

Notation 1 (*Preliminaries*). Let \mathcal{L} be a countable language. We will also let \mathcal{A} and \mathcal{B} denote \mathcal{L} -structures with universes A and B respectively.

- Let S be the set of all \mathcal{L} -formulas. Throughout this write-up, we will let Δ be a set of \mathcal{L} -formulas, so $\Delta \subseteq S$.
- Let S_n be the set of all \mathcal{L} -formulas with at most one free variable x .
- For any set X , let $\mathcal{L}_X = \mathcal{L} \cup \{c_x : x \in X\}$, where we augment \mathcal{L} with constant symbols for every element of X .
- Let $S(X)$ be the set of all \mathcal{L}_X formulas.
- Let $S_1(X)$ be defined similarly.
- If $X \subseteq A$, we define $\text{Th}(\mathcal{A}, X)$ to be the theory of \mathcal{A} interpreted as an \mathcal{L}_X -structure. In particular, $\text{Th}(\mathcal{A}, A)$ is the elementary diagram of \mathcal{A} .
- An \mathcal{A} -instance of a formula φ is any formula obtained from φ by substituting $c_a \in \mathcal{L}_A$ for each variable.

Definition 1 (*Boolean closure*). Let Δ be a set of \mathcal{L} -formulas and let X be a set. We define $\Delta^b(X)$ to be the set of formulas in $S_1(X)$ obtained from formulas in Δ using conjunction, disjunction, negation, and substituting instances of c_x for a variable. Trivially, $S^b(A) = S(A)$.

Definition 2 (*Partitioning*). Let $\varphi \in S_1(B)$ and $\Gamma = \{\psi_1, \dots, \psi_n\} \subset S_1(B)$. We say that Γ *partitions* φ if we have the following.

$$\begin{aligned} \mathcal{B} &\models \forall x(\psi_1(x) \vee \dots \vee \psi_n(x) \vee \neg\varphi(x)) \\ \mathcal{B} &\models \forall x((\psi_i(x) \wedge \psi_j(x)) \rightarrow \neg\varphi(x)) \quad \text{for all } i \neq j \\ \mathcal{B} &\models \exists x(\varphi(x) \wedge \psi_i(x)) \quad \text{for all } i \end{aligned}$$

In other words, whenever $\varphi(x)$ is true in \mathcal{B} , exactly one of the $\psi_i(x)$'s is true.

Definition 3 (*Generalized rank and degree*). Let $\Delta \subseteq A$. In order to define a generalized version of Morley rank and degree, we will need to define two sets $S^\alpha(\mathcal{A}, \Delta)$ and $\text{Tr}^\alpha(\mathcal{A}, \Delta)$ for any ordinal α inductively.

- Let $S^0(\mathcal{A}, \Delta) = \{\varphi \in S_1(A) : \mathcal{A} \models \exists x\varphi(x)\}$, i.e. the set of all \mathcal{L} -formulas that have witnesses in \mathcal{A} .
- If $S^\alpha(\mathcal{A}, \Delta)$ is already defined, we may define $\text{Tr}^\alpha(\mathcal{A}, \Delta)$ as follows. Given a formula φ , we say that $\varphi \in \text{Tr}^\alpha(\mathcal{A}, \Delta)$ if and only if there exists a finite k such that for all $\mathcal{B} \succeq \mathcal{A}$ and any finite $\Gamma \subset \Delta^b(B)$ partitioning \mathcal{A} , there are no more than k formulas ψ

in Γ such that $(\varphi \wedge \psi) \in S^\alpha(\mathcal{A}, \Delta)$.

- Let $S^{\alpha+1}(\mathcal{A}, \Delta) = S^\alpha(\mathcal{A}, \Delta) \setminus \text{Tr}^\alpha(\mathcal{A}, \Delta)$.
- For α limit, let $S^\alpha(\mathcal{A}, \Delta) = \bigcap_{\beta < \alpha} S^\beta(\mathcal{A}, \Delta)$.
- The Δ -rank of φ in \mathcal{A} is the least ordinal α such that $\varphi \in \text{Tr}^\alpha(\mathcal{A}, \Delta)$.
- The Δ -degree of φ in \mathcal{A} is the least number k witnessing that $\varphi \in \text{Tr}^\alpha(\mathcal{A}, \Delta)$.

Proposition 1 (Δ -rank generalizes Morley rank). Let p be a 1-type in $\text{Th}(\mathcal{A}, A)$. The Morley rank of p is the least p such that $p \cap \text{Tr}^\alpha(\mathcal{A}, S) \neq \emptyset$. The Morley degree of p is the minimum of the S -degrees of the formulas in $p \cap \text{Tr}^\alpha(\mathcal{A}, S)$.

Proof. □

Definition 4 (*Minimality*). A formula φ is *minimal* in $\text{Tr}^\alpha(\mathcal{A}, \Delta)$ if $\varphi \in \text{Tr}^\alpha(\mathcal{A}, \Delta)$ and there is no $\psi \in \Delta$ with some \mathcal{A} -instance ψ' such that $(\varphi \wedge \psi')$ and $(\varphi \wedge \neg\psi')$ are both in $\text{Tr}^\alpha(\mathcal{A}, \Delta)$.

