

Lecture 9: CNN Optimization

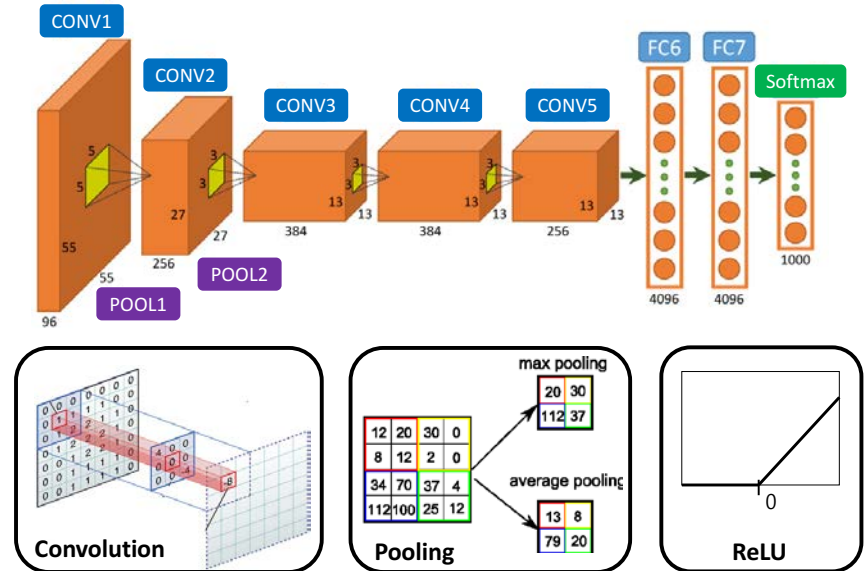
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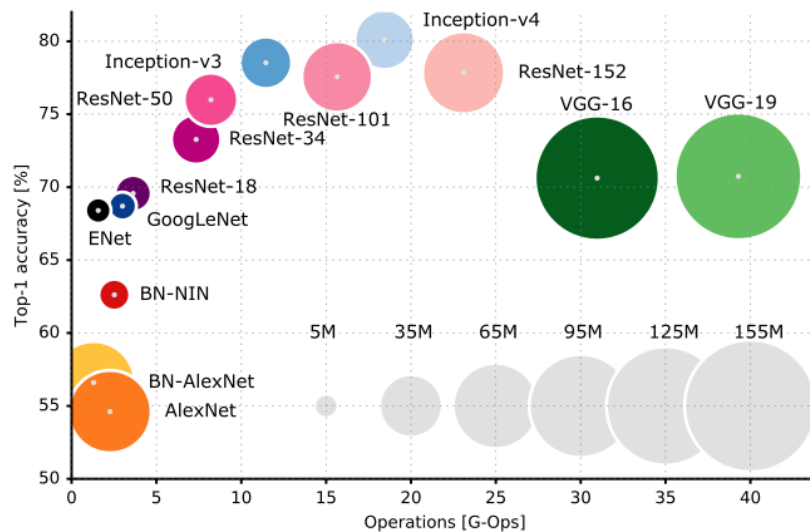
Convolutional Neural Networks



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Complexity of CNNs



<https://medium.com/towards-data-science/neural-network-architectures-156e5bad51ba>

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CNN Optimization

- Motivation: huge computational costs
 - Both time and space complexity are very large.
 - They are proportional to the number of parameters and layers, and the size of feature maps.
- Source of complexity
 - Convolutional layers
 - Slow due to many redundant operations (convolutions)
 - Easy to be parallelized
 - Filters are small in general but feature map sizes are large.
 - Fully connected layers
 - Fast since the operation can be implemented by matrix-vector multiplication
 - Large memory requirement: a large number of parameters

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Operations in Convolutional Neural Networks

