

# Learning to translate with neural networks

Michael Auli  
Microsoft Research

# What happened in MT over the past 10 years?



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“Learning **simple models** from large bi-texts is a solved problem”  
(Lopez & Post, 2013)



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WMT 2013



9/10 times

# Phrase-based Translation

Koehn et al. (2003)

本 地区 的      发展 和      进步      。

development    and progress    of the region    .

# Phrase-based Translation

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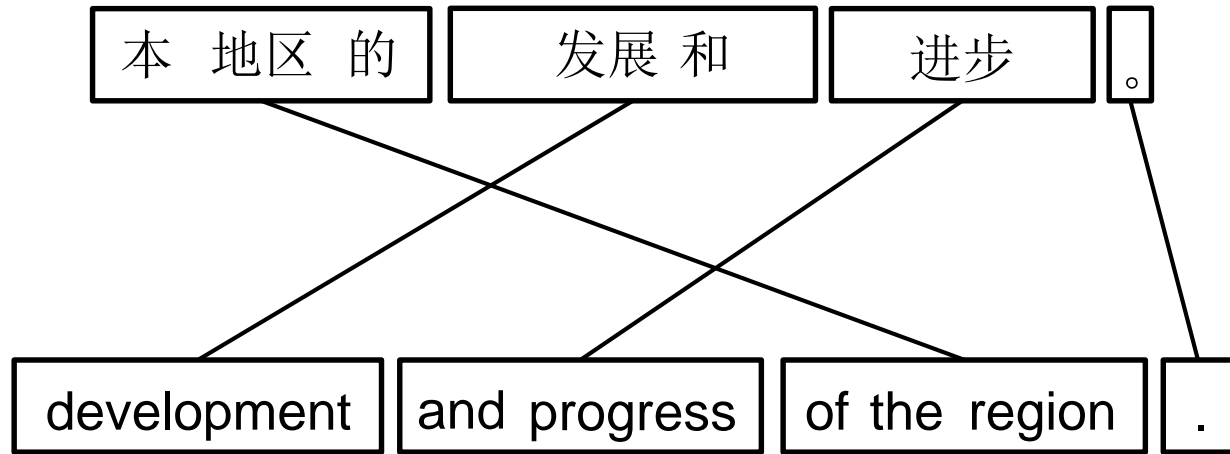
and progress

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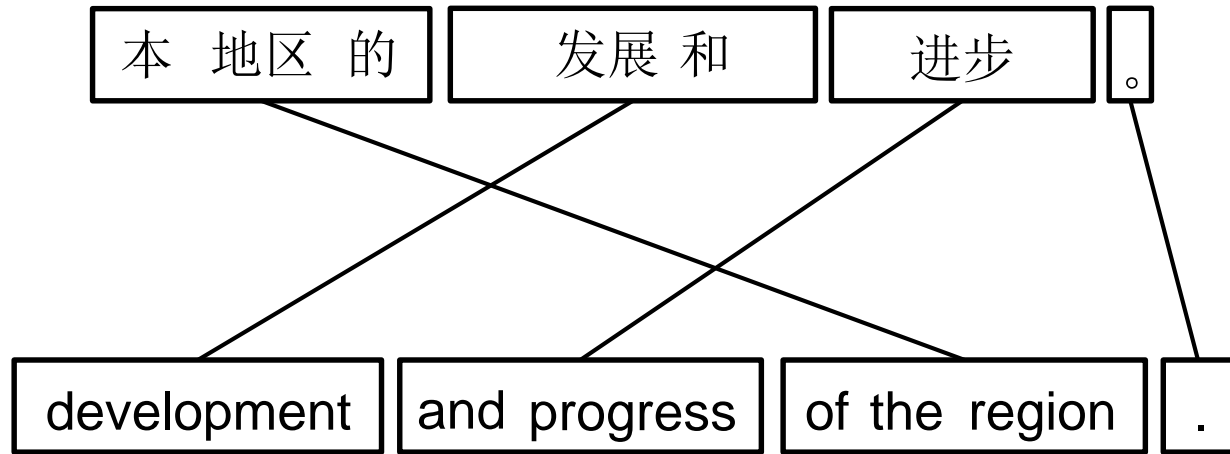
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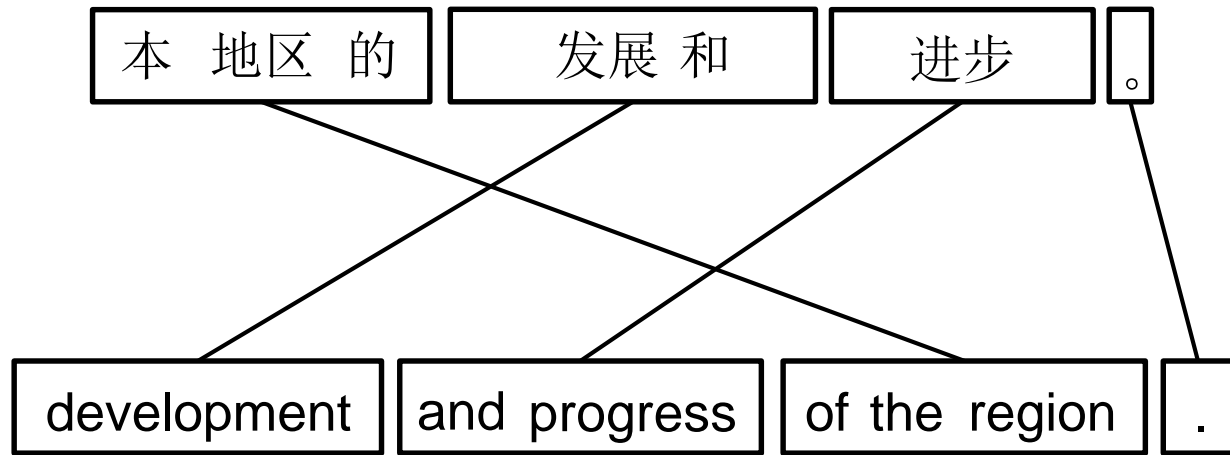


本 地区 的 → of the region  
发展 → development  
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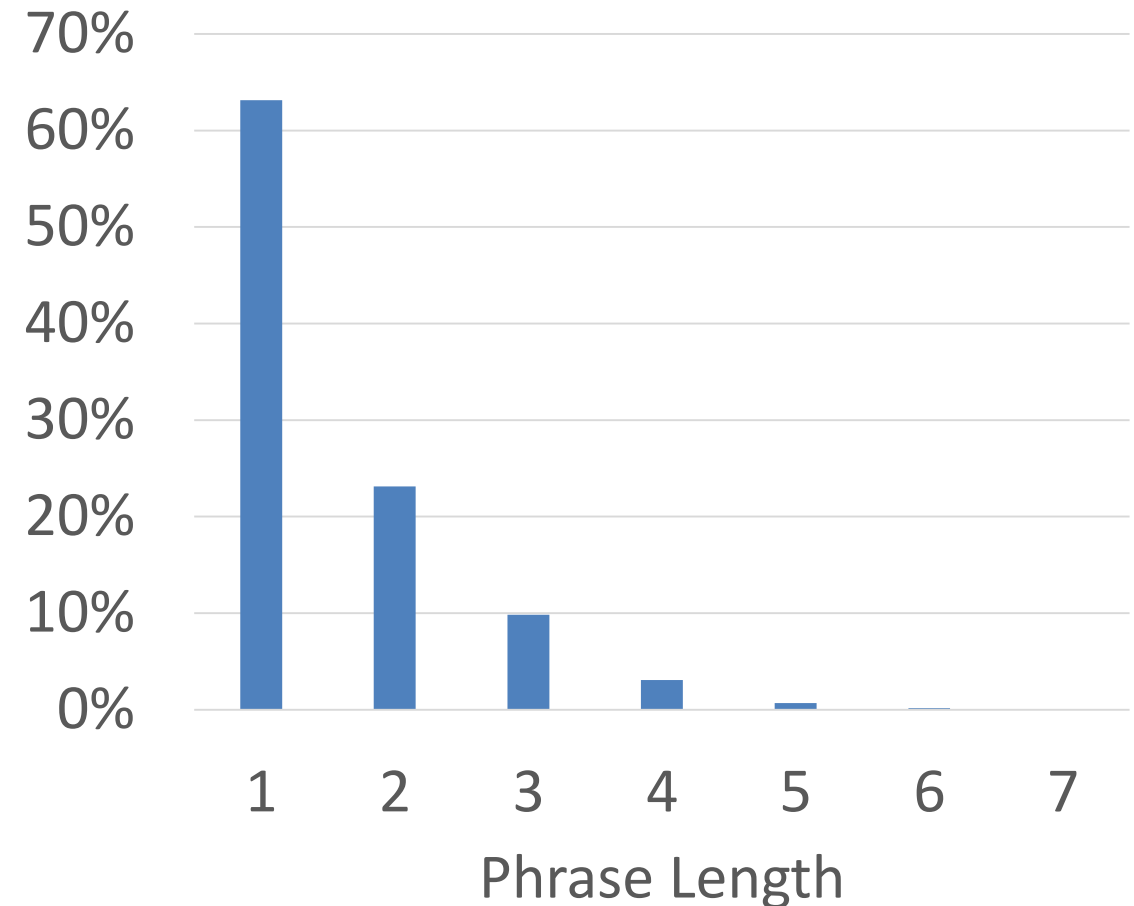


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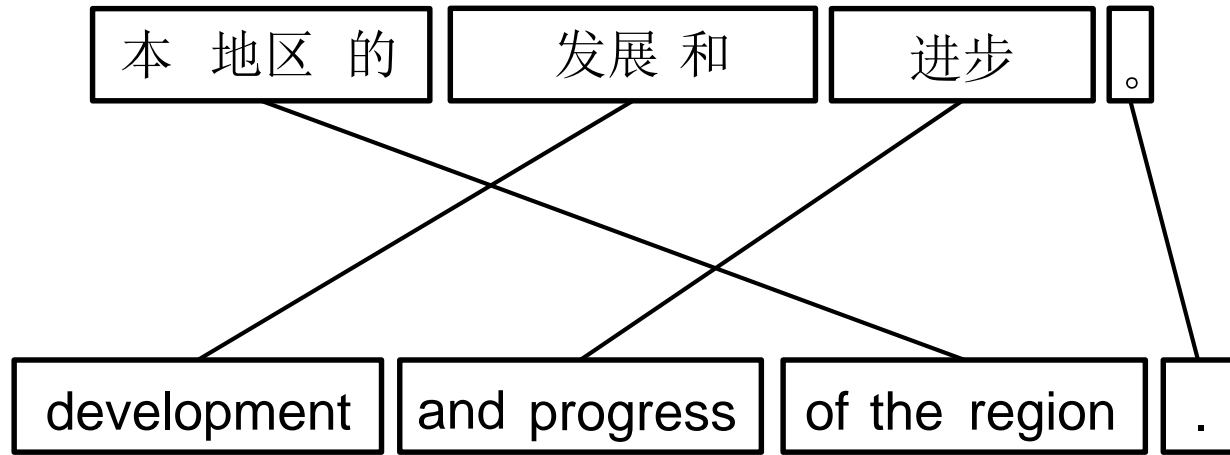


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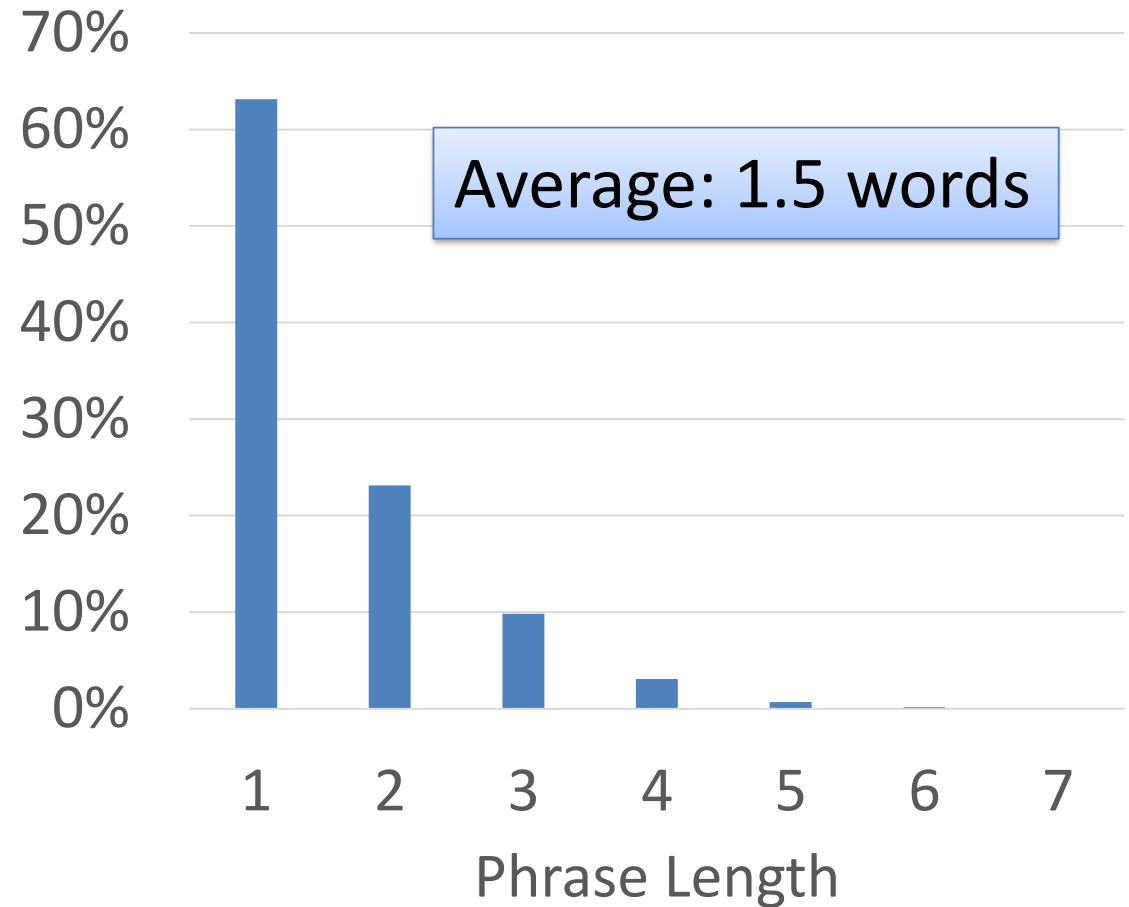


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# n-gram Language Modeling

Kneser & Ney (1996)

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Train data:

...  
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the region  
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$$p(\text{progress in the region}) = \\ p(\text{progress}) p(\text{in}) \\ p(\text{the}) p(\text{region}|\text{the})$$

Train data:

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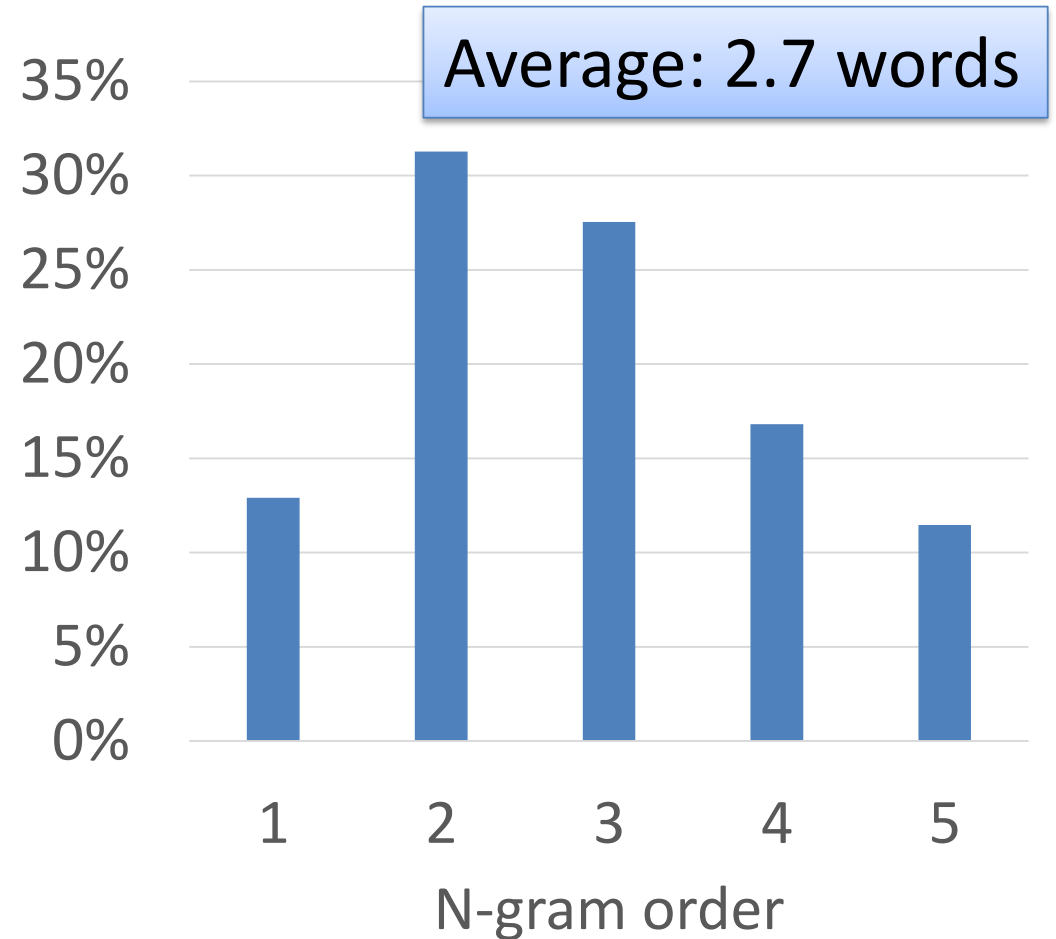
# n-gram Language Modeling

Kneser & Ney (1996)

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Train data:

...  
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...



Does not include out-of-vocabulary tokens

# How can we improve on this?

- Or: how to capture relationships beyond 1.5 - 2.7 words
- Neural networks: From discrete to distributional representations
- Recurrent nets: From fixed length contexts to unbounded histories



# Overview

- **Recurrent neural network joint models** (Auli et al., EMNLP 2013)  
Combined language and translation modeling
- **Minimum translation modeling with recurrent nets** (Hu et al., EACL 2014)  
Sequence models over bilingual units
- **Training recurrent nets** (Auli & Gao, ACL 2014)  
Expected BLEU training for neural network translation models
- **Large-scale discriminative sparse ordering models** (Auli et al., in submission)  
Training millions of linear ordering features with expected BLEU

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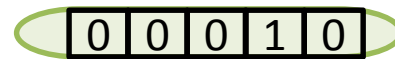
- Large-scale discriminative sparse ordering models (Auli et al., in submission)

Training millions of linear ordering features with expected BLEU

# Feed-forward Network

and

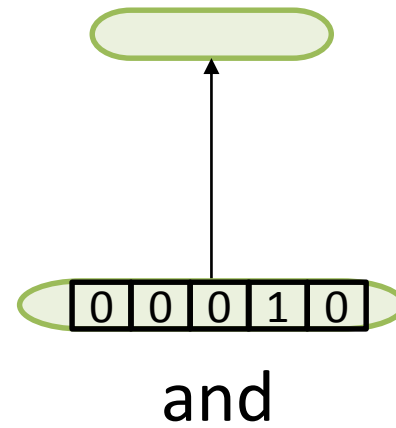
# Feed-forward Network



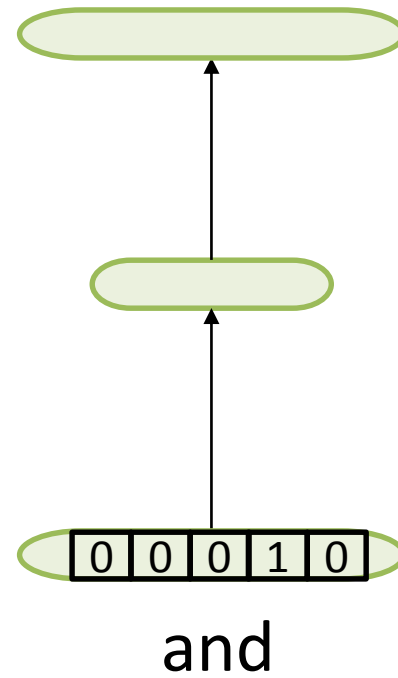
0	0	0	1	0
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and

# Feed-forward Network

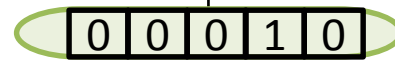


# Feed-forward Network



# Feed-forward Network

$p(\mathbf{progress} | \mathbf{and})$

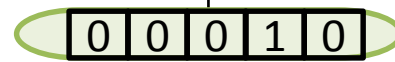


$\mathbf{and}$



# Feed-forward Network

$p(\mathbf{progress} | \mathbf{and})$

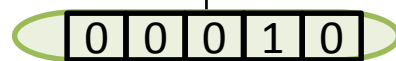


$\mathbf{and}$

Still based on  
limited context!

# Recurrent Network

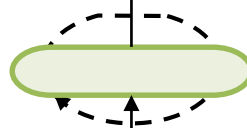
$p(\mathbf{progress} | \mathbf{and})$



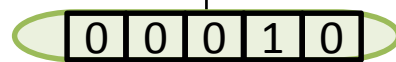
$\mathbf{and}$

# Recurrent Network

$p(\mathbf{progress} | \mathbf{and})$



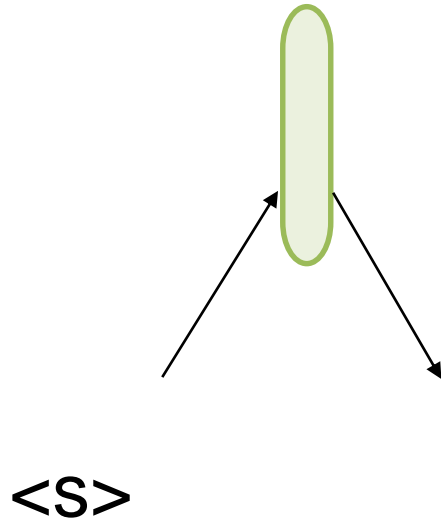
Dependence on  
previous time steps



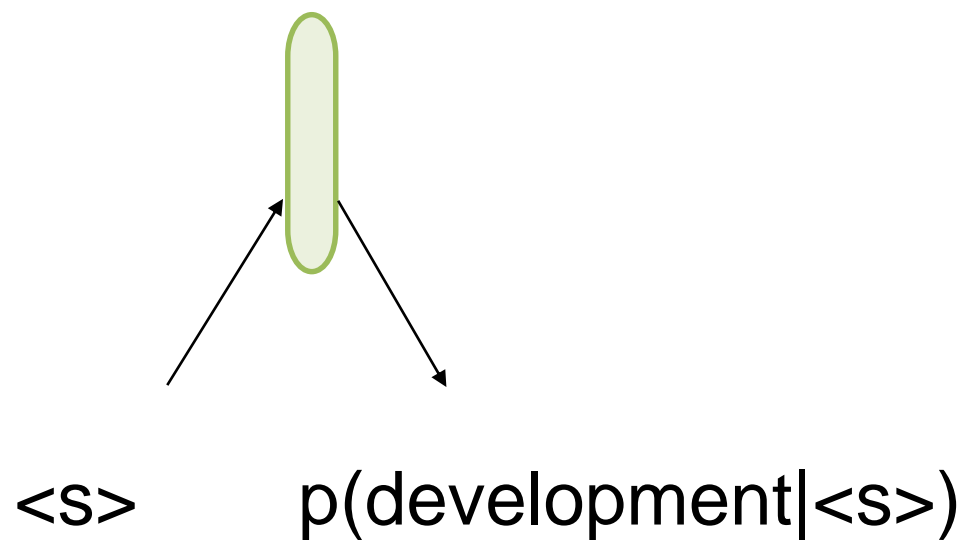
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# Recurrent Network

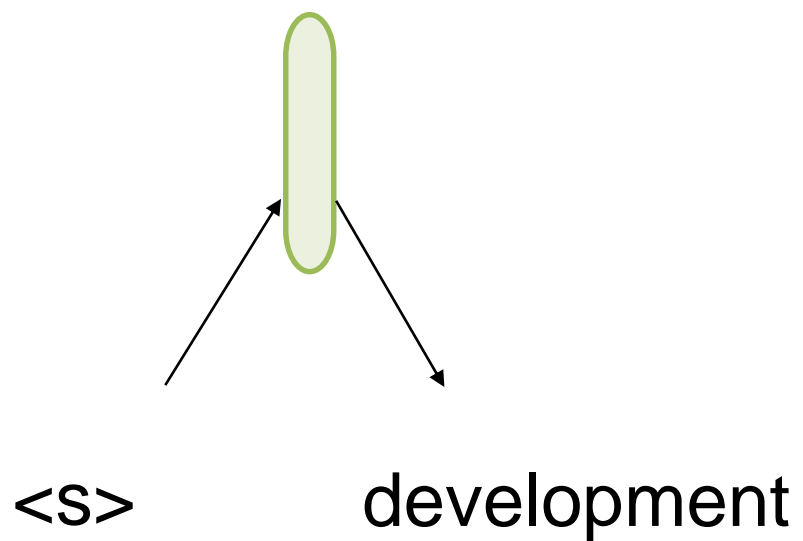
# Recurrent Network



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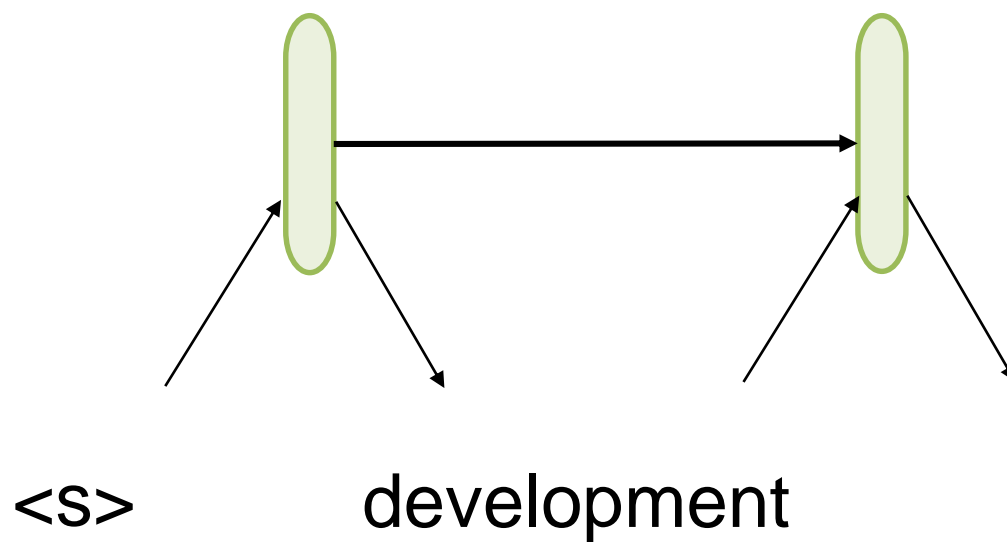


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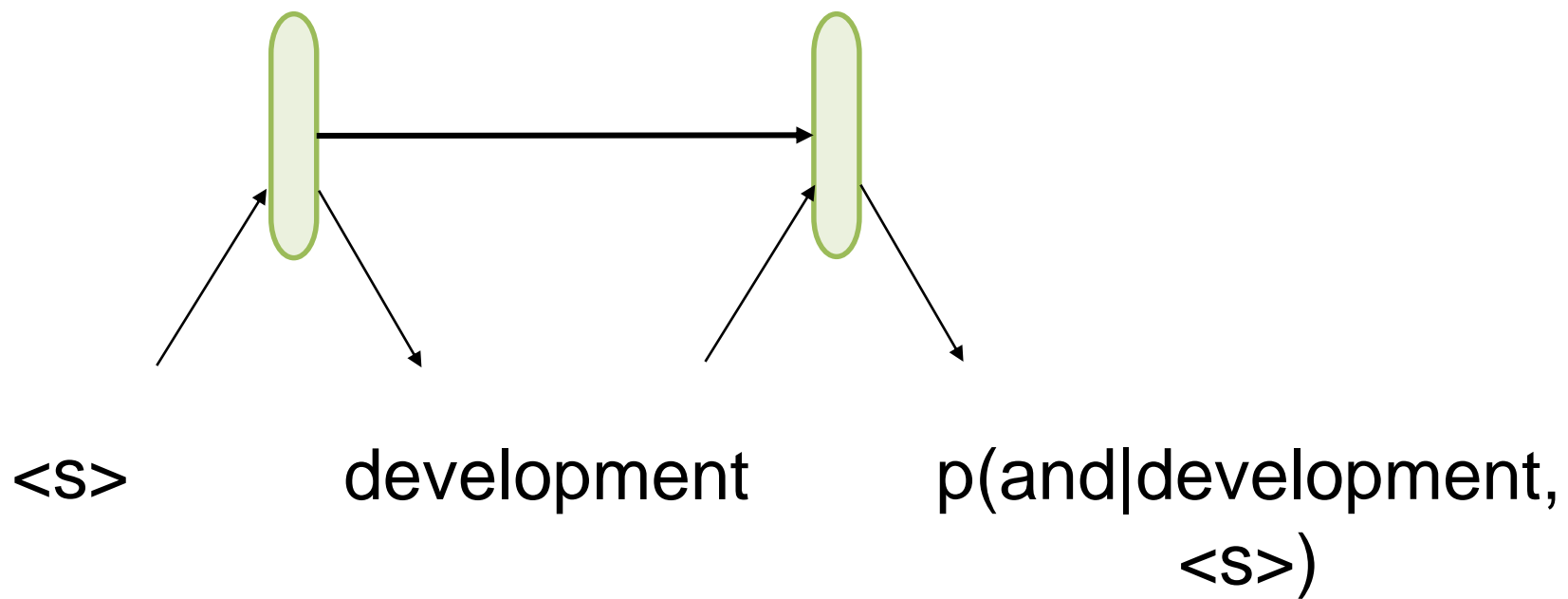




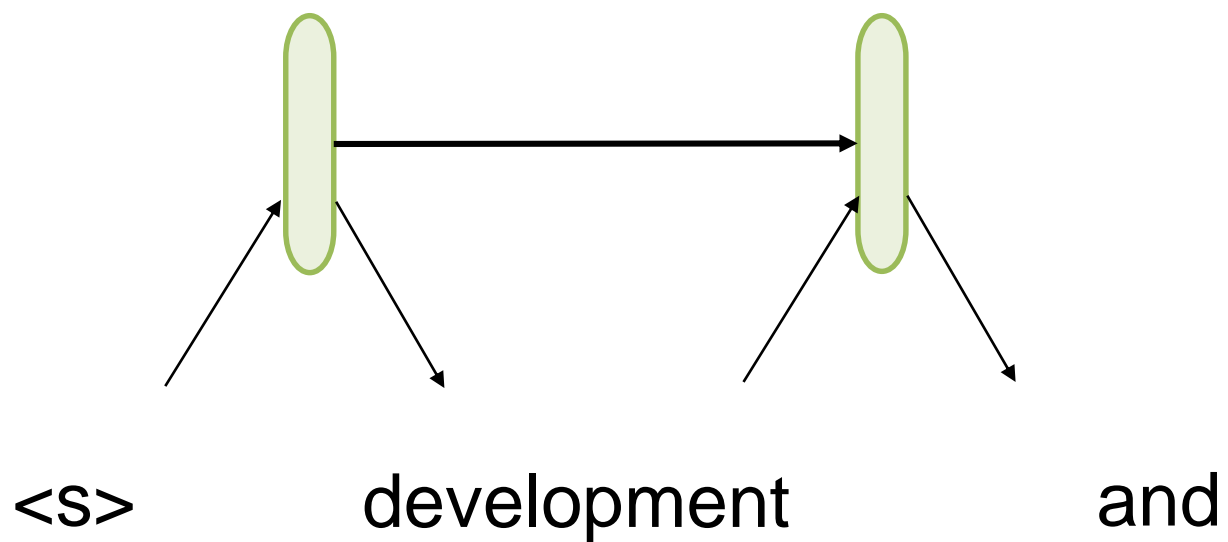
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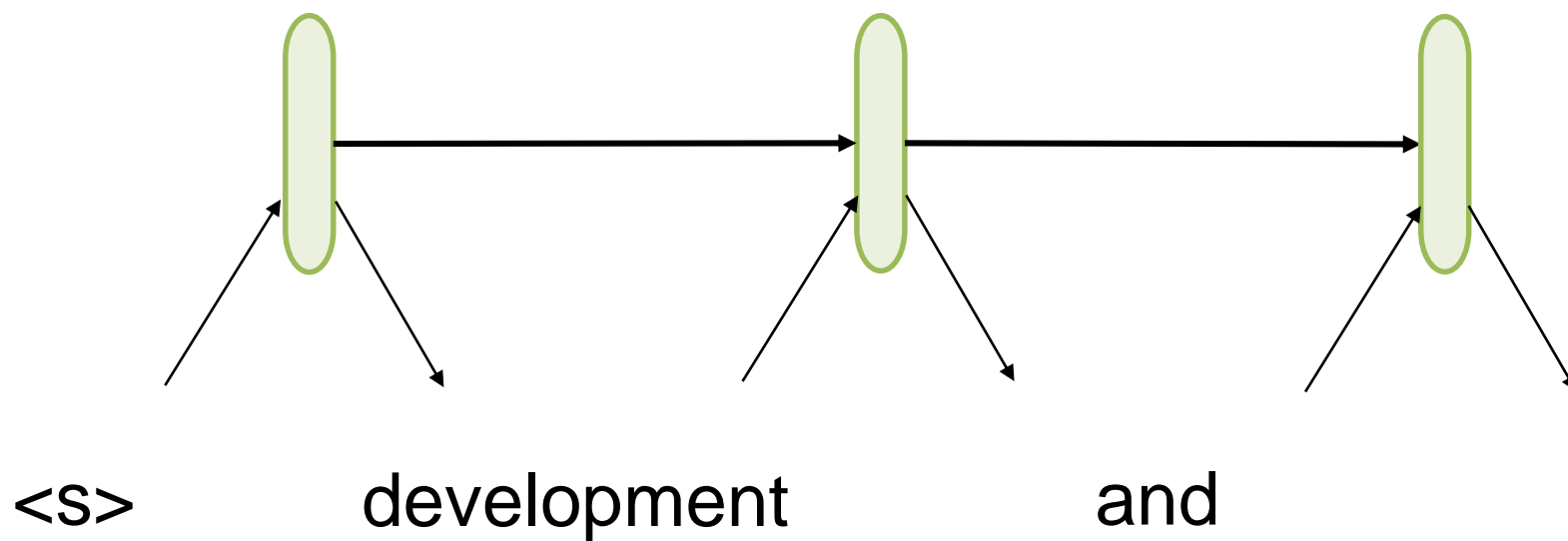
# Recurrent Network



