$ { costabasis[4] }
5	{ Description[5] }	{ symbol[5] }	{ tradedate[5] }	{ settlementdate[5] }	{ qty[5] }	$ { costperunit[5] }	$ { costabasis[5] }
6	{ Description[6] }	{ symbol[6] }	{ tradedate[6] }	{ settlementdate[6] }	{ qty[6] }	$ { costperunit[6] }	$ { costabasis[6] }
7	{ Description[7] }	{ symbol[7] }	{ tradedate[7] }	{ settlementdate[7] }	{ qty[7] }	$ { costperunit[7] }	$ { costabasis[7] }
8	{ Description[8] }	{ symbol[8] }	{ tradedate[8] }	{ settlementdate[8] }	{ qty[8] }	$ { costperunit[8] }	$ { costabasis[8] }
9	{ Description[9] }	{ symbol[9] }	{ tradedate[9] }	{ settlementdate[9] }	{ qty[9] }	$ { costperunit[9] }	$ { costabasis[9] }
10						$	$

3. Authorization for Update—Please Read and Sign

I authorize Schwab to complete the update(s) as indicated above.

I understand that if the positions were transferred from another firm and Schwab receives a cost basis transfer statement with cost basis for the positions I provided, the cost will be overwritten.

Signature and Date Required

{ PrintNameFull_DocuSignIgnoreTransform[0] }

✘ { Signature_DocuSignIgnoreTransform[0] }

Authorized Signature   **Print Name**   **Today's Date** *(mm/dd/yyyy)*

{ QRCode_Doc