- Convolutional layers

$$\begin{array}{|c|c|c|c|c|c|c|c|} \hline 45 & 60 & 98 & 127 & 132 & 133 & 137 & 133 \\ \hline 46 & 65 & 98 & 123 & 126 & 128 & 131 & 133 \\ \hline 47 & 65 & 96 & 115 & 119 & 123 & 135 & 137 \\ \hline 47 & 63 & 91 & 107 & 113 & 122 & 138 & 134 \\ \hline 50 & 59 & 80 & 97 & 110 & 123 & 133 & 134 \\ \hline 49 & 53 & 68 & 83 & 97 & 113 & 128 & 133 \\ \hline 50 & 50 & 58 & 70 & 84 & 102 & 116 & 126 \\ \hline 50 & 50 & 52 & 58 & 69 & 86 & 101 & 120 \\ \hline \end{array}
 \quad * \quad
 \begin{array}{|c|c|c|} \hline 0.1 & 0.1 & 0.1 \\ \hline 0.1 & 0.2 & 0.1 \\ \hline 0.1 & 0.1 & 0.1 \\ \hline \end{array}
 =
 \begin{array}{|c|c|c|c|c|c|c|} \hline 69 & 95 & 116 & 125 & 129 & 132 & & \\ \hline 68 & 92 & 110 & 120 & 126 & 132 & & \\ \hline 66 & 86 & 104 & 114 & 124 & 132 & & \\ \hline 62 & 78 & 94 & 108 & 120 & 129 & & \\ \hline 57 & 69 & 83 & 98 & 112 & 124 & & \\ \hline 53 & 60 & 71 & 85 & 100 & 114 & & \\ \hline \end{array}$$

$X \qquad F \qquad Y$

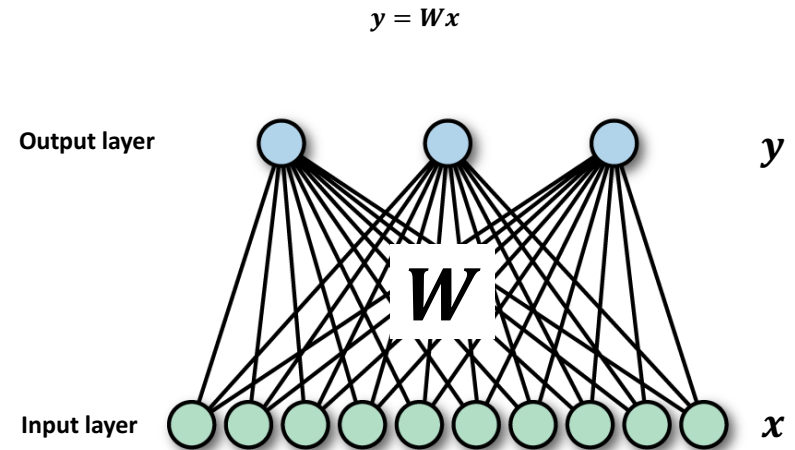
If $F = VH$, then $Y = F * X = (VH) * X = V * (H * X)$.

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Operations in Convolutional Neural Networks

- Fully connected layers



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Low Rank Approximation

- Operations in CNNs
 - Convolutional layers: linear filtering with 3D tensors
 - Fully connected layers: simple matrix-vector multiplication
- CNN parameter approximation
 - Operations in both layers involve parameter matrices, which can be approximated by products of low-rank matrices.
 - Use of approximate matrices incur small differences in output layers.