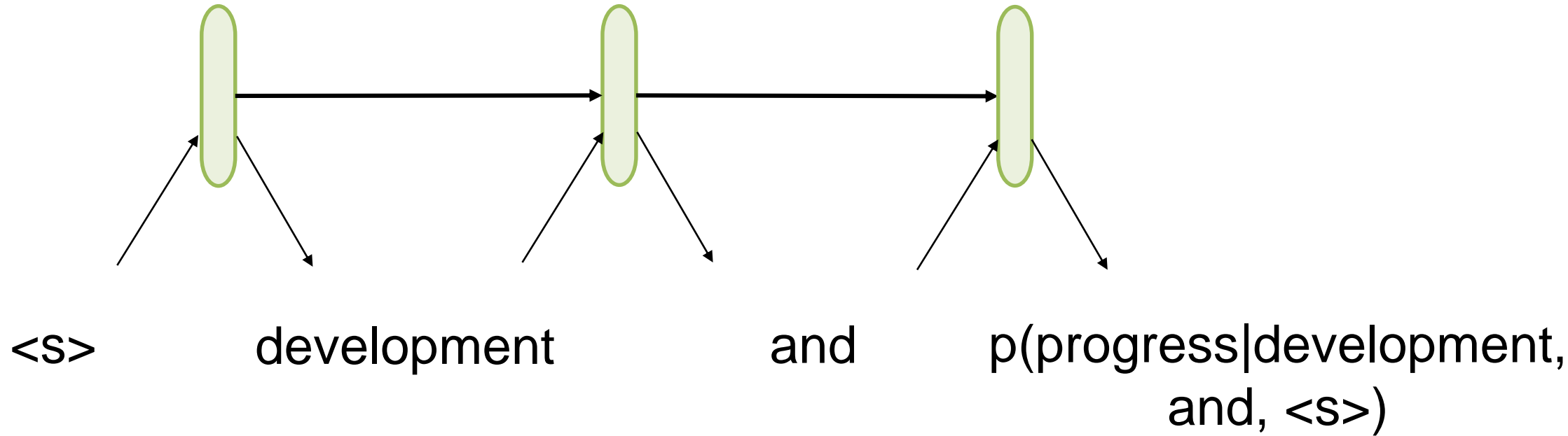
# Recurrent Network



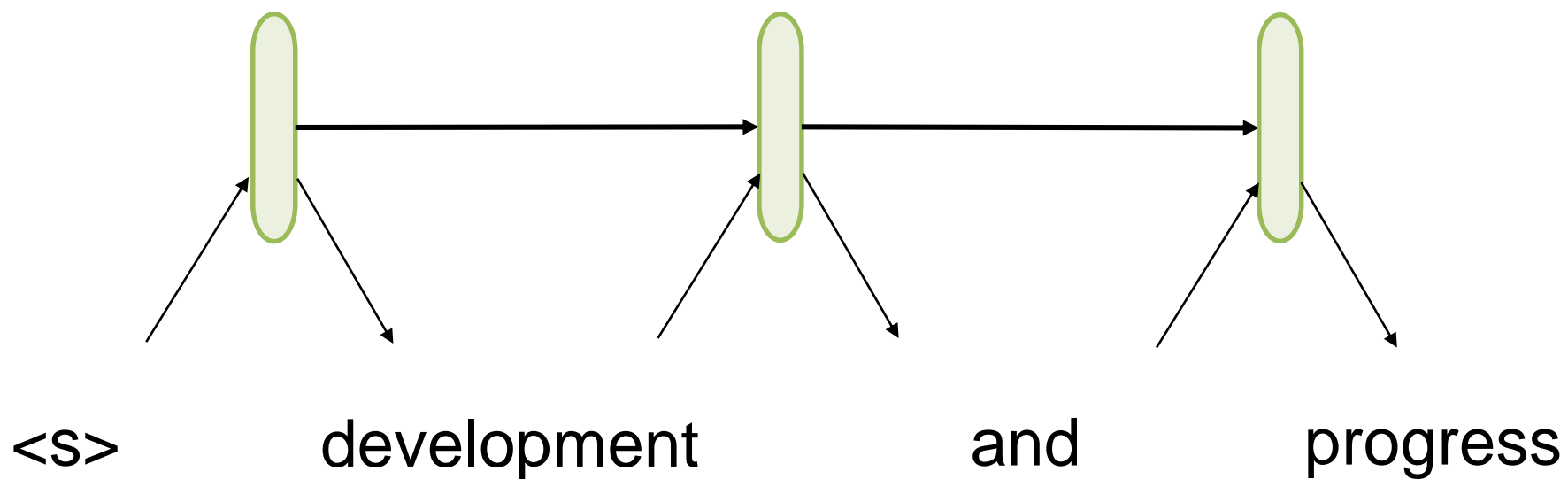
# Recurrent Network



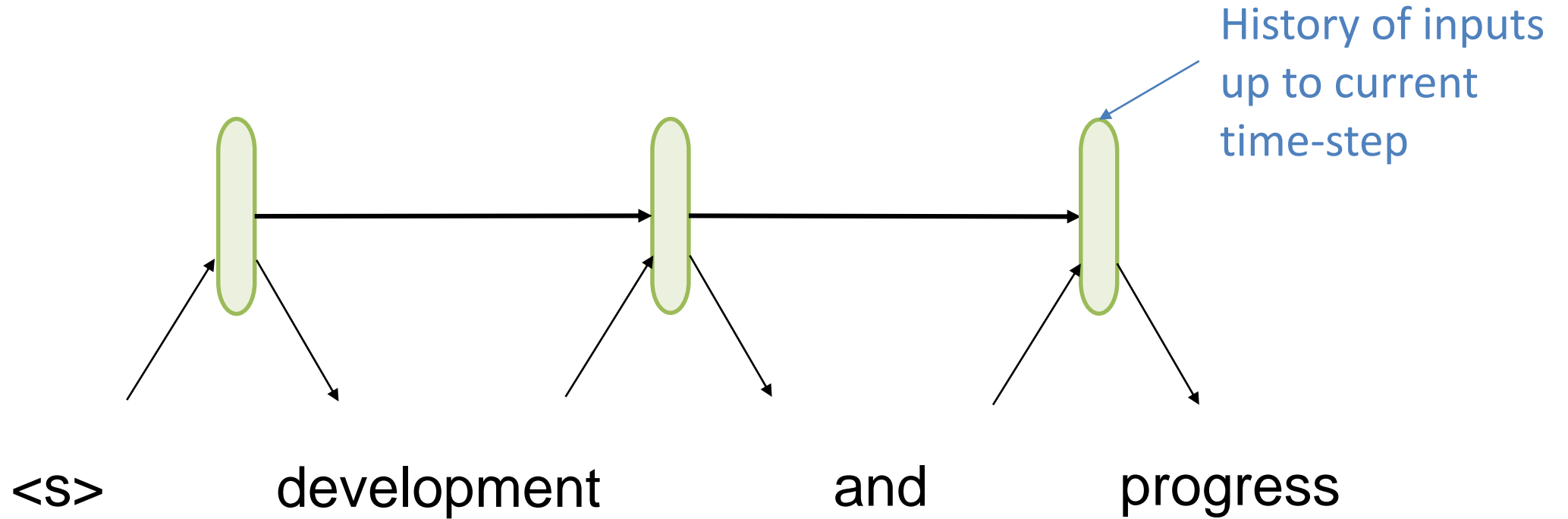
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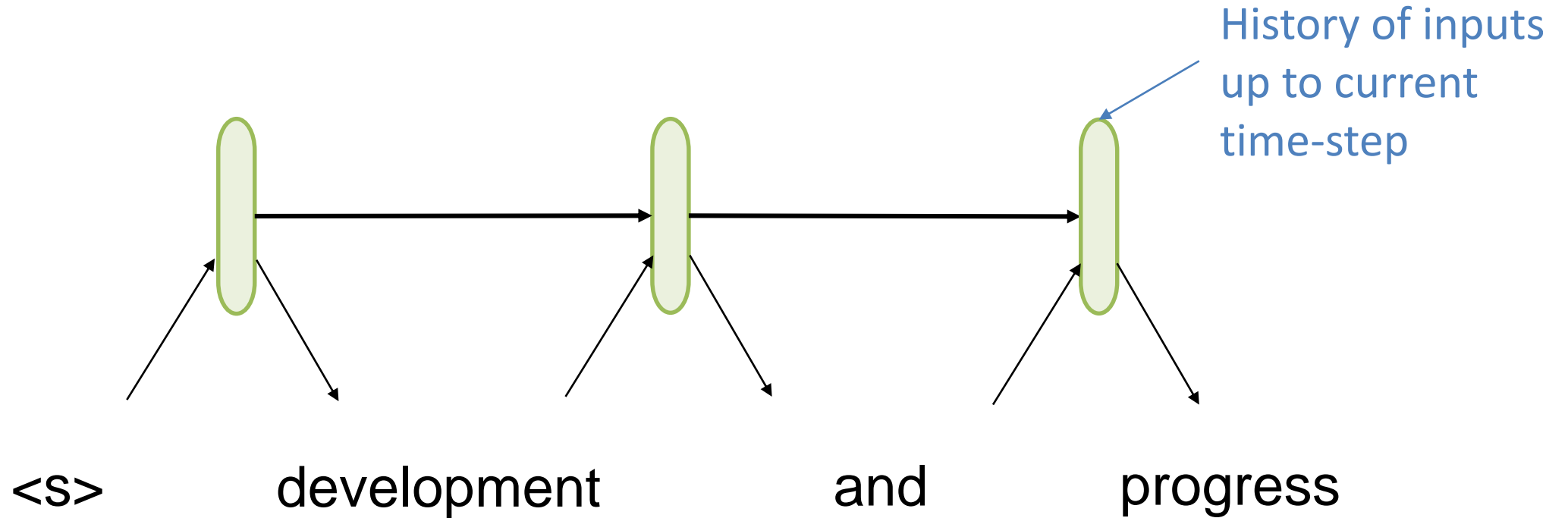


# Recurrent Network





# Recurrent Network



State of the art in language modeling (Mikolov 2011)

More accurate than feed-forward nets (Sundermeyer 2013)

# Recurrent Network Joint Model

本 地 区 的 发 展 和 进 步

# Recurrent Network Joint Model

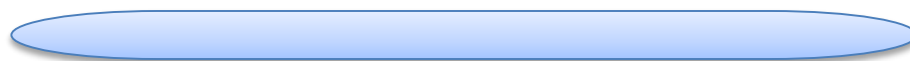
本 地 区 的 发 展 和 进 步



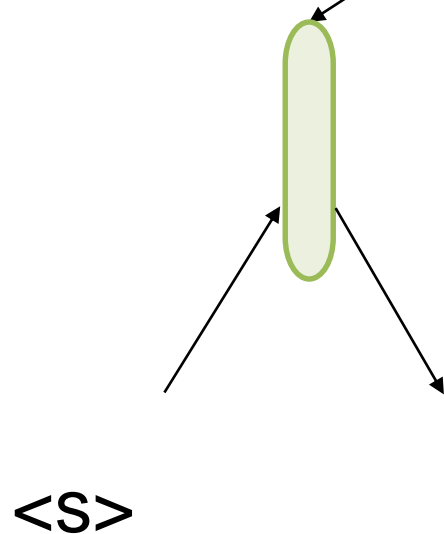
Entire source sentence  
representation

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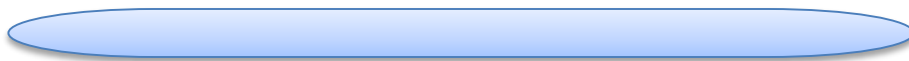


Entire source sentence  
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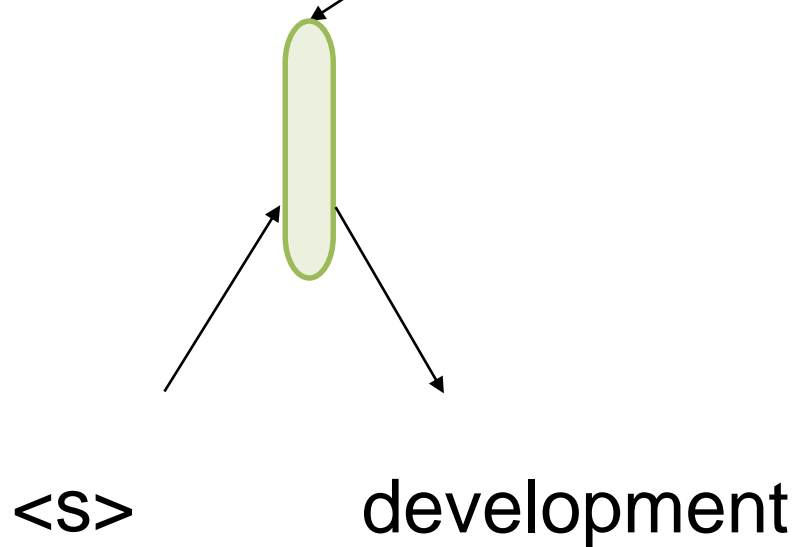


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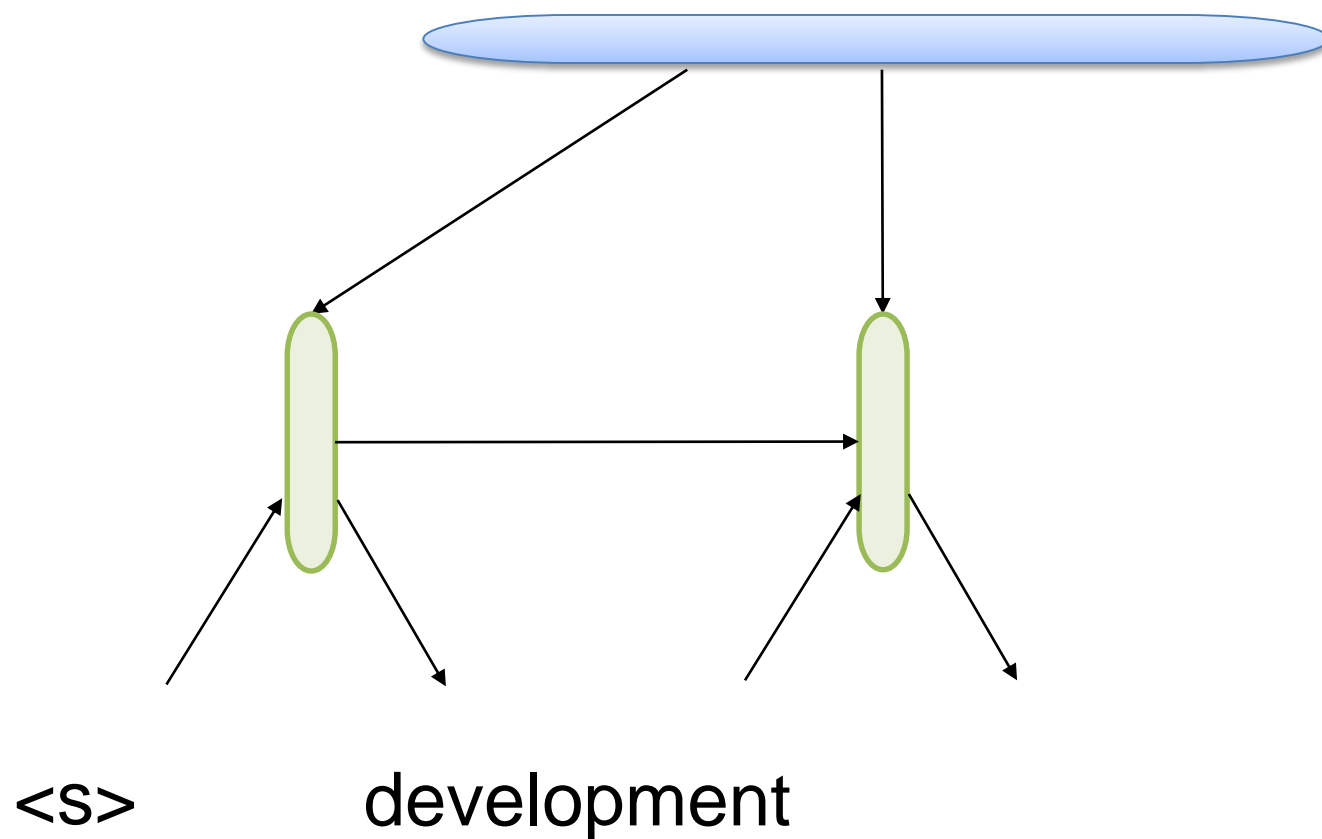


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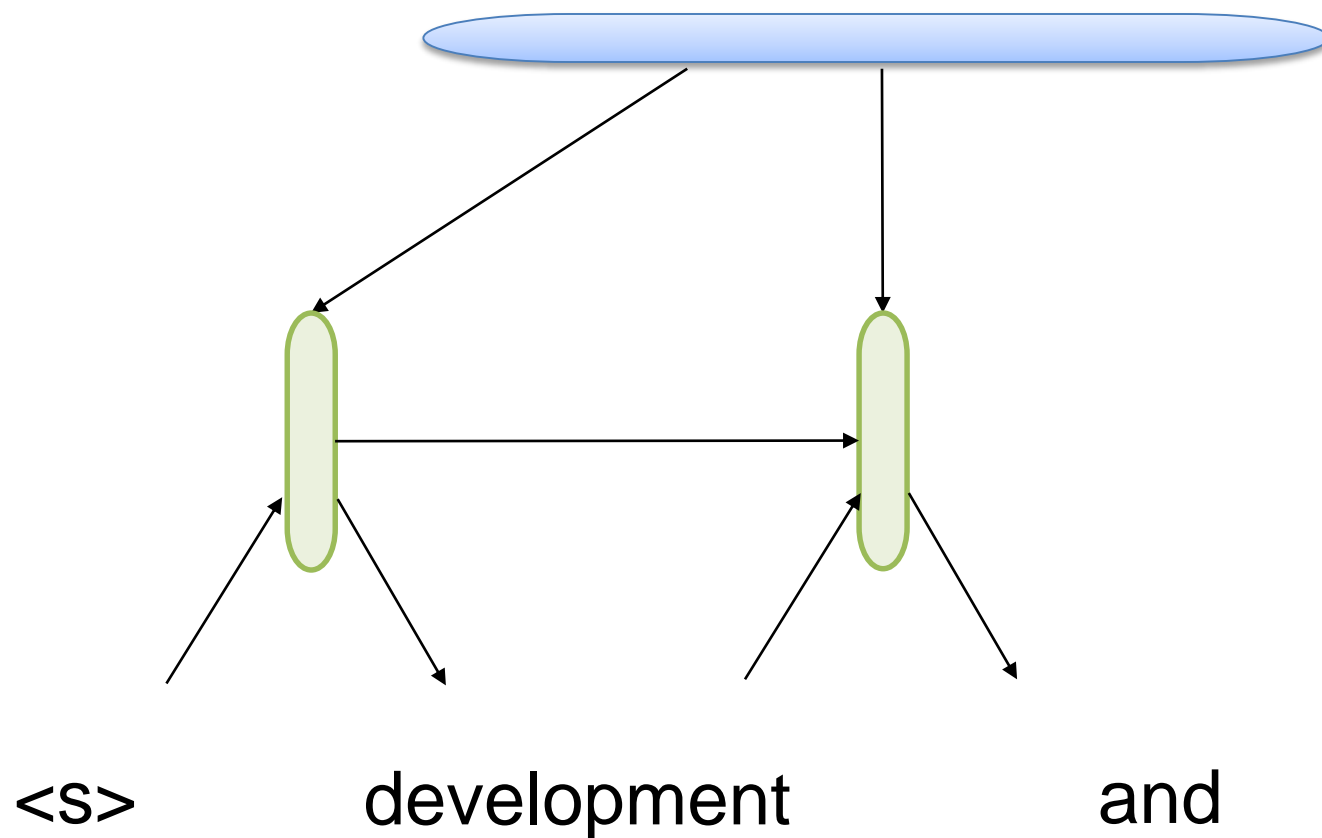
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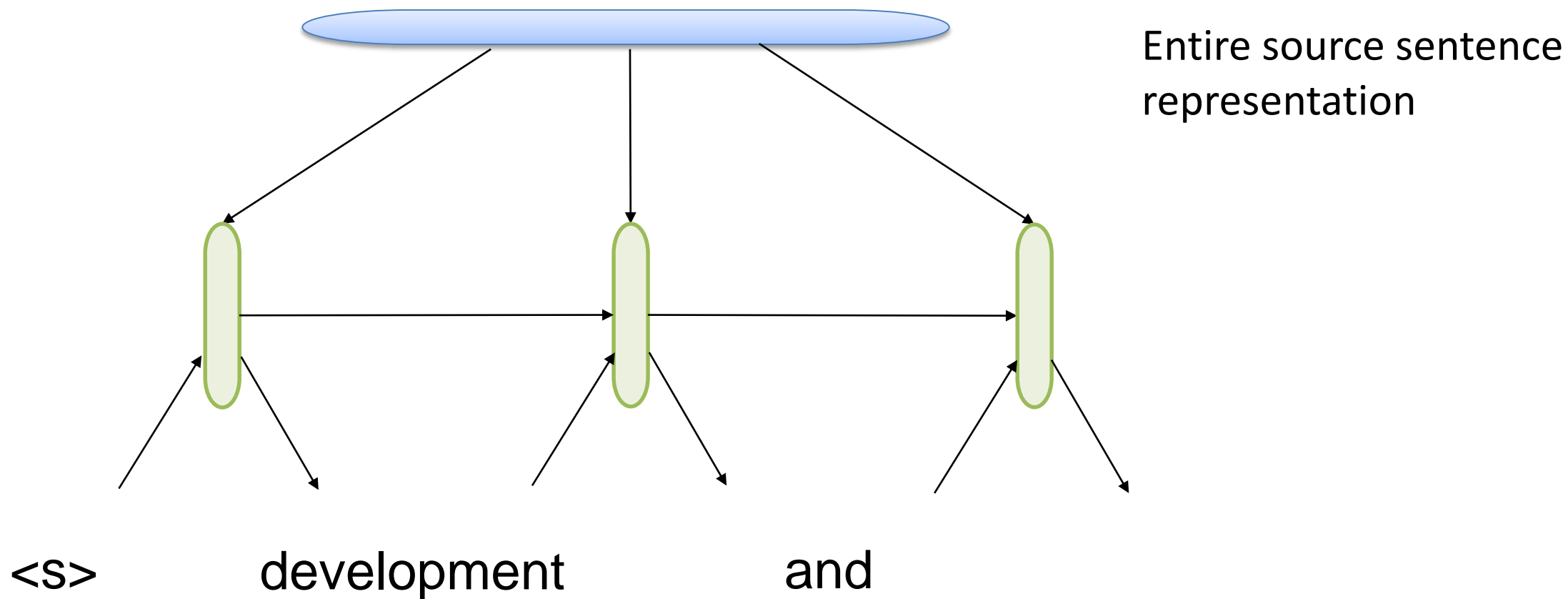
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Entire source sentence  
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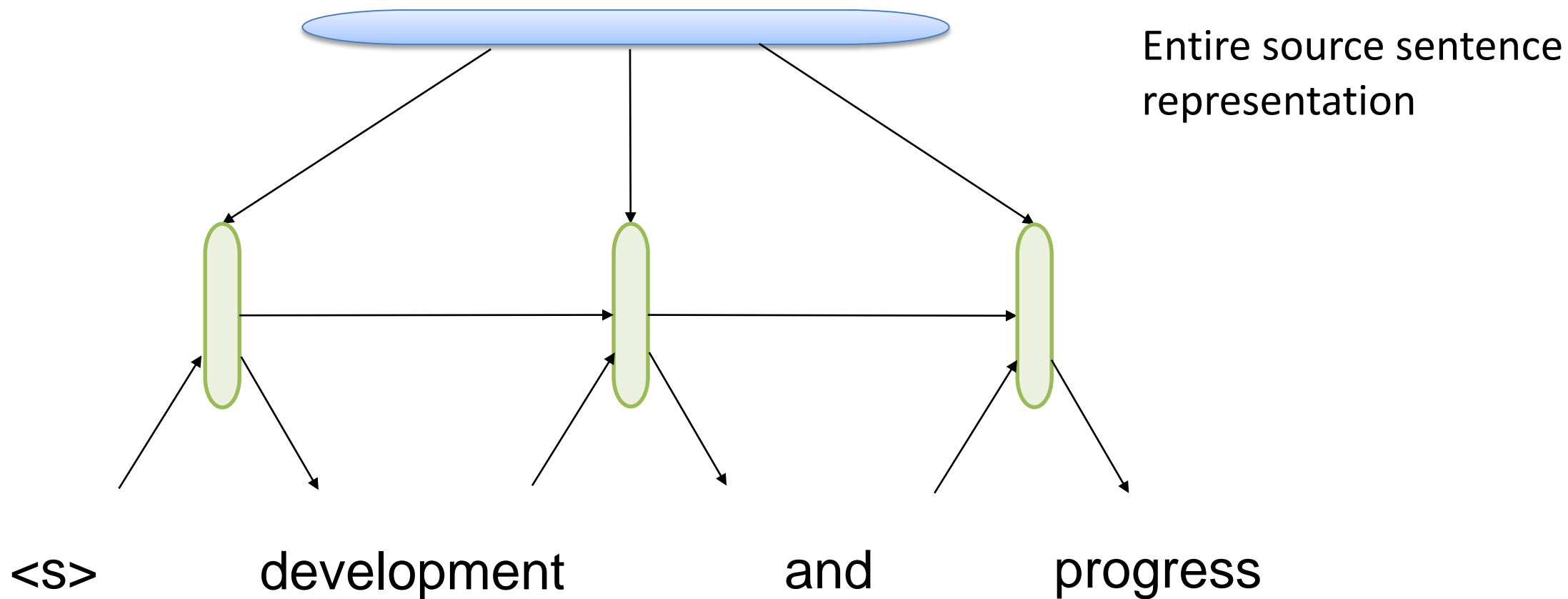
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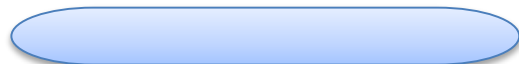


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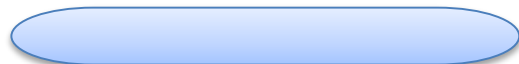
本 地 区 的 发 展 和 进 步



Source word-window

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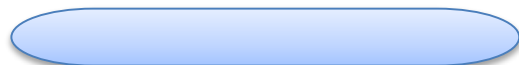
Source word-window



<S>

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Source word-window

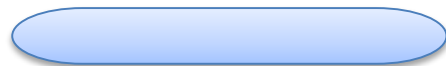


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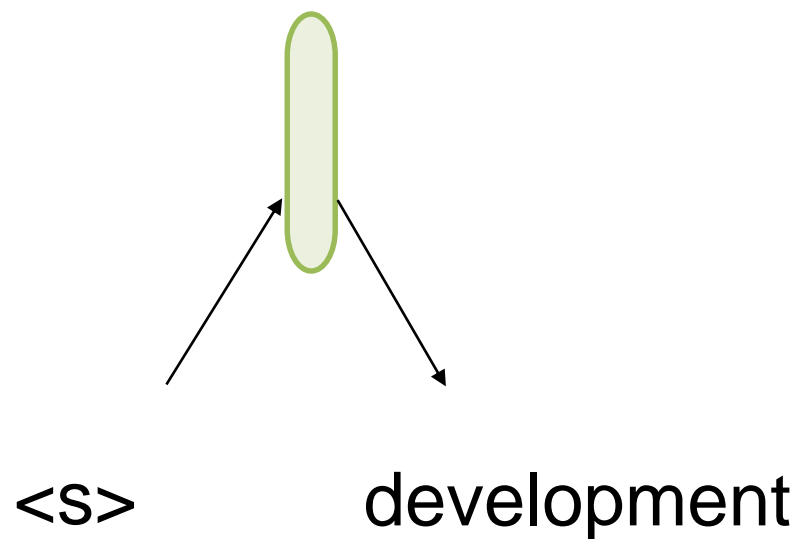
development

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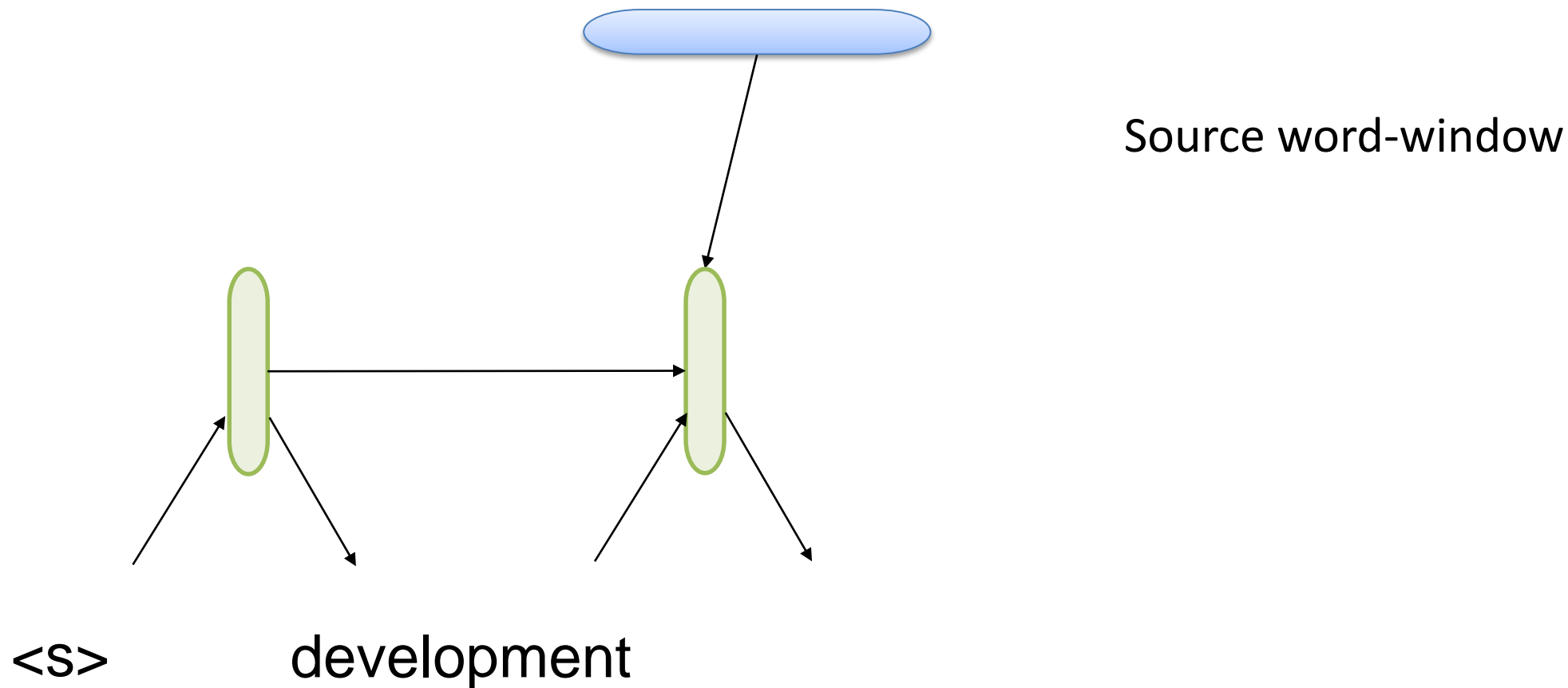


Source word-window



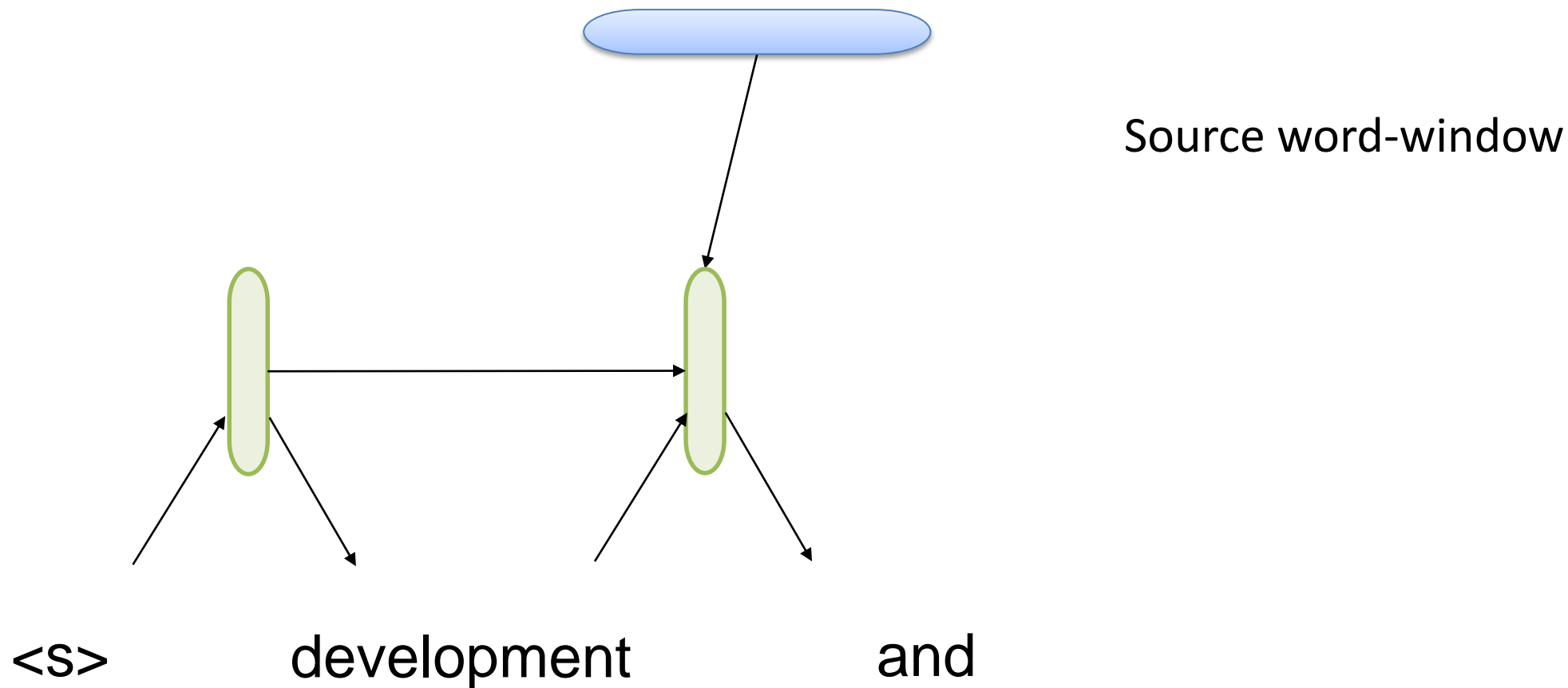
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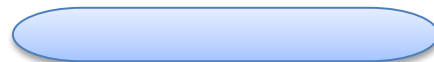
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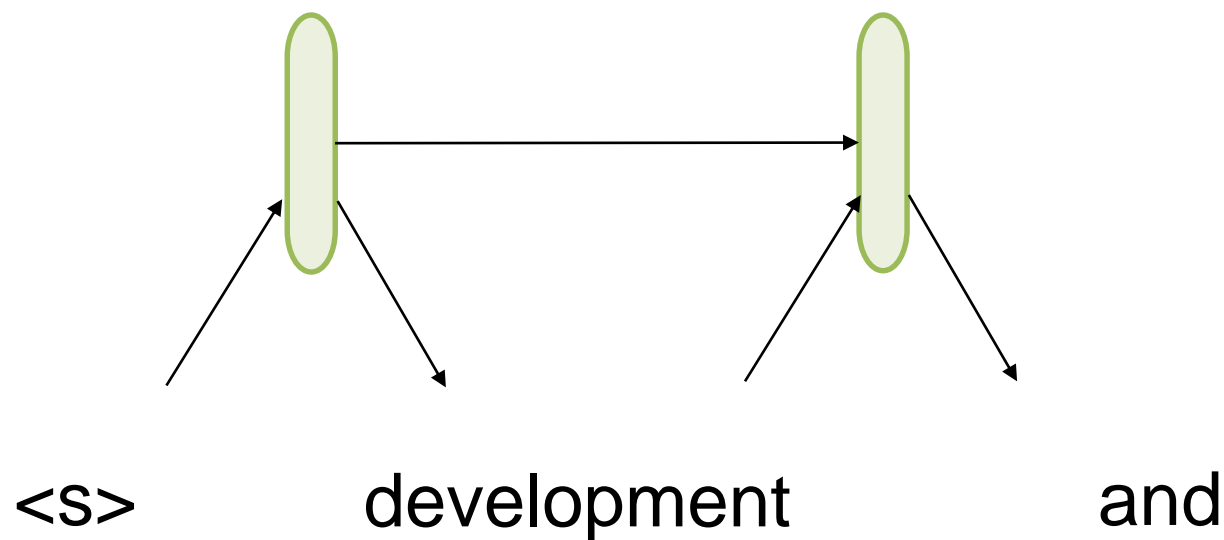


