# Secure and Efficient Protocol for Route Optimization in PMIPv6-based Smart Home IoT Networks

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Abstract—The communication in the Smart Home Internet of Things (SH-IoT) comprising various electronic devices and sensors is very sensitive and crucial. In addition, the key requirements of SH-IoT include channel security, handover support, mobility management, and consistent data rates. Proxy Mobile IPv6 (PMIPv6) is considered as one of the core solutions to handle extreme mobility; however, the default PMIPv6 cannot ensure performance enhancement in SH-IoT scenarios, i.e. Route Optimization (RO). The existing security protocols for PMIPv6 cannot support secure RO for SH-IoT services where Mobile Nodes (MNs) communicate with home IoT devices not belonging to their domain. Motivated by this, a secure protocol is proposed, which uses trust between PMIPv6 domain and smart home to ensure security as well as performance over the path between MNs and home IoT devices. The proposed protocol includes steps for secure RO and handover management where mutual authentication, key exchange, perfect forward secrecy, and privacy are supported. The correctness of the proposed protocol is formally analyzed using BAN-logic and AVISPA. Further, network simulations conducted to evaluate the performance efficiency of the proposed protocol. The results show that the proposed approach is capable of providing secure transmission by resolving the RO problem in PMIPv6 along with the reduction in handover latency, end to end delay and packet loss, and enhancement in throughput and transmission rate even during the handover phase.

Index Terms— Route Optimization (RO), Handovers, Security, Smart Home, IoT.

#### I. INTRODUCTION

THE evolution of new communication technologies in the electric and electronic industry gives a broader vision to control and operate various types of equipment in a home. The involvement of enhanced electronic gadgets, which can be operated by understanding the signals, allows the formation of a smart home. A smart home consists of various electronic devices which can relay information to a smart home application interface by using a communication channel as shown in Fig.1.

Further, the evolution of Internet of Things (IoT) has enhanced the actual implementation of networked smart homes. With easy to operate smart home expansion systems by using IoT devices, life has become convenient, comfortable, and secure. Also, the major role has been the flexibility in management, cost-saving, and reduced energy consumption. Some of the applications of SH-IoT network implementation include surveillance using cameras, leak detections, air concentration check, and temperature control, etc.

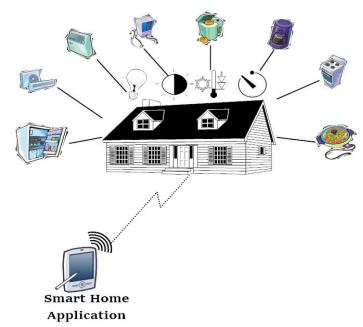


Fig. 1 An illustration of a smart home equipped with various IoT devices.

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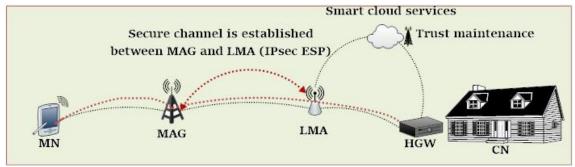


Fig. 2 An illustration of transmission between a mobile node (MN) and corresponding node (CN) via home gateway (HGW), mobile access gateway (MAG), and local mobility anchor (LMA) using PMIPv6. The trust between LMA and HGW is maintained by using the smart cloud services from the network providers.

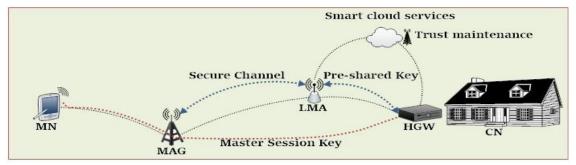


Fig. 3 An illustration of transmission between a mobile node (MN) and corresponding node (CN) using RO strategy over PMIPv6.