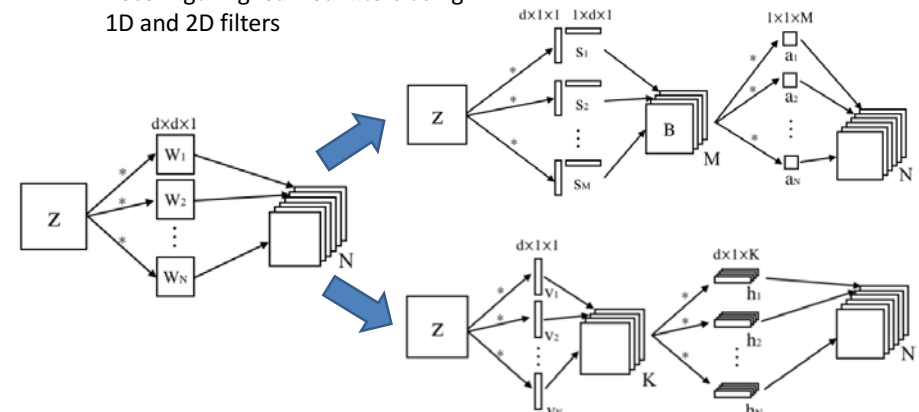
$$\begin{array}{|c|} \hline W \\ \hline \end{array}
 \approx
 \begin{array}{|c|} \hline V \\ \hline \end{array}
 \begin{array}{|c|} \hline H \\ \hline \end{array}$$

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Filter Bank Approximation

- Removing redundancy across filter banks
 - Reconstructing learned filters using a set of linearly separable filters
 - Reconfiguring learned filters using 1D and 2D filters



[Jaderberg14] M. Jaderberg, A. Vedaldi, A. Zisserman: **Speeding up Convolutional Neural Networks with Low Rank Expansions**. BMVC 2014

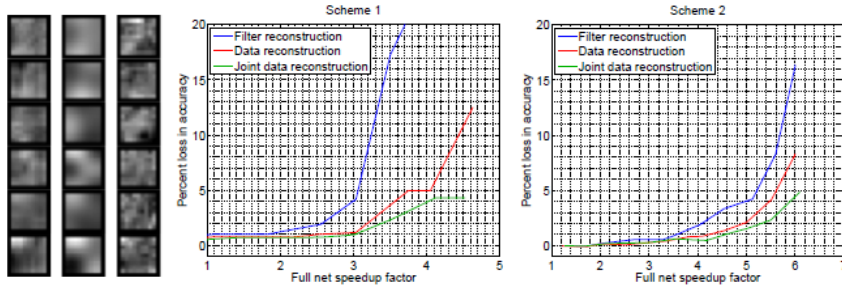
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Filter Bank Approximation

- Objective
 - Filter reconstruction: optimizing filter itself
 - Data reconstruction: optimizing feature responses
 - Joint reconstruction: considering both filters and responses

2.5x speed-up with no loss in accuracy, 4.5x speed-up with <1% drop in accuracy



[Jaderberg14] M. Jaderberg, A. Vedaldi, A. Zisserman: **Speeding up Convolutional Neural Networks with Low Rank Expansions**. BMVC 2014

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Non-Linear Filter Approximation

- Linear approximation
 - Output of a layer is approximated: $\mathbf{y}_i = \mathbf{W}\mathbf{x}_i$
 - Low-rank assumption of the output: $\mathbf{y}_i = \mathbf{M}\mathbf{W}\mathbf{x}_i$, where $\text{rank}(\mathbf{M}) \leq d'$
 - Output \mathbf{y} is assumed to be on a low-dimensional manifold.

$$\min_{\mathbf{M}} \sum_i \|\mathbf{y}_i - \bar{\mathbf{y}} - \mathbf{M}(\mathbf{y}_i - \bar{\mathbf{y}})\|_2^2 \quad \text{such that} \quad \text{rank}(\mathbf{M}) \leq d'$$

- Non-linear approximation

- Approximation of ReLU together

$$\min_{\mathbf{M}, \mathbf{b}} \sum_i \|r(\mathbf{y}_i) - r(\mathbf{M}\mathbf{y}_i + \mathbf{b})\|_2^2 \quad \text{such that} \quad \text{rank}(\mathbf{M}) \leq d'$$

- Relaxation

$$\min_{\mathbf{M}, \mathbf{b}, \{\mathbf{z}_i\}} \sum_i \|r(\mathbf{y}_i) - r(\mathbf{z}_i)\|_2^2 + \lambda \|\mathbf{z}_i - (\mathbf{M}\mathbf{y}_i + \mathbf{b})\|_2^2 \quad \text{such that} \quad \text{rank}(\mathbf{M}) \leq d'$$