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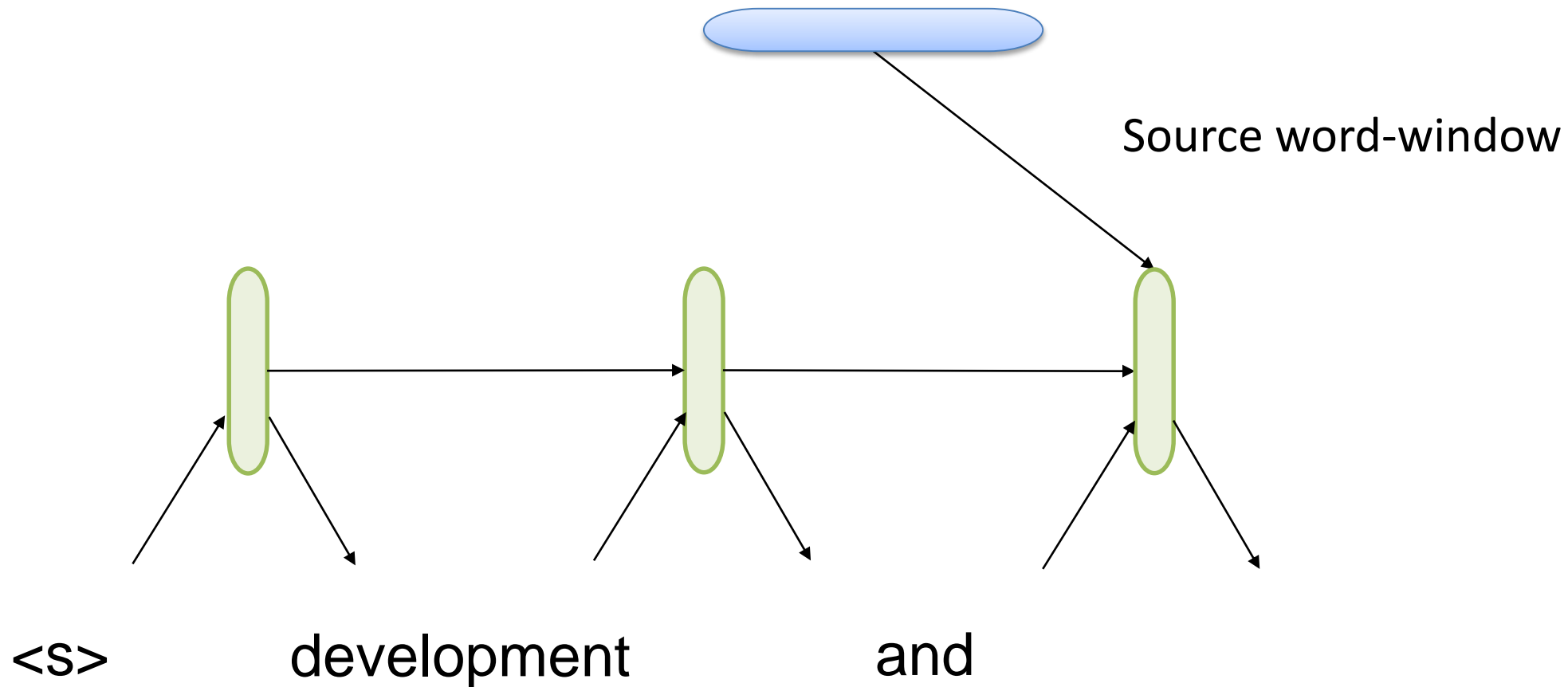


Source word-window



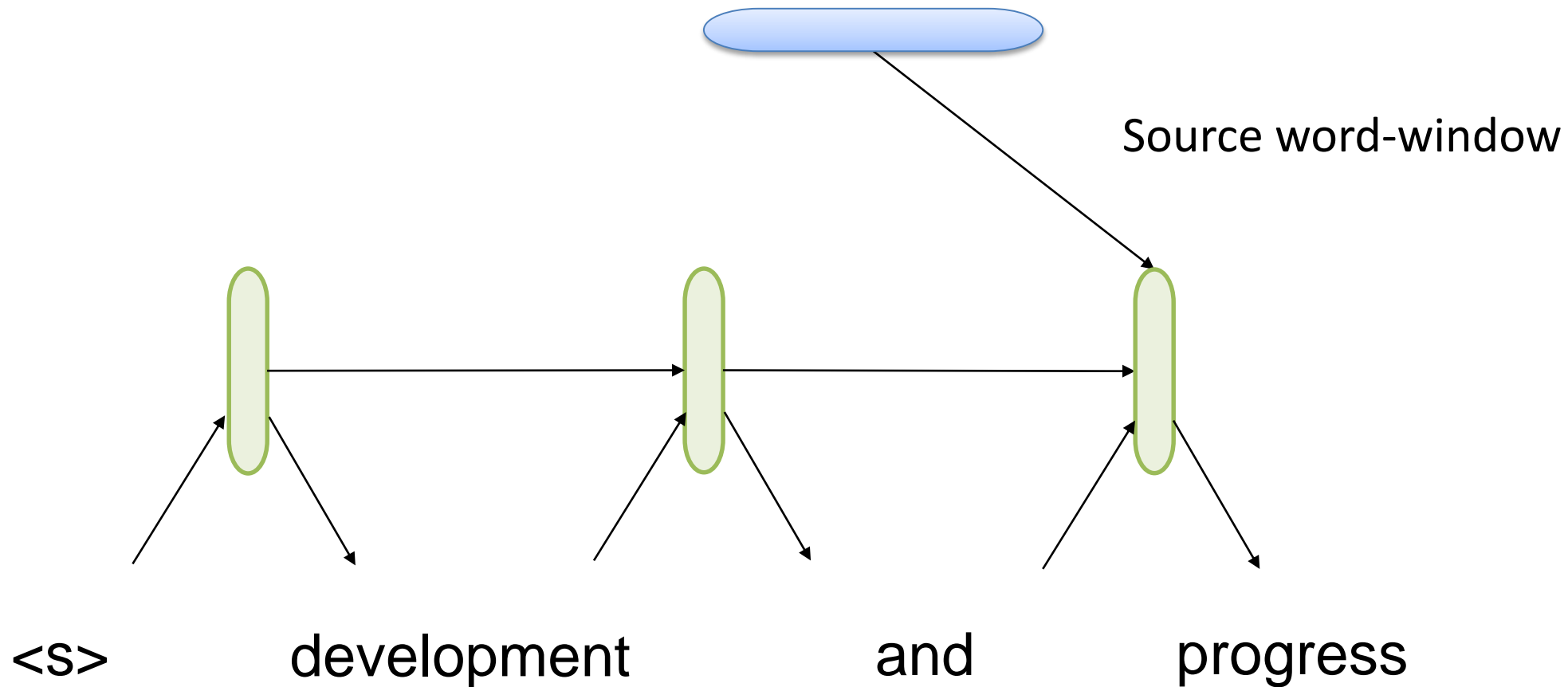
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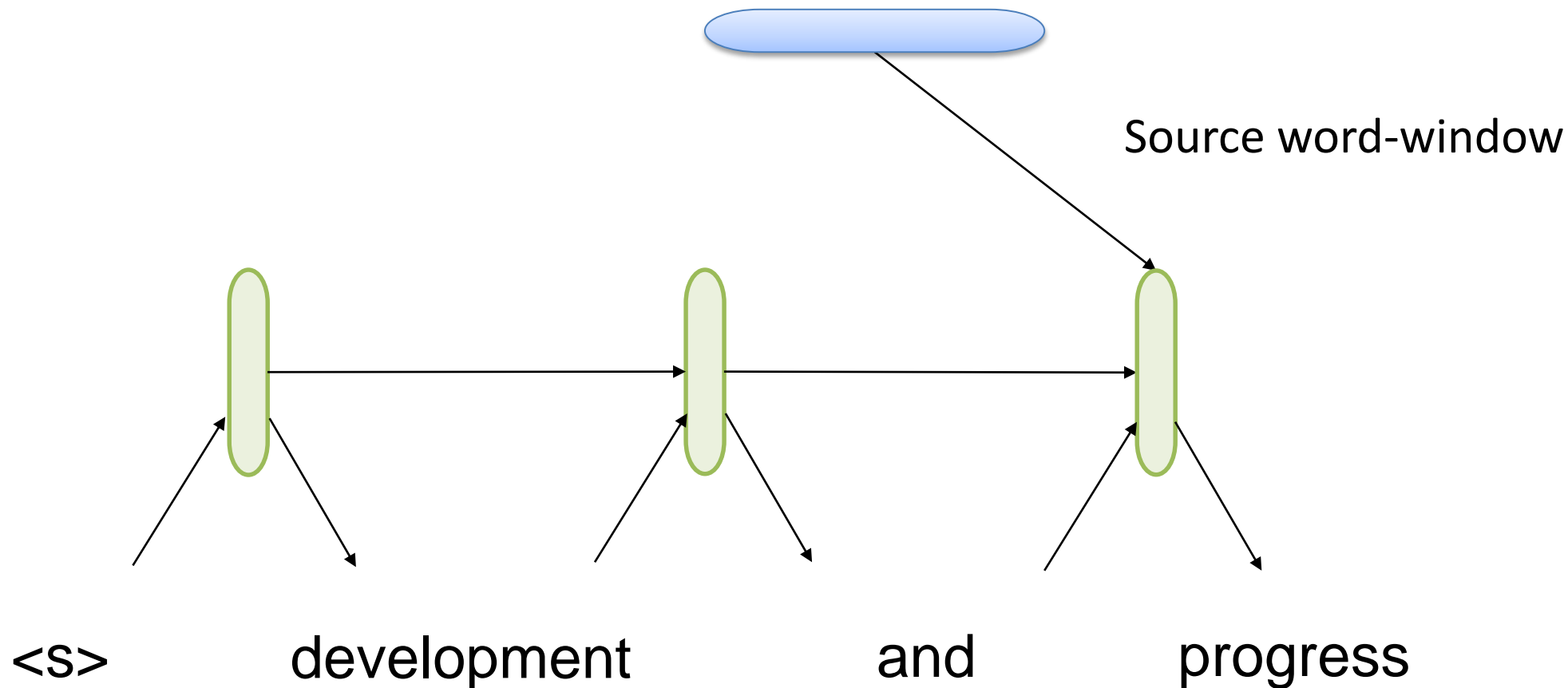
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Feed-forward nets: Le (2012) & Devlin (2014)

Similar to Kalchbrenner (2013)

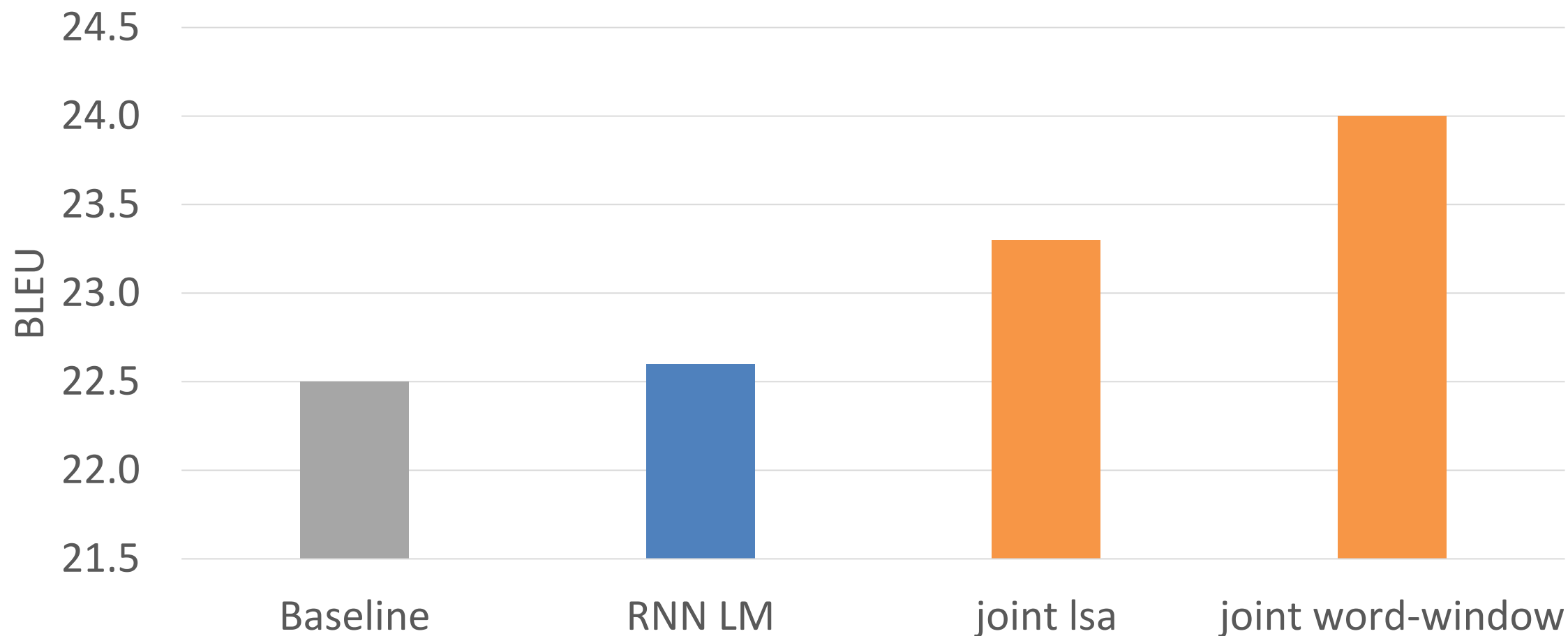
# Does the joint model learn how to translate?

Experiment: Generate baseline n-best,  
remove translation model,  
rescore with RNN joint model

WMT 2012 French-English, 100M words, phrase-based baseline

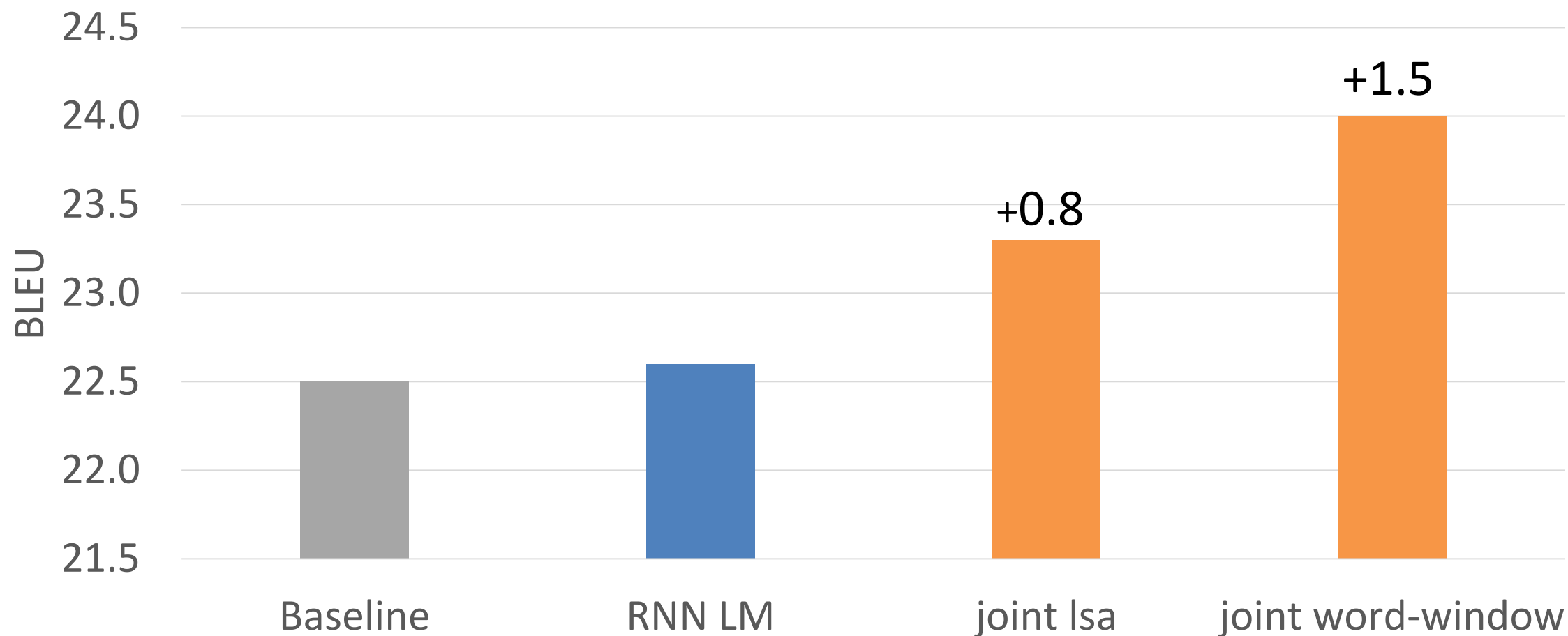
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Average accuracy over news2010, syscomb2010, news2011

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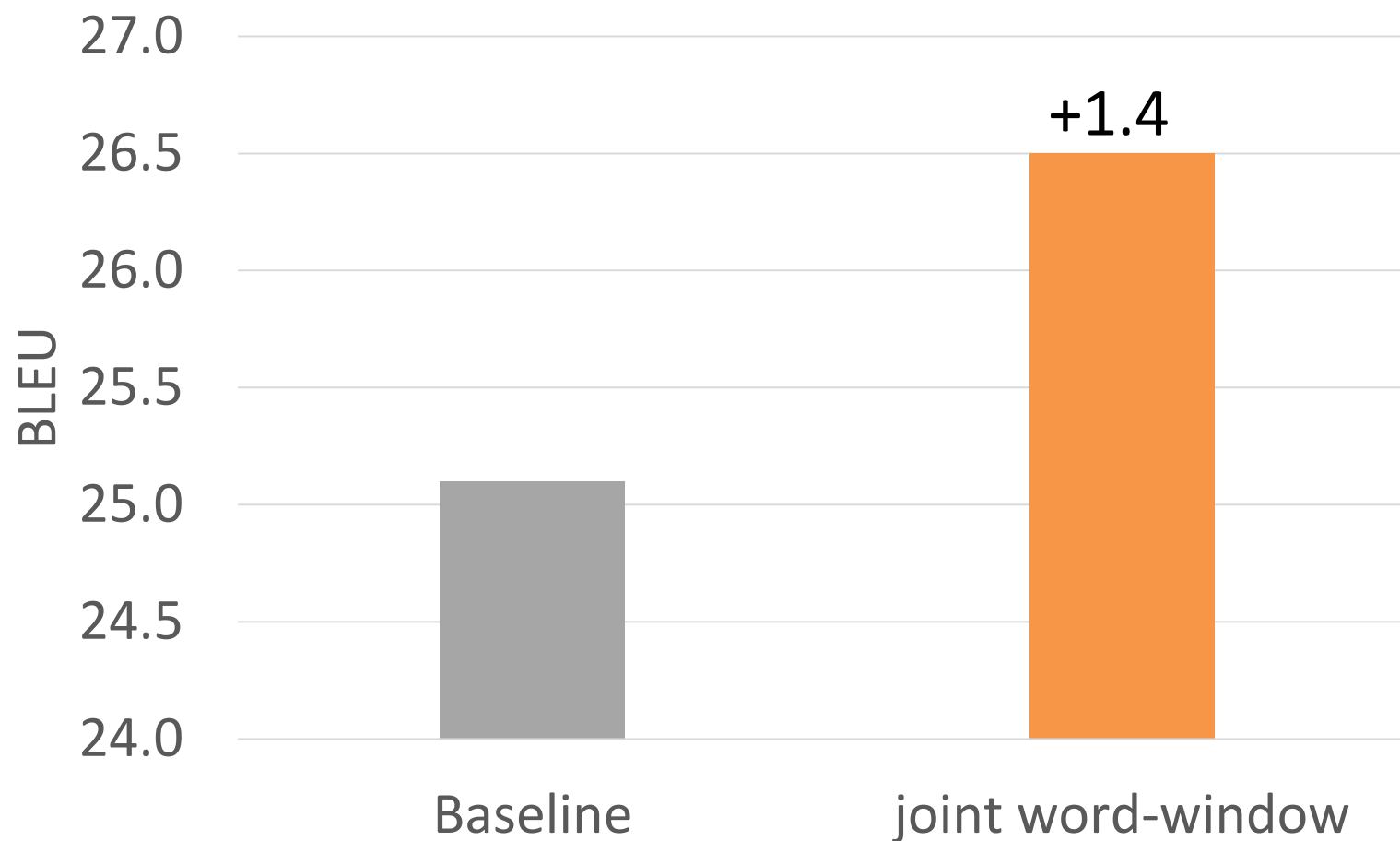


Average accuracy over news2010, syscomb2010, news2011



# Improving a phrase-based baseline

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# Qualitative Results

src: il aurait fallu 226 voix pour l' approuver.  
ref: its ratification would require 226 votes.  
base: it should have been 226 votes to approve it.  
rnn: it would have been 226 votes to approve.

# Qualitative Results

src: il aurait fallu 226 voix pour l' approuver.

ref: its ratification would require 226 votes.

base: it **should** have been 226 votes to approve **it**.

rnn: it **would** have been 226 votes to approve.

src: il reste à déterminer les vainqueurs.

ref: it is time to define the winners.

base: it remains to **be seen** the victors.

rnn: it remains to **determine** the victors.

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- Training recurrent nets (Auli & Gao, ACL 2014)  
Expected BLEU training for neural network translation models
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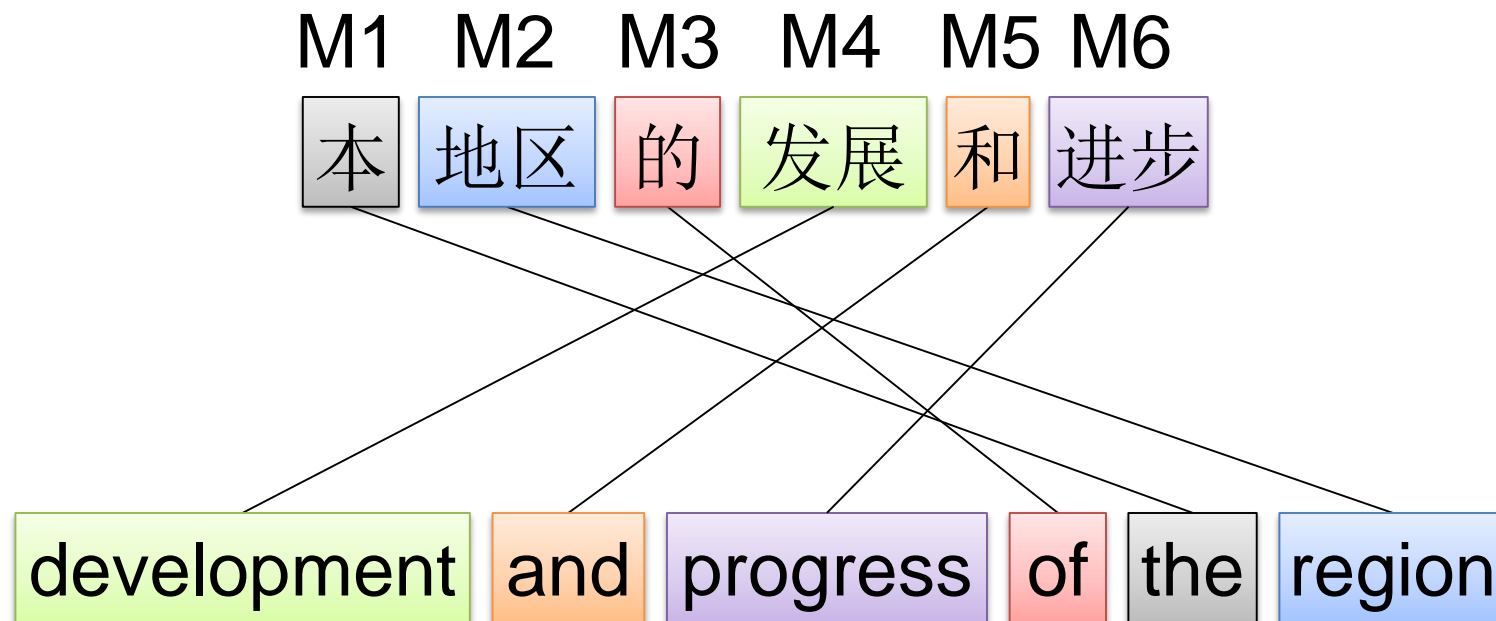
本 地 区 的 发 展 和 进 步

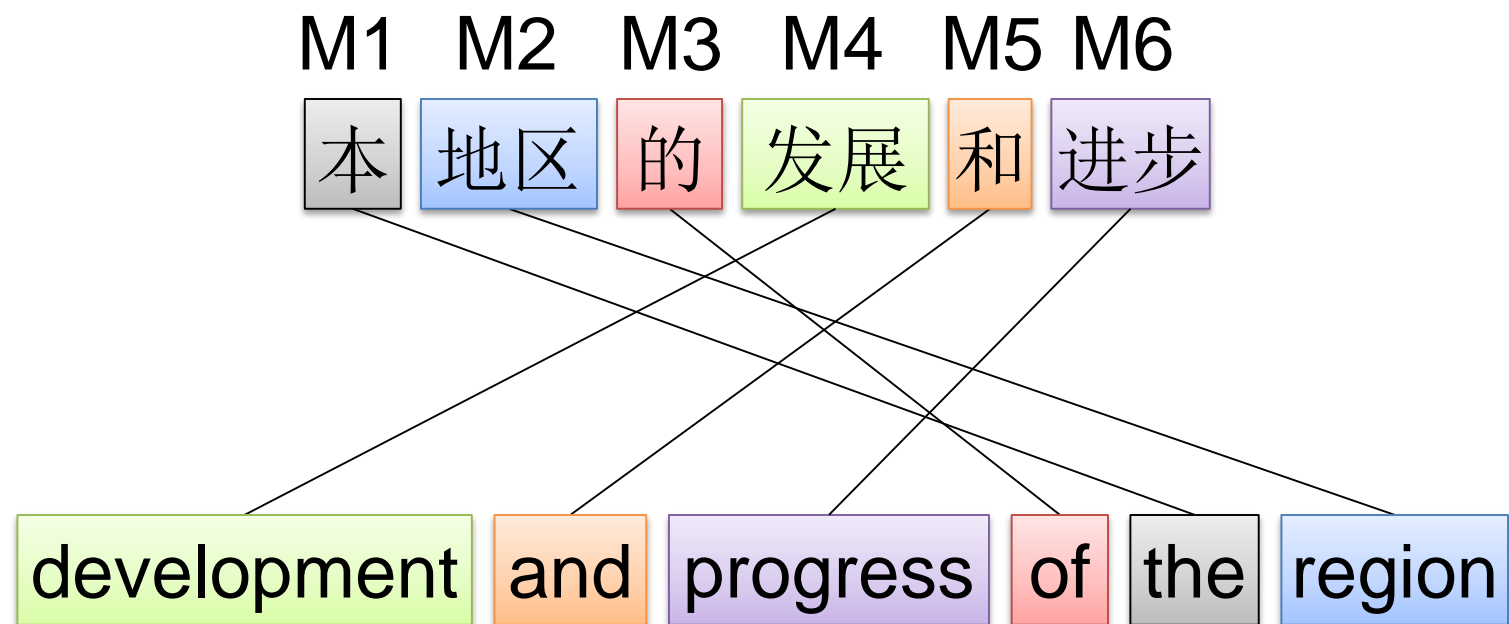
development and progress of the region

M1 M2 M3 M4 M5 M6

本 地区 的 发展 和 进步

development and progress of the region





M1 M2 M3 ...

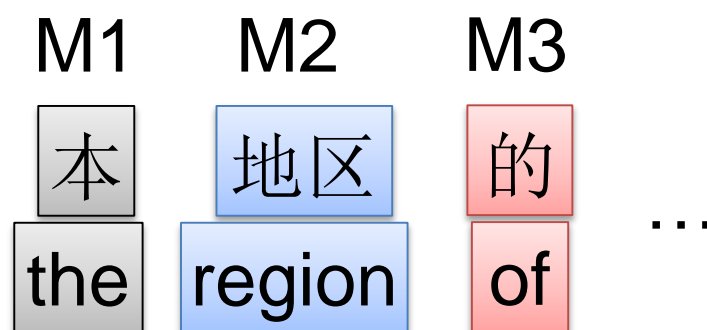
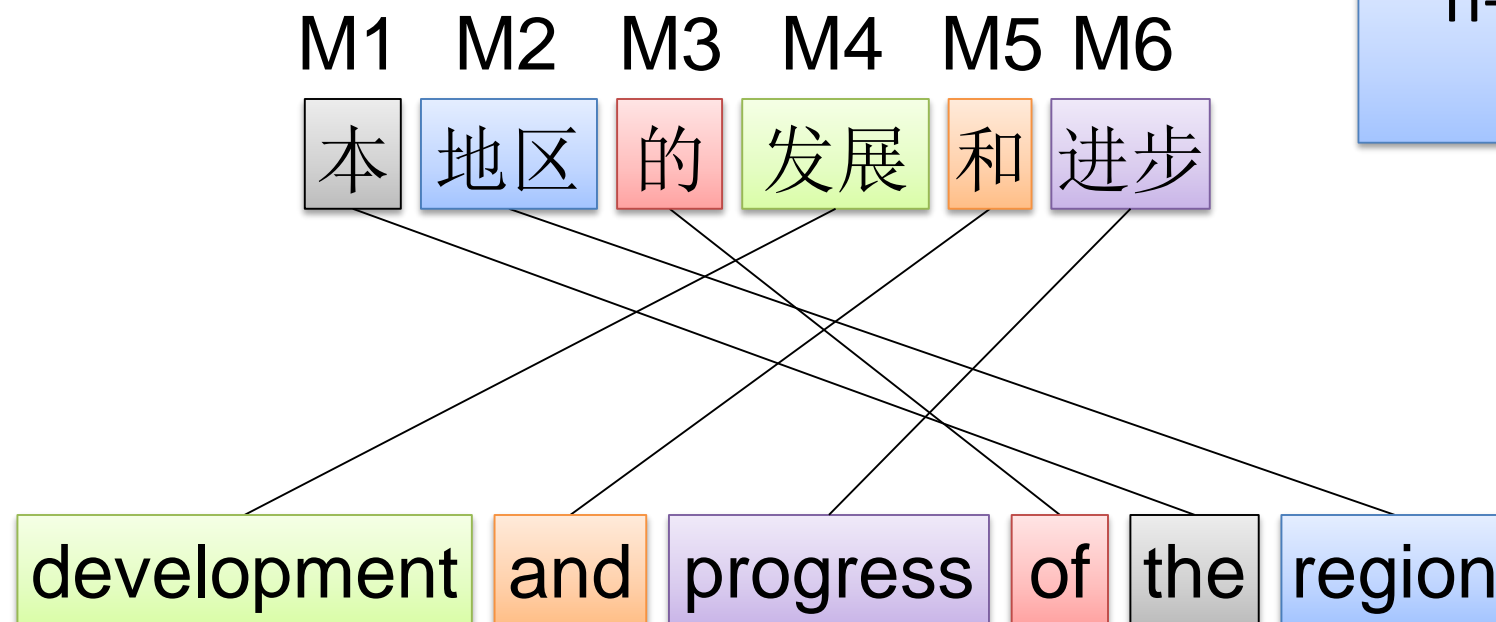
本 地区 的

the region of

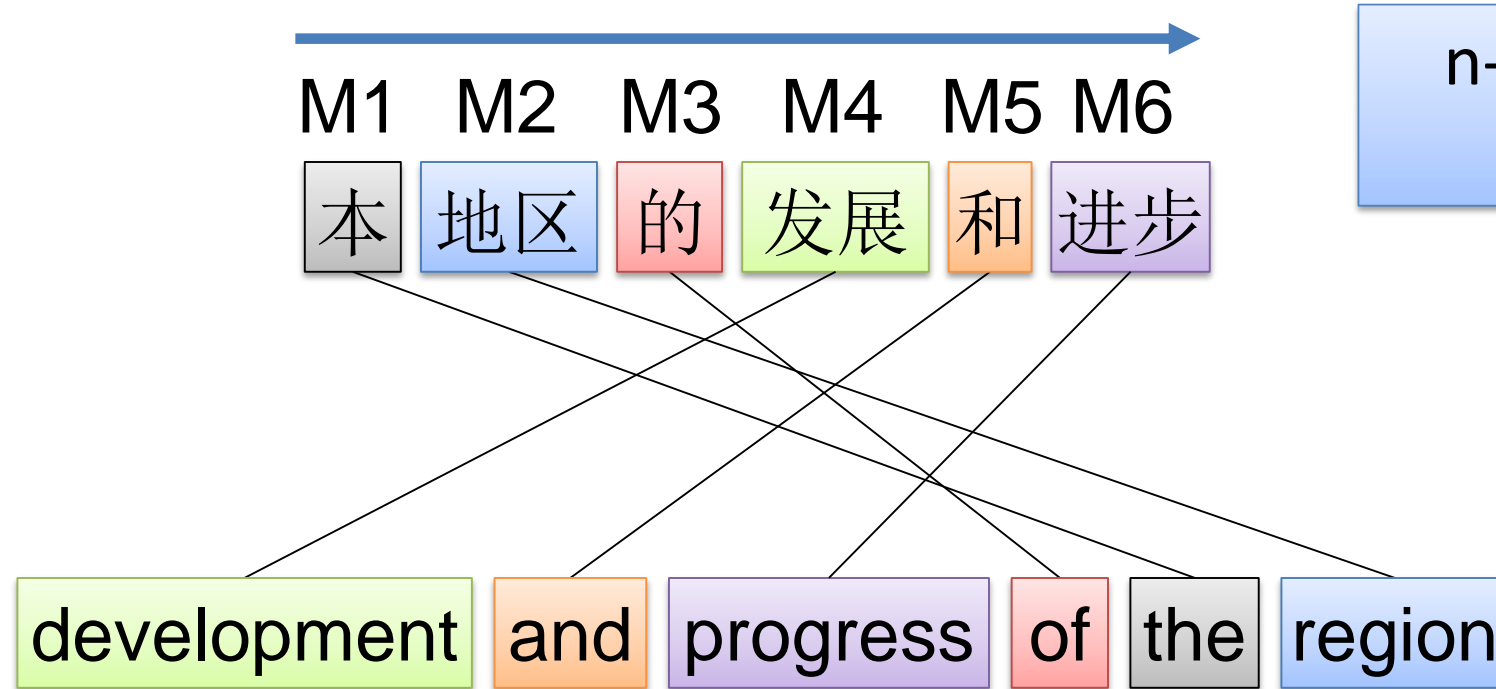


Banchs et al. (2005)  
Quirk & Menezes (2006)

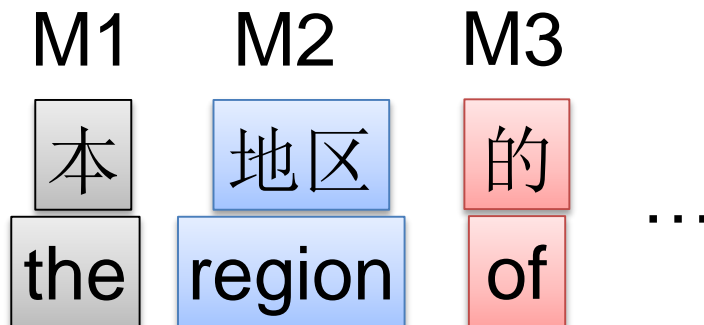
n-gram models  
over MTUs



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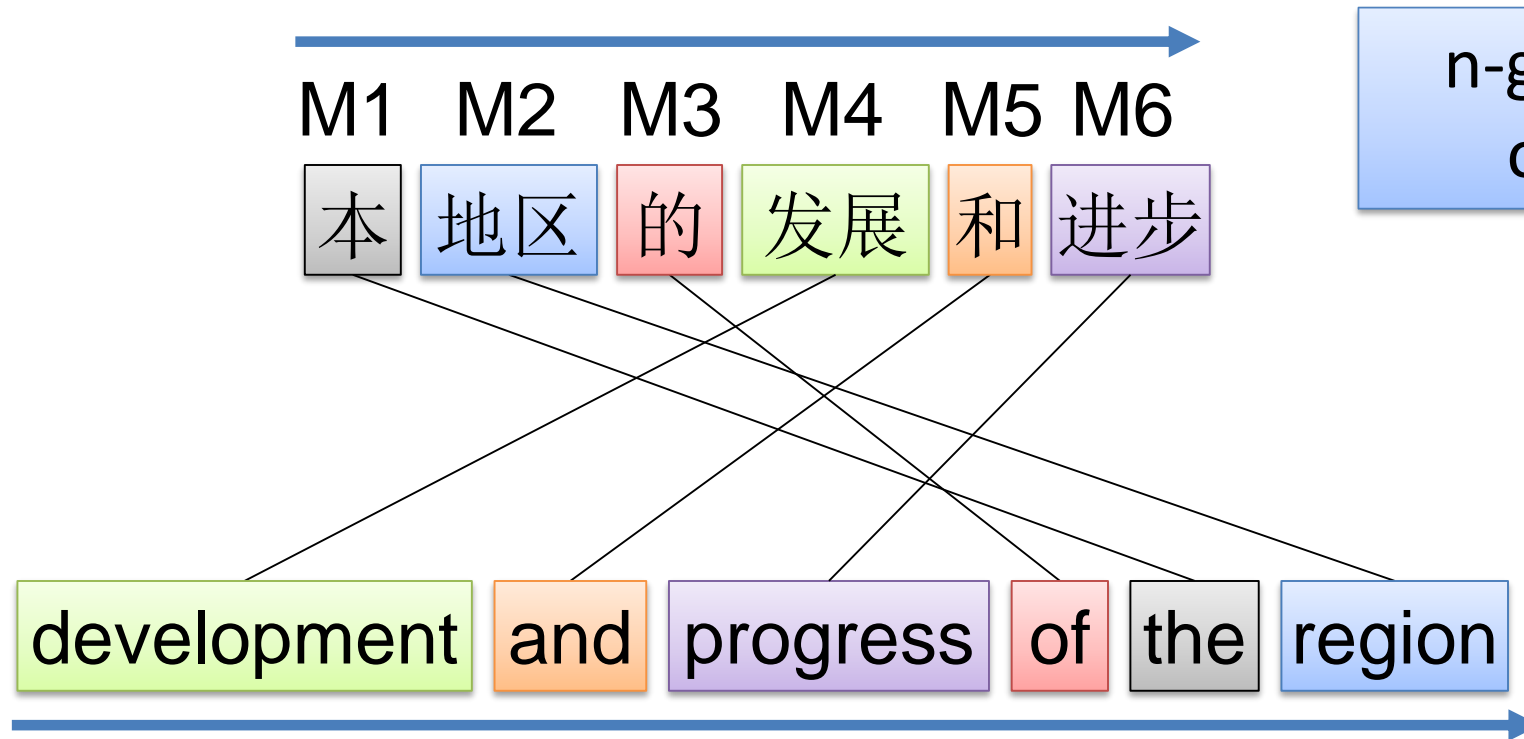


n-gram models  
over MTUs



Source order:  $p(M1)$   $p(M2|M1)$   $p(M3|M1, M2)$  ...