Lemma 1 (*Properties of rank*). Let $\varphi, \varphi_0, \varphi_1$, and ψ be formulas in $S_1(A)$.

- (i) If $\varphi(\mathcal{A}) \subseteq \psi(\mathcal{A})$ and $\varphi \in S^\alpha(\mathcal{A}, \Delta)$, then $\psi \in S^\alpha(\mathcal{A}, \Delta)$.
- (ii) Suppose $\mathcal{B} \succeq \mathcal{A}$. Then $\varphi \in S^\alpha(\mathcal{A}, \Delta)$ if and only if $\varphi \in S^\alpha(\mathcal{B}, \Delta)$.
- (iii) If $(\varphi_0 \vee \varphi_1)(\mathcal{A}) \supseteq \varphi(\mathcal{A})$ and $\varphi \in S^\alpha(\mathcal{A}, \Delta)$, then one of φ_0 and φ_1 is in $S^\alpha(\mathcal{A}, \Delta)$.

Proof. □

Definition 5 (*Weak satisfiability*). First, for any set of formulas Θ , we define

$$\Theta^- = \{\neg\phi : \phi \in \Theta\}$$

to be the set of negations of all formulas in Θ . The set $\Gamma \subset S(A)$ is *weakly satisfiable* in \mathcal{A} if no finite disjunctions of formulas in Γ^- is valid in \mathcal{A} .

Remark 1. A set $\Gamma \subset S(A)$ is weakly satisfiable in \mathcal{A} if and only if Γ is satisfiable in some $\mathcal{B} \succeq \mathcal{A}$.

Proof. □

Lemma 2 (*A characterization of rank*). Let \mathcal{A} and \mathcal{B} be \mathcal{L} -structures. Let $\varphi \in S^\alpha(\mathcal{A}, \Delta)$. Let Γ be the set of all formulas obtained by switching around variables in the formulas of $\{\varphi\} \cup \Delta \cup \Delta^-$. There exists $\Gamma^* \subseteq \Gamma$ weakly satisfiable in \mathcal{A} such that if $\psi \in S_1(\mathcal{B})$ and Γ^+ is weakly satisfiable in \mathcal{B} , where Γ^+ is obtained from Γ^* by replacing each instance of φ by the corresponding instance of ψ , then $\psi \in S^\alpha(\mathcal{B}, \Delta)$.

Proof.

□

Lemma 3. Let $n \in \omega$, let Δ be finite, and φ be an \mathcal{L}_X -formula containing at most one variable x free and possibly names for the elements of the universe of some model. There exists $\Gamma^* \subseteq S(X)$ depending only on n , φ , and Δ such that for any \mathcal{A} , if $\varphi \in S_1(A)$, then $\varphi \in S^n(\mathcal{A}, \Delta)$ if and only if Γ^* is weakly satisfied in \mathcal{A} .

Proof.

□

Lemma 4. Let Δ be finite, $n \in \omega$, and let $\varphi \in \text{Tr}^n(\mathcal{A}, \Delta)$ have Δ -degree 1. For each $\psi \in (\Delta \cup \Delta^-) \cap S_{k+1}$ there exists $\theta \in S_k(A)$ such that if $\mathcal{B} \succeq \mathcal{A}$, then

$$(\varphi \wedge \psi)(x, b_1, \dots, b_k) \in \text{Tr}^n(\mathcal{B}, \Delta) \Leftrightarrow \mathcal{B} \models \theta(b_1, \dots, b_k),$$

where b_1, \dots, b_k are arbitrary in B .

Proof.

□

Lemma 5. If Δ is finite then $\text{Tr}^\alpha(\mathcal{A}, \Delta) = \emptyset$ if $\alpha \geq \omega$.

Proof.

□

Definition 6 (Δ -stable).

Lemma 6. Let $\mathcal{A} \preceq \mathcal{B}$, let φ be a formula in $S_1(A)$ which is Δ -stable, and let $\psi \in \Delta^b(B)$. Then there exists $\theta \in S_1(A)$ such that $\varphi(\mathcal{A}) \cap \psi(\mathcal{B}) = \theta(\mathcal{A})$.

Proof. No proof but some references listed, including Shelah.

□

Lemma 7. If φ is minimal in $\text{Tr}^\alpha(\mathcal{A}, S)$, then the S -degree of φ is 1.

Proof.

□

Lemma 8. If Δ is finite and φ is minimal in $\text{Tr}^n(\mathcal{A}, \Delta)$, then the Δ -degree of φ in \mathcal{A} is 1.

Proof.

□

Theorem 1 (*Model extension*). Let \mathcal{A} and \mathcal{B} be models of a countable stable theory and suppose that $\mathcal{A} \prec \mathcal{B}$ and $P(\mathcal{A}) = P(\mathcal{B})$ where P is a unary predicate symbol. There exists $\mathcal{C} \succ \mathcal{B}$ such that $P(\mathcal{C}) = P(\mathcal{B})$.

Proof.

□