[Zhang15] X. Zhang, J. Zou, X. Ming, K. He, J. Sun: **Efficient and Accurate Approximations of Nonlinear Convolutional Networks**. CVPR 2015

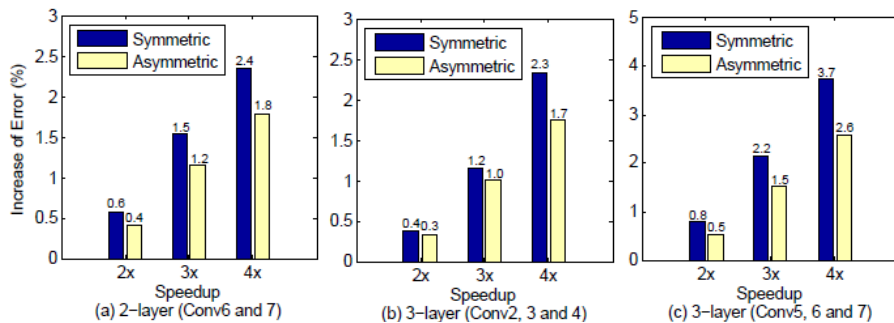
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Non-Linear Filter Approximation

- Multiple layer approximation
 - Layer-by-layer approximation: prone to accumulate error
 - Asymmetric reconstruction with noisy input $\hat{\mathbf{x}}_i$

$$\min_{\mathbf{M}, \mathbf{b}} \sum_i \|r(\mathbf{W}\mathbf{x}_i) - r(\mathbf{M}\mathbf{W}\hat{\mathbf{x}}_i + \mathbf{b})\|_2^2 \quad \text{such that} \quad \text{rank}(\mathbf{M}) \leq d'$$



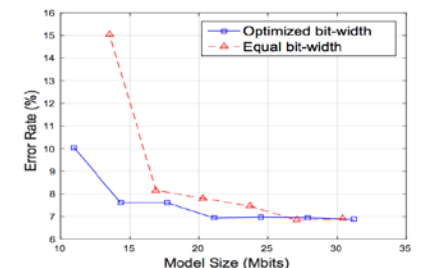
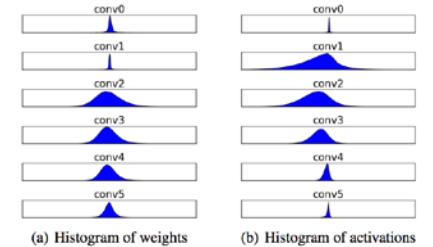
[Zhang15] X. Zhang, J. Zou, X. Ming, K. He, J. Sun: **Efficient and Accurate Approximations of Nonlinear Convolutional Networks**. CVPR 2015

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Network Quantization

- Fixed point approximation
 - Quantizing both weights and activations
 - Identifying optimal fixed point bit-width allocation across layers
 - Data-driven bit-width and step size estimation: relying on Gaussian distribution assumption
 - Considering trade-off between overflow and quantization error
- Results
 - More than 20% reduction in the model size without any loss in accuracy on CIFAR-10



[Lin16] D. D. Lin, S. S. Talathi, V. S. Annapureddy: **Fixed Point Quantization of Deep Convolutional Networks**. ICML 2016

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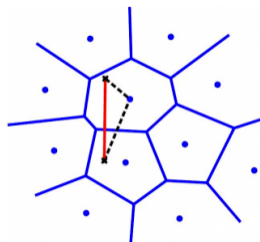
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Network Quantization

- Quantized CNN
 - Speed-up the computation
 - Reduce the storage and memory overhead of CNN models
 - Quantize convolutional filters and weight matrices of FC layers
 - Minimize the estimation error of each layer's response
 - 4-6x speed-up and 15-20x compression with 1% point loss of accuracy