Banchs et al. (2005)  
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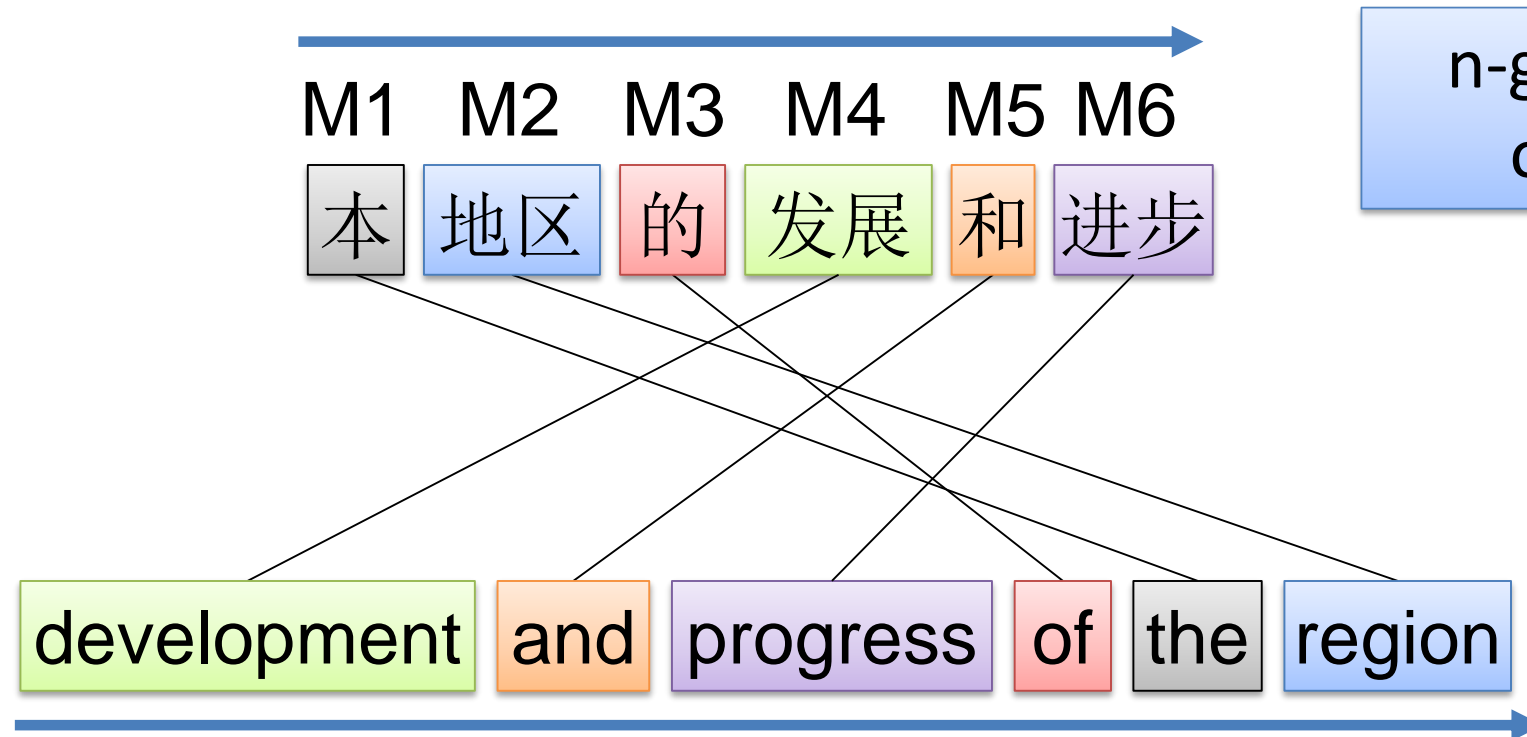


n-gram models  
over MTUs

M1 M2 M3  
本 地区 的  
the region of

Source order:  $p(M1)$   $p(M2|M1)$   $p(M3|M1, M2)$  ...  
Target order:  $p(M4)$   $p(M5|M4)$   $p(M6|M4, M5)$  ...

Banchs et al. (2005)  
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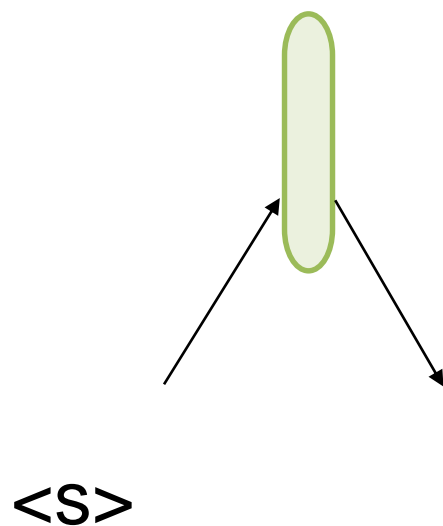
n-gram models  
over MTUs

M1      M2      M3  
本      地区      的  
the      region      of  
...

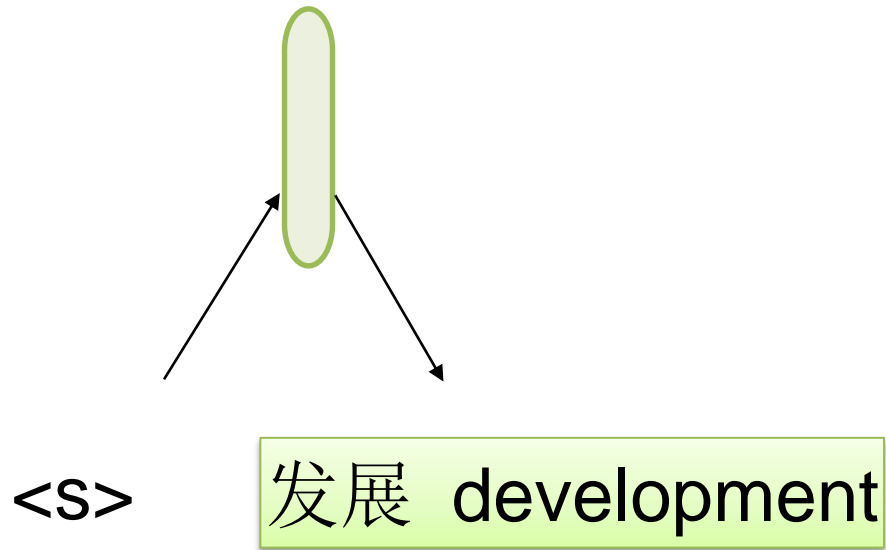
Source order:  $p(M1)$   $p(M2|M1)$   $p(M3|M1, M2)$  ...

Target order:  $p(M4)$   $p(M5|M4)$   $p(M6|M4, M5)$  ...