Note that the 4 session keys are established between MAG and HGW

- SK<sub>i</sub> (or SK<sub>i</sub>): This key is derived from KLMA-HGW by HGW, and forwarded to MAG through RO-INIT or PBA. Its main purpose is to protect EBU and EBA (Diffie-Hellman Key exchange)
- MSK: this is a master session key, which is exchanged based on Diffie-Hellman, and thus provides PFS. It is also used to derive AK and EK.
- AK, EK: these keys are used to protect the data's authenticity and confidentiality.

The smart home aims at forming an energy optimized environment, which can efficiently regulate the use of various IoT devices. A smart home reduces the burden of excess operations as well as saves per device energy consumption in a home, which lays a ground for greener communication. Currently, the large network operators have standardized the workflow for managing the operations of various SH-IoT devices. Using different communication standards and dedicated smart home apps, the IoT devices can be easily controlled and monitored.

Despite the advantages of SH-IoT networks in providing automation facilities, there are certain limitations and challenges associated with their efficient deployment. The data between the IoT devices and the controller, which is a remote node operating as an application interface on the users' device, moves through a series of anchors and gateways. This flow of data needs an optimal path without any excessive transmission overheads to instantly control the devices. Thus, Route Optimization (RO) is one of the major challenges for the SH-IoT networks. The traffic over SH-IoT networks is very sensitive for timeliness, security and privacy. This is because such traffic is expected to be generated by advanced multimedia applications such as augmented reality as well as from the personal smart home applications including health care and home surveillance, etc. There are many approaches which provide security in terms of privacy and authentication, but these also add up to the excessive delay in transmission.

Thus, tradeoff between security and time of operation must be efficiently handled in the network aiming at RO.

Device fingerprinting can be one of the solutions, as suggested by Jose et al. [1], for providing home automation security. Such solutions can be used to detect the devices which request or make a connection with the home automation setup, however, timeliness and authentication delay are still a concern in this approach. Focus on the state and context of operation can provide sufficient support for enhancing the security of home automation systems [2]. However, selection of a route using an intermediate anchor can still cause much delay in authentication. Context-aware privacy can eliminate the risk of attacks over the SH-IoT devices. This can be easily attained by using more powerful and cheap sensor devices, which can provide context-based situational awareness allowing the network to automatically select the security feature for improving the transmission without compromising its services. However, the addition of extra sensors for context awareness may further elongate the transmission path, which may lead to various performance overheads [3].

Use of light weight and secure session key approach can also provide security in smart homes [4]. Multilevel authentication can be a strong solution to security and privacy issues in smart home automation systems [5]. Distributed security solutions can also enhance the channel security of smart homes operating with a large number of IoT devices [6]. However, despite the level of security provided by the existing approaches, performance of the network suffers a lot due to the involvement of multiple and periodic updates among the

network entities. Further, the existing solutions leverage excessive burden on the network during handovers, as these do not consider any optimization strategy to counterfeit the excessive overheads of handovers. Thus, an efficient approach is required, which not only enhances the security and privacy of the network allowing secure transmission between the SH-IoT devices and the smartphone application, but also provides better performance in terms of handover latency, delivery ratio, and end to end delays.

#### A. Background, motivation and problem statement

The SH-IoT network communicates with the smart home applications via two intermediate entities, namely, Mobile Access Gateway (MAG) and Local Mobility Anchor (LMA) as stated in Proxy Mobile IPv6 (PMIPv6) [61]. The smart home devices are connected to a Home Gateway (HGW), which serves as the network manager for all the intelligent equipments in a house. The illustrations of problem scenario for SH-IoT network and its solution proposed in this paper are shown in Figs. 2 and 3, respectively. It is assumed that the trust between the HGW and the LMA is maintained by the smart cloud service provider, which makes a log for every address assigned to an HGW. Every HGW user can register itself to the smart cloud service provider before setting up the automation system. In the initial phase, the security is provided by the LMA which interacts with a Mobile Node (MN) via its MAG and with the Corresponding Node (CN) via its HGW. All the IoT devices in the smart home automation system are referred as the CN. Initially, every data is anchored through the LMA which handles the security between the two entities, MAG and HGW.