Main idea

- Product quantization: initially proposed for approximate nearest neighbor search
- Quantize subvectors independently and generate a large number of quantized vectors using Cartesian product of subvector quantizations
- [Jegou2011TPAMI]



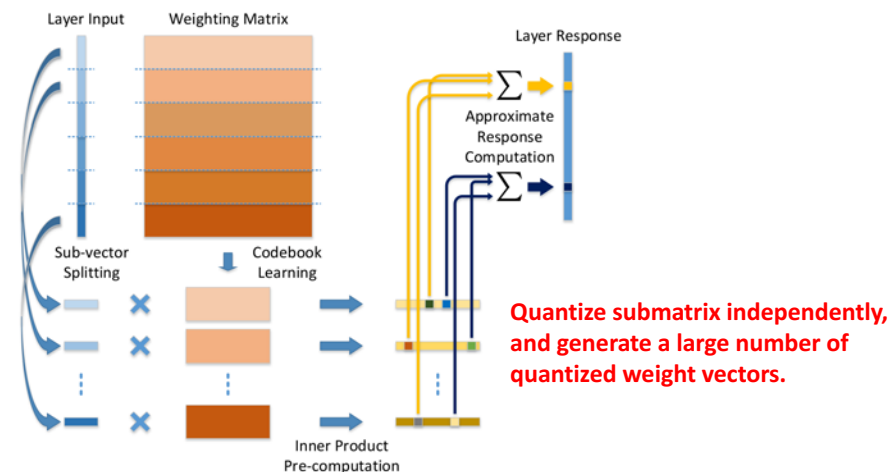
[Wu16] J. Wu, C. Leng, Y. Wang, Q. Hu, J. Cheng: **Quantized Convolutional Neural Networks for Mobile Devices**. CVPR 2016

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Network Quantization

- Product quantization of weight matrix



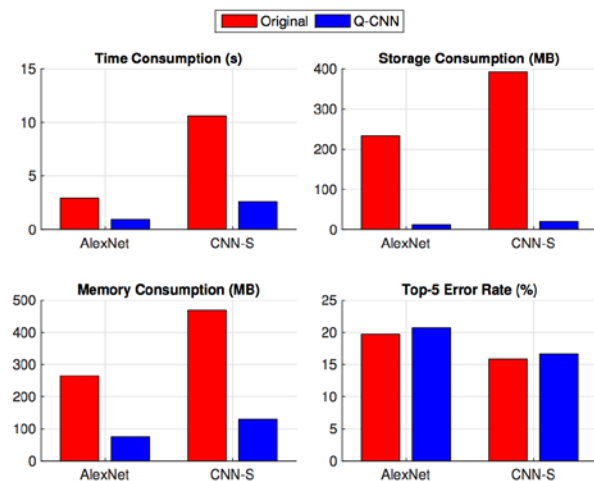
[Wu16] J. Wu, C. Leng, Y. Wang, Q. Hu, J. Cheng: **Quantized Convolutional Neural Networks for Mobile Devices**. CVPR 2016

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Network Quantization

Results



[Wu16] J. Wu, C. Leng, Y. Wang, Q. Hu, J. Cheng: **Quantized Convolutional Neural Networks for Mobile Devices**. CVPR 2016

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Binary Networks

- Two versions
 - Binary-Weight-Networks: binary-valued filters
 - XNOR-Networks: binary filters and inputs for convolutions