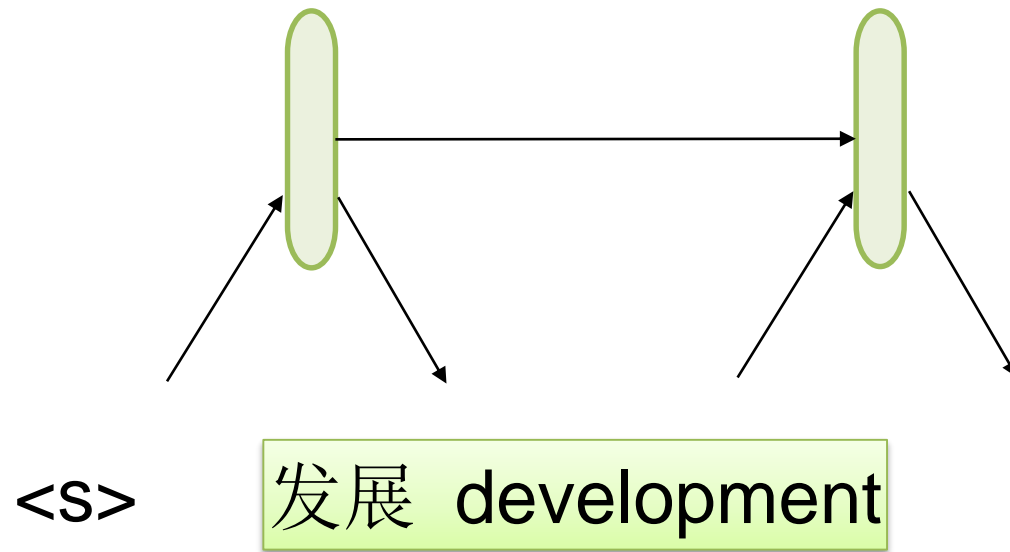
# Model 1: Recurrent Atomic MTU



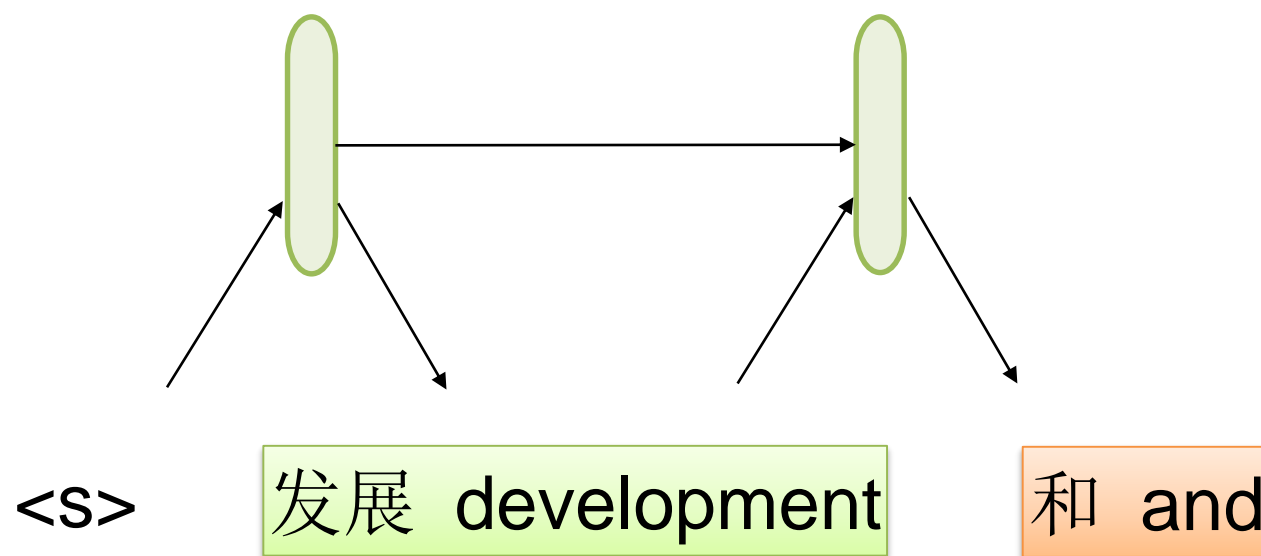
# Model 1: Recurrent Atomic MTU



# Model 1: Recurrent Atomic MTU

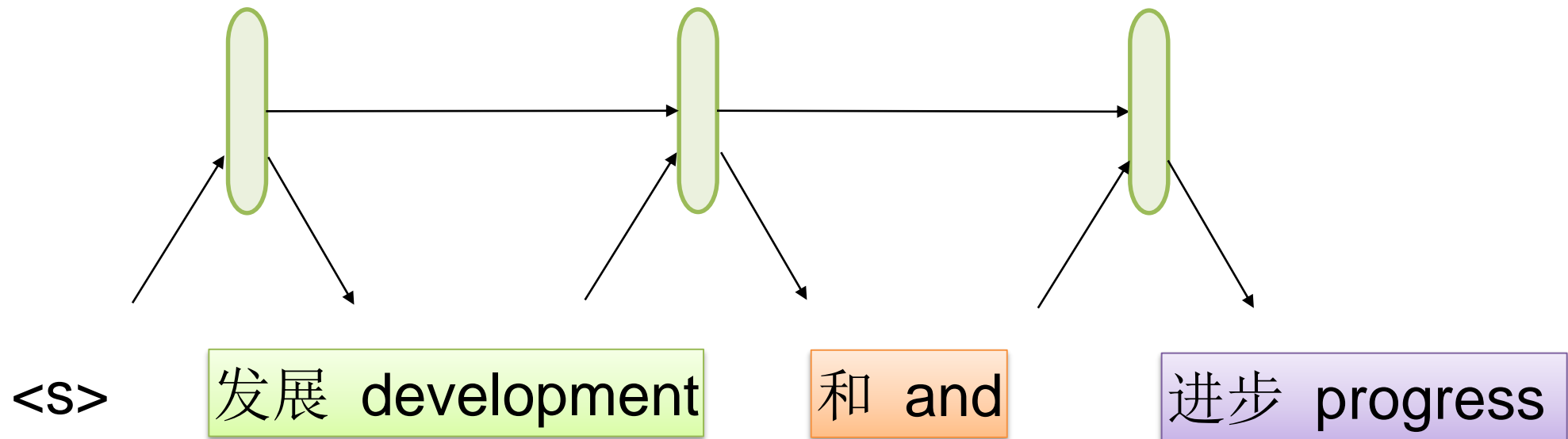


# Model 1: Recurrent Atomic MTU

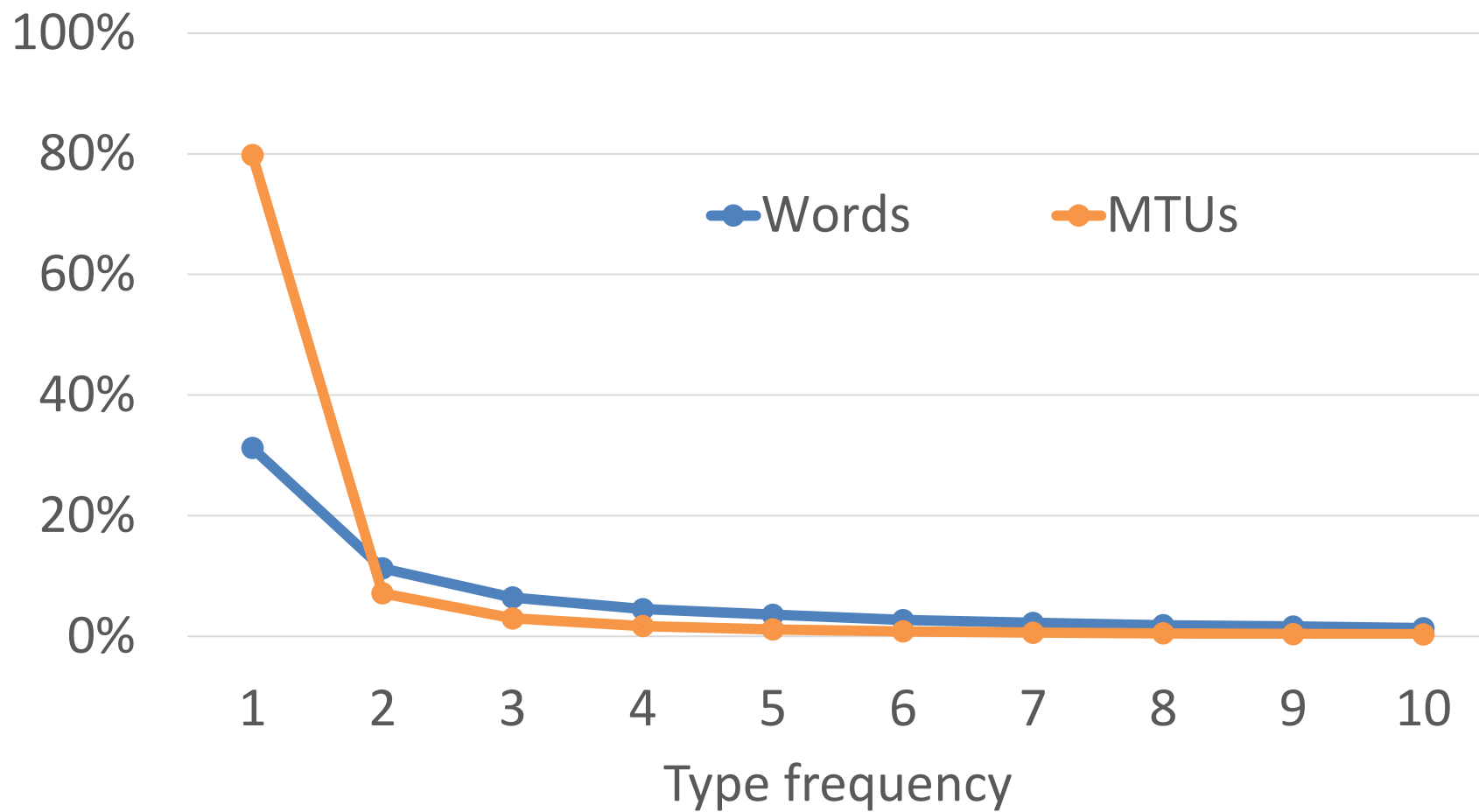




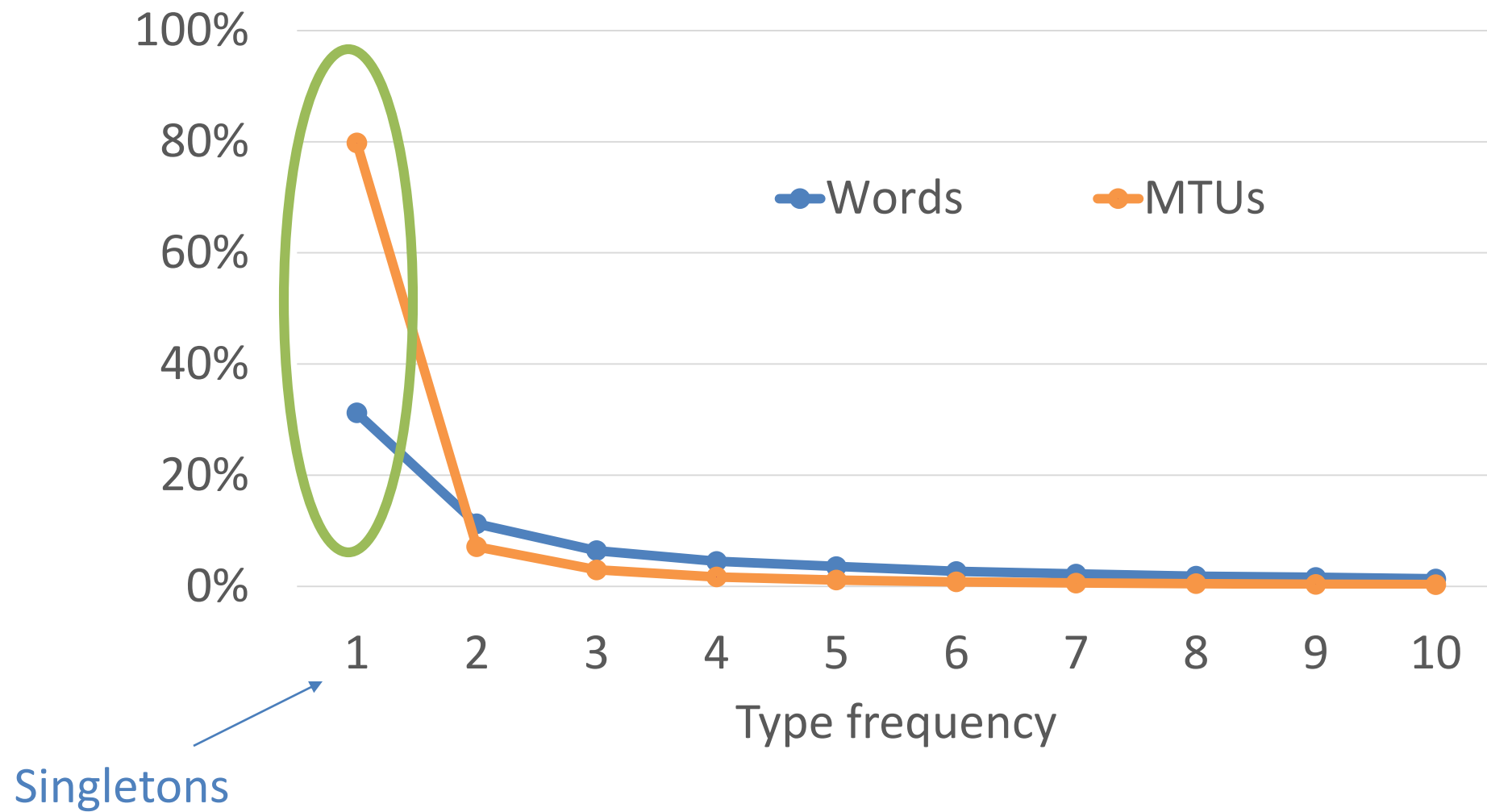
# Model 1: Recurrent Atomic MTU



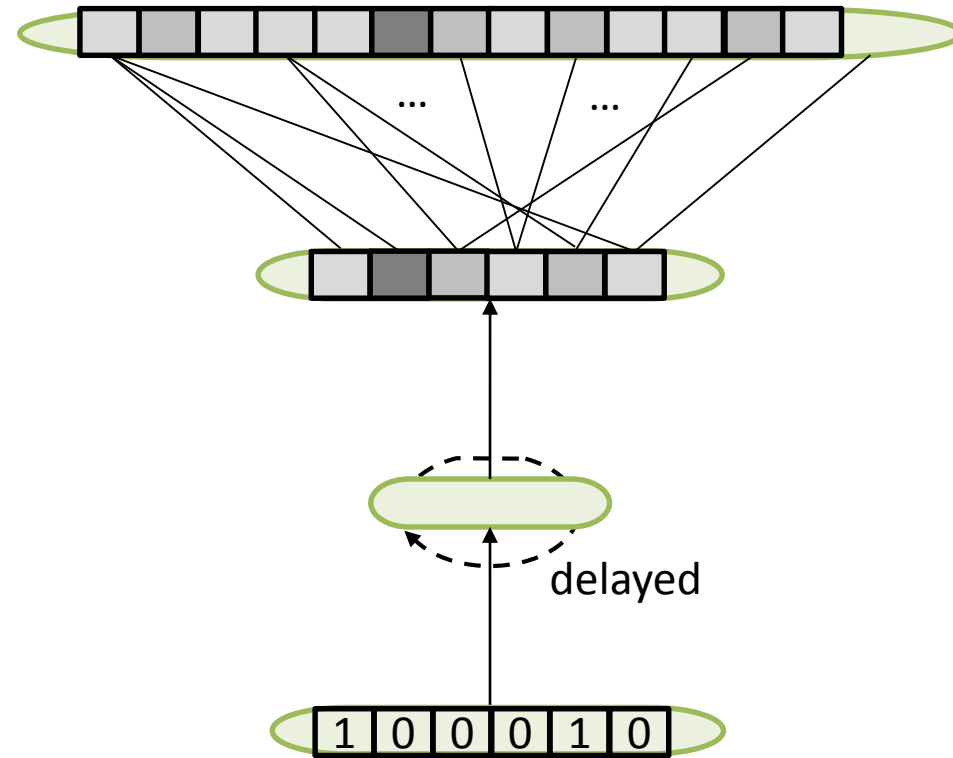
# Data sparsity



# Data sparsity

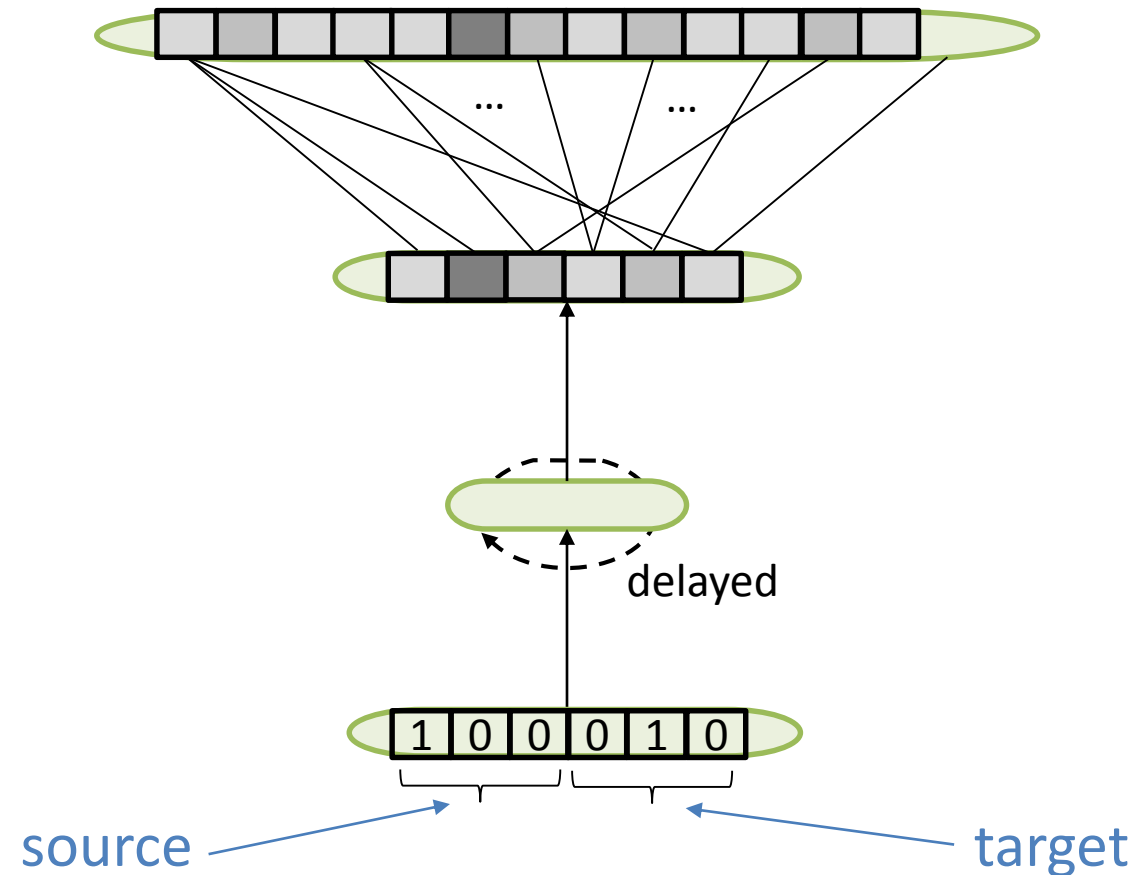


# Model 2: Bag of Words MTU



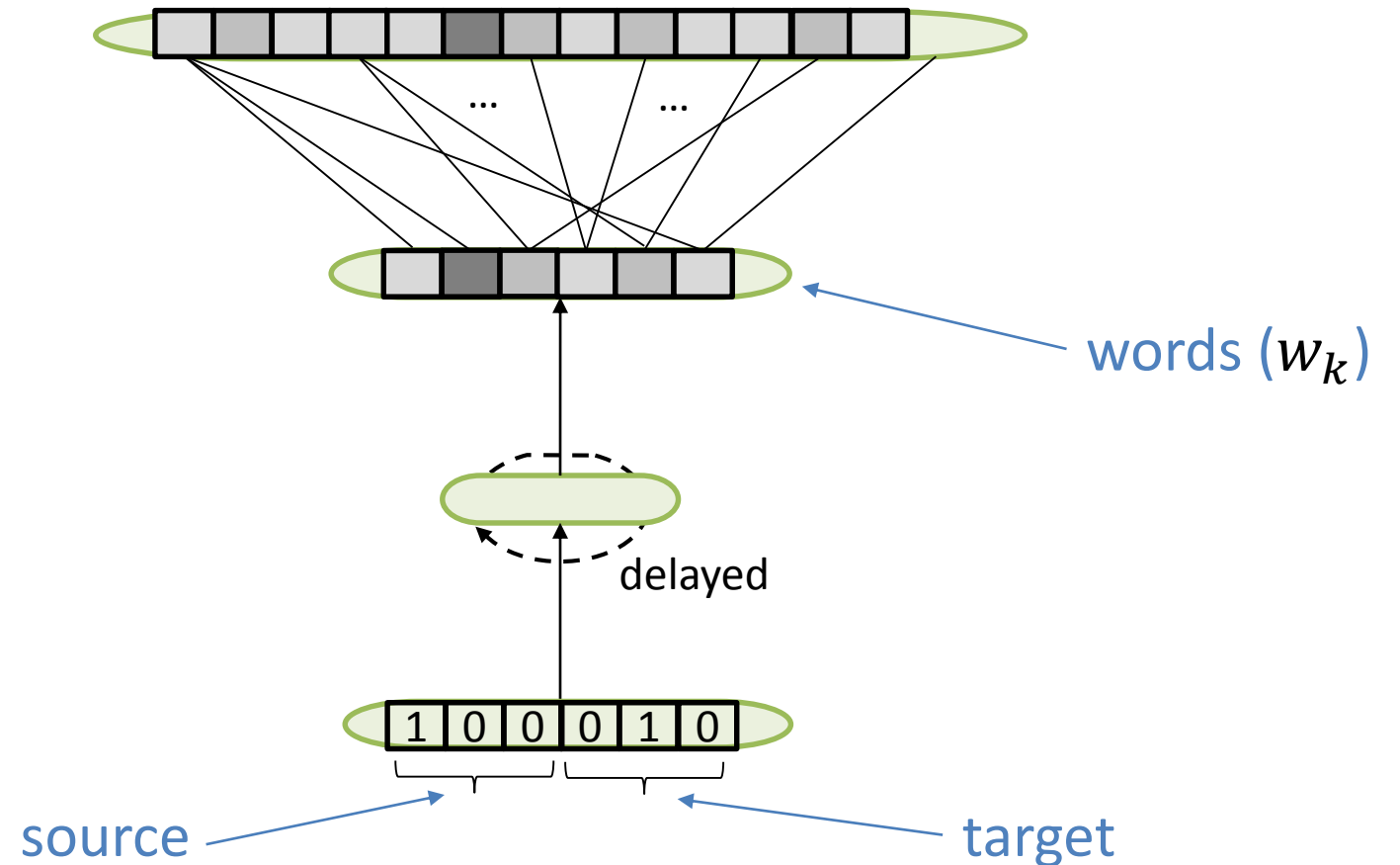
# Model 2: Bag of Words MTU

Input: previous MTU as  
bag of words



# Model 2: Bag of Words MTU

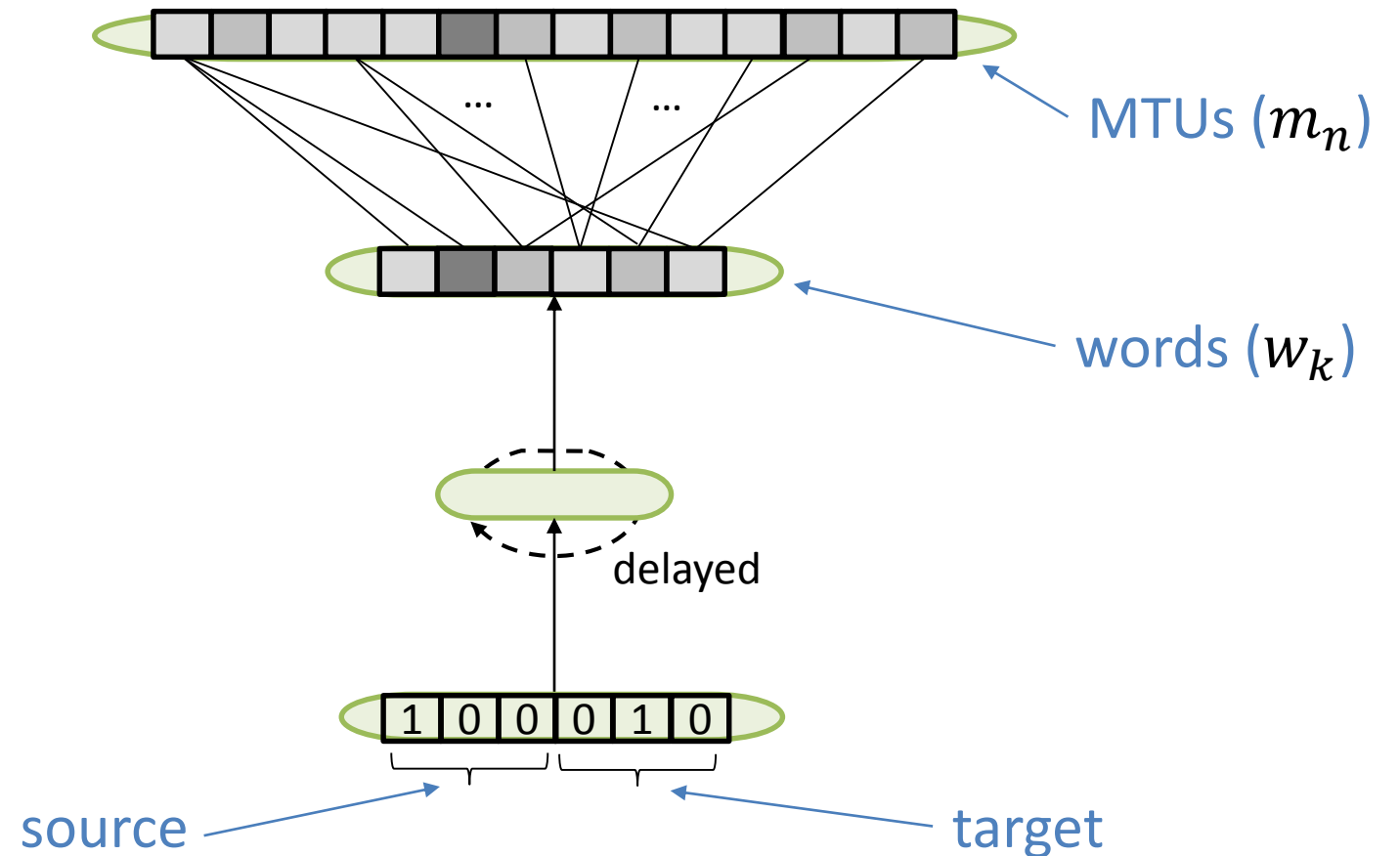
Input: previous MTU as  
bag of words



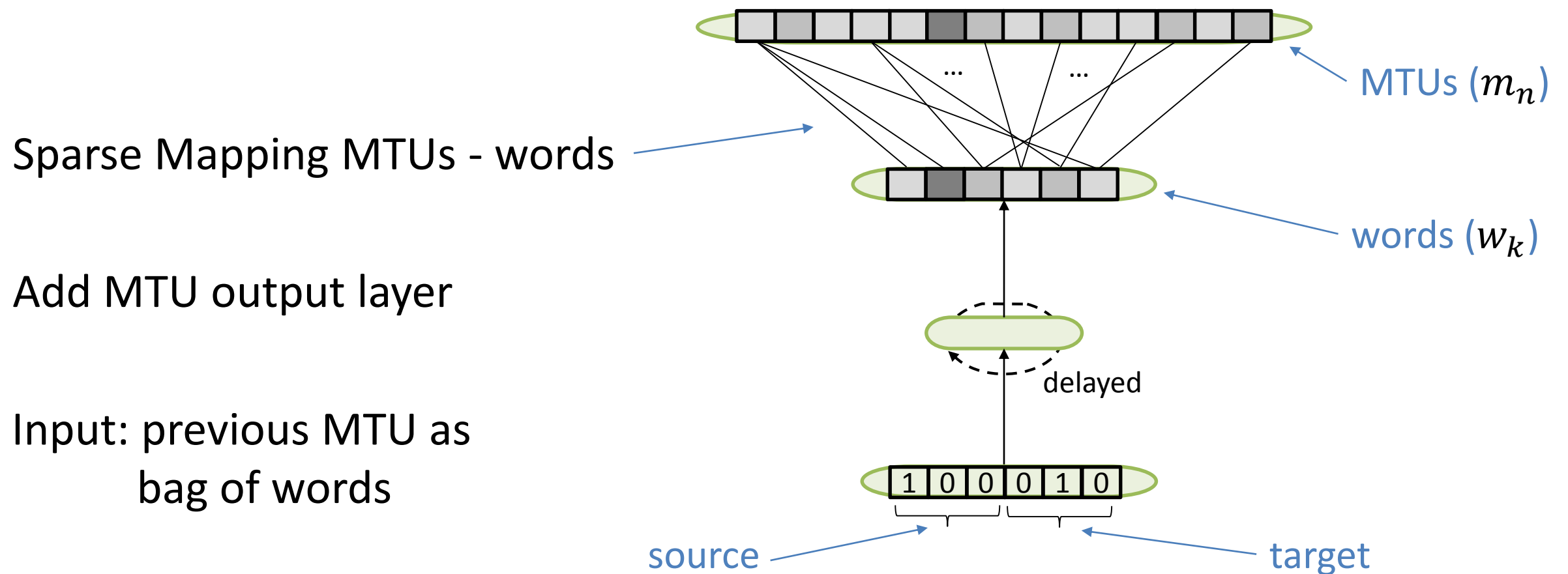
# Model 2: Bag of Words MTU

Add MTU output layer

Input: previous MTU as  
bag of words

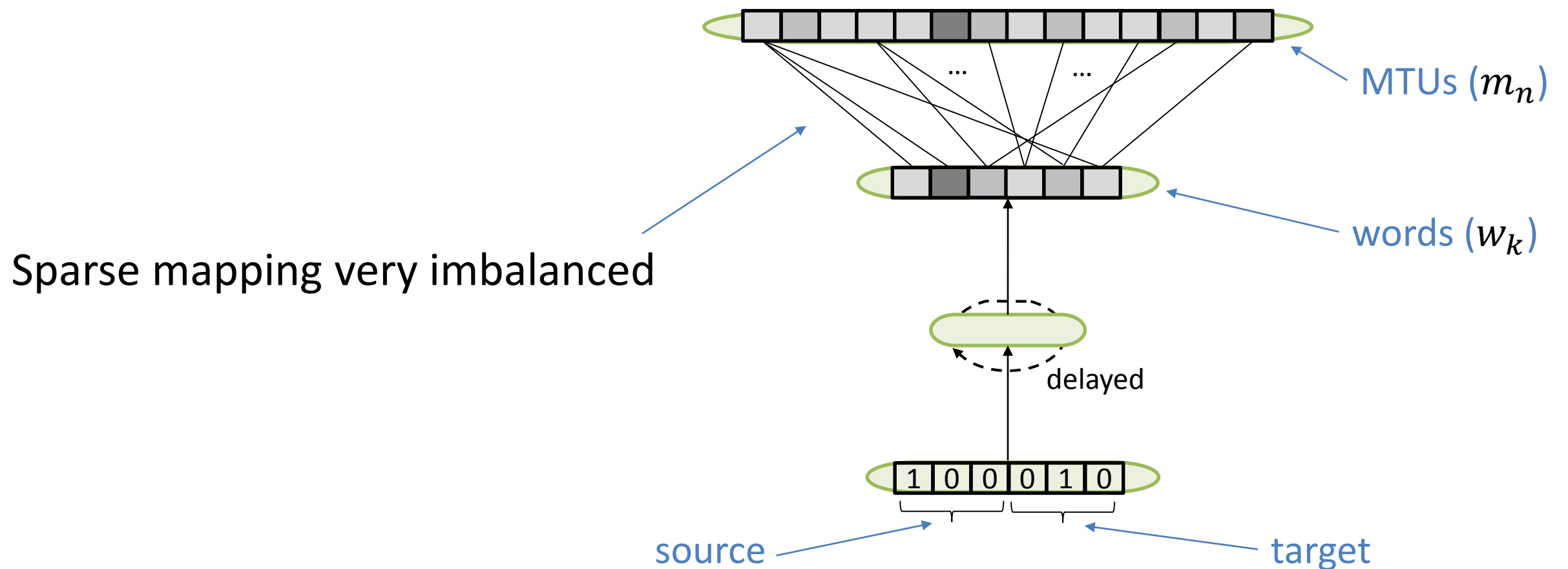


# Model 2: Bag of Words MTU



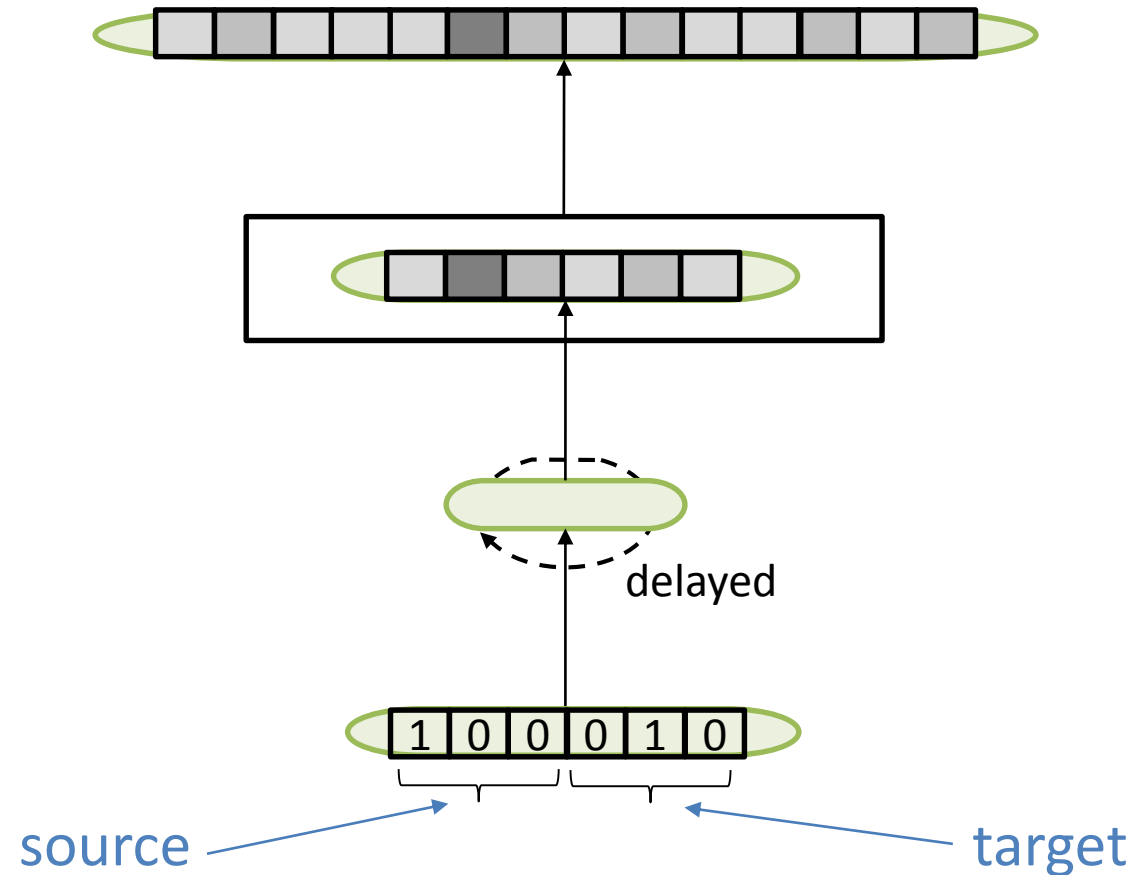


# Model 2: Bag of Words MTU



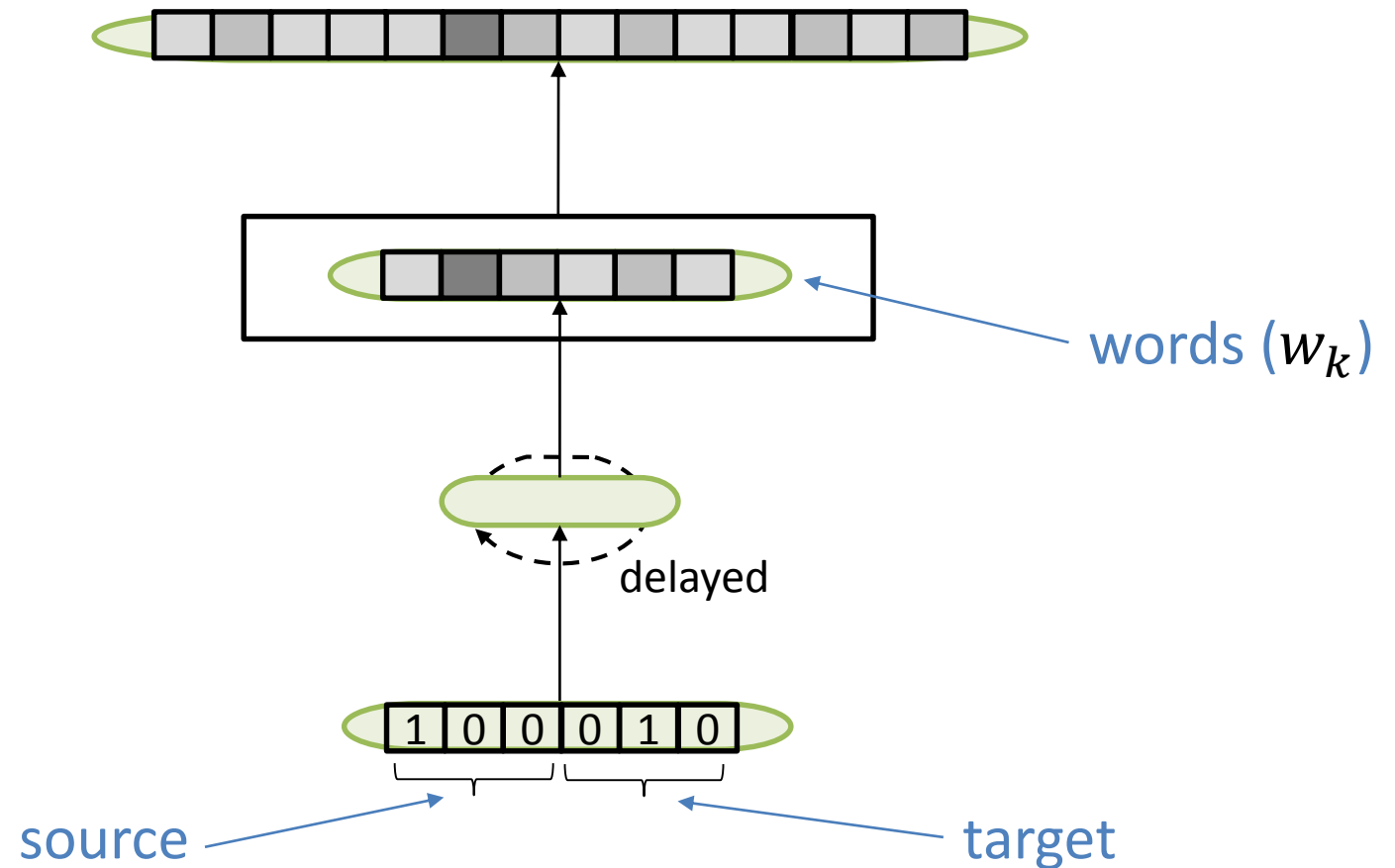
# Model 2: Simplified Bag of Words MTU

Input: previous MTU as  
bag of words



# Model 2: Simplified Bag of Words MTU

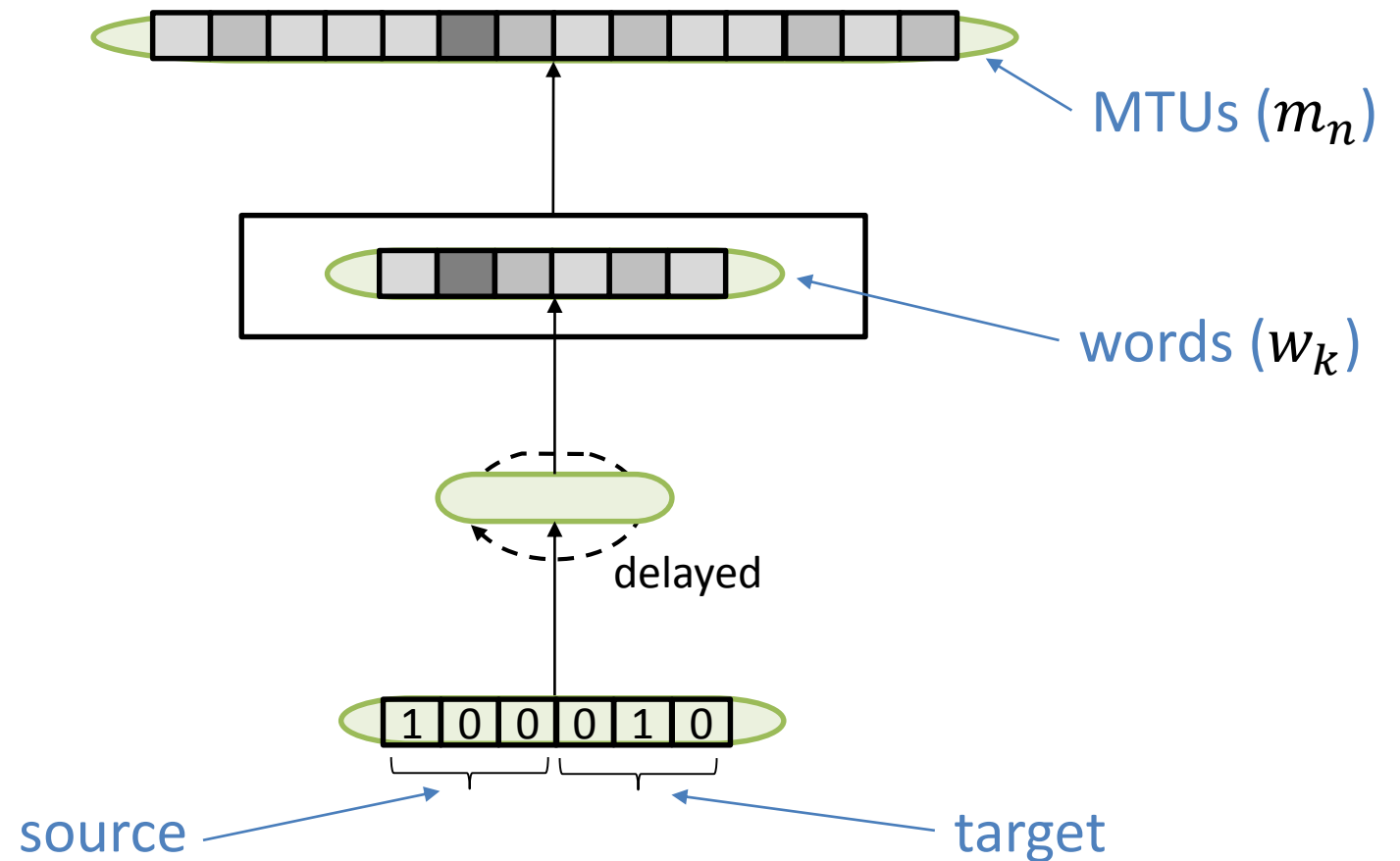
Input: previous MTU as  
bag of words



# Model 2: Simplified Bag of Words MTU

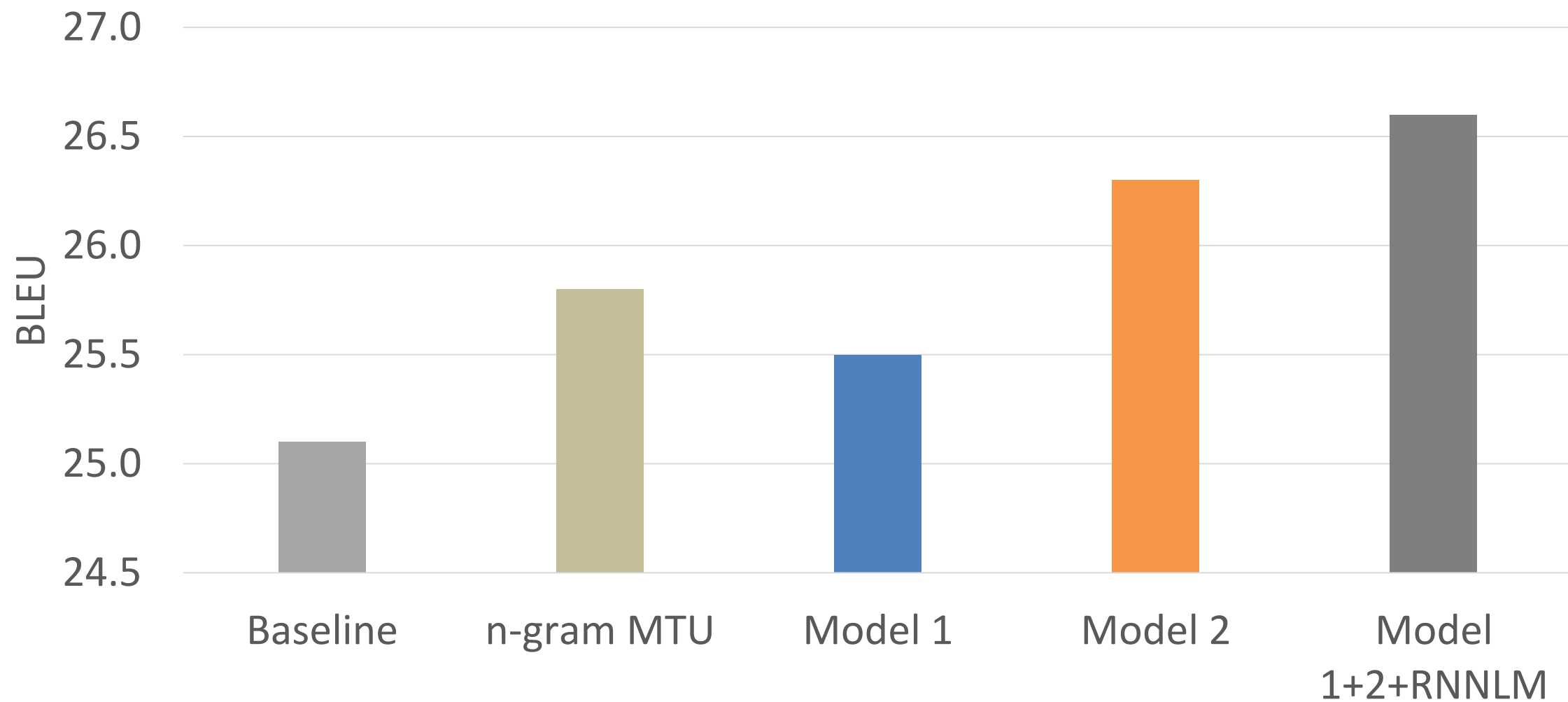
$$p(m_n|h) = \prod_{w \in m_n} p(w|h)$$

Input: previous MTU as  
bag of words

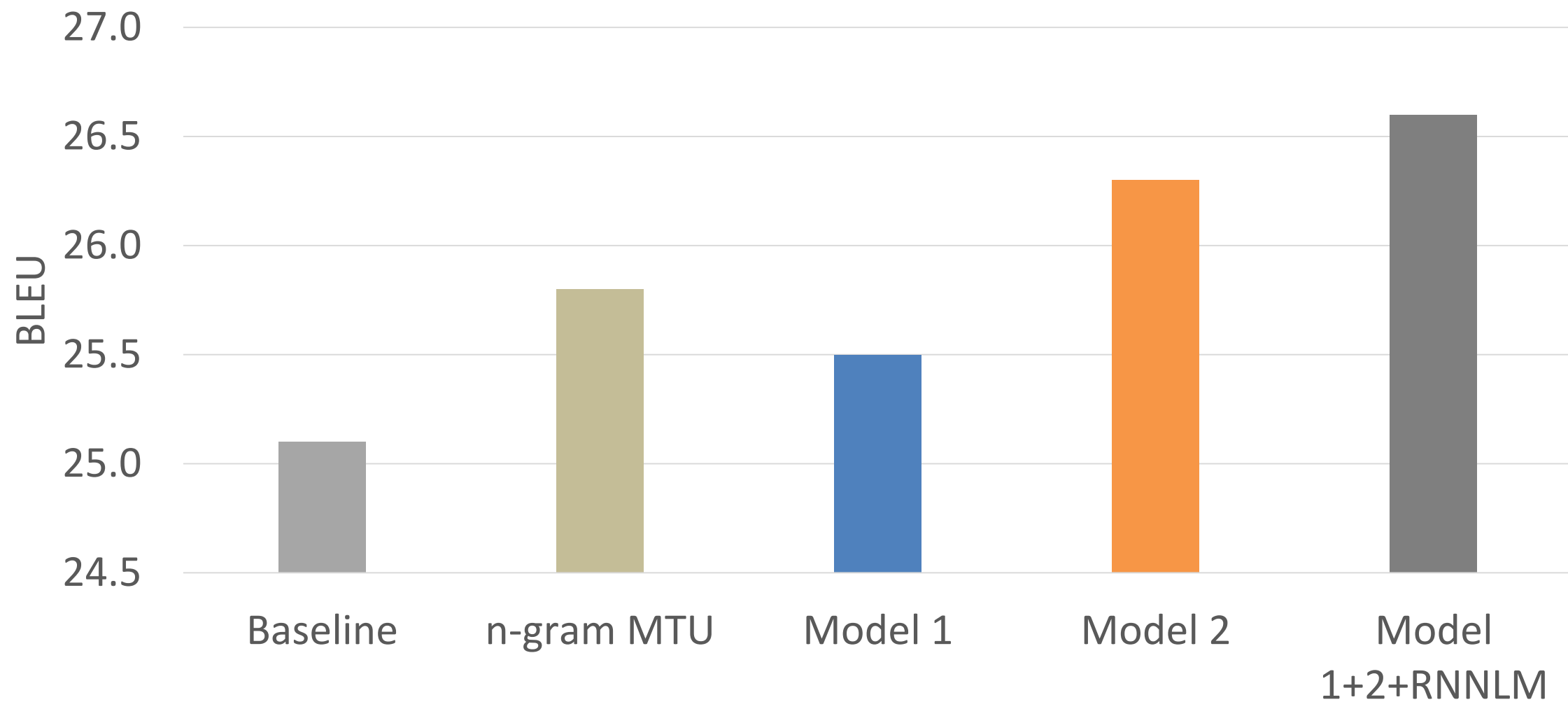


# Results

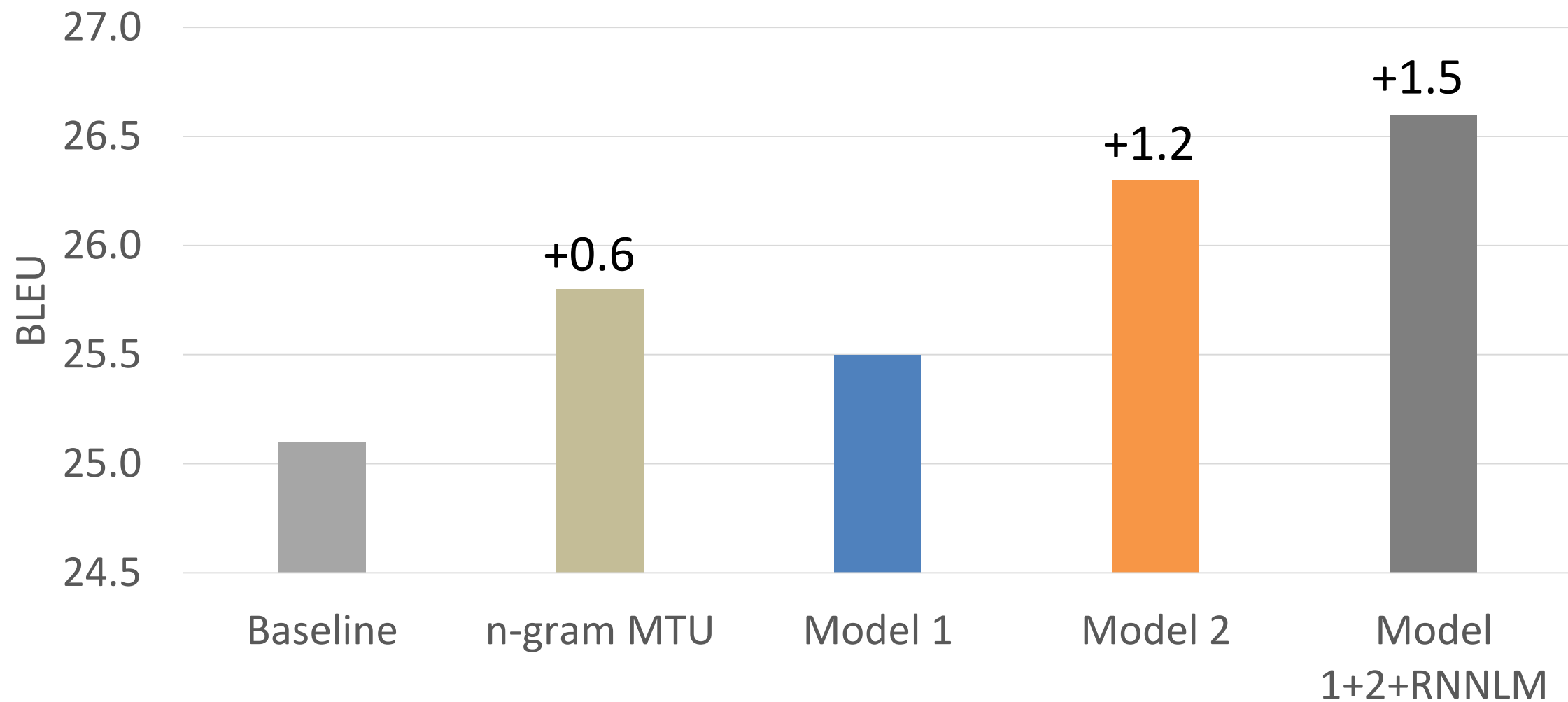
# Results



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# Results





# Summary so far

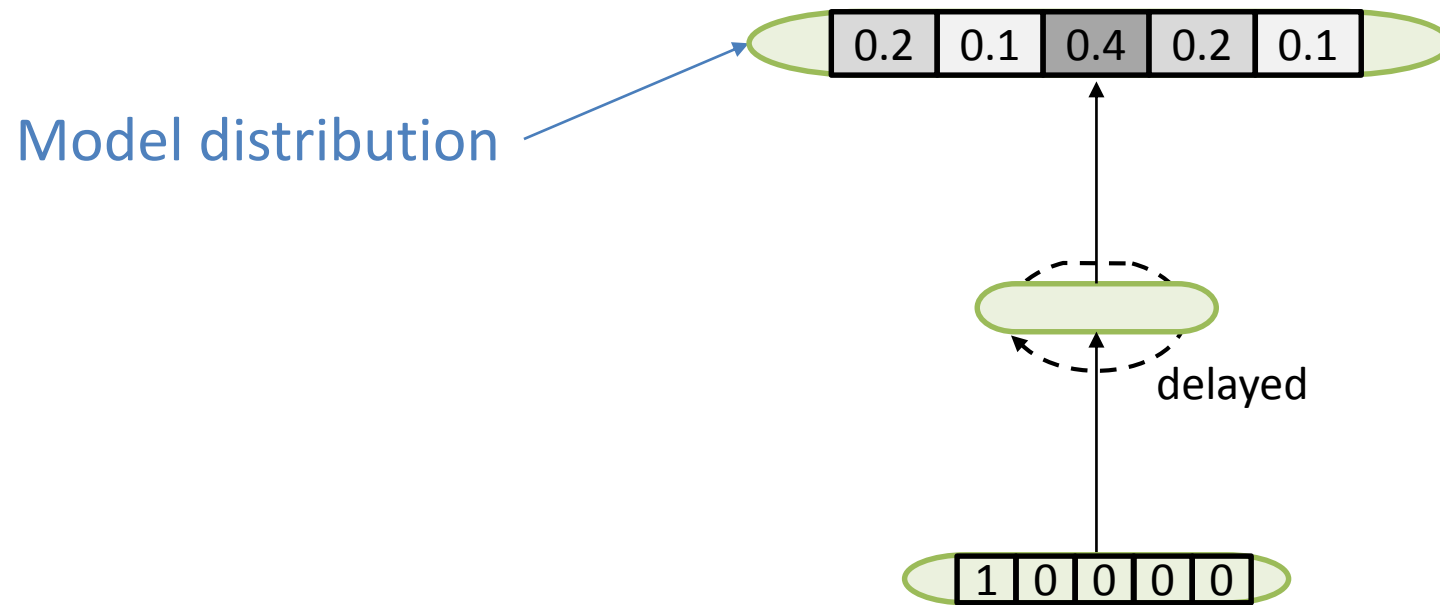
- Recurrent net translation models improve phrase-based models (+1.4 BLEU)
- Word-window approach superior to simple sentence representations
- Recurrent MTU models need to be carefully factored
- Bag-of-words factorization adds up to +1.5 BLEU

# Overview

- Recurrent neural network joint models (Auli et al., EMNLP 2013)  
Combined language and translation modeling
- Minimum translation modeling with recurrent nets (Hu et al., EACL 2014)  
Sequence models over bilingual units
- **Task-specific training of neural nets (Auli & Gao, ACL 2014)**  
Expected BLEU training for neural network translation models
- Large-scale discriminative sparse ordering models (Auli et al., in submission)  
Training millions of linear ordering features with expected BLEU



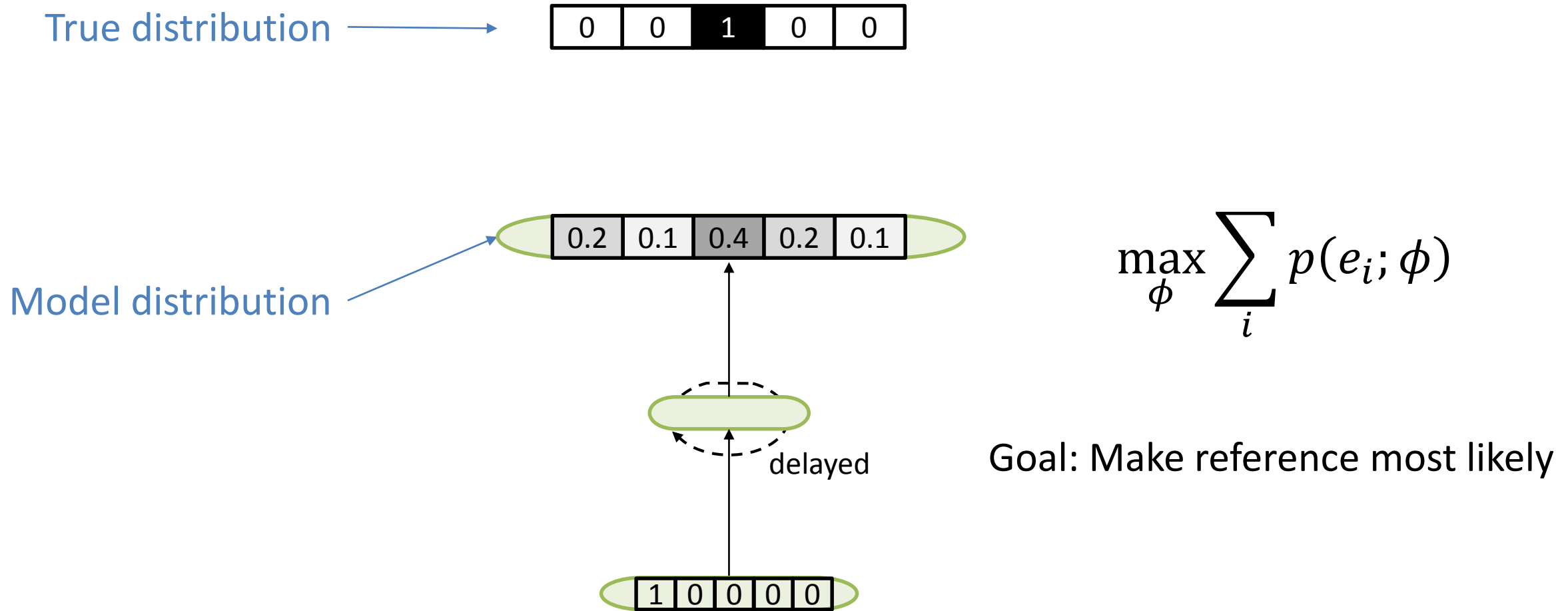
# Back propagation with the Cross Entropy Error



$$\max_{\phi} \sum_i p(e_i; \phi)$$

Goal: Make reference most likely

# Back propagation with the Cross Entropy Error



# Back propagation with the Cross Entropy Error

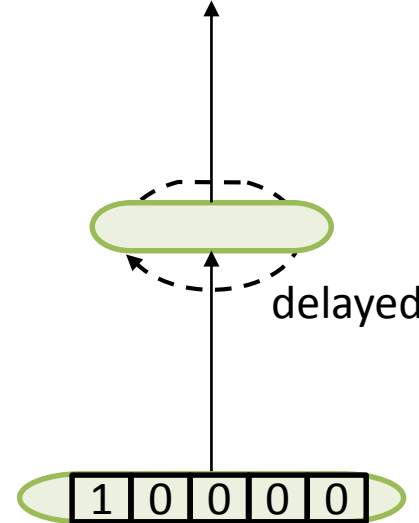
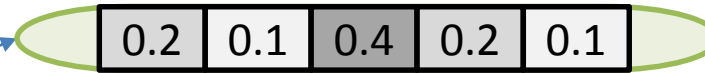
True distribution  $\longrightarrow$