Every message which is to be transferred to the CN follows a non-optimal path among the MAG, the LMA, and the HGW leading to excessive performance overheads. This excessive transmission phase raises the requirement of RO over the similar or enhanced level of security. Thus, elimination of the excessive dependency over the LMA for every transmission, even after the authentication, is the motivation behind the requirement of a new solution for secure RO in smart home applications.

Every connection between the MN and the CN operates through three entities, MAG, LMA, and HGW. The CN interacts with the HGW since it is the trust builder with the smart cloud service provider, and the MN interacts with the MAG as it controls the mobility and acts as a gateway to the smart home network. The LMA provides channel security to both MAG and HGW. However, the secure channel needs to be established only after the initiation of transmission. Passage of data via the LMA after authentication results in significant performance overheads in the entire network. When a handover decision is made, repetition of the entire procedure through the path MAG-HGW-LMA increases the handover latency, which affects the performance of the entire network. Thus, the problem deals with the elimination of the excessive overheads caused by such a triangular routing that can be resolved by providing secure RO.

# B. Our contribution and highlights

In this paper, the problem of secure RO is considered in a SH-IoT. The task of eliminating the excessive dependency over the LMA is handled on the basis of the pre-established trust between the HGW and the LMA, which can be achieved by the smart home users with the help of smart home cloud services. In order words, the proposed approach counts on the pre-shared key between the LMA and the HGW to provide mutual authentication and secure session key exchanges, as shown in Fig.3. Among the established session keys, the first key, derived from the pre-shared key, is used to protect the Diffie-Hellman Key exchange for the second one, which is the master session key. Note that the master session key is established in a way for supporting Perfect Forward Secrecy (PFS) [68] as well as is used to derive the last two session keys, which protect the confidentiality, authenticity, and privacy of the exchanged data between the MN and the CN. The key highlights of the proposed solution are:

- 1. Secure transmission between the MN and the CN along with route optimization.
- 2. Lower handover latency and high delivery ratio along with a high probability of handovers.
- 3. Formal security analysis on the proposed security protocol.

#### II. RELATED WORK

Security in smart home has always been a concern for most of the applications. Over the last decade many researchers have evaluated various aspects of security in smart home automation as well as routing. Smart homes operate on critical sensors, which are to be secured for timely connections with the controlling nodes using security and privacy approaches [7] [8]. On the other hand, there have been considerable studies for RO in MIPv6 and its extensions including PMIPv6 [61].In this paper, the literature is presented by dividing the available solutions into three major parts, namely, standalone security approaches for smart home security, RO solutions following MIPv6, and RO with PMIPv6

## A. Smart home security

Smart home security deals with the protection of communication between the smart home sensors and apps running on a mobile device. The security ranges from data security to channel security. Cloud computing can provide a varied platform for securing transmission between the users and the smart home sensors.

Wang et al. [9] designed a security system for smart homes using cloud computing environment. The authors emphasized on the use of intermediate hops as a platform to secure the transmission between the nodes. However, using excess hops cause many overheads despite the level of security. Madakam and Date [10] discussed the security approaches for connectivity between the smart devices in IoT environment. The authors emphasized on both physical as well as logical remedies for security enhancement. Security over IoT devices is discussed at large by the authors. Brauchli and Li [11] conducted analyses of attack vectors in smart home systems.

The authors ranked the attack vectors in smart homes and evaluated the usability impact of different attacks.

Jacobsson et al. [12] and Jacobsson and Davidsson [13] conducted risk analyses of smart home automation systems and identified 32 different risks in these systems. The authors evaluated human interaction behavior as the key component for the majority of risks in smart home systems. However, the authors did not discuss much on the security solutions of the identified risks. Ge et al. [14] developed a framework for the security evaluation of IoT devices. The authors designed a five-phase model which is evaluated using three different scenarios. The authors evaluated the attacker paths and mitigated the impact of attacks. However, features related to performance evaluations and communication overheads are not considered while developing the framework.