	Network Variations	Operations used in Convolution	Memory Saving (Inference)	Computation Saving (Inference)	Accuracy on ImageNet (AlexNet)
Standard Convolution	Real-Value Inputs Real-Value Weights $\begin{bmatrix} 0.11 & -0.21 & \dots & -0.34 \\ -0.25 & 0.61 & \dots & 0.52 \end{bmatrix}$ $\begin{bmatrix} 0.12 & -1.3 & 0.41 \\ -0.2 & 0.5 & -0.68 \end{bmatrix}$	$+, -, \times$	1x	1x	%56.7
Binary Weight	Real-Value Inputs Binary Weights $\begin{bmatrix} 0.11 & -0.21 & \dots & -0.34 \\ -0.25 & 0.61 & \dots & 0.52 \end{bmatrix}$ $\begin{bmatrix} 1 & -1 & 1 \\ -1 & 1 & -1 \end{bmatrix}$	$+, -$	~32x	~2x	%56.8
BinaryWeight Binary Input (XNOR-Net)	Binary Inputs Binary Weights $\begin{bmatrix} 1 & -1 & -1 \\ -1 & 1 & 1 \end{bmatrix}$ $\begin{bmatrix} 1 & -1 & 1 \\ -1 & 1 & -1 \end{bmatrix}$	XNOR, bitcount	~32x	~58x	%44.2

[Rastegari16] M. Rastegari, V. Ordonez, J. Redmon, A. Farhadi: **XNOR-Net: ImageNet Classification Using Binary Convolutional Neural Networks**. ECCV 2016

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Binary Networks

- Binary-Weight-Networks

- Goal: $\mathbf{I} * \mathbf{W} \approx (\mathbf{I} \oplus \mathbf{B})\alpha$, where \oplus is convolution without multiplications
- Objective function

$$\operatorname{argmin}_{\mathbf{b}, \alpha} J(\mathbf{b}, \alpha) \equiv \|\mathbf{w} - \alpha \mathbf{b}\|^2$$

- Optimization for \mathbf{B}

$$J(\mathbf{b}, \alpha) = \alpha^2 \mathbf{b}^T \mathbf{b} - 2\alpha \mathbf{w}^T \mathbf{b} + \mathbf{w}^T \mathbf{w} = -2\alpha \mathbf{w}^T \mathbf{b} + (\text{constant})$$

$$\mathbf{b}^* = \operatorname{argmax}_{\mathbf{B}} \mathbf{w}^T \mathbf{b} \quad \text{such that} \quad \mathbf{b} \in \{+1, -1\}^n \quad \mathbf{b}^* = \operatorname{sign}(\mathbf{w})$$

- Optimization for α

$$\frac{\partial}{\partial \alpha} J(\mathbf{B}, \alpha) = 2\alpha \mathbf{b}^T \mathbf{b} - 2\mathbf{w}^T \mathbf{b} = 0$$

$$\alpha^* = \frac{\mathbf{w}^T \mathbf{b}}{\mathbf{b}^T \mathbf{b}} = \frac{\mathbf{w}^T \operatorname{sign}(\mathbf{w})}{n} = \frac{1}{n} \|\mathbf{w}\|_1$$

[Rastegari16] M. Rastegari, V. Ordonez, J. Redmon, A. Farhadi: **XNOR-Net: ImageNet Classification Using Binary Convolutional Neural Networks**. ECCV 2016

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Binary Networks

- Training Binary-Weight-Networks

- Binarize the weights during the forward pass and backward propagation
- Weight updates in floating points to handle tiny changes effectively

Algorithm 1 Training an L -layers CNN with binary weights:

Input: A minibatch of inputs and targets (\mathbf{I}, \mathbf{Y}) , cost function $C(\mathbf{Y}, \hat{\mathbf{Y}})$, current weight \mathcal{W}^t and current learning rate η^t .

Output: updated weight \mathcal{W}^{t+1} and updated learning rate η^{t+1} .

```

1: Binarizing weight filters:
2: for  $l = 1$  to  $L$  do
3:   for  $k^{\text{th}}$  filter in  $l^{\text{th}}$  layer do
4:      $\mathcal{A}_{lk} = \frac{1}{n} \|\mathcal{W}_{lk}^t\|_{\ell_1}$ 
5:      $\mathcal{B}_{lk} = \operatorname{sign}(\mathcal{W}_{lk}^t)$ 
6:      $\tilde{\mathcal{W}}_{lk} = \mathcal{A}_{lk} \mathcal{B}_{lk}$ 
7:  $\hat{\mathbf{Y}} = \mathbf{BinaryForward}(\mathbf{I}, \mathcal{B}, \mathcal{A})$  // standard forward propagation except that convolutions are computed
   using equation 1 or 11
8:  $\frac{\partial C}{\partial \tilde{\mathcal{W}}} = \mathbf{BinaryBackward}(\frac{\partial C}{\partial \hat{\mathbf{Y}}}, \tilde{\mathcal{W}})$  // standard backward propagation except that gradients are computed
   using  $\tilde{\mathcal{W}}$  instead of  $\mathcal{W}^t$ 
9:  $\mathcal{W}^{t+1} = \mathbf{UpdateParameters}(\mathcal{W}^t, \frac{\partial C}{\partial \tilde{\mathcal{W}}}, \eta^t)$  // Any update rules (e.g., SGD or ADAM)
10:  $\eta^{t+1} = \mathbf{UpdateLearningrate}(\eta^t, t)$  // Any learning rate scheduling function
    
```

[Rastegari16] M. Rastegari, V. Ordonez, J. Redmon, A. Farhadi: **XNOR-Net: ImageNet Classification Using Binary Convolutional Neural Networks**. ECCV 2016

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Binary Networks

- XNOR-Networks

- Goal: $\mathbf{X}^T \mathbf{W} \approx \beta \mathbf{H}^T \alpha \mathbf{B}$
- Objective function and solution

$$\operatorname{argmin}_{\mathbf{b}, \alpha, \mathbf{h}, \beta} \|\mathbf{x} \odot \mathbf{w} - \beta \alpha \mathbf{h} \odot \mathbf{b}\|^2 \equiv \operatorname{argmin}_{\mathbf{c}, \gamma} \|\mathbf{y} - \gamma \mathbf{c}\|^2$$

$$\mathbf{c}^* = \operatorname{sign}(\mathbf{y}) = \operatorname{sign}(\mathbf{x}) \odot \operatorname{sign}(\mathbf{w}) = \mathbf{h}^* \odot \mathbf{b}^*$$

$$\gamma^* = \frac{1}{n} \|\mathbf{y}\|_1 \approx \left(\frac{1}{n} \|\mathbf{x}\|_1 \right) \left(\frac{1}{n} \|\mathbf{w}\|_1 \right) = \beta^* \alpha^*$$

[Rastegari16] M. Rastegari, V. Ordonez, J. Redmon, A. Farhadi: **XNOR-Net: ImageNet Classification Using Binary Convolutional Neural Networks**. ECCV 2016

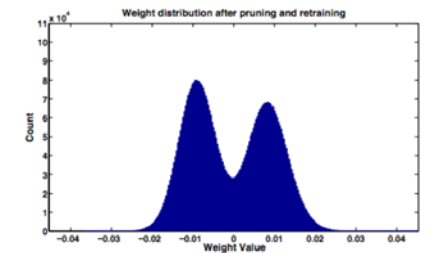
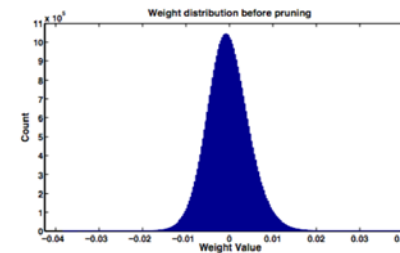
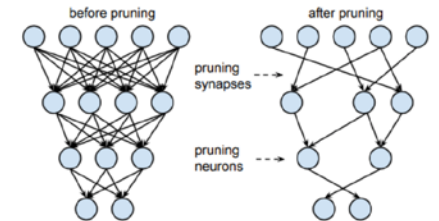
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Pruning Low Magnitude Weights

- Simple approach

- Pruning unimportant connections (with near zero weights)
- Training network, pruning weights, retraining network (repeat)