Error vector  $\longrightarrow$



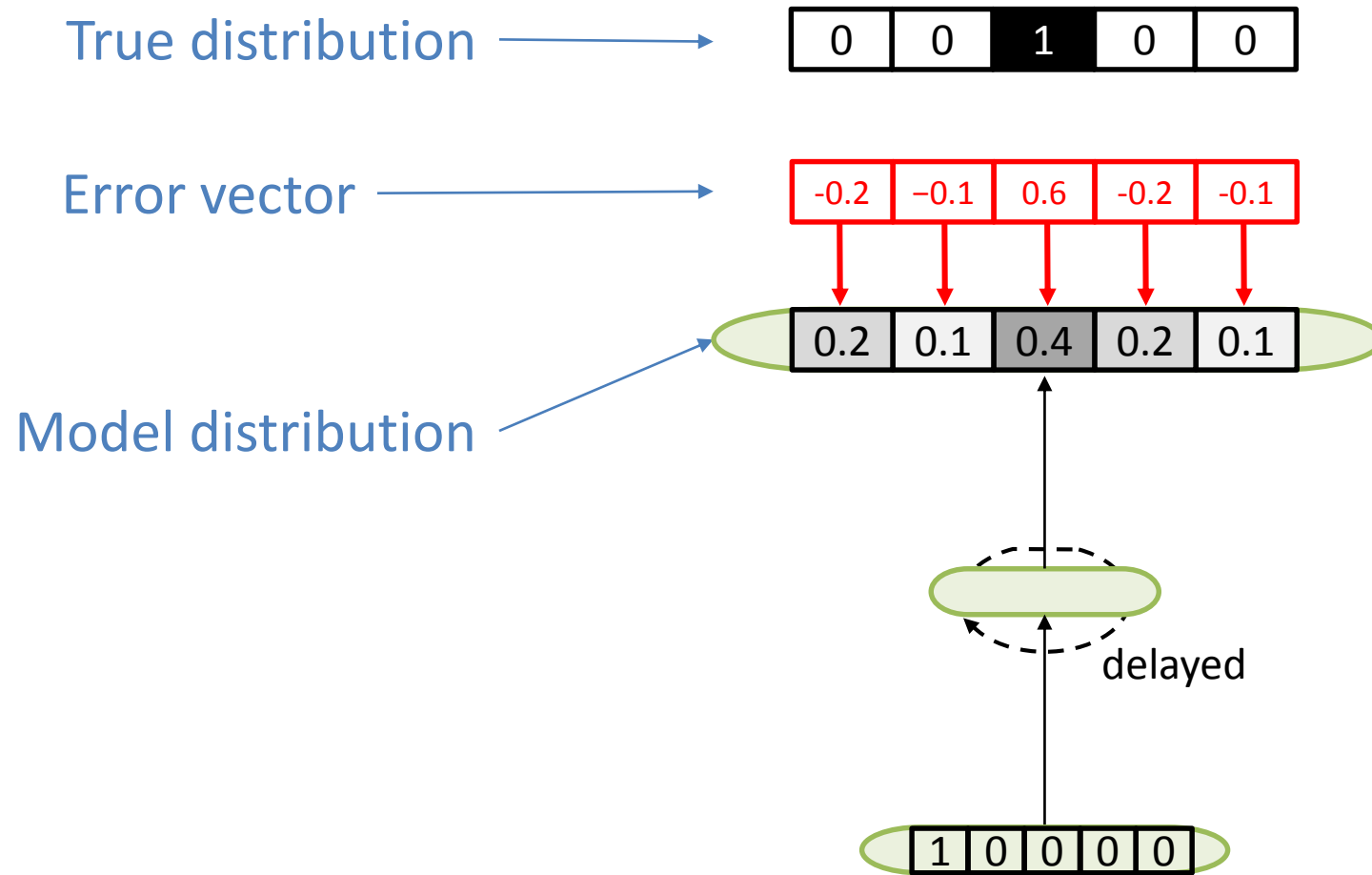
## Model distribution



$$\max_{\phi} \sum_i p(e_i; \phi)$$

## Goal: Make reference most likely

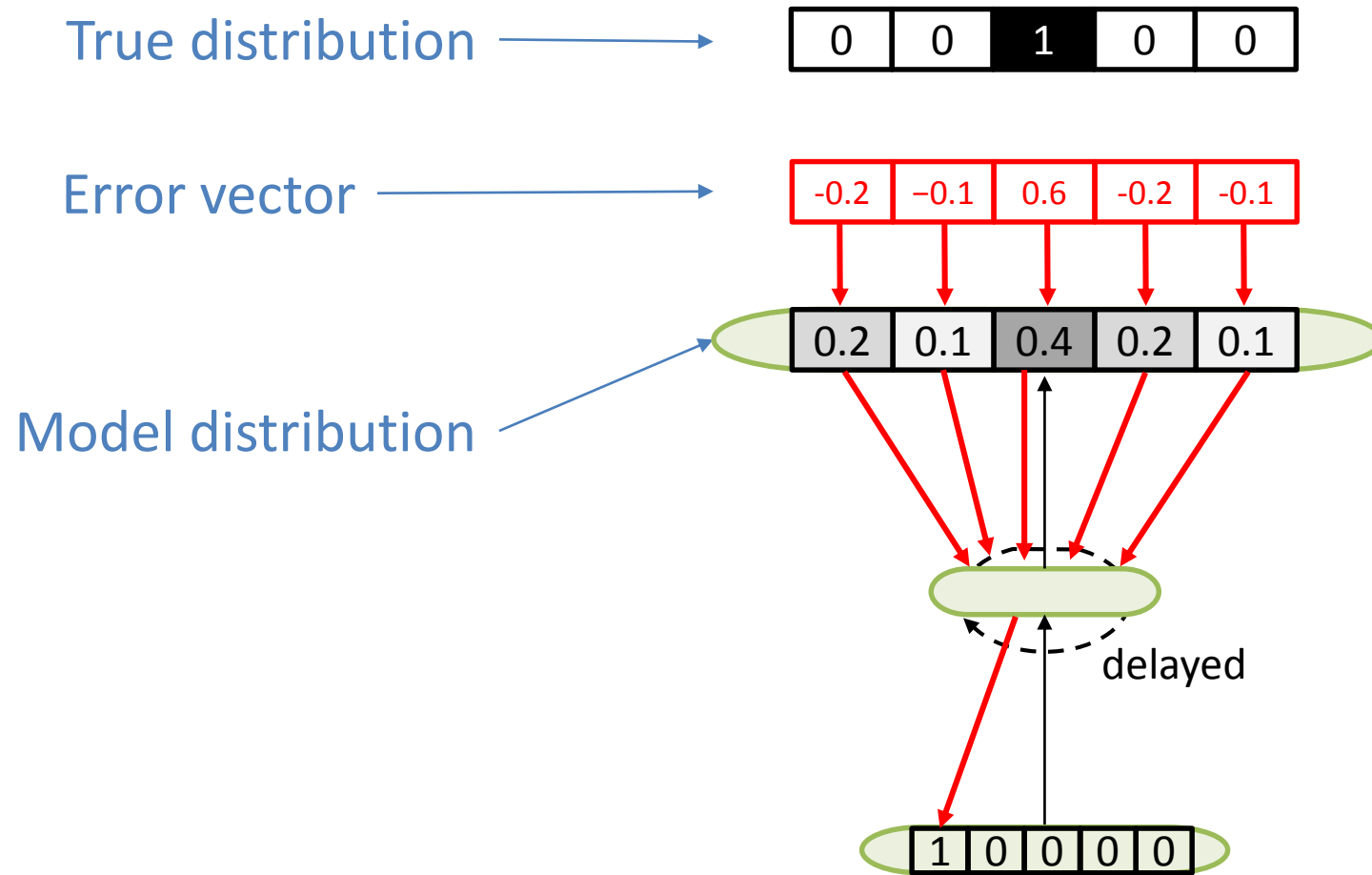
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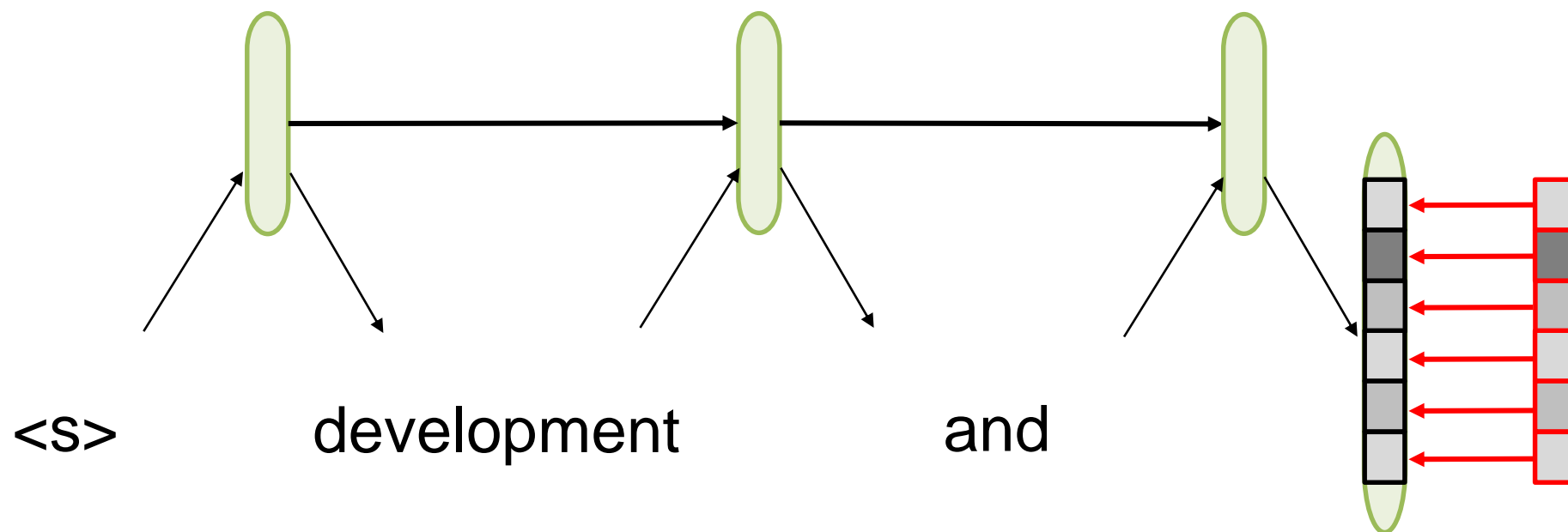
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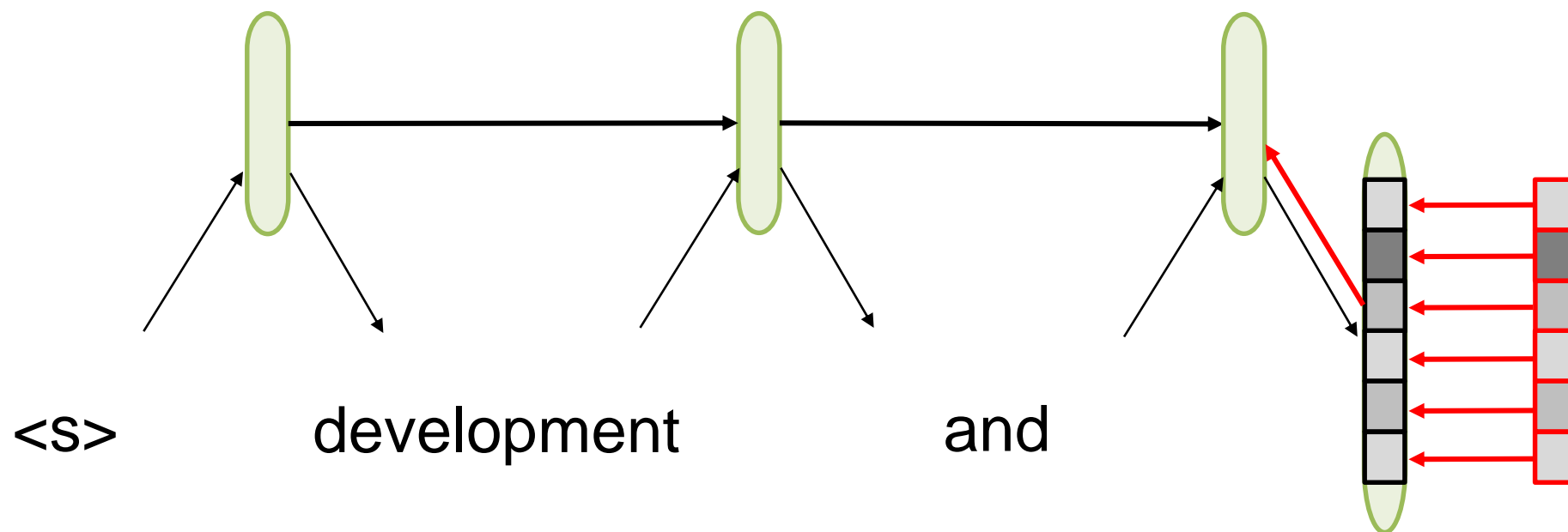
Goal: Make reference most likely

# Back propagation through time

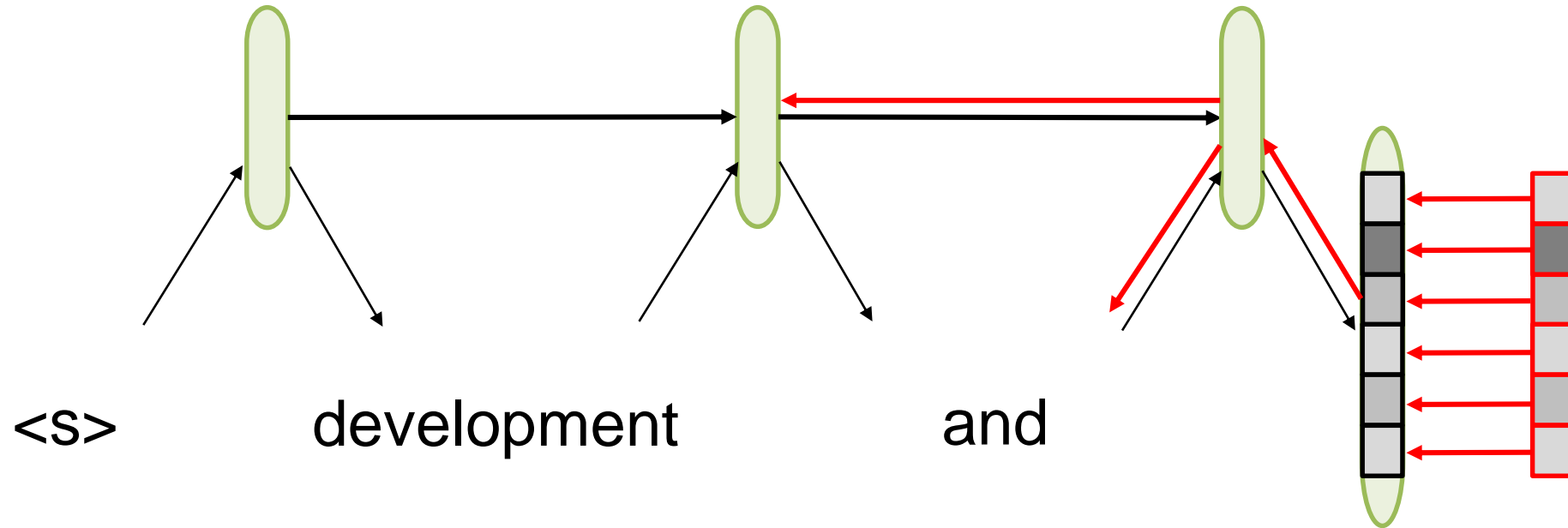




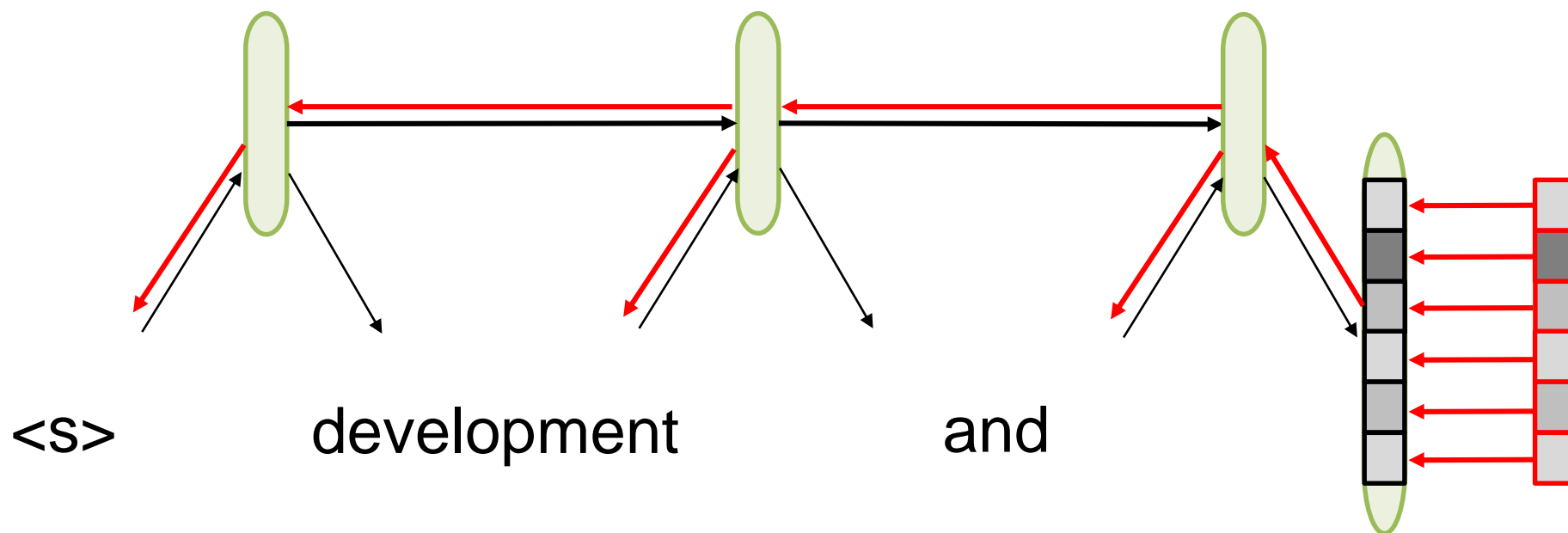
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# Task-specific Optimization

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- **Next:** Task-specific training of neural nets for translation

# BLEU Metric

(Bilingual Evaluation Understudy; Papineni 2002)

$$\text{BLEU} = \exp \left( \sum_{n=1}^4 \frac{1}{4} \log p_n \right) \text{BP}$$



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Modified precision scores



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Brevity penalty

Human: development and progress of the region

System: advance and progress of region

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# Expected BLEU Training

(Smith 2006, He 2012, Gao 2014)


L:  $\max_{\phi} \sum_i p(e_i | f_i; \phi)$

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Desired translation output

L:



$$\max_{\phi} \sum_i p(e_i | f_i; \phi)$$

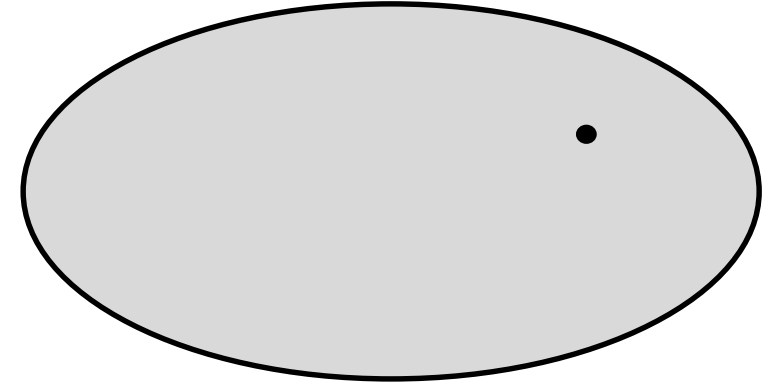
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xBLEU:  $\max_{\phi} \sum_i \sum_{e \in E(f_i)} \text{sBLEU}(e, e_i) p(e | f_i; \phi)$



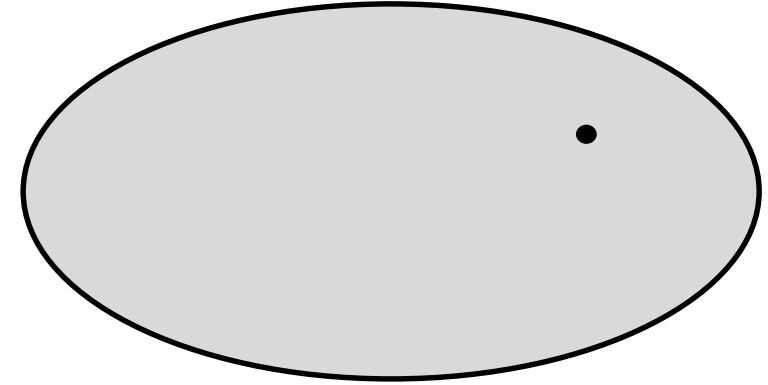
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Generated outputs

Gain function

Human translation

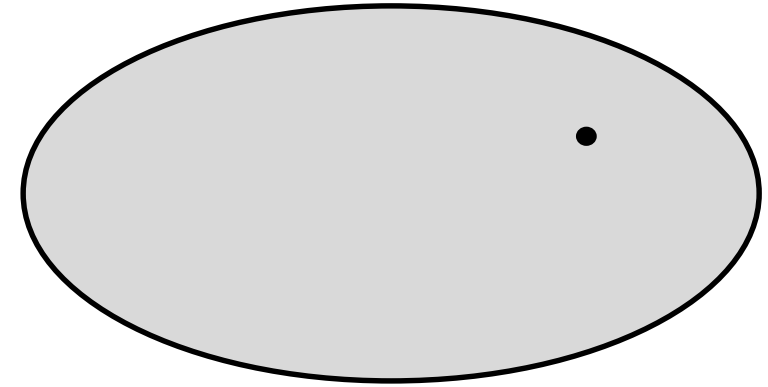
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(Smith 2006, He 2012, Gao 2014)

Desired translation output

L:

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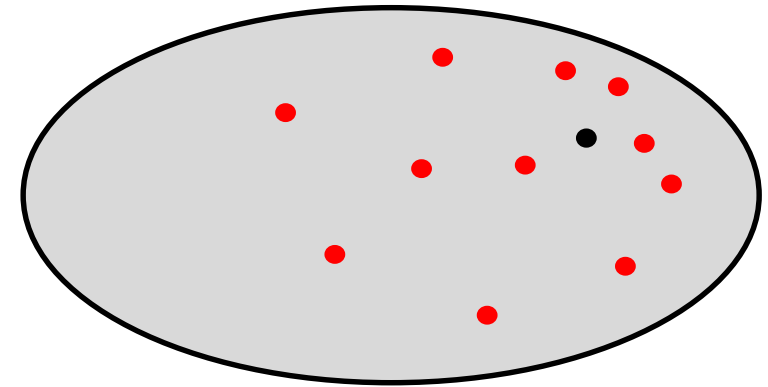
xBLEU:

$$\max_{\phi} \sum_i \sum_{e \in E(f_i)} \text{sBLEU}(e, e_i) p(e | f_i; \phi)$$

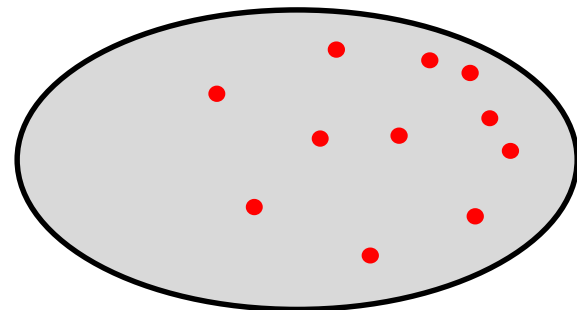
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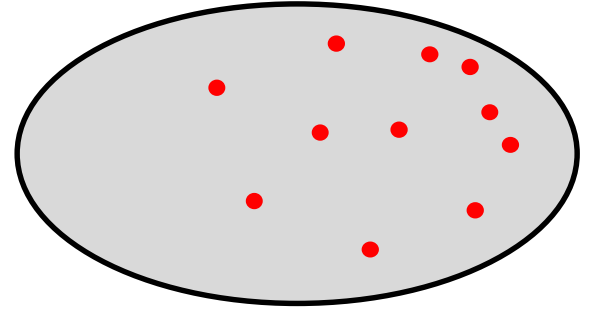
# Expected BLEU Training



本 地 区 的 发 展 和 进 步

Human: development and progress of the region

# Expected BLEU Training

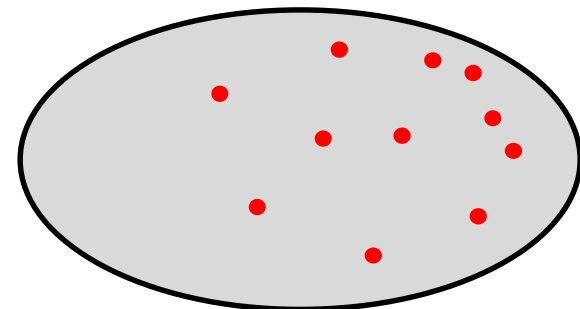


本 地 区 的 发 展 和 进 步

Human: development and progress of the region

advance and progress of the region  
development and progress of this province  
progress of this region

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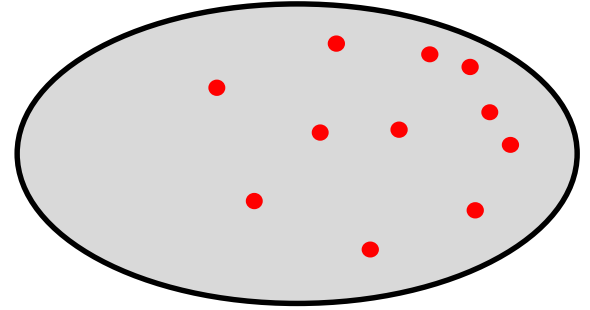


本 地区 的 发 展 和 进 步

Human: development and progress of the region

	sBLEU
advance and progress of the region	0.8
development and progress of this province	0.5
progress of this region	0.3

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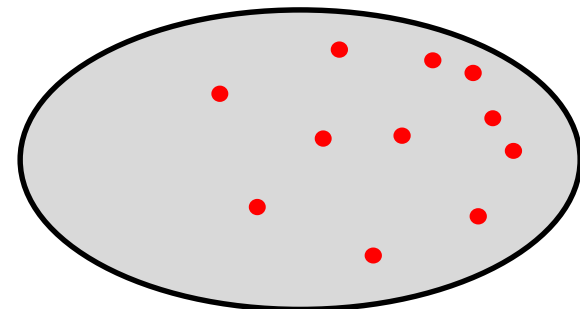


本 地区 的 发 展 和 进 步

Human: development and progress of the region

	sBLEU	$p_t(e f_i)$
advance and progress of the region	0.8	0.2
development and progress of this province	0.5	0.3
progress of this region	0.3	0.5

# Expected BLEU Training

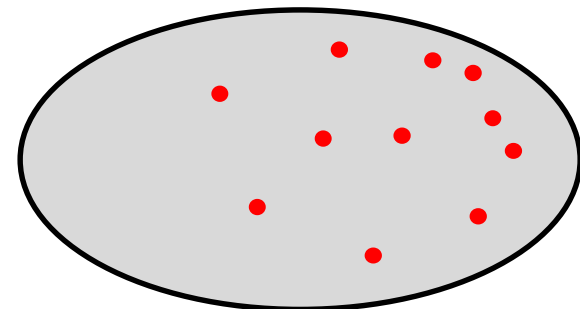


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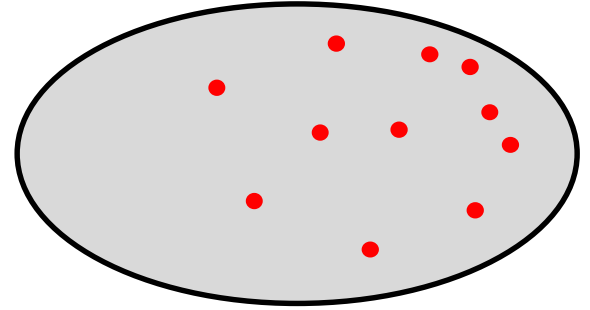
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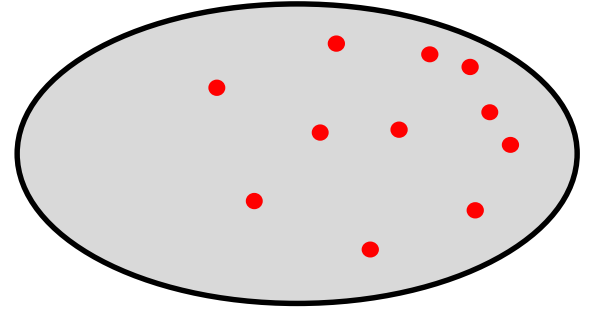
本 地 区 的 发 展 和 进 步

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$$\text{xBLEU} = \sum_i \sum_{e \in E(f_i)} \text{sBLEU}(e, e_i) p(e|f_i) = 0.5$$

# Expected BLEU Training



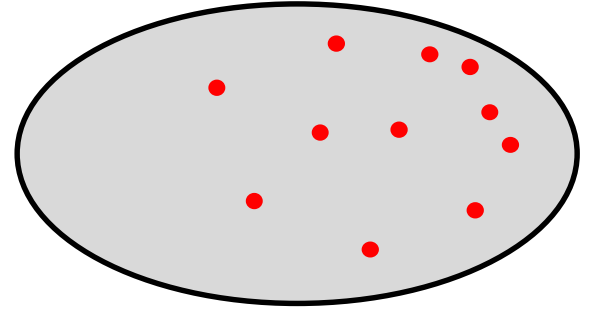
本 地区 的 发 展 和 进 步

Human: development and progress of the region

	sBLEU	$p_t(e f_i)$	$\delta_t$
advance and progress of the region	0.8	0.2	0.3
development and progress of this province	0.5	0.3	0
progress of this region	0.3	0.5	-0.2

$$\text{xBLEU} = \sum_i \sum_{e \in E(f_i)} \text{sBLEU}(e, e_i) p(e|f_i) = 0.5$$

# Expected BLEU Training



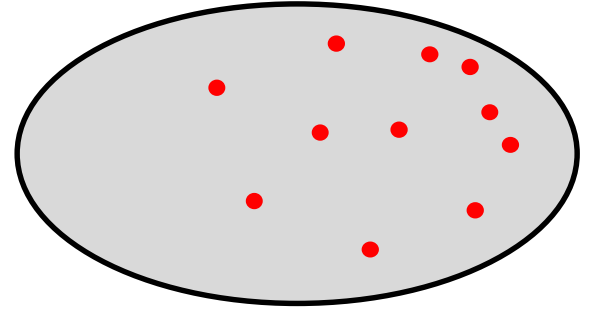
本 地 区 的 发 展 和 进 步

Human: development and progress of the region

	sBLEU	$p_t(e f_i)$	$\delta_t$	$p_{t+1}(e f_i)$
advance and progress of the region	0.8	0.2	0.3	0.5
development and progress of this province	0.5	0.3	0	0.3
progress of this region	0.3	0.5	-0.2	0.1

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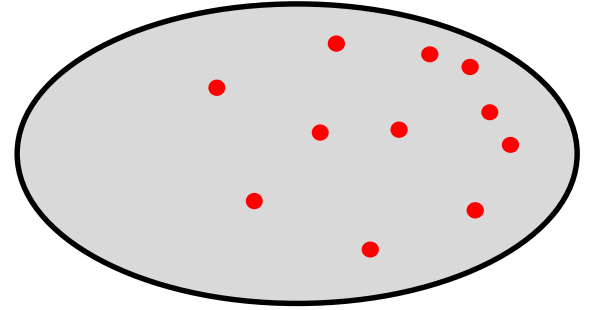
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# Expected BLEU Training



本 地区 的 发 展 和 进 步

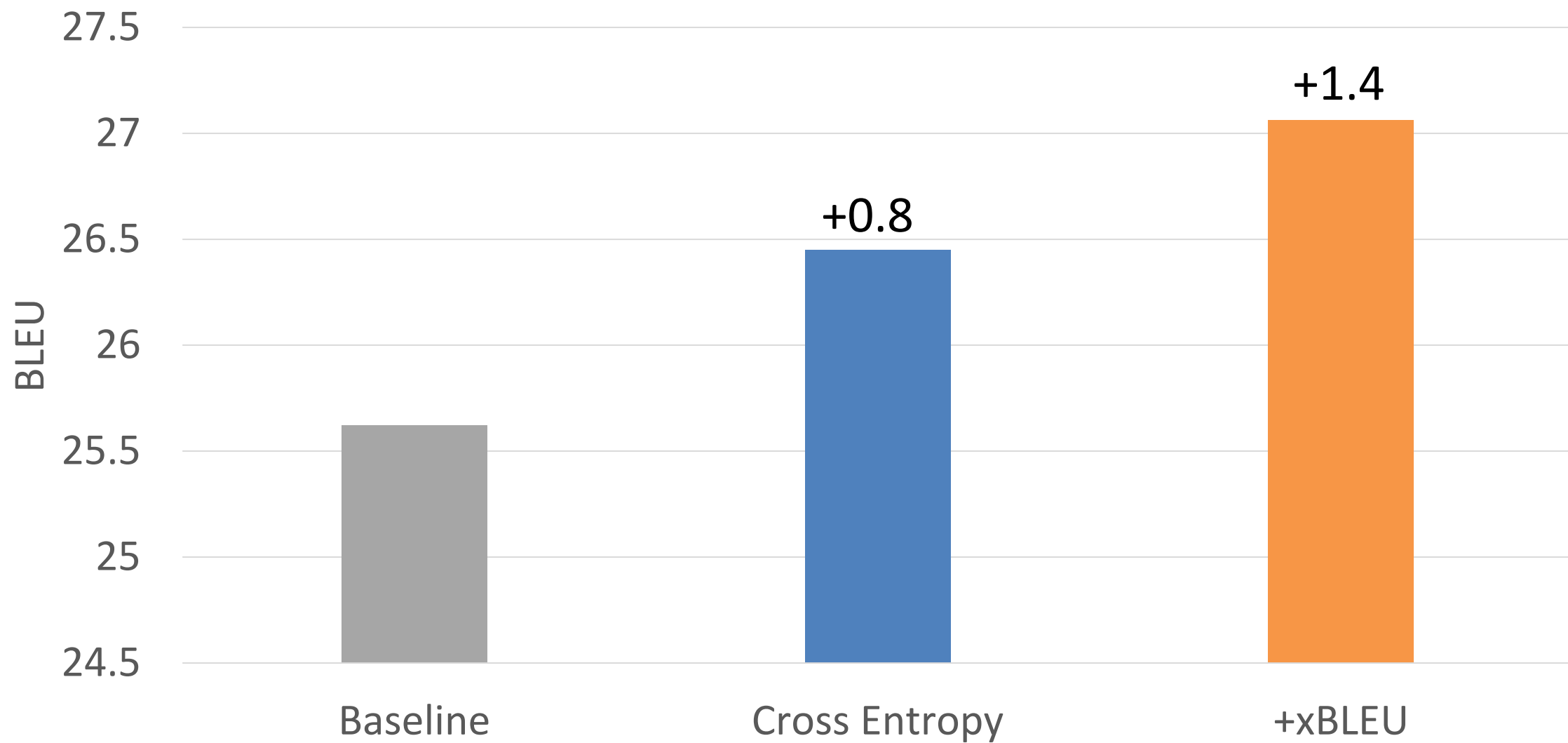
Human: development and progress of the region

	sBLEU	$p_t(e f_i)$	$\delta_t$	$p_{t+1}(e f_i)$
advance and progress of the region	0.8	0.2	0.3	0.5
development and progress of this province	0.5	0.3	0	0.3
progress of this region	0.3	0.5	-0.2	0.1

$$\text{xBLEU} = \sum_i \sum_{e \in E(f_i)} \text{sBLEU}(e, e_i) p(e|f_i) = 0.5 \rightarrow \mathbf{0.6}$$

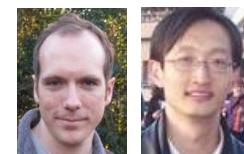
# Results

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# Overview

- Recurrent neural network joint models (EMNLP 2013)  
Combined language and translation modeling
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Expected BLEU training for neural network translation models
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Training millions of linear ordering features with expected BLEU





# Large-scale Discriminative Training for SMT

- Tuning: Minimum Error Rate Training: ~30 features (Och, 2003)

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- Recent success: MIRA-trained sparse ordering models (Cherry, 2013)
- **Next:** Training large-scale sparse ordering models with expected BLEU

# Lexicalized Reordering

本 地 区 的      发 展      和 进 步      。

# Lexicalized Reordering



本 地 区 的      发 展      和 进 步      。

# Lexicalized Reordering



本 地区 的 发展 和 进步 。

development



# Lexicalized Reordering



本 地区 的 发展 和 进步 。

development

and  
progress

# Lexicalized Reordering



本 地区 的      发展      和 进步      。

development      **Monotone**

$p(\text{Monotone} | \text{和 进步, and progress})$

and  
progress

# Lexicalized Reordering



本 地 区 的      发 展      和 进 步      。

development

and  
progress

of the  
region

# Lexicalized Reordering



本 地 区 的      发 展      和 进 步      。

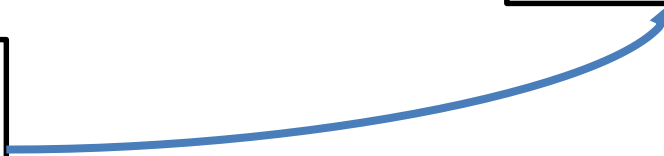
development

$p(\text{Discontinuous} | \text{本 地 区 的, of the region})$

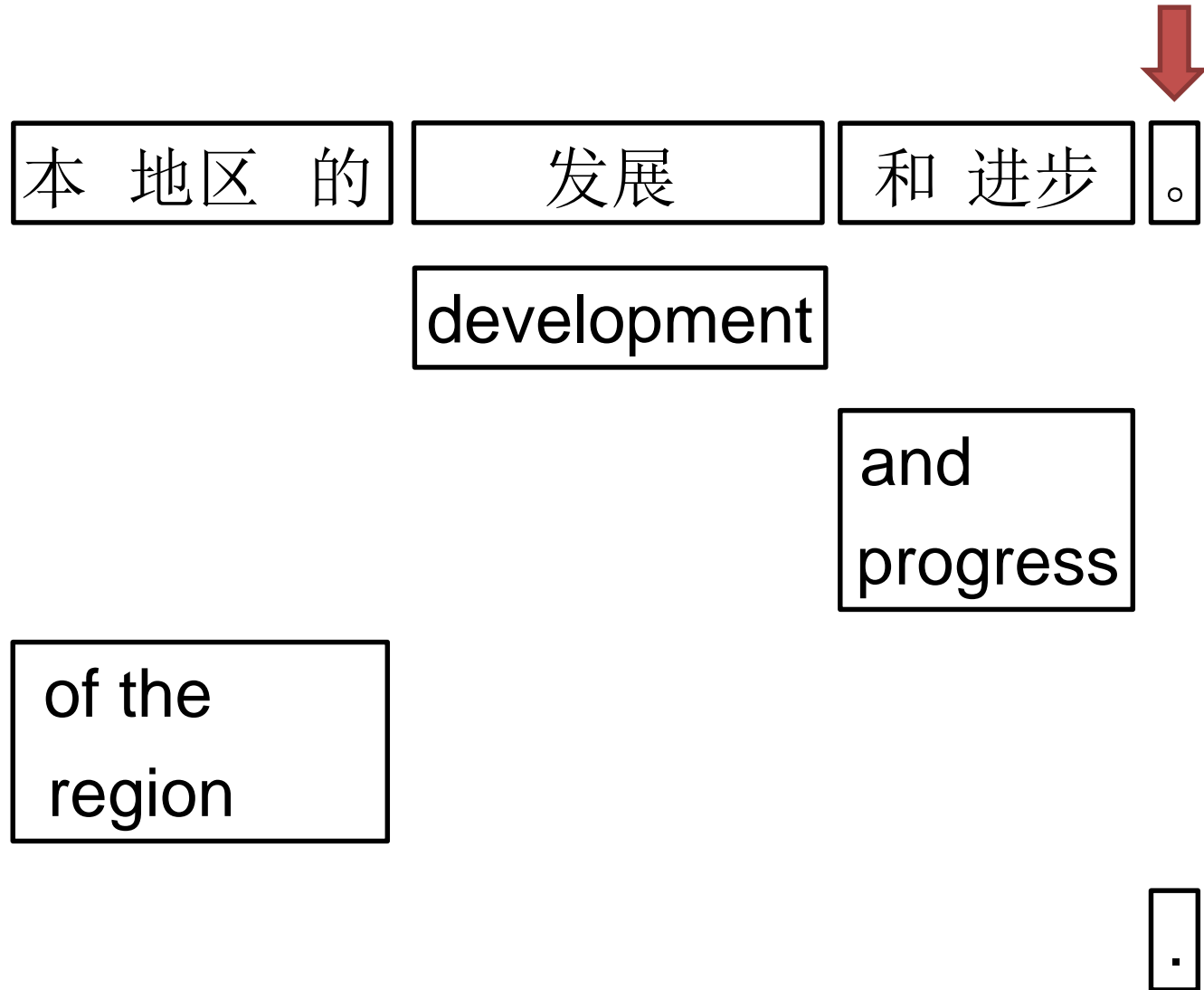
and  
progress

of the  
region

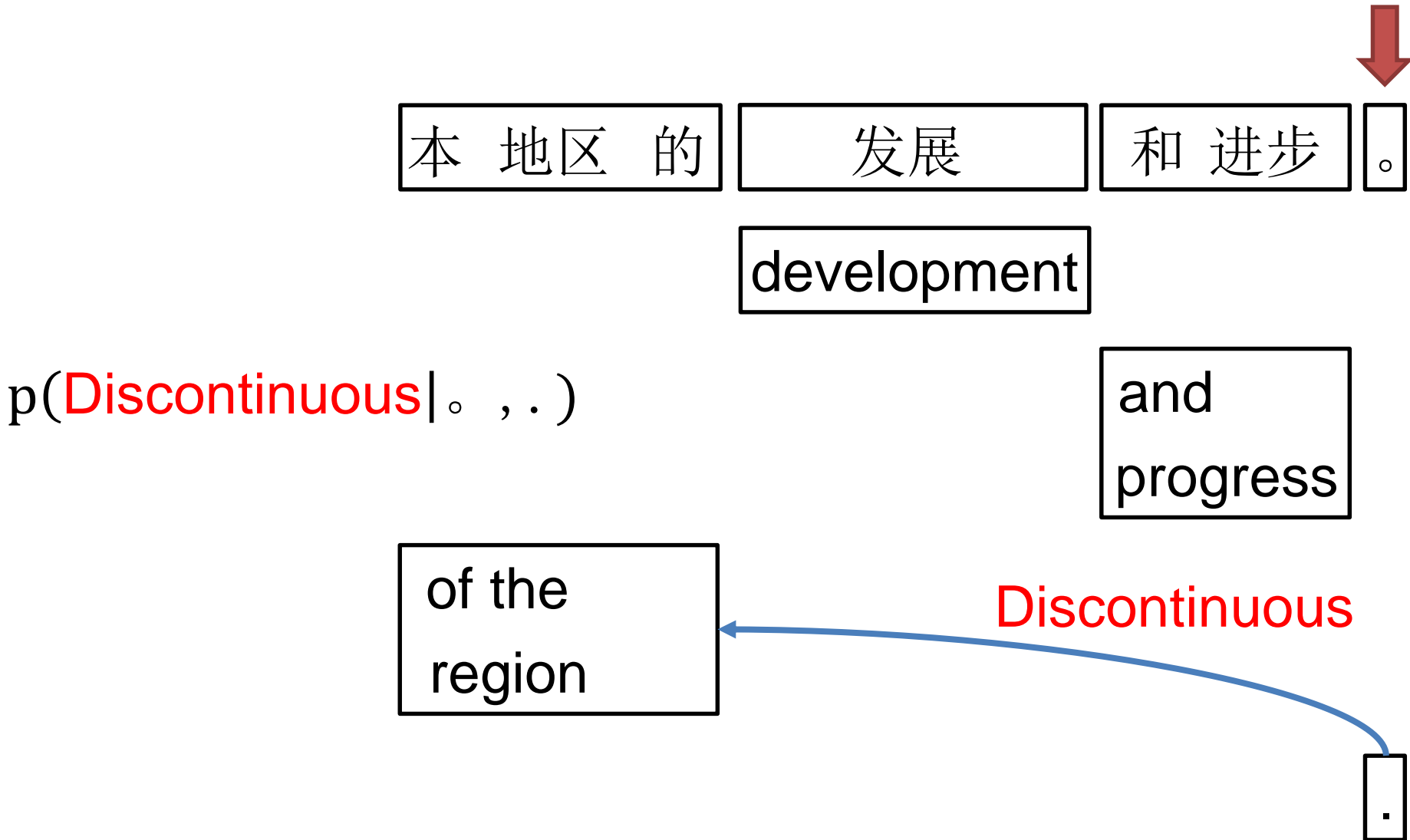
Discontinuous



# Lexicalized Reordering



# Lexicalized Reordering



# Hierarchical Lexicalized Reordering

本 地 区 的      发 展      和 进 步      。

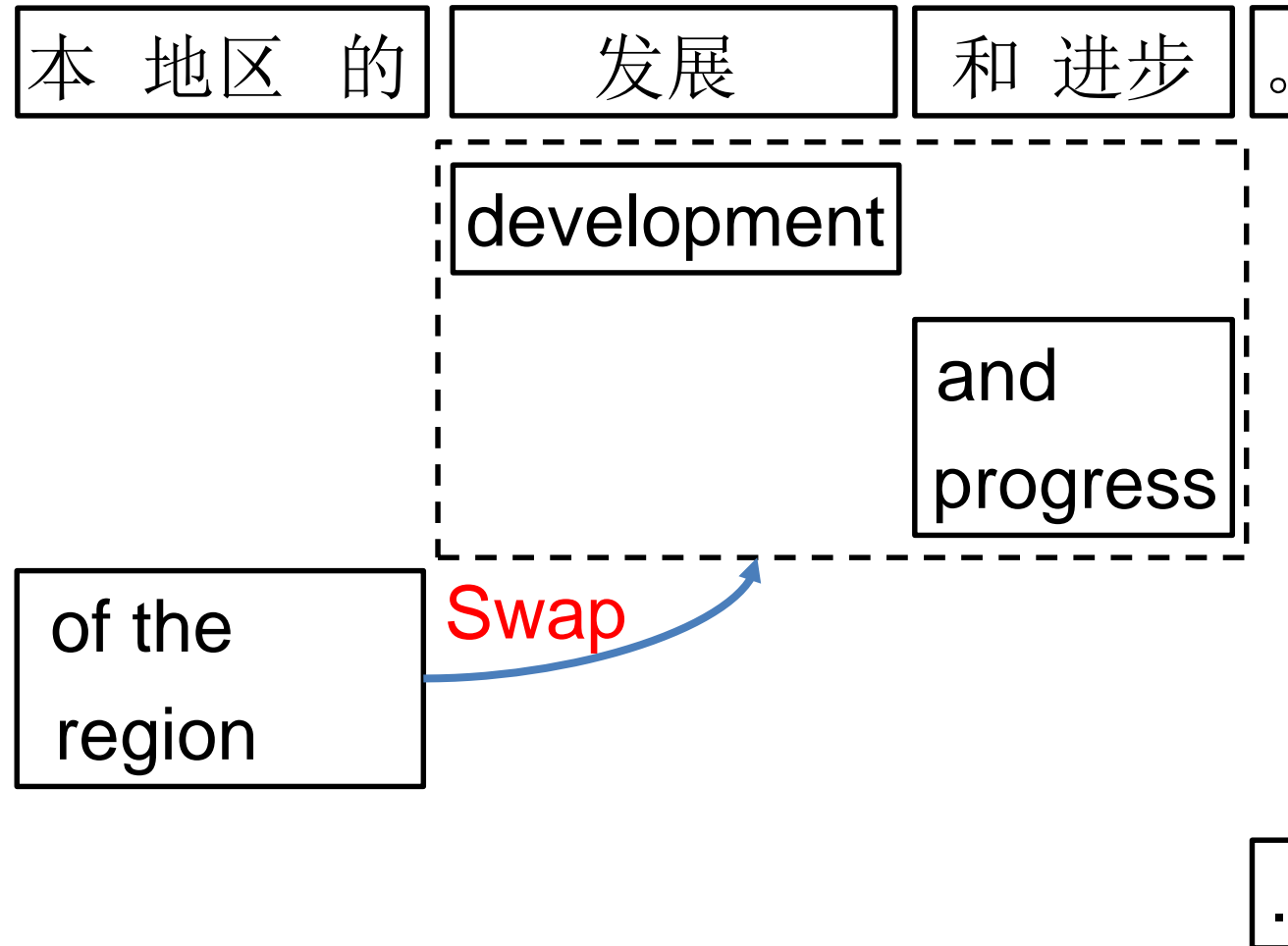
development

and  
progress

of the  
region

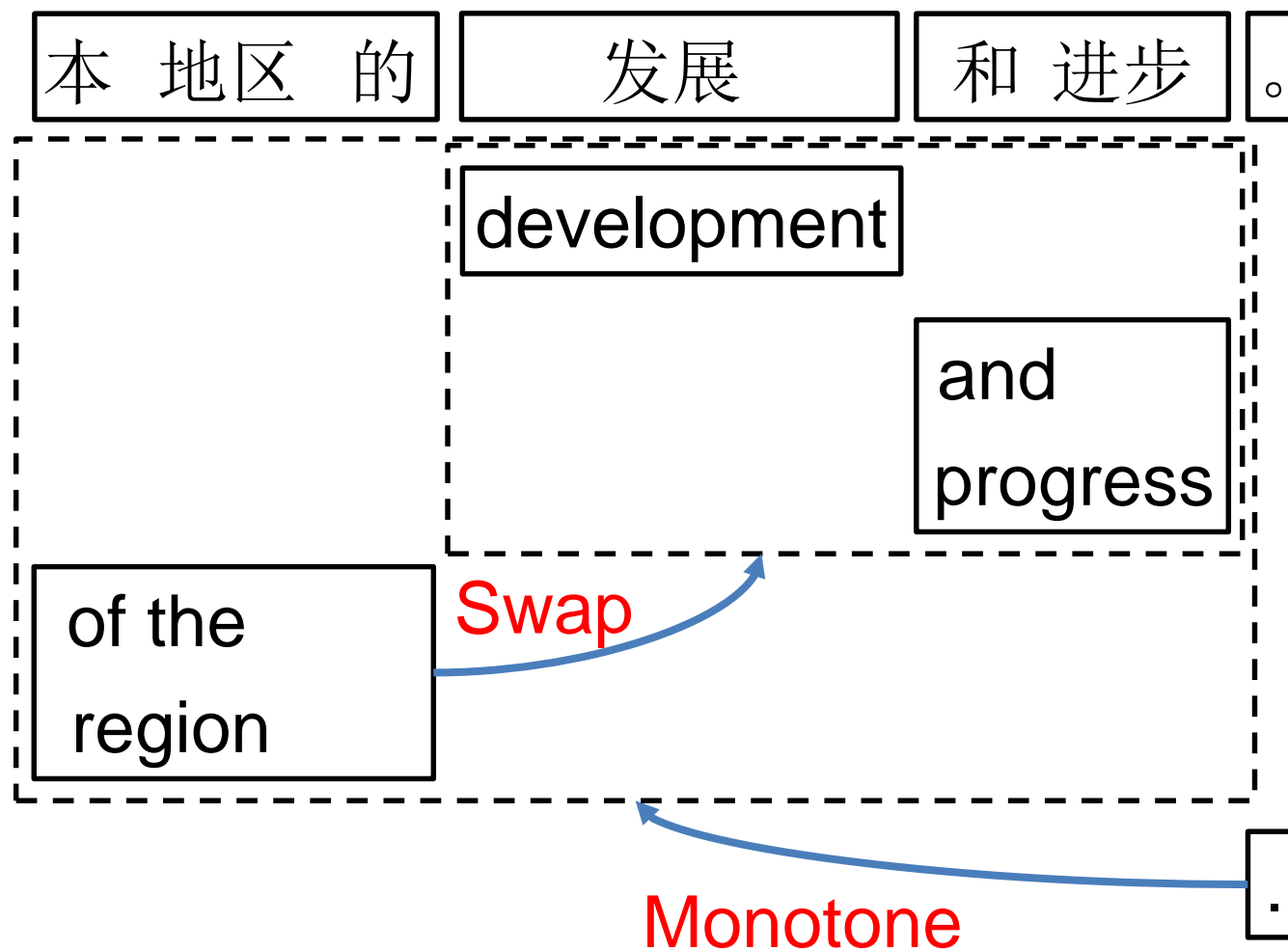
.

# Hierarchical Lexicalized Reordering





# Hierarchical Lexicalized Reordering



# Hierarchical Lexicalized Reordering

$p(\text{Monotone} | \text{和 进步, and progress})$

# Hierarchical Lexicalized Reordering

$$p(\textcolor{red}{o}|pp) =$$

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$$p(\textcolor{red}{o}|pp) = \frac{\text{count}(\textcolor{red}{o}, pp)}{\text{count}(pp)}$$

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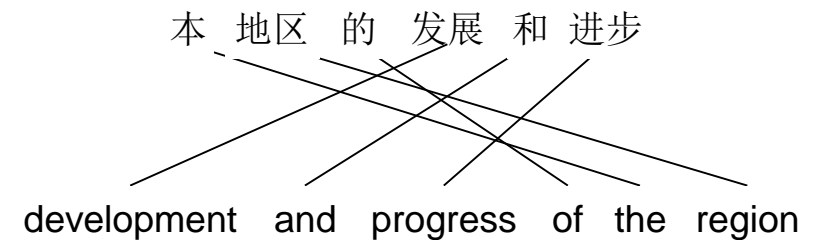
$$p(\textcolor{red}{o}|pp) = \frac{\text{count}(\textcolor{red}{o}, pp)}{\text{count}(pp)}$$

- Typically 100Ms of parameters
- Very sparse estimates
- Objective: Likelihood

# Hierarchical Lexicalized Reordering

$$p(\textcolor{red}{o}|pp) = \frac{\text{count}(\textcolor{red}{o}, pp)}{\text{count}(pp)}$$

- Typically 100Ms of parameters
- Very sparse estimates
- Objective: Likelihood
- Training data: word-aligned bi-texts





# MaxEnt Reordering (Xiong 2006, Nguyen 2009)

$p(\text{o}|pp)$  = indicator features!

e.g. Monotone\_progress,  
Monotone\_和

# MaxEnt Reordering (Xiong 2006, Nguyen 2009)

$$p(\mathbf{o}|pp) = \frac{\exp\{\theta^T h(\mathbf{o}, pp)\}}{\sum_{\mathbf{o}} \exp\{\theta^T h(\mathbf{o}, pp)\}}$$

e.g. Monotone\_progress,  
Monotone\_和

# MaxEnt Reordering (Xiong 2006, Nguyen 2009)

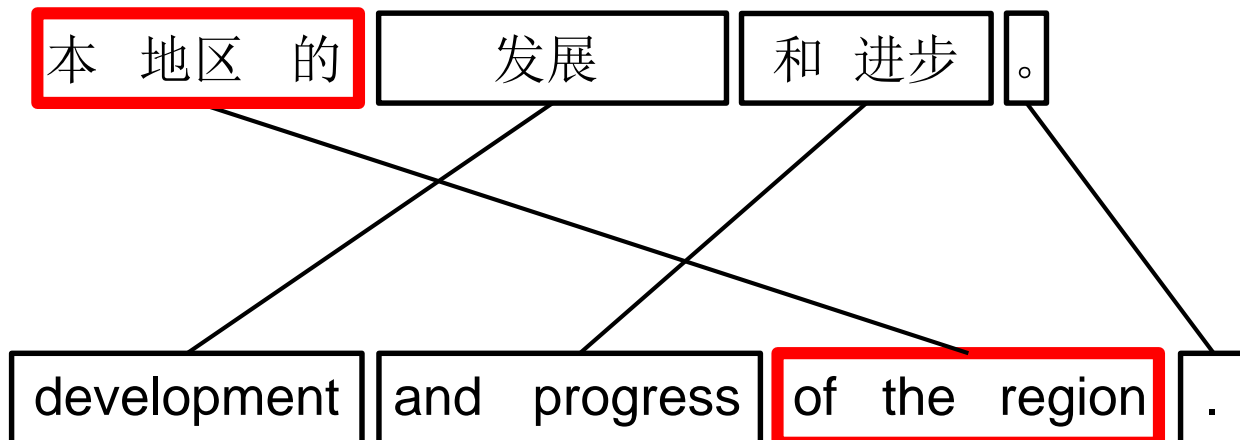
$$p(\mathbf{o}|pp) = \frac{\exp\{\theta^T h(\mathbf{o}, pp)\}}{\sum_{\mathbf{o}} \exp\{\theta^T h(\mathbf{o}, pp)\}}$$

e.g. Monotone\_progress,  
Monotone\_和

- Typically Ms of parameters
- *Better estimates*
- Objective: Likelihood
- Training data: word-aligned bi-texts

# Sparse Reordering (Cherry 2013)

- Simple unigram features
- Most frequent 80 words, 20 or 50 class Brown Clusters  
e.g., Monotone\_the, Monotone\_C20, Monotone\_C50
- About 3.5K features



- Discontinuous\_src\_本
- Discontinuous\_tgt\_of
- Discontinuous\_src\_C20
- ...

# Sparse Reordering (Cherry 2013)

Idea: Add ordering features to top-level features and tune with MIRA

$$\hat{e} = \operatorname{argmax}_e \theta^T h(f, e)$$

- *Better estimates*

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$$\hat{e} = \operatorname{argmax}_e \theta^T h(f, e)$$

$$h_1: p(e|f)$$

$$h_2: p(f|e)$$

$$h_3: p_{LM}(e)$$

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...

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Idea: Add ordering features to top-level features and tune with MIRA

$$\hat{e} = \operatorname{argmax}_e \theta^T h(f, e)$$

- *Better estimates*
- Objective: **BLEU**

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- *Better estimates*
- Objective: **BLEU**
- Training data: **machine translation output**

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...

- *Better estimates*
- Objective: **BLEU**
- Training data: **machine translation output**
- Much better than MaxEnt

# Sparse Reordering (Cherry 2013)

- Lexicalized models trained on Ms of sentences with 100Ms of parameters
- Cherry (2013): Ordering model with 3.5K features learned on 2K sentences
- Can we learn a general purpose ordering model this way?
- MIRA/PRO don't scale to truly large settings (Yu 2013, Eidelman 2013)
- **Next:** Large-scale discriminative models with Ms of features trained on 100Ks of sentences using expected BLEU

# Scaling the feature set

Features

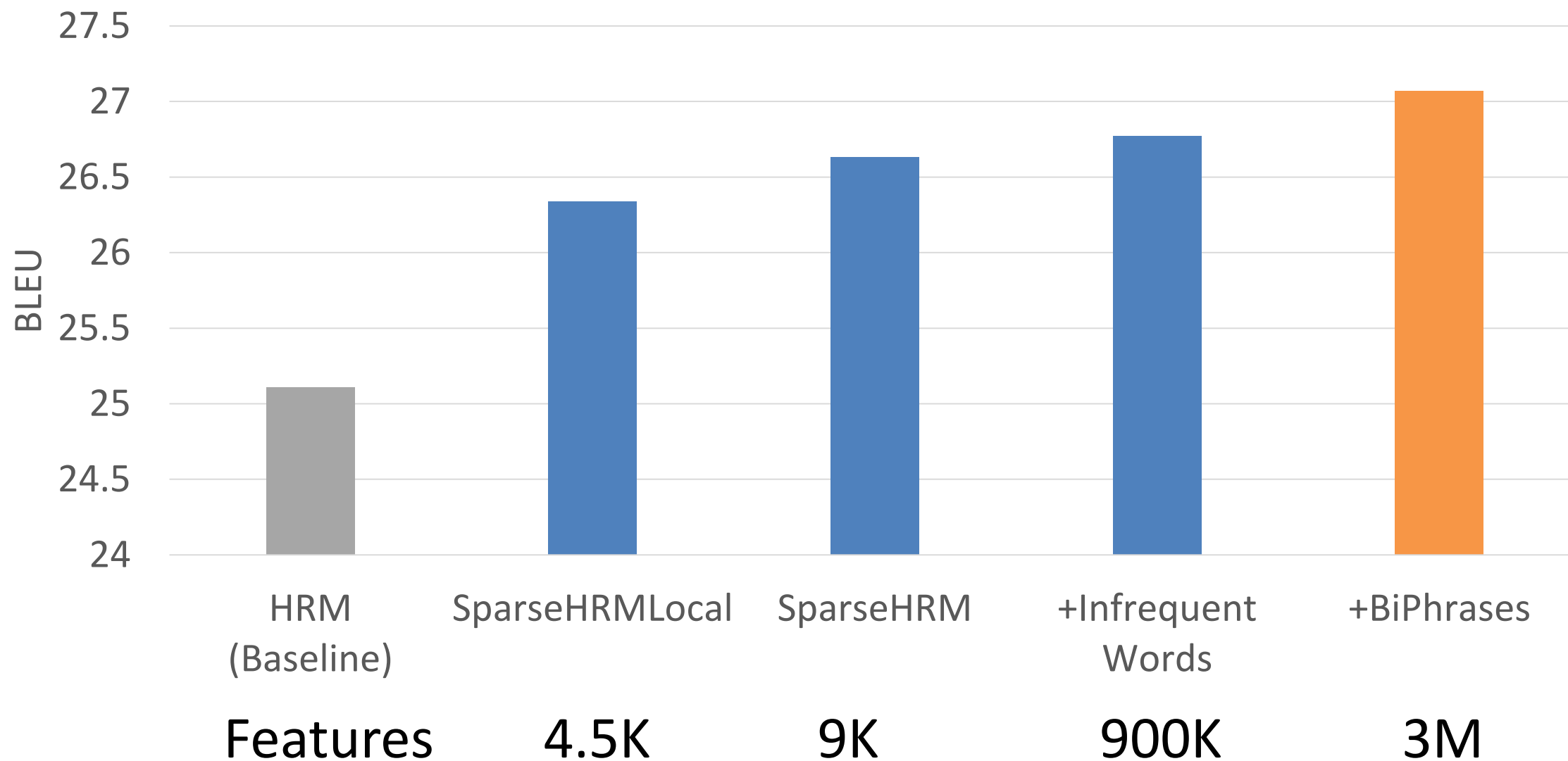
4.5K

9K

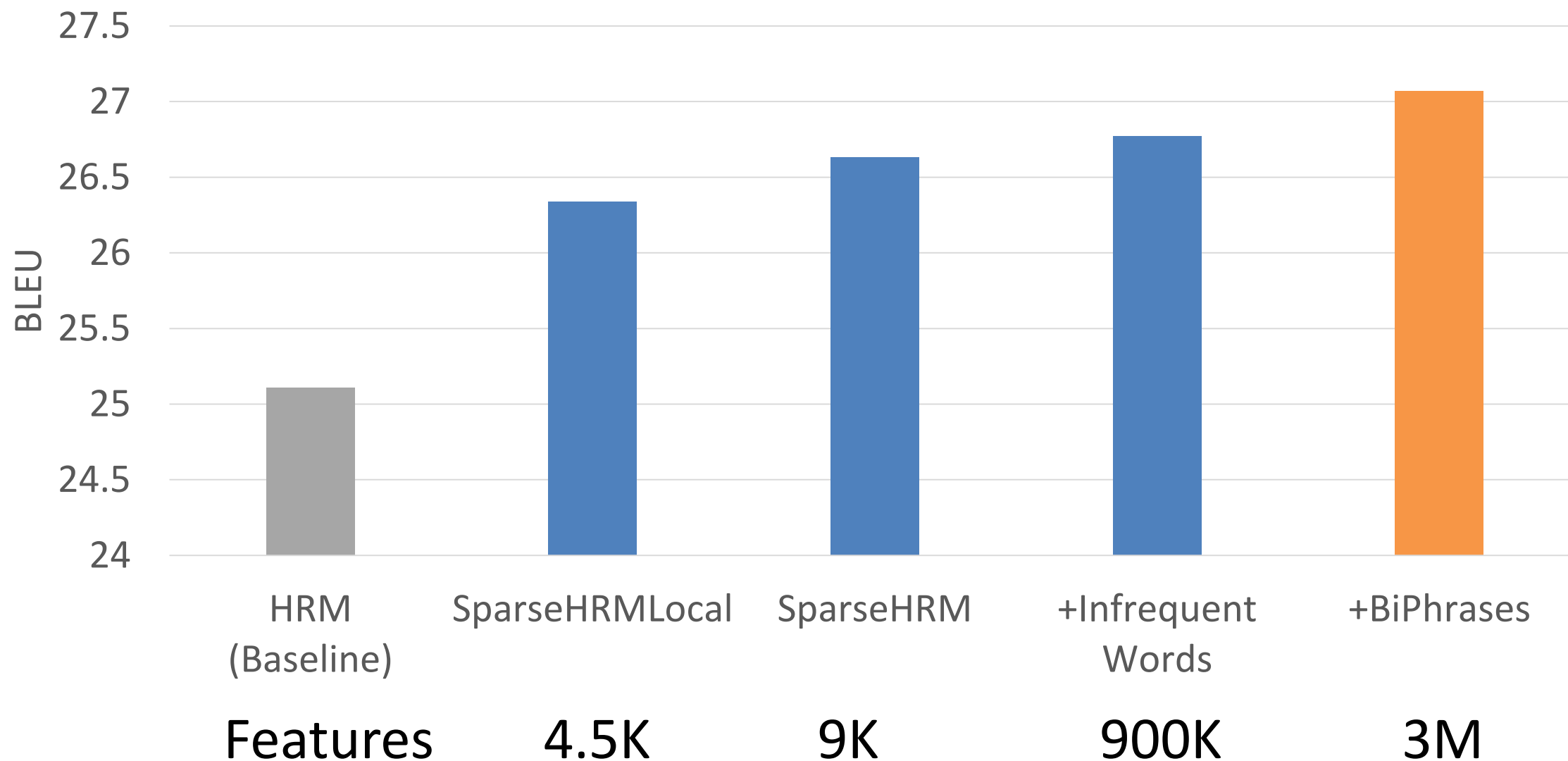
900K

3M

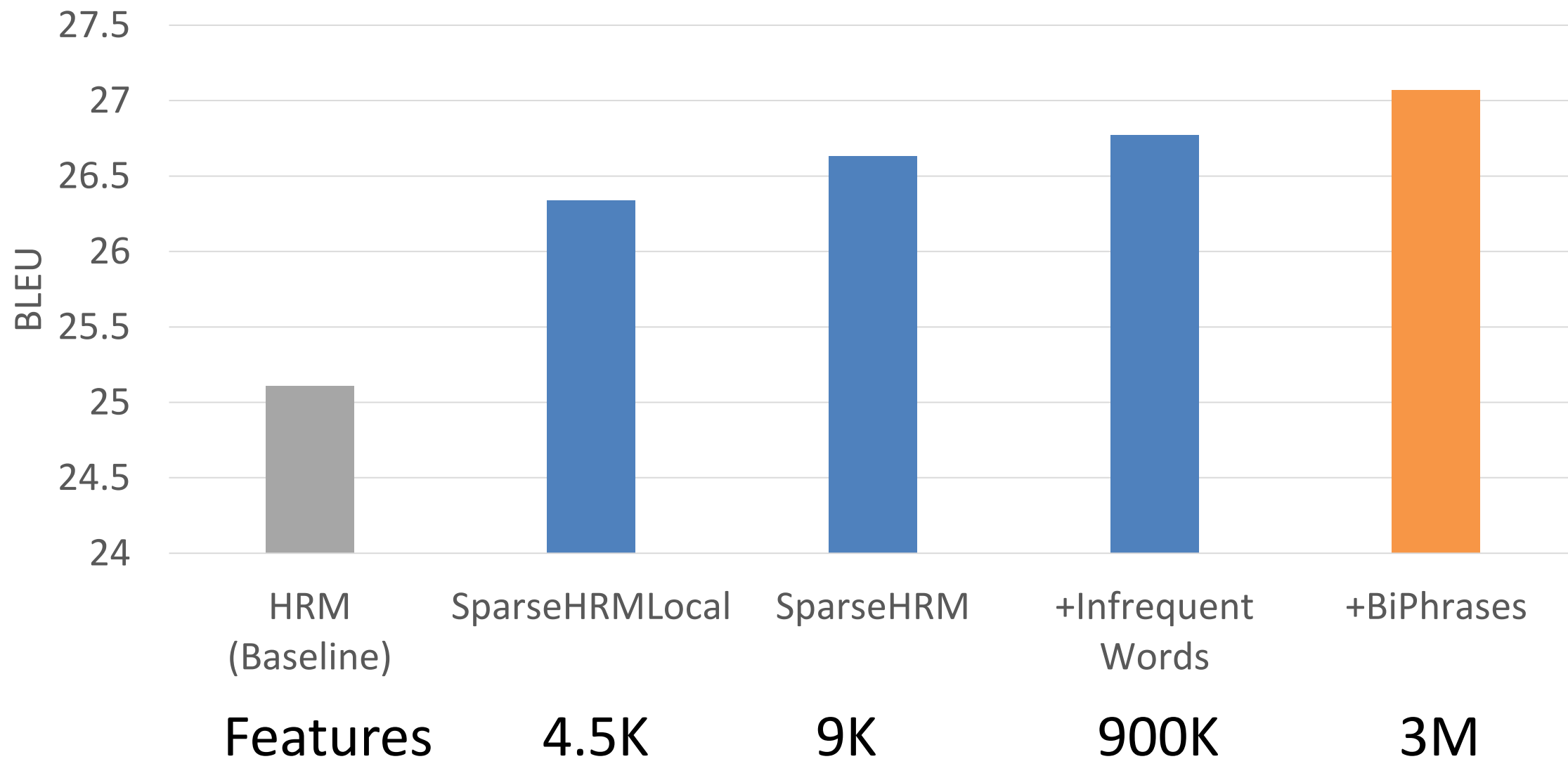
# Scaling the feature set



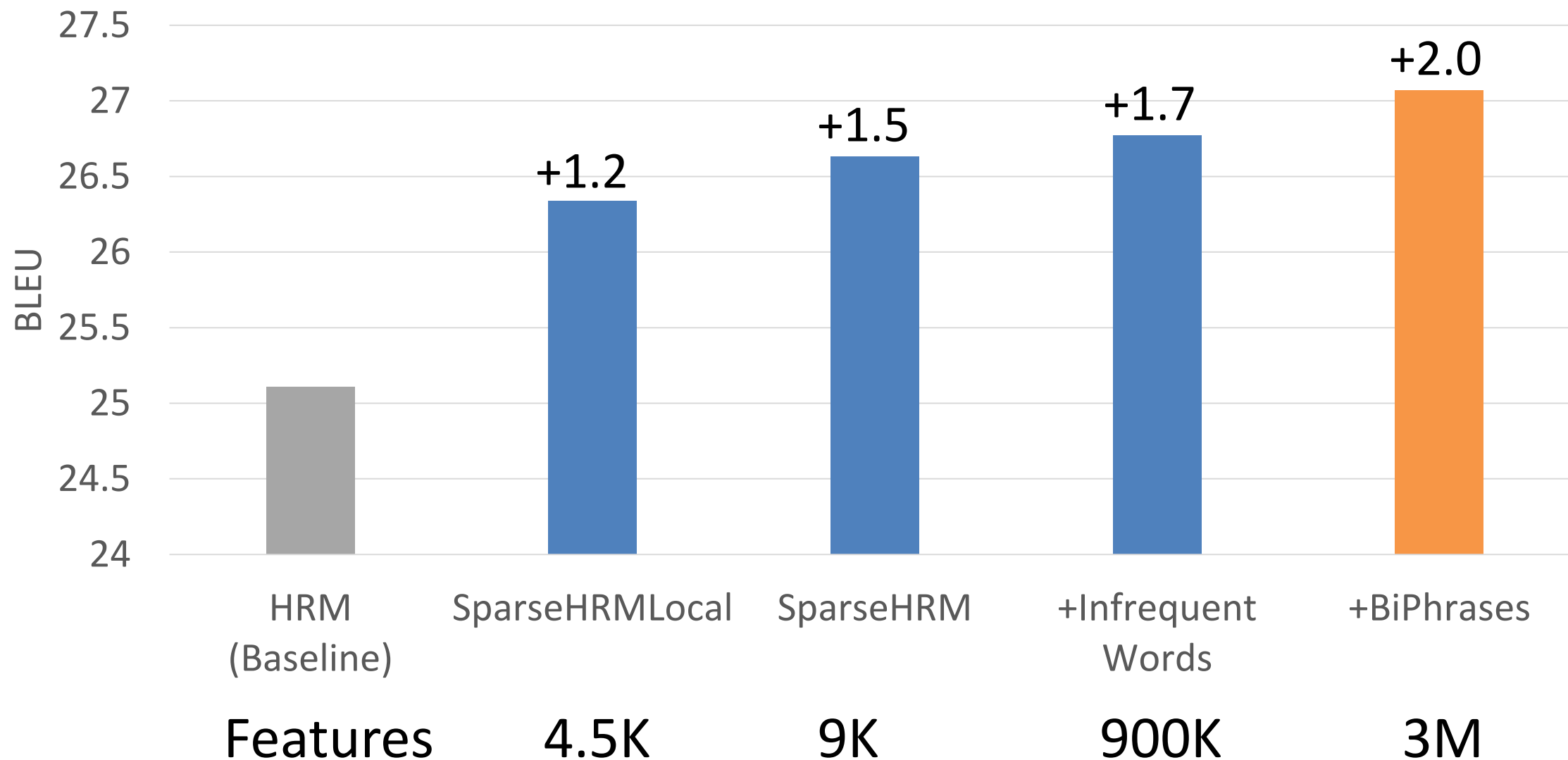
# Scaling the feature set



# Scaling the feature set



# Scaling the feature set





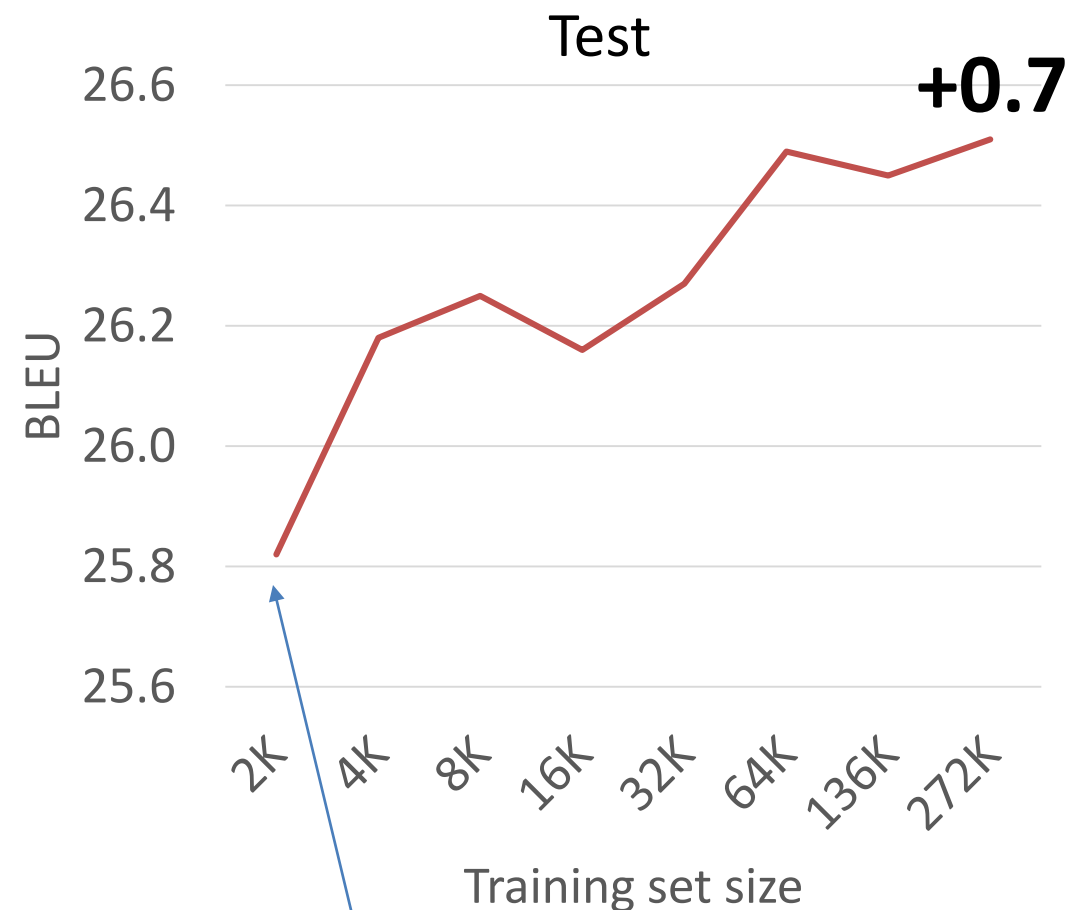
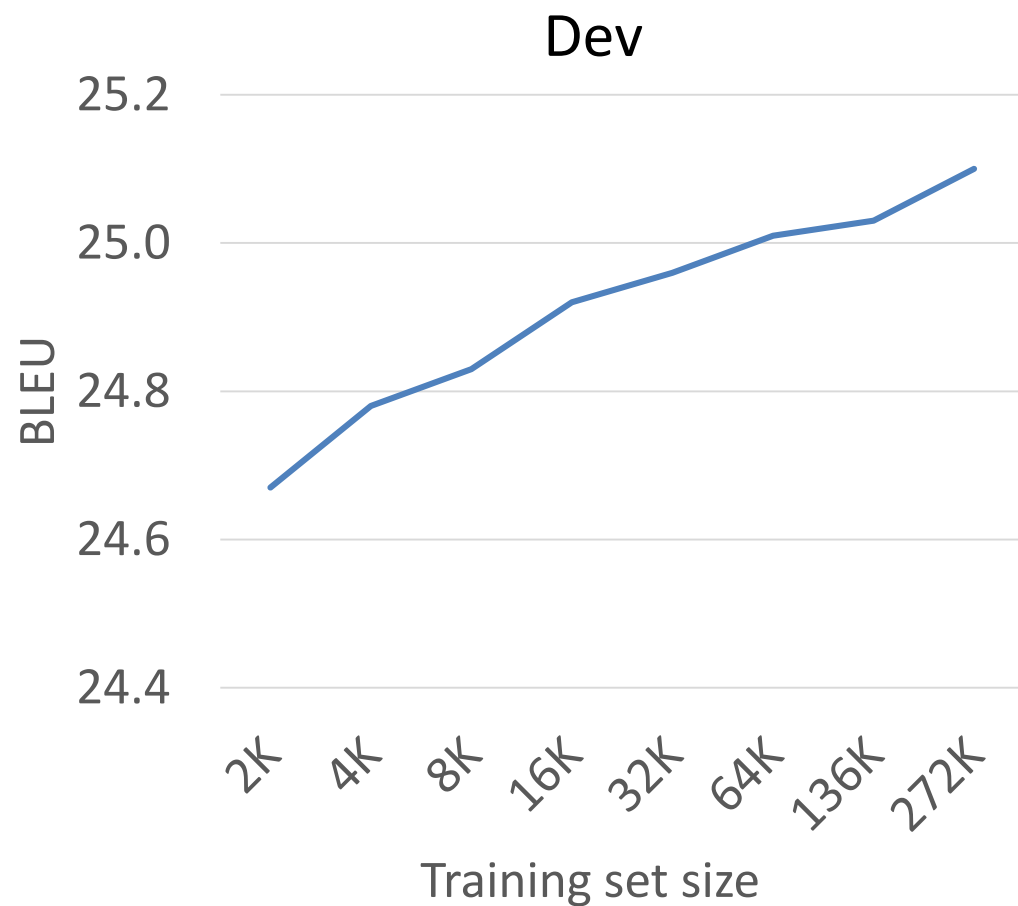
# Scaling the training data

Dev

Test

N-best rescore with SparseHRMLocal (4.5K features)

# Scaling the training data



N-best rescore with SparseHRMLocal (4.5K features)

Setup of Cherry (2013)

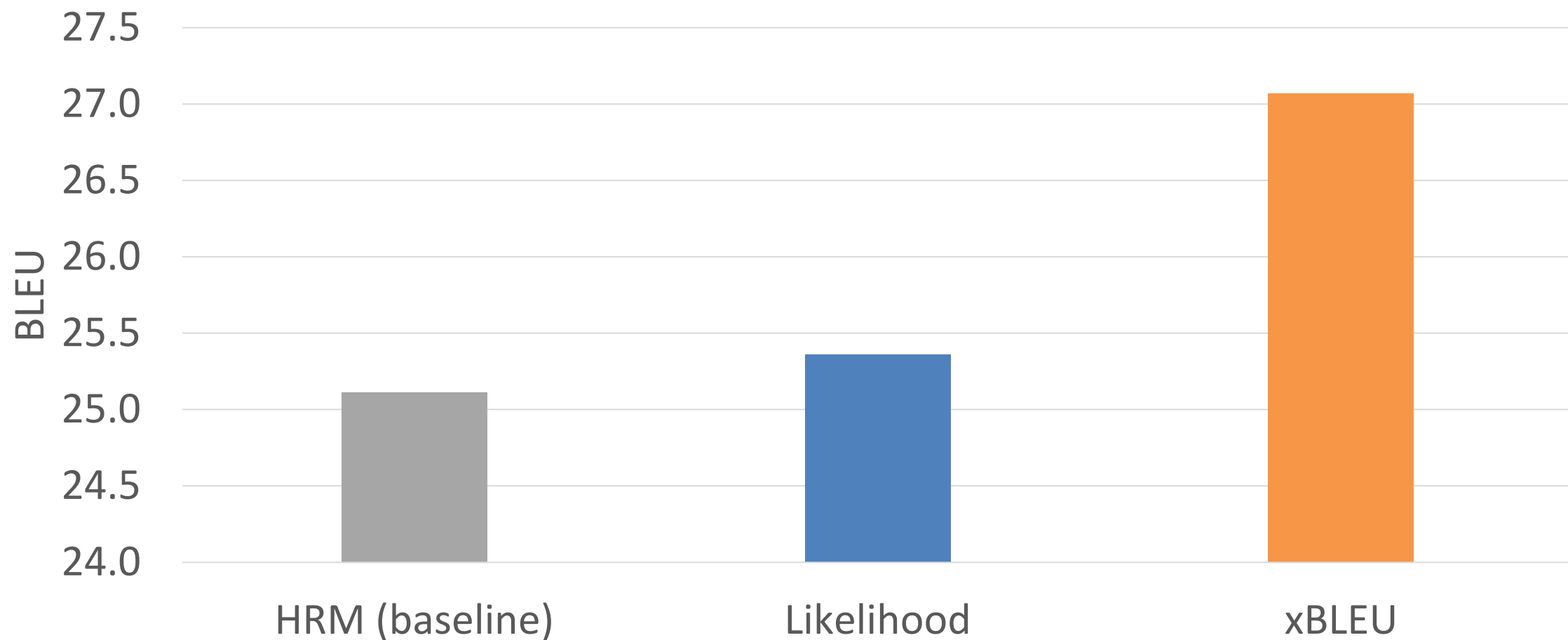
# Why is this better than Lexicalized/Maxent models?

- Objective: Likelihood  $\rightarrow$  BLEU
- Train data: bilingual corpus  $\rightarrow$  machine translation output
- Which one responsible for better performance?
- Experiment: Likelihood/xBLEU train on MT output

# Likelihood vs. xBLEU

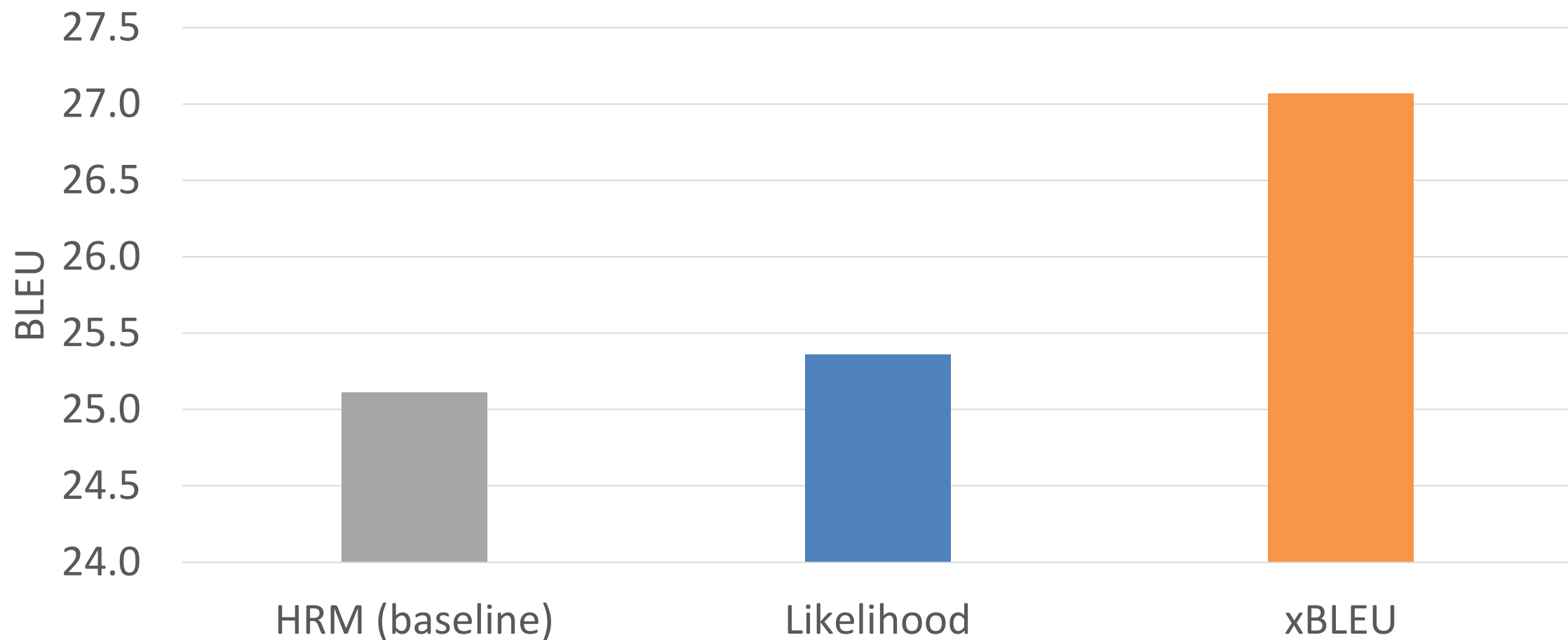
Based on BiPhrases (3M features)

# Likelihood vs. xBLEU



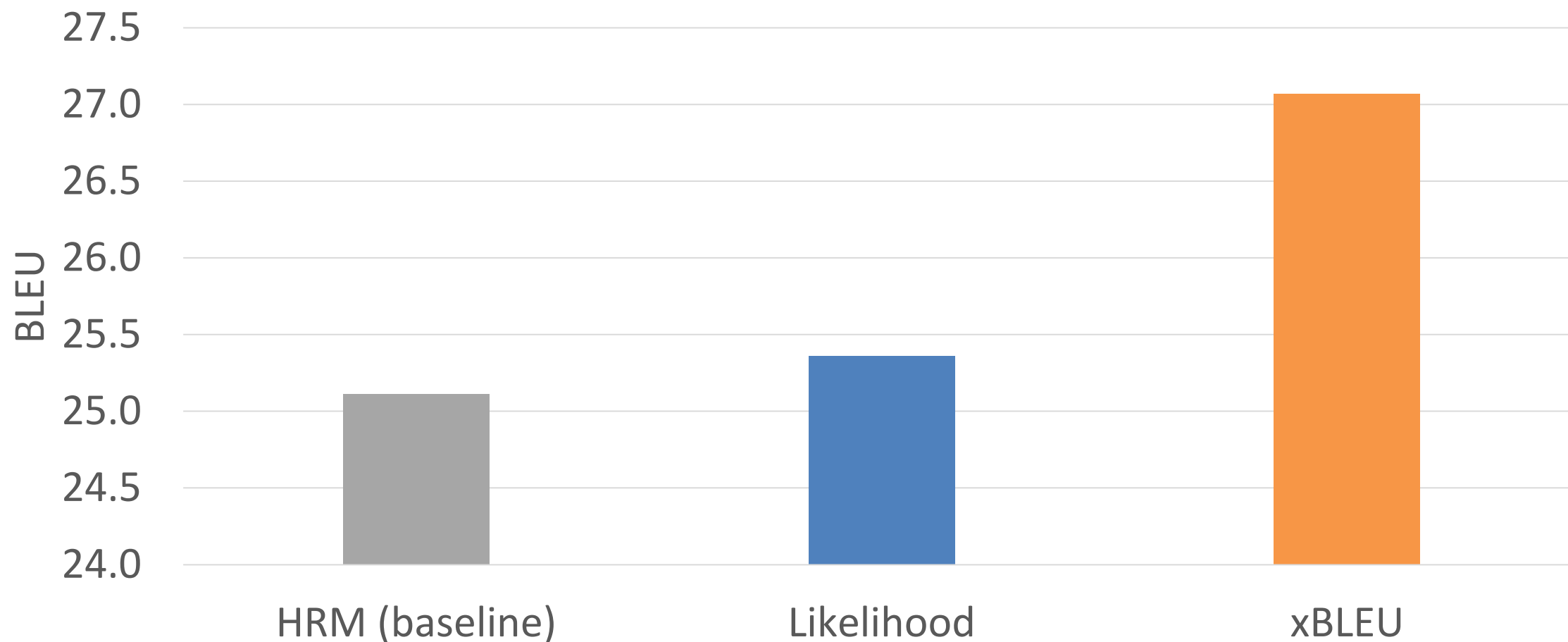
Based on BiPhrases (3M features)

# Likelihood vs. xBLEU



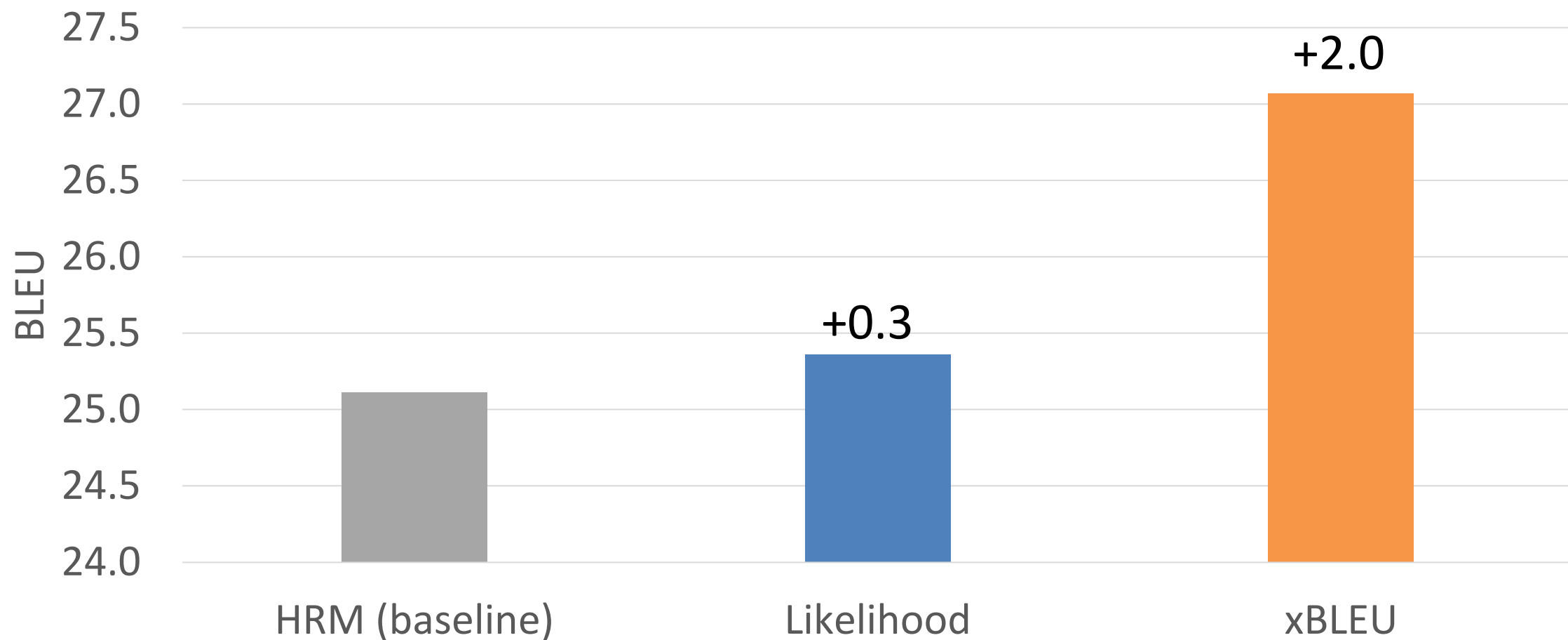
Based on BiPhrases (3M features)

# Likelihood vs. xBLEU



Based on BiPhrases (3M features)

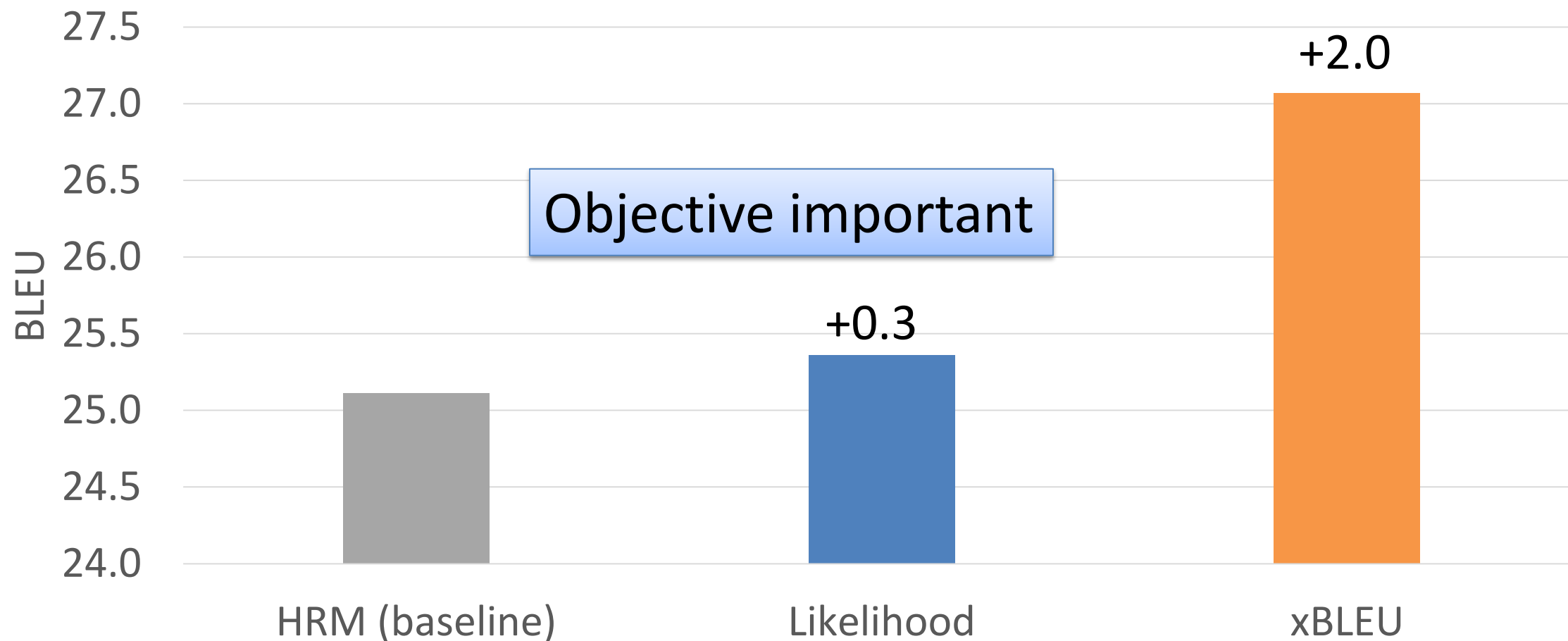
# Likelihood vs. xBLEU



Based on BiPhrases (3M features)



# Likelihood vs. xBLEU



Based on BiPhrases (3M features)

# xBLEU vs. PRO

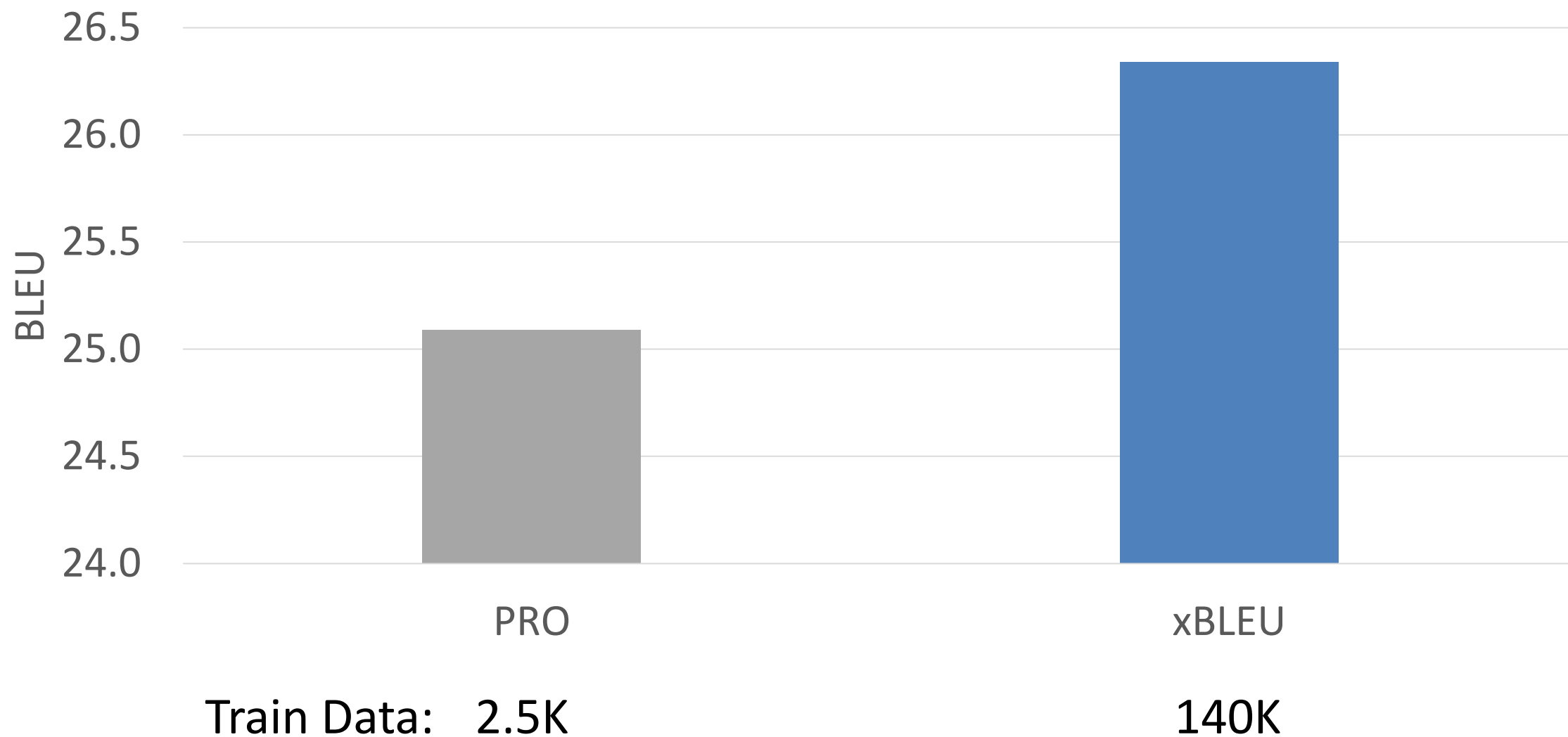
Based on SparseHRMLocal (4.5K features)

Train Data: 2.5K

140K

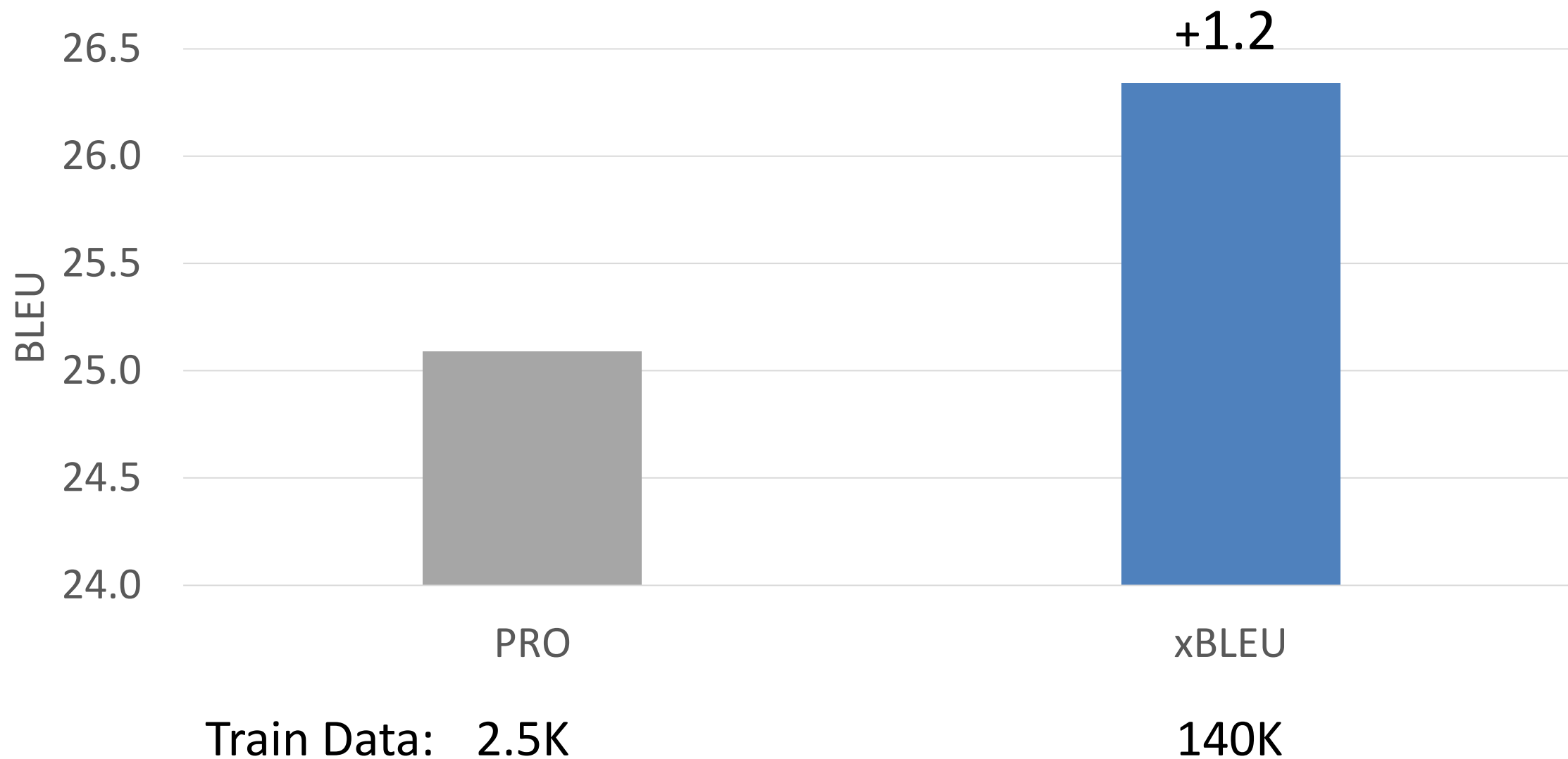
# xBLEU vs. PRO

Based on SparseHRMLocal (4.5K features)



# xBLEU vs. PRO

Based on SparseHRMLocal (4.5K features)



# Comparison to Max-Violation Perceptron

	Max-Violation Perceptron	xBLEU
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	Max-Violation Perceptron	xBLEU
Loss	No partial credit (0/1)	<b>partial credit</b>

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# Comparison to Max-Violation Perceptron

	Max-Violation Perceptron	xBLEU
Loss	No partial credit (0/1)	<b>partial credit</b>
Train data	Mostly short sentences (reference must be reachable)	Uses <b>all data</b>
Updates	Based 1-best and reference	Based on <b>all outputs</b> in gen-set



# Summary

- Directly optimizing sub-models towards BLEU improves translation accuracy
- xBLEU allows estimation of millions of features
- More training data helps
- Objective crucial to good performance

# Conclusion

- Recurrent nets are very well suited to model translation
- They complement and improve simpler models
- xBLEU training effective for both neural nets and linear models
- xBLEU scales to millions of features on hundreds of thousands of sentences

# Future Directions

What can we do with the presented methods?

- LSTM nets for translation
- Recurrent nets for other NLP tasks, e.g., CCG parsing
- xBLEU training: Large-scale discriminative training of all models

Thank you!