Mehdi et al. [15] used OpenFlow to define security framework for smart home IoT networks. The authors used software-defined solutions to provide a modular and flexible solution for building smart intrusion detection system focusing on smart homes. Fernandes et al. [16] detected privacy sensitive situations of smart homes primarily focusing on social robots. The authors' work revolves around the user movement where smart robot detects a possible state of intrusion. Low scope, non-evaluation of communication channel, and inefficient passage of data between mobile nodes and sensors make this solution applicable to limited scenarios.

#### B. Route optimization with MIPV6

MIPv6 provides support for bidirectional tunneling and RO in the mobile networks. For the protection of binding updates, IETF focuses on the use of Return Routability (RR) approach [17]. This method aims at coordinating the RO between the CN and MN. Apart from this, considering the environments where MNs can establish trust with CNs, static shared key (SSK) protocol is used as specified by the IETF [18]. RO with MIPv6 involves heavy dependency on the binding update before the initiation of handovers [19]. Several approaches are proposed by different authors over the years to resolve issues concerning RO in MIPv6.

Ren et al. [20] discussed the security for RO in MIPv6. The authors proposed a lightweight binding update protocol to enhance the security during routing. The approach developed by the authors uses public key certificate-based strong authentication. Kavitha et al. [21] also evaluated the security of the binding update based protocols for RO in MIPv6. The authors categorized their analyses in two parts, one for the RR protocols and other for the Certificate based Binding Update (CBU) protocols. Different attack environments are considered by the authors for evaluating these protocols. Song et al. [22] developed a secure and lightweight application for RO. The authors focused on preventing session hijacking attack by mode of authenticating a suspicious message. Their approach provides less computational overheads for detecting session hijacking attacks.

Al Hawi et al. [23] developed an identity-based solution for RR procedures to eliminate the drawbacks of triangular routing. Mehdizadeh et al. [24] [25] gave secure RO solution while emphasizing on the data integrity of the network. The proposed work by the authors uses strong and light data encryption. Their approach is capable of providing safe and secure data communication between the CNs and MNs. Rossi et al. [26] developed a secure RO solution which uses enhanced cryptographically generated address (ECGA) based on a backward key chain, which links multiple CGAs together. Diana et al. [27] developed a new discovery mechanism to eliminate the latency in home registration procedures in MIPv6 networks. The authors improved the discovery procedure for Home Agents (HA) in comparison with the default MIPv6. However, excessive iteration during authentication and packet delay may easily be induced in their work because of distance manipulation by an intruder. Taha and Shen [28] developed an anonymous and location preserving scheme for MIPv6 in heterogeneous networks. Their approach provides low communication overheads and low packet delays. However, their approach suffers from pairing authentication delays which can affect the performance of a network.

Further, You [29] developed a ticket based binding update authentication (TBUA) procedure which improves the SSK protocol by using an HA as a ticket server. The working procedure of this protocol is divided into three phases, namely, ticket binding phase, early binding phase and complete binding phase. This approach is capable of reducing the cost involved in pre-configuring and maintenance of key materials. The TBUA protocol suffers from a major issue of security in managing MNs' Care of Address (CoA). This issue is eliminated in the updated version of TBUA, which is given as caTBUA again by You et al. [30]. The authors introduced the features of context awareness to the TBUA in order to secure the CoA during the second phase of authentication. caTBUA provides better performance in terms of authentication cost and message transmission latency.

#### C. Route Optimization with PMIPv6

PMIPv6 provides mobility support to MNs without depending on MNs for signaling [31] [32]. The use of LMA and MAG is fully considered in the PMIPv6. Similar to MIPv6, RO is a major concern in PMIPv6, which has seen a lot of research over the past few years. Most of the existing solutions have focused on new ideology for optimizing the route and lowering the handover latency by using PMIPv6 in different network scenarios.