Weight distributions before and after pruning

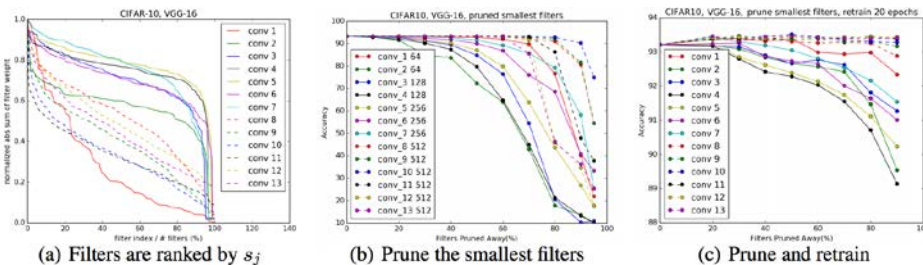
[Han15] S. Han, J. Pool, J. Tran, W. J. Dally: **Learning both Weights and Connections for Efficient Neural Networks**. NIPS 2015

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Channel Pruning

- Filter pruning
 - Motivation: reducing computational cost significantly
 - By identifying filters having a small effect on the output accuracy
- Main idea
 - Prune the channels corresponding to the filters with smallest magnitudes!
 - This idea is correlated to but better than activation-based pruning.
 - Filter pruning in the lower layers incurs filter updates in the upper ones.



[Kadav17] H. Li, A. Kadav, I. Durdanovic, H. Samet, H. P. Graf: **Pruning Filters for Efficient ConvNets**. ICLR 2017

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Eliminating Redundant Convolutions

- Motivation and main idea
 - Speeds up the bottleneck convolutional layers by skipping their evaluation in some of the spatial positions
 - Inspired by the loop perforation technique from source code optimization
 - Interpolates missing activations using nearest neighbors
 - Accelerates 2-4x in AlexNet and VGG
- Perforation mask
 - Marks positions for exact convolutions
 - Uniform: selects mask randomly and generates clusters (not desirable)
 - Grid:
 - Pooling structure: computes exact convolutions that are included in more pooling windows
 - Impact: estimates the impact of perforation of each position on the CNN loss function, and then removes the least important positions

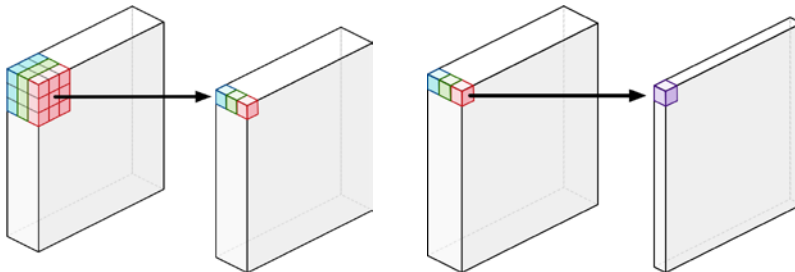
[Figurnov16] M. Figurnov, A. Ibramova, D. Vetrov, P. Kohli: **PerforatedCNNs: Acceleration through Elimination of Redundant Convolutions**. NIPS 2016

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MobileNets

- Depthwise separable convolution
 - Factorizing standard convolution
 - Depthwise convolution: applies a single filter to each input channel.
 - Pointwise convolution: applies a 1×1 convolution to combine the outputs of the depthwise convolution.
 - Drastically reducing computation and model size



[Howard17] A. G. Howard, et al.: **MobileNets: Efficient Convolutional Neural Networks for Mobile Vision Applications**. arXiv:1704.04861, 2017

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MobileNets

- Two simple global hyperparameters
 - Width multiplier: thinner model
 - Resolution multiplier: reduced representation
 - Controlling trade off between latency and accuracy



[Howard17] A. G. Howard, et al.: **MobileNets: Efficient Convolutional Neural Networks for Mobile Vision Applications**. arXiv:1704.04861, 2017

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