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## MAT983

In order to get to cut loci and the injectivity radius of a manifold, it is necessary to recall some concepts from last semester: normal neighborhoods, Jacobi fields, and conjugate points; along with these definitions, a few earlier results will be used.

### RECALL

**DEF.** Let  $V \subset T_p M$  be a set on which  $\exp_p$  is a diffeomorphism. Then, the set  $\exp_p(V) \subset M$  is called a *normal neighborhood* of  $p$ .

A *totally normal neighborhood* of  $M$  is a normal neighborhood which is a normal neighborhood of each of its points.

**DEF.** Let  $\gamma : [0, a] \rightarrow M$  be a geodesic on  $M$ . A vector field  $J$  along  $\gamma$  is called a *Jacobi field* iff it satisfies the Jacobi equation,

$$\frac{D^2 J}{dt^2} + R(\gamma'(t), J(t)) \gamma'(t) = 0,$$

where  $R(X, Y) : \mathcal{X}(M) \rightarrow \mathcal{X}(M)$  is the Riemannian curvature of  $M$ , with  $X, Y \in \mathcal{X}(M)$ , the set of all  $C^\infty$  vector fields on  $M$ .

**DEF.** A point  $\gamma(t_0)$ ,  $t_0 \in (0, a]$ , is called *conjugate* to  $\gamma(0)$  along  $\gamma$  iff there is a non-identically-zero Jacobi field  $J$  along  $\gamma$  with  $J(0) = 0 = J(t_0)$ .

The set of all such points is called the *conjugate locus* of  $p$ ,  $C(p)$ .

Using these concepts in an example with a surface  $S^2 \subset \mathbb{R}^3$  below:

**EX.** Consider the unit sphere  $S^2 := \{(x_1, x_2, x_3) \in \mathbb{R}^3 : x_1^2 + x_2^2 + x_3^2 = 1\}$  with the normal parametrization given by

$$\begin{aligned} f : [0, 2\pi) \times [0, \pi] &\rightarrow S^2 \\ (\sin \varphi \cos \theta, \sin \varphi \sin \theta, \cos \varphi) &\mapsto (x_1, x_2, x_3) \end{aligned}$$

Consider the geodesic

$$\gamma(t) = (\cos t, \sin t, 0) \quad \text{with} \quad t \in \left[\frac{\pi}{2}, \frac{3\pi}{2}\right],$$

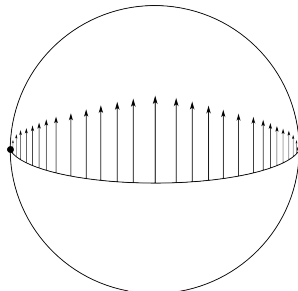
which is the image  $f\left(\left[\frac{\pi}{2}, \frac{3\pi}{2}\right], \frac{\pi}{2}\right)$ . This is a geodesic since it is part of a great circle connecting the antipodal points  $(0, 1, 0)$  and  $(0, -1, 0)$ . It follows that

$$\gamma'(t) = (-\sin t, \cos t, 0),$$

showing that  $\gamma$  is a normalized geodesic,  $|\gamma'| = 1$ . Now, let  $g(s)$  be a parallel transport along  $\gamma$  of the vector  $g(0) \in T_{\gamma(\pi/2)} S^2$  with  $|g(0)| = 1$  and  $\langle g(0), \gamma'(\pi/2) \rangle = 0$ . Claim that

$$J(t) = g(t) \sin t \quad \text{for} \quad t \in [0, \pi]$$

is a Jacobi field along  $\gamma$ .



To show this, it suffices to show that  $J$  satisfies the Jacobi equation. According to Chavel, Thm. II.1.1 (pg.59) and DoCarmo, Ch.4 Lemma 3.4 (pg.96), for a two-dimensional manifold, it is possible to write the curvature as

$$R(\gamma', J)\gamma' = \mathcal{K}(\gamma(t))(\langle \gamma', \gamma' \rangle J - \langle J, \gamma' \rangle \gamma'),$$

where  $\mathcal{K}$  is the Gaussian curvature on the manifold. Note that  $S^2$  is a manifold of constant Gaussian curvature; thus,  $\mathcal{K}(\gamma(t)) = 1$  for all  $t \in [\frac{\pi}{2}, \frac{3\pi}{2}]$ . Checking to see if  $J$  satisfies the Jacobi equation, using what was just mentioned,

$$\begin{aligned} \frac{D^2}{dt^2}(g(t)\sin t) + \underbrace{\langle \gamma', \gamma' \rangle}_1 J - \underbrace{\langle J, \gamma' \rangle}_{\substack{0 \\ \text{since } g \text{ is a parallel} \\ \text{transport}}} \gamma' &= \frac{D}{dt} \left( g(t)\cos t + \sin t \underbrace{\frac{D}{dt}g(t)}_0 \right) + J - \underbrace{\langle g, \gamma' \rangle}_{\substack{0 \\ \text{by construction}}} \gamma' \sin t \\ &= \underbrace{-g(t)\sin t}_{-J} + J \\ &= 0, \end{aligned}$$

showing that  $J$  satisfies the Jacobi equation.

Now, note that  $J(0) = 0 = J(\pi)$ ; by definition, this means that  $\gamma(\frac{3\pi}{2}) = (0, -1, 0)$  is conjugate to  $\gamma(\frac{\pi}{2}) = (0, 1, 0)$  along  $\gamma$  by means of  $J$ . (DoCarmo, *Differential Geometry*, §5-5 (pg.362))  $\blacktriangleleft$

The primary motivation leading to the concept of cut loci, and the injectivity radius, is to find the largest neighborhood of point of a manifold such that all geodesics starting from that point are minimizing. To do this, it is natural to relay through normal neighborhoods as is seen in what follows. Consider a complete Riemannian manifold  $M$  with a normalized geodesic  $\gamma : [0, \infty) \rightarrow M$  so that  $\gamma(0) = p \in M$ . (In this regard, here, from now onward,  $M$  will be a complete Riemannian manifold, and all geodesics will be normalized, unless stated otherwise.) By DoCarmo, Ch.3 Prop. 2.9 (pg.65), it follows that there is a small enough neighborhood of  $p$  which is a normal neighborhood. Using the fact that  $\gamma$  is a normalized geodesic, this implies via DoCarmo, Ch.3 Prop. 3.6 (pg.70) that there is a  $t \in (0, \infty)$  small enough so that  $d(\gamma(0), \gamma(t)) = t$ , namely that  $\gamma([0, t])$  is minimizing. For any  $p$ , it is obvious that the set of  $t$  such that  $\gamma([0, t])$  is minimizing is an interval in  $\mathbb{R}_{\geq 0}$  with an (inclusive) endpoint at 0. Now, it is to be shown that the right endpoint of this interval is also inclusive, if the interval is bounded. Assume that every  $t \in [0, t_0)$ ,  $t_0 < \infty$ , is such that  $\gamma([0, t])$  is minimizing. It follows that for any  $0 < \varepsilon \leq t_0$ , it is the case that  $d(\gamma(0), \gamma(t_0 - \varepsilon)) = t_0 - \varepsilon$ ; in the limit, using the continuity of  $\gamma$  and of the distance function  $d(\cdot, \cdot)$ , this yields

$$d(\gamma(0), \gamma(t_0)) = \lim_{\varepsilon \rightarrow 0} d(\gamma(0), \gamma(t_0 - \varepsilon)) = \lim_{\varepsilon \rightarrow 0} (t_0 - \varepsilon) = t_0,$$

which, by definition, makes  $\gamma$  minimizing up to  $t_0$  at least. From this, it follows that the set of  $t$  such that this condition is satisfied is either of the form  $[0, t_0]$  or  $[0, \infty)$ .

DEF. In the case when the set of all suitable  $t$  is of the form  $[0, t_0]$ , the point  $\gamma(t_0)$  is called the *cut point* of  $\gamma(0) = p$  along  $\gamma$ . If the set is of the other form, then it is said that  $p$  has no cut point along  $\gamma$ .

DEF. Let  $C_m(p)$  be the union of all cut points of  $p \in M$  along any geodesic starting at  $p$ ; call it the *cut loci*.

Observe that, if  $M$  is compact, then all normal neighborhoods of  $p \in M$  must be bounded; it follows from what was shown before that any  $p \in M$  has a cut point along any geodesic starting at  $p$ , since the set of suitable  $t$  cannot have the form  $[0, \infty)$ , which is unbounded.

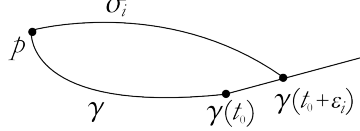
PROP. Let  $\gamma(t_0)$  be a cut point of  $\gamma(0) = p$  along  $\gamma$  then at least one of the following holds:

- (i)  $\gamma(t_0)$  is the first conjugate point of  $p$  along  $\gamma$ ,

- (ii) there is a geodesic  $\sigma \neq \gamma$  joining  $p$  and  $\gamma(t_0)$  such that  $\text{len}(\sigma) = \text{len}(\gamma)$ , where  $\text{len}(\alpha)$  is the length of the geodesic  $\alpha$ .

Conversely, if (i) or (ii) holds, then there is a  $\tilde{t} \in (0, t_0]$  such that  $\gamma(\tilde{t})$  is a cut point of  $p$  along  $\gamma$ . (DoCarmo, Ch.13 Prop. 2.2 (pg.267))

**Proof** Let  $t_0$  be as per the assumptions of the proposition. Construct the sequence  $\{t_0 + \varepsilon_i\}$ , where  $\varepsilon_i > 0$  and  $\{\varepsilon_i\} \rightarrow 0$ . Using the Hopf-Rinow Theorem (Chavel, Thm. I.7.1 (pg.26)), since  $M$  is complete and connected, there are minimizing geodesics  $\sigma_i$  connecting  $p$  and  $\gamma(t_0 + \varepsilon_i)$ ; let each  $\sigma_i$  be normalized. Now, use these geodesics to construct the sequence  $\{\sigma'_i(0)\} \subset T_p M$  of corresponding tangent (unit) vectors of each  $\sigma_i$  at  $p$ . Also, let  $\varepsilon'_i$  be such that  $\sigma_i(t_0 + \varepsilon'_i) = \gamma(t_0 + \varepsilon_i)$ . It is possible to bound these  $\varepsilon'_i$ : using the triangle inequality it follows that



$$\begin{aligned} \text{len}(\sigma_i) &\leq \text{len}(\gamma) + \varepsilon_i \\ t_0 + \varepsilon'_i &\leq t_0 + \varepsilon_i \\ \varepsilon'_i &\leq \varepsilon_i \end{aligned}$$

and

$$\begin{aligned} \text{len}(\sigma_i) + \varepsilon_i &\geq \text{len}(\gamma) \\ t_0 + \varepsilon'_i + \varepsilon_i &\geq t_0 \\ -\varepsilon'_i &\leq \varepsilon_i, \end{aligned}$$

which together imply that  $|\varepsilon'_i| \leq \varepsilon_i$ . Since  $\gamma(t_0)$  is a cut point by assumption, it follows that  $\varepsilon'_i \neq \varepsilon_i$ , making the inequality stricter:  $-\varepsilon_i \leq \varepsilon'_i < \varepsilon_i$ .

By construction,  $\{\sigma'_i(0)\}$  is contained in the compact unit-sphere about  $\gamma'(0)$  in  $T_p M$ ; it follows that there is at least a subsequence that converges to some  $\sigma'(0)$  in that sphere. As such, let this sequence be that subsequence without loss of generality. Let  $\sigma$  be the corresponding normalized geodesic starting at  $p$  with initial velocity  $\sigma'(0) \in T_p M$ . Now, it is needed to show that  $\sigma$  ends at  $\gamma(t_0)$ :

$$\begin{aligned} \gamma(t_0) &= \lim_{i \rightarrow \infty} \gamma(t_0 + \varepsilon_i) && \text{by continuity of } \gamma \\ &= \lim_{i \rightarrow \infty} \sigma_i(t_0 + \varepsilon'_i) && \text{by construction} \\ &= \lim_{i \rightarrow \infty} \exp_p((t_0 + \varepsilon'_i) \sigma'_i(0)) && \text{by definition of } \exp_p, \text{ using the fact} \\ &&& \text{that } \sigma_i \text{ is normalized} \\ &= \exp_p(t_0 \sigma'(0)) && \text{by continuity of } \exp_p, \text{ and} \\ &&& \lim_{i \rightarrow \infty} \varepsilon_i = 0 \text{ making } \lim_{i \rightarrow \infty} \varepsilon'_i = 0 \\ &&& \text{by } -\varepsilon_i \leq \varepsilon'_i < \varepsilon_i \\ &= \sigma(t_0) && \text{by construction of the normalized} \\ &&& \text{geodesic } \sigma. \end{aligned}$$

Thus, by convergence,  $\sigma$  joins  $p$  and  $\gamma(t_0)$ , and  $\text{len}(\sigma) = \text{len}(\gamma)$ . Therefore, if  $\sigma \neq \gamma$ , then (ii) is satisfied.

In order to show (i), assume  $\sigma = \gamma$ . By DoCarmo, Ch.5 Prop. 3.5 (pg.117), the point  $\gamma(t_0)$  is conjugate to  $p$  along  $\gamma$  iff  $t_0 \gamma'(0)$  is a critical point of the map  $\exp_p$ . In light of this, assume, for the sake of contradiction, that  $t_0 \gamma'(0)$  is not a singular point of  $d\exp_p$ . From this, it follows by the Inverse Mapping Theorem that there is a neighborhood  $U$  of  $t_0 \gamma'(0)$  on which  $\exp_p$  is a diffeomorphism onto a neighborhood of  $M$ . Consider now the fact from construction that was mentioned before: there is an  $\varepsilon'_j$  such that  $-\varepsilon_j \leq \varepsilon'_j < \varepsilon_j$  and  $\sigma_j(t_0 + \varepsilon'_j) = \gamma(t_0 + \varepsilon_j)$ . Using that

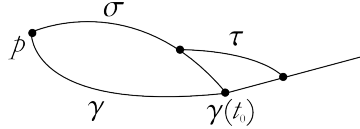
fact that  $\{\sigma'_j(0)\} \rightarrow \sigma'(0) = \gamma'(0)$ , and taking  $\varepsilon_j$  sufficiently small ( $j$  large enough), it follows that  $(t_0 + \varepsilon'_j) \sigma'_j(0), (t_0 + \varepsilon_j) \gamma'(0) \in U$ . Now,

$$\exp_p(t_0 + \varepsilon_j) \gamma'(0) = \gamma(t_0 + \varepsilon_j) = \sigma_j(t_0 + \varepsilon'_j) = \exp_p(t_0 + \varepsilon'_j) \sigma'_j(0).$$

Because  $\exp_p$  is a diffeomorphism (bijective), this shows that  $(t_0 + \varepsilon_j) \gamma'(0) = (t_0 + \varepsilon'_j) \sigma'_j(0)$ , which in turn implies that  $\varepsilon_j = \varepsilon'_j$ , contradicting the choice of  $\varepsilon'_j < \varepsilon_j$ . Therefore, (i) is satisfied:  $\gamma(t_0)$  is the first conjugate point to  $p$  along  $\gamma$ .

As for the converse:

- (i) By DoCarmo, Ch.11 Cor. 2.9 (pg.248), a geodesic is not minimizing after the first conjugate point. Thus, the cut point of  $p$  along  $\gamma$  must be  $\gamma(\tilde{t})$  for some  $\tilde{t} \leq t_0$ .
- (ii) By DoCarmo, Ch.3 Thm. 3.7 (pg.72), there exists a totally normal neighborhood of  $\gamma(t_0)$ ; using this fact, take a small enough  $\varepsilon > 0$  such that  $\sigma(t_0 - \varepsilon), \gamma(t_0 + \varepsilon)$  lie in this neighborhood of  $\gamma(t_0)$ . Now, by the remark of that theorem (DoCarmo, Ch.3 Rem. 3.8 (pg.72)), there is a unique minimizing geodesic connecting  $\sigma(t_0 - \varepsilon)$  and  $\gamma(t_0 + \varepsilon)$ ; call it  $\tau$ , and let  $\hat{\tau}$  be the geodesic from  $p$  to  $\gamma(t_0 + \varepsilon)$  travelling along  $\sigma$  up to  $\sigma(t_0 - \varepsilon)$  then  $\tau$ .



By the triangle inequality, it follows that

$$\text{len}(\tau) \leq 2\varepsilon. \quad [1]$$

Now, note that, if  $\text{len}(\tau) = 2\varepsilon$ , then it follows from uniqueness of  $\tau$  that  $\tau$  has the image  $\sigma([t_0 - \varepsilon, t_0]) \cup \gamma([t_0, t_0 + \varepsilon])$ , which in turn implies that  $\gamma'(t_0)$  is parallel to  $\sigma'(t_0)$  by parallel transport property of geodesics. This means that, either:

- $\gamma'(t_0) = -\sigma'(t_0)$ , which implies that  $\gamma$  and  $\sigma$  form a geodesic loop at  $p$ . This means that  $\gamma(t_0 + \varepsilon) = \sigma(t_0 - \varepsilon)$ , implying that  $\text{len}(\tau) = 0$ , contradiction.
- $\gamma'(t_0) = \sigma'(t_0)$ , which implies that  $\gamma$  and  $\sigma$  are the same geodesics, since a geodesic is uniquely determined by its initial position and tangent vector; this contradicts the initial assumption that  $\sigma \neq \gamma$ .

Thus, [1] can be made a strict inequality. As such, it follows that

$$\begin{aligned} \text{len}(\hat{\tau}) &= \text{len}(\sigma)_0^{t_0 - \varepsilon} + \text{len}(\tau) \\ &= t_0 - \varepsilon + \text{len}(\tau) && \text{since } \sigma \text{ is normalized} \\ &< t_0 - \varepsilon + 2\varepsilon && \text{by the triangle inequality, [1]} \\ &= t_0 + \varepsilon \\ \implies \text{len}(\hat{\tau}) &< \text{len}(\gamma)_0^{t_0 + \varepsilon}. \end{aligned}$$

Since this can be done for all such  $\varepsilon > 0$  small enough, it follows that  $\gamma$  cannot be minimizing beyond  $\gamma(t_0)$ , meaning that, for some  $0 < \tilde{t} \leq t_0$ ,  $\gamma(\tilde{t})$  is a cut point of  $p$  along  $\gamma$ .

The proof is now complete. ■

**COR.** If  $q$  is a cut point of  $p$  along  $\gamma$ , then  $p$  is a cut point of  $q$  along  $\gamma^-$ ,  $\gamma$  in reverse. In particular, this means that  $q \in C_m(p)$  iff  $p \in C_m(q)$ . (DoCarmo, Ch.13 Cor. 2.7 (pg.271))

**Proof** It follows from the previous proposition that  $q$  is the first conjugate point of  $p$  along  $\gamma$ , or there is a  $\sigma \neq \gamma$  such that  $\text{len}(\sigma) = \text{len}(\gamma)$  joining  $p$  and  $q$ ; both of these statements are, by their nature, reflexive, meaning that it is possible to use the converse in the proposition with regards to the cut points of  $q$ . As such, this means that there is a  $0 < \tilde{t} \leq t_0$  such that  $\gamma^-(\tilde{t})$  is a cut point of  $q$  along  $\gamma^-$ . Using the fact that  $q \in C_m(p)$ , if the suitable  $\tilde{t} < t_0$ , then the minimality of  $\gamma$  near  $p$  would be contradicted. Thus, it must be that  $\tilde{t} = t_0$ . Therefore,  $\gamma^-(t_0) = p$  is the cut point of  $q$  along  $\gamma^-$ . ■

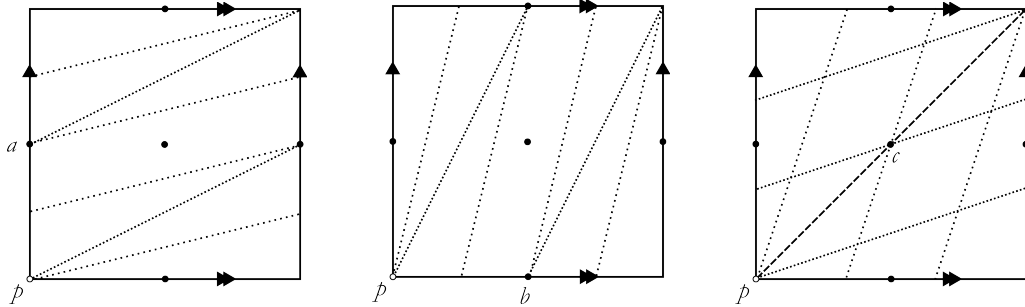
**COR.** If  $q \in M \setminus C_m(p)$ , then there is a unique minimizing geodesic joining  $p$  and  $q$ . (DoCarmo, Ch.13 Cor. 2.8 (pg.271))

**Proof** The existence of a minimizing geodesic follows from the Hopf-Rinow Theorem (Chavel, Thm. I.7.1 (pg.26)). Assume that there are at least two minimizing geodesics,  $\sigma$  and  $\gamma$ , connecting  $p$  and  $q$ . Using the converse in the proposition, it follows that the cut point of  $p$  along  $\gamma$  occurs at some  $\tilde{t} \leq t_0$ . If  $\tilde{t} < t_0$ , the minimality of  $\gamma$  will be contradicted. Also, if  $\tilde{t} = t_0$ , the assumption that  $q \in M \setminus C_m(p)$  would be contradicted. Thus, there is a unique minimizing geodesic joining  $p$  and  $q$ . ■

From this corollary, it follows immediately that  $\exp_p$  is bijective on  $M \setminus C_m(p)$ , making it a diffeomorphism there. As such, the following examples use this to find the cut locus of a manifold.

**EX.** Referring back to the first example of  $S^2$ . It is easy to see that any point on  $S^2$  has its antipodal point as both its conjugate locus (by the first example) and its cut locus (the punctured  $S^2$  is diffeomorphic to  $\mathbb{R}^2$ , consider the stereographic projection as the exponential map). ◀▶

**EX.** Consider the flat torus,  $\bar{\mathbb{T}}^2$ , a square with the usual identifications on the edges (denoted by arrows). Since it is flat, its curvature is, by definition zero; from this it follows that  $\bar{\mathbb{T}}^2$  does not have any conjugate points. Thus, by the previous proposition, all the cut points of some point of  $\bar{\mathbb{T}}^2$  must be the midpoint of a geodesic loop, if they exist. Using the fact that the tangent space at any point of  $\bar{\mathbb{T}}^2$  is a universal covering of  $\bar{\mathbb{T}}^2$ , just as in the Euclidean case, it follows that  $\exp$  map is the identity map and, hence, a diffeomorphism. Looking at loops at  $p \in \bar{\mathbb{T}}^2$  (some depicted below), it is easy to see that the only cut points of  $p$  are  $a$ ,  $b$ , and  $c$  – simple examples of their loops lying on the edges (for  $a$  and  $b$ ) and on the diagonal (for  $c$ ).



Place  $\bar{\mathbb{T}}^2$ , as an unit square, on the integer lattice  $\mathbb{Z}^2 \subset \mathbb{R}^2$  with  $p$  at the origin; note that all points of  $\mathbb{Z}^2$  are equivalent to  $p$  under the identification of  $\bar{\mathbb{T}}^2$ . Now, it follows that all geodesic loops at  $p$  are lines at the origin with rational slope, since these are the only lines that intersect more than one point of  $\mathbb{Z}^2$  after a finite length. From these observations, it follows that the midpoints of these loops are the cut points, and they must lie at the half integers  $\frac{1}{2}\mathbb{Z}^2 \setminus \mathbb{Z}^2$ , which are, up to equivalence,  $a$ ,  $b$ , and  $c$ , as mentioned. ◀▶

In particular, this means that there is an open ball  $B_r(p) \subset M \setminus C_m(p)$  on which  $\exp_p$  is injective (bijective) whenever  $r$  is at most the distance from  $p$  to  $C_m(p)$ . Motivated by this, the following definition arises.

**DEF.** Let the *injectivity radius* of  $M$  be

$$i(M) = \inf_{p \in M} d(p, C_m(p)).$$

With this, it is possible to proceed to the main theorems which has a refined and stricter result than the previous proposition.

**THM.** (Klingenberg) Let  $p \in M$ , and let  $q \in C_m(p)$  such that

$$d(p, q) = d(p, C_m(p)),$$

namely  $q$  is one of the closest points to  $p$  that lies in  $C_m(p)$ . Assume that  $q$  is not the first conjugate point of  $p$  along a minimizing geodesic. Then,  $q$  is the midpoint a unique geodesic loop at  $p$ .

More specifically, if  $M$  is compact and the sectional curvatures of  $p \in M$  are bounded,  $\mathcal{K}(p) \leq \delta$ , then

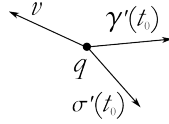
$$i(M) \geq \min \left\{ \frac{\pi}{\sqrt{\delta}}, \frac{\ell(M)}{2} \right\},$$

where  $\ell(M)$  is the length of the shortest geodesic loop in  $M$ . (Chavel, Thm. III.2.4 (pg.118), DoCarmo, Ch.13 Prop. 2.12 (pg.274))

**Proof** Let  $\gamma$  be a minimizing geodesic joining  $p$  and  $q$ , with  $\text{len}(\gamma) = t_0$ . Given the assumptions of the theorem, it follows from the previous proposition, that it must be the case that there are two distinct geodesics of the same length joining  $p$  and  $q$ ; namely, there is a  $\sigma \neq \gamma$  with  $\text{len}(\sigma) = \text{len}(\gamma)$ , making it also a minimizing geodesic.

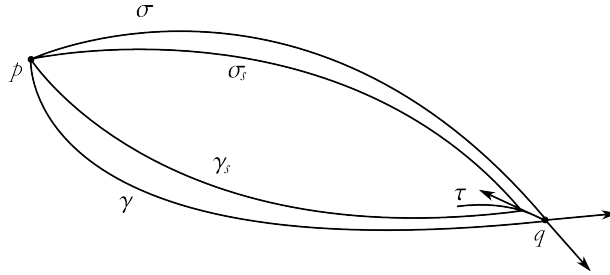
Assume, for the sake of contradiction, that  $\gamma$  and  $\sigma$  do not form a geodesic loop, namely  $\gamma'(t_0) \neq -\sigma'(t_0)$ . From this, it follows that there is a  $v \in T_q M$  such that

$$\langle v, \gamma'(t_0) \rangle < 0 \quad \text{and} \quad \langle v, \sigma'(t_0) \rangle < 0.$$



As mentioned in the proof of the previous proposition, since  $q$  is not a conjugate point of  $p$ , it follows that there is a neighborhood  $U_\gamma \subset T_q M$  of  $\gamma'(t_0) = t_0 \gamma'(0)$  on which  $\exp_p$  is a diffeomorphism. Let  $\tau : (-\varepsilon, \varepsilon) \rightarrow \exp_p(U_\gamma) \subset M$  be the geodesic at  $\tau(0) = q$  with  $\tau'(0) = v$ ; the pre-image of  $\tau$  is  $u_\gamma$  in  $U_\gamma$ ,  $\exp_p u_\gamma(s) = \tau(s)$  for  $s \in (-\varepsilon, \varepsilon)$ .

From this, it is possible to define a (not necessarily normalized) variation of  $\gamma$ :  $\gamma_s(t) = \exp_p \frac{t}{t_0} u_\gamma(s)$  for  $t \in [0, t_0]$ , which has one endpoint fixed at  $p$ . In a similar manner, define a variation of  $\sigma$ :  $\sigma_s(t) = \exp_p \frac{t}{t_0} u_\sigma(s)$ .



The first variation of these are, for  $\alpha = \gamma, \sigma$ , and the variation field along  $\gamma$  called  $V(t)$ ,

$$\begin{aligned} E'_\alpha(0) &= \langle V, \alpha' \rangle|_0^{t_0} - \underbrace{\int_0^{t_0} \left\langle V, \frac{D}{dt} \alpha' \right\rangle dt}_0 \\ &\quad \text{since } \frac{D}{dt} \alpha' = 0 \text{ by parallel transport} \\ &= \langle V(t_0), \alpha'(t_0) \rangle - \underbrace{\langle V(0), \alpha'(0) \rangle}_0 \\ &\quad \text{since } V(0) = 0 \text{ by construction} \end{aligned}$$

given by the formula in Chavel, Thm. II.4.1 (pg.74). Explicitly, since  $V(t_0) = v$  by construction,

$$E'_\gamma(0) = \langle v, \gamma'(t_0) \rangle < 0 \quad \text{and} \quad E'_\sigma(0) = \langle v, \sigma'(t_0) \rangle < 0.$$

This implies that, for small enough  $s$ , it is the case that  $\text{len}(\gamma_s) < \text{len}(\gamma)$  and  $\text{len}(\sigma_s) < \text{len}(\sigma)$ . Now, in cases,

when  $\text{len}(\gamma_s) = \text{len}(\sigma_s)$ :

It follows from the previous proposition that there is a cut point of  $p$  along  $\gamma_s$  at  $\gamma_s(\tilde{t})$  for some  $t \in (0, t_0]$ , meaning, by construction,

$$d(p, \gamma_s(\tilde{t})) \leq \text{len}(\gamma_s) < \text{len}(\gamma) = d(p, C_m(p)),$$

contradicting the initial definition of  $q$ .

when  $\text{len}(\gamma_s) < \text{len}(\sigma_s)$ :

It follows that  $\sigma_s$  is not minimizing; from this it follows that the cut point of  $p$  along  $\sigma_s$  is at  $\sigma_s(\tilde{t})$  for some  $t \in (0, t_0]$ , meaning, by construction,

$$d(p, \sigma_s(\tilde{t})) < \text{len}(\sigma_s) < \text{len}(\sigma) = d(p, C_m(p)),$$

contradicting the initial definition of  $q$ .

when  $\text{len}(\gamma_s) > \text{len}(\sigma_s)$ :

Similar to the previous case, contradiction.

Thus,  $\gamma$  and  $\sigma$  form a geodesic loop at  $p$ . Since the choice of these geodesics was arbitrary, it must be the case that any two distinct minimizing geodesics joining  $p$  and  $q$  must form a loop; in particular, having found a loop, any third minimizing geodesic from  $p$  to  $q$  forms a loop with one of the geodesics in the original loop, forcing it to be in the original loop by the parallel tangent vector argumentation. Therefore,  $\gamma$  and  $\sigma$  must form a unique geodesic loop at  $p$ , and, since  $\text{len}(\gamma) = \text{len}(\sigma)$ , it follows that  $q$  is the midpoint of this loop.

In the case, where  $M$  is compact, the cut locus of any point in  $M$  is bounded, as mentioned earlier. Let  $p$  and  $q$  be as in the initial assumptions of the theorem, and let  $t_{p,q}$  be the length of a minimizing geodesic joining them. There are two cases:

- If  $q$  is not a conjugate point of  $p$  along some geodesic, it follows that  $q$  is the midpoint of some geodesic loop; this makes the distance  $d(p, q) = t_{p,q}$  equal to half the length of the geodesic loop.
- If  $q$  is a conjugate point of  $p$  along some geodesic, it follows from the Morse-Schönberg Theorem (Chavel, Thm. II.6.3 (pg.86)), that

$$t_{p,q} \geq \frac{\pi}{\sqrt{\delta}}.$$

By definition of the injectivity radius,  $i(\cdot)$ , it follows that the infimum of each of these if taken over all  $p \in M$ ; taking the minimum of both of those infimums, thus, yields the lower bound

$$i(M) \geq \min \left\{ \frac{\pi}{\sqrt{\delta}}, \frac{\ell(M)}{2} \right\}.$$

This completes the proof. ■

With a few more restrictions, this bound can be improved as evidenced in the following proposition.

**PROP.** If the section curvature,  $\mathcal{K}$ , on a compact orientable even-dimensional Riemannian manifold,  $M$ , is such that  $0 < \mathcal{K} \leq 1$ , then  $i(M) \geq \pi$ . (DoCarmo, Ch.13 Prop. 3.4 (pg.281))

**Proof** It follows from that fact that  $M$  is compact (hence, bounded) that there are  $p, q \in M$  such that  $q \in C_m(p)$  and  $d(p, q) = i(M)$ . Assume for the sake of contradiction that  $d(p, q) < \pi$ .

Letting  $\delta = 1$ , it follows from the Morse-Schönberg Theorem (Chavel, Thm. II.6.3 (pg.86)) that, if  $q$  is conjugate to  $p$  along some geodesic, then

$$d(p, q) \geq \frac{\pi}{\sqrt{\delta}} = \pi,$$

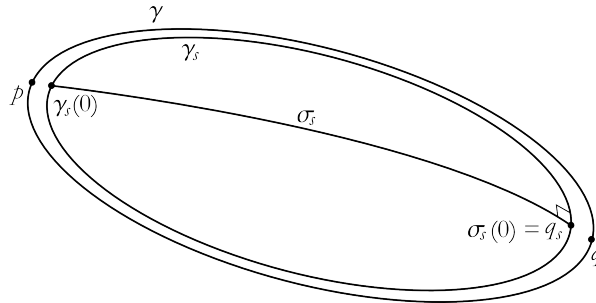
which contradicts the assumption. Thus,  $q$  cannot be conjugate to  $p$  along any geodesic.

Now, with this, it follows from the previous theorem, the Klingenberg Lemma, that  $q$  is the midpoint of a unique geodesic loop,  $\gamma$ , at  $p$ , which is such that  $\text{len}(\gamma) = t_0 < 2\pi$ . Also, note that, by definition, the parallel transport along  $\gamma$  is an orientation-preserving ( $T_{\gamma(t)}M$  is even-dimensional, orientable) orthogonal (preserves basis) linear transformation on  $(\gamma'(t))^\perp \subset T_{\gamma(t)}M$  – intuitively, this is because only vectors perpendicular to  $\gamma'(t)$  are acted upon by the parallel transport. Inasmuch as this, following from DoCarmo, Ch.9 Lemma 3.8 (pg.203), it is the case that the parallel transport along  $\gamma$  leaves invariant a vector  $v$ , which is orthogonal to  $\gamma$ ; call the corresponding vector field  $V(t)$  gotten by parallel transport of  $v$  along  $\gamma$ . Since  $\gamma$  is a geodesic, it follows that the first variation is 0 by DoCarmo, Ch.9 Prop. 2.5 (pg.196). Calculating the second variation induced by  $V(t)$  with the formula in DoCarmo, Ch.9 Prop. 2.8 (pg.197)

$$\begin{aligned} E''_V(0) &= -2 \int_0^{t_0} \left\langle V, \frac{D^2 V}{dt^2} + R(\gamma', V) \gamma' \right\rangle dt - \sum_{i=1}^k \left\langle V(t_i), \frac{DV}{dt}(t_i^+) - \frac{DV}{dt}(t_i^-) \right\rangle \\ &= -2 \int_0^{t_0} \langle V, R(\gamma', V) \gamma' \rangle dt && \frac{DV}{dt} = 0 = \frac{D^2 V}{dt^2} \text{ by parallel transport} \\ &= -2 \int_0^{t_0} \left( \underbrace{\langle V, V \rangle \langle \gamma', \gamma' \rangle}_{> 0} - 2 \underbrace{\langle \gamma', V \rangle}_{0} \right) dt && \text{by DoCarmo, Ch.4 Lemma 3.4 (pg.96)} \\ &&& \text{by orthogonality} \\ &< 0. \end{aligned}$$

Thus, there is a variation of  $\gamma$ , call it  $\gamma_s$  with  $s \in [0, \varepsilon]$ , such that  $\text{len}(\gamma) > \text{len}(\gamma_s)$  for all  $s \neq 0$ .

For each loop  $\gamma_s$ , let  $q_s$  be the point of  $\gamma_s$  farthest from  $\gamma_s(0)$ . By the initial choice of  $p$  and  $q$ , it follows from the fact that  $d(\gamma_s(0), q_s) < d(p, q)$ , that there is a unique minimizing geodesic  $\sigma_s$  joining  $q_s = \sigma_s(0)$  and  $\gamma_s(0)$ . Since  $\sigma_s$  is a minimizing geodesic for all  $s \in (0, \varepsilon]$ , it follows from continuity that  $\lim_{s \rightarrow 0} \sigma_s \rightarrow \sigma$  is also a minimizing geodesic joining  $\lim_{s \rightarrow 0} \gamma_s(0) = p$  (by construction of the variation) and  $\lim_{s \rightarrow 0} q_s = q$  (using the fact that  $q$  is the distinct point of  $\gamma$  farthest from  $p$ ).



Construct a variation of this variation:  $\sigma_{s,t}$ , for each  $s \in [0, \varepsilon]$ , is the unique minimizing geodesic joining  $\gamma_s(0)$  and  $\gamma_s(t)$ , near  $q_s$ . The uniqueness of  $\sigma_{s,t}$  for each  $t$  follows from the initial choice of  $p$  and  $q \in C_m(p)$  as  $d(p, q) = i(M)$ , and the use of previous theorem: if it were not unique, a new choice of  $p$  and  $q \in C_m(p)$  would make  $d(p, q) < i(M)$ , contradicting the definition of  $i(M)$ . Using the fact that  $\sigma_{s,t}$  is a geodesic, it follows that the first variation is 0 by DoCarmo, Ch.9 Prop. 2.5 (pg.196); in turn, it follows that the inner product of  $\sigma'_s(0)$  and  $\gamma'_s$  at  $q_s$  is 0 for all  $s \in [0, \varepsilon]$ ,



making them orthogonal by definition: call the variation field  $Y$ , and using the formula from Chavel, Thm. II.4.1 (pg.74),

$$\begin{aligned}
E'(0) &= \langle Y, \sigma'_s \rangle_0^{\text{len}(\sigma_s)} - \int_0^{\text{len}(\sigma_s)} \underbrace{\left\langle Y, \frac{D}{dt} \sigma'_s \right\rangle}_{\substack{0 \\ \text{since } \frac{D}{dt} \sigma'_s = 0 \text{ by} \\ \text{parallel transport}}} dt \\
&= \underbrace{\langle Y(\text{len}(\sigma_s)), \sigma'_s(\text{len}(\sigma_s)) \rangle}_0 - \langle Y(0), \sigma'_s(0) \rangle \\
&\quad \substack{0 \\ \text{since } Y(\text{len}(\sigma_s)) = 0 \\ \text{by construction}} \\
\implies \langle Y(0), \sigma'_s(0) \rangle &= 0 \quad \text{where } Y(0) \text{ is } \gamma_s \text{ at } q_s.
\end{aligned}$$

From continuity again, it follows that, in the limit,  $\sigma'(0)$  is orthogonal to  $\gamma'$  at  $q$ . However, since  $q$  is not a conjugate point of  $p$ , this is a contradiction to the result of Klingenberg Lemma. Therefore,  $d(p, q) = \underline{i(M)} \geq \pi$ , completing the proof.  $\blacksquare$

As a side-note, in the Euclidean case, it is easier to see the fact used in the previous proof that a minimizing geodesic connecting a point and the point farthest from it on a loop, is perpendicular to the loop at that farthest point. Consider a loop (a smooth closed curve),  $\Gamma$ , in Euclidean space,  $\mathbb{R}^n$ . Let  $p \in \Gamma$ , and let  $\Gamma_p$  be the loop with  $p$  translated to the origin to simplify without loss of generality. Now, let  $q \in \Gamma_p$  be a point farthest from the origin. Parametrize  $\Gamma_p$  on  $[a, b) \ni t$ :

$$\Gamma_p(t) = (x_1(t), \dots, x_n(t)).$$

Let  $t_0 \in [a, b)$  be such that  $\Gamma_p(t_0) = q$ . Using the fact that a maximum corresponds to a singularity in the first derivative, and that maximizing a non-negative function is equivalent to maximizing its square, it follows that

$$\left. \frac{d}{dt} |\Gamma_p(t)|^2 \right|_{t=t_0} = 2 \sum_{i=1}^n x'_i(t_0) x_i(t_0) = 0,$$

which can be written as

$$2\Gamma'_p(t_0) \cdot q = 0,$$

implying that the direction of  $\Gamma'_p(t_0)$  is orthogonal to the direction of  $q$ . Noticing that the distance from the origin to  $q$  is the length of the minimizing geodesic, a line, joining the origin and  $q$ , it is easy to see that the tangent vector to this minimizing geodesic is in the direction of  $q$ . Written in the terms used in the previous proof,  $\Gamma'_p$  at  $q$  is orthogonal to the tangent vector at  $q$  of the minimizing geodesic joining the origin and  $q$ .