Raza et al. [33] provided software-defined RO for PMIPv6. The authors focused on optimizing the transmission path by reducing the handover and transmission delays. Kim et al. [34] developed a proactive correspondent registration approach for

TABLE I
Comparison of various RO approaches for MIPv6 and PMIPv6. (\*discussed but not provided explicitly)

Approach	Ideology	Author	Version	Handover	Triggering Entity	Security	Back Compatibility
IETF RFC	Secure route	Perkins [17]	MIPv6	Support -	-	Yes	-
4449	optimization						
RO in	Light weight	Ren et al.	MIPv6	Yes	MN	Yes	-
MIPV6	BU protocol	[20]					
Secure RO	Mitigating session Hijacking	Song et al. [22]	MIPv6	Yes	MN	Yes	-
Secure framework for RO	Return routability procedure	Al Hawi et al. [23]	MIPv6	Yes	MN	Yes	-
Data Integrity in RO	Light data encryption	Mehdizadeh et al. [24]	MIPv6	-	-	Yes	-
Secure RO	Enhanced CGA and DNSSEC	Rossi et al. [26]	MIPv6	Yes	MN	Yes	-
Adaptive authenticatio	Context based adaptive authentication scheme	You et al. [56]	PMIPv6	Yes	MAG	Yes	Yes
Hierarchical IBS for proxy mobile IPV6	Access authentication scheme	Gao et al. [55]	PMIPv6	Yes	MAG	Yes	Yes
Localized routing problem	Tunnel maintenance	Liebsch and Jeong [57]	PMIPv6	Yes	LMA	Yes	Yes
Localized routing	IPv4 Support for PMIPv6	We et al. [58]	PMIPv6	Yes	MAG/LMA	Yes*	Yes
Localized routing	Localized forwarding and direct tunneling	Krishnan et al. [59]	PMIPv6	Yes	MAG/LMA	Yes*	Yes

PMIPv6 RO. Their approach is capable of reducing registration latency by performing attachment procedures before the actual handovers. Leu et al. [35] proposed an intra-LMA model for mobility management in PMIPv6 networks. The authors utilized stream control transmission protects mechanism along with RO to reduce the end to end delay and lower the packet loss rate. Han et al. [36] performed RO by using routing table of MAG. The authors also used the security database of MAG to enhance the performance of a PMIPV6 network. Chiba et al. [37] worked on the IP multimedia networks and considered RO over these networks. The authors emphasized on reducing the data path between the communicating nodes in order to optimize the traffic flow. Choi and Chung [38] used correspondent information for RO. The authors used corresponding binding updates which provide bi-path communication between the MAG and LMA. Kang et al. [39] emphasized on a reliable packet transmission to optimize the route. The authors compared their work with the default PMIPv6 and out-of-sequence time period scheme. approach is capable of providing reliable communication by overcoming the issue of out-of-sequence. However, despite the advantages of these approaches for RO in PMIPv6, most of the existing solutions do not consider the security aspect, which makes the network vulnerable to many

attacks allowing intruders to further impact the performance of the network.

Another aspect of RO in PMIPv6 is the handover management and localization [40] [41] [42] [43]. Many approaches have been developed over the years which dedicatedly focused on handover issues along with RO in PMIPv6 networks. Raseem et al [44] [45] provided efficient handover mechanism along with localized routing. The authors provided a solution for optimized localization, which provides lower handover delays and allows high network utilization. Cho et al. [46] conducted performance analyses of inter-domain handovers over virtual layers in PMIPv6 based IoT. The approach provided by the authors reduces the signaling traffic during location updates which result in lower handoff latency and better binding update rate. Efficient handover management and locality in PMIPv6 can readily resolve the issues of tunneling as well as RO [47] [48] [49] [50] [51]. However, these solutions need to incorporate security measures to perform an actual evaluation of handover metrics for fully sustainable, efficient, and secure transmission in PMIPv6 networks.

Securing communication and RO are two of the key challenges in PMIPv6 networks [52]. Most of the existing approaches consider a single parametric

MN, MAG, LMA, mobile node, mobile access gateway, local mobility anchor, HGW, CN home gateway, and corresponding node pMAG and nMAG current and next MAGs RARoute Advertisement messages  $HO\_CTX$ Handover Context Message HI and HAck Handover Initiate and Handover Acknowledgement messages PBU and PBA Proxy Binding Update and Acknowledgement messages RO-INIT and RO-ACK Route Optimization Initialization and Acknowledgement messages EBU and EBA Early Binding Update and Acknowledgement messages Complete Binding Update message CBU $ID_X$ ,  $AD_X$ ,  $HNP_X$ public identifier, IPv6 address, and home network prefix of X randomly generated nonces  $n_1$  and  $n_2$ timestamp a pre-shared key between LMA and HGW  $K_{LMA-HGW}$ one way hash value on the message mh(m)HMAC(k, m)a hash-based message authentication function where m is an input message and k is a secret key E(k, m)the message m is encrypted under the key kSeq the sequence number  $SK_i$ the ith session key between HGW and the ith MAG concatenation operation

Fig. 3. Notations and symbols for the proposed approach.

improvement and provides a solution over a limited set of parameters, thus, opening a wide scope for further enhancements. Magagula and Chan [53] provided early discovery mechanisms and pre-authentication in order to reduce the handover delays in PMIPv6 networks. The authors emphasized on using 802.21 to overcome the handover latency in proxy networks. Tripathi et al. [54] provided secure authentication to reduce the packet loss. The authors compared their work with the default MIPv6 and PMIPv6. Gao et al. [55] developed a scheme on the basis of identity-based signature to provide low communication overheads during mutual access authentication. You et al. [56] developed an adaptive authentication scheme for mobile devices operating with PMIPv6. The authors primarily considered MN's context information for taking a decision on the authentication strength. The developed context-aware solution is capable of providing security and efficiency simultaneously. Although, the level of support provided by this protocol in comparison with the existing binding update solutions is efficient, yet not sufficient enough to support the performance level as demanded in the smart home security. A detailed comparison between various RO approaches is provided in Table I.

# III. PROPOSED PROTOCOL: SECURE AND EFFICIENT ROUTE OPTIMIZATION

The proposed protocol consists of two steps: the Route Optimization Initialization (RO\_INIT) and Handover Management (RO\_HO\_MAN) steps. In the former, the route optimization is initialized. The latter manages a route optimization mode in the handover process. The symbols used to describe the proposed protocol are shown in Fig.3.

The assumptions considered in the development of the proposed protocol are as follows:

- It is assumed that there is a smart home cloud service associated with the PMIPv6 domain of the MN. The MN user subscribes to the smart home cloud service and establishes a trust relationship between the PMIPv6 domain and the HGW by registering his HGW with the service provider. As a result of this trust relationship, the secret key  $K_{LMA-HGW}$  between the PMIPv6 domain and the HGW is shared,  $K_{LMA-HGW}$  is stored in the policy store of the PMIPv6 domain and the HGW.
- It is assumed that the communication between the MAG and the LMA is protected on the basis of IPsec Encapsulating Security Payload (ESP) [IETF RFC 4303 [60]] in a way that it maintains the integrity and confidentiality of the communication. This corresponds to the security considerations defined in the PMIPv6 standard document. [RFC5213 [61]]
- The integrity and confidentiality for protection channel based on IPsec ESP are established between the previous MAG and the new MAG; and it is assumed that the handover and RO context of the MN can be securely transmitted to the next MAG.

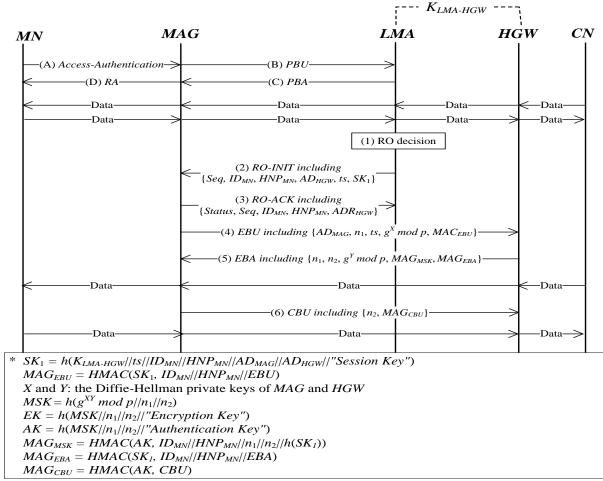


Fig.4 First phase of the proposed approach for RO (RO Initialization Step (RO\_INIT Step))

The security characteristics targeted by the proposed protocol are as follows.

- Mutual authentication: Mutual authentication between the HGW and the MAG (or nMAG) must be supported to provide RO.
- Key exchange: The session key between the HGW and the MAG must be exchanged to protect the path optimization process and subsequent data transmission.
- Perfect Forward Secrecy (PFS): Since the security of the data exchanged between the MN and the CN is very important, the session key for protecting the data transmission during the key exchange must be supported with PFS, i.e., even if the long term key, K<sub>LMA-HGW</sub>, or the current or successive session key is leaked out, the past session key for data protection should not be restored.
- Privacy: The MN's identity should not be revealed in the message for RO between the MAG and the HGW.
- Defense against resource exhaustion attacks: It is a kind of Denial of Service (DoS) attack. The

- proposed approach should not be vulnerable to these DoS attacks that lead to excessive public key operations [62].
- Defense against attacks by malicious MAGs: The proposed solution should not be vulnerable to a redirection attack by a malicious MAG.

In order to provide the above security properties, the proposed protocol protects the RO on the basis of a trust relationship between the MN's HGW and PMIPv6 domains where the session key exchange is performed using Diffie-Hellman. To this end, it supports the mutual authentication between the MAG and the HGW and the exchange of the session key with PFS.

#### A. Route Optimization Initialization Step (RO INIT)

The RO\_INIT process determines whether the route is optimized after initiation of the PMIPv6 Binding Update process, as shown in the Fig. 4. It is activated if the MN has right to perform routing.

In this process, the PMIPv6 entities, MN, MAG and LMA, perform authentication and binding update like existing PMIPv6 before RO decision. When the binding update is

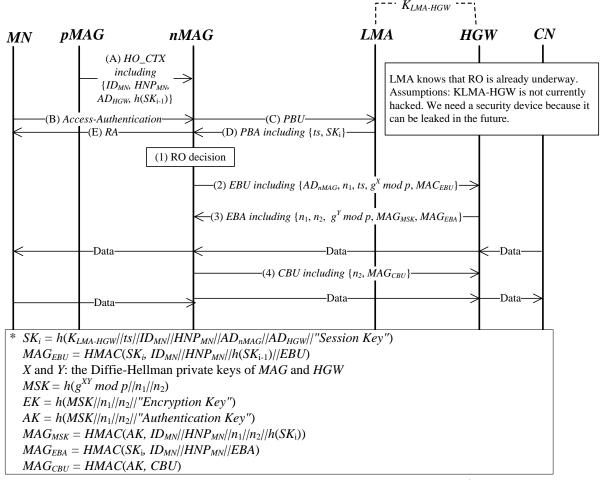


Fig. 5 Second phase of RO (Handover Management Step (RO\_HO\_MAN Step))

successfully completed, the LMA accesses the Policy Store of the PMIPv6 domain to obtain the HGW information (HGW address, secret  $keyK_{LMA-HGW}$ , route optimization policy, etc.) that is related to the MN. The details of the procedures shown in Fig. 4 are explained below:

- (1) It is assumed that the LMA has the pre-established trust with the smart home served by an HGW where the CN makes a connection with the MN. If the MN has the appropriate rights to perform RO, then the traffic is observed to determine whether RO between the two is necessary.<sup>1</sup>
- (2)- (3) At first, the LMA exchanges the PR-INIT and RO-ACK messages with the MAG to initialize the RO process and give the session key,  $SK_1$ . Then, the MAG generates a random number  $n_1$  and its own Diffie-Hellman private keyX, obtains a public key by  $g^X modp$  corresponding to X (where p is prime, and g is a primitive root modulo p), and transmits a created Early Binding Update (EBU) message to the HGW for early binding. Here, the EBU message is protected by the  $MAG_{EBU}$ , which is generated from  $HMAC(SK_1, ID_{MN}||HNP_{MN}||EBU)$ . Upon the receipt of EBU message, the HGW first verifies whether the timestamp ts contained in the message is within a valid range around the current time or not. When the verification is completed, the HGW obtains  $SK_1$  by alternately

substituting the MN's ID and HNP, which is registered in the HGW, and finds the corresponding MN by verification, since the EBU message does not include the information for identifying the MN (i.e., the privacy of the MN is maintained). This process is an additional overhead for protecting the privacy of the MN. In general, assuming that one HGW is installed in one smart home, the number of registered MNs is very small, and hence, the cost can be ignored. If the verification of  $MAG_{EBU}$  is successful, the HGW identifies the MN and trusts for the MAG. The, it generates its own Diffie-Hellman private key Y and public key by  $g^{Y} mod p$  on the basis of the trust,. Here, if the HGW does not authenticate the  $MAG_{EBU}$ , the HGW can respond to a resource exhaustion attack because the protocol does not allow forwarding of the process. In such case, the HGW computes  $g^{XY} modp$  using the public key  $g^X mod p$  of the MAG and its own private key, and generates  $MSK = h(g^{XY} mod p || n_1 || n_2)$  through the resultant value, the random number  $n_1$  received from the MAG, and the random number  $n_2$  generated by the MAG. Also, in order to protect the traffic between the MN and CN, an encryption session key EK and an authentication key AK are generated on the basis of MSK. Here, the Diffie-Hellman public key pair of the MAG and the HGW, which is used to generate the session keys MSK, EK and AK, can be completely discarded after using them only once in a session; this provides PFS.

<sup>&</sup>lt;sup>1</sup>Details of the route optimization method are beyond the scope of this paper and are not mentioned here

(4) The HGW sends an EBA message to its correspondent MAG that consists of two MAC values,  $MAG_{MSK}$ and  $MAG_{EBA}$ , along with  $n_1$ ,  $n_2$ , and  $g^Y mod p$ .

for the MAG the  $MAG_{MSK} = HMAC(AK, ID_{MN} || HNP_{MN} || n_1 || n2 || h(SK_1))$ , an AK related public key operation is required. Therefore, the  $MAG_{EBA} = HMAC(SK_1, ID_{MN} ||HNP_{MN}||EBA)$  is used to cope up with the resource exhaustion attack, i.e., when the MAG receives the EBA message, it verifies whether the  $n_1$ included in the message matches with the value held by it (i.e, it checks the freshness of the message), and then it attempts to verify the  $MAG_{EBA}$  using  $SK_{I}$ . If the validation is successful, the MAG obtains the session keys MSK, AK, and EK through its own private key X and the public key  $g^{Y}modp$  of the HGW, and verifies whether the  $MAG_{MSK}$  is valid based on the AK. If  $MAG_{MSK}$  is valid, the MAG trusts the HGW, and at the same time, it confirms that the three keys are securely shared with the HGW.

(5) The MAG completes the RO initialization phase by sending the CBU message containing  $n_2$  and  $MAG_{CBU}$  to the HGW. When the HGW receives the CBU message, it verifies the freshness of the message by checking that  $n_2$  included in the message matches the value held by the HGW, and verifies the  $MAG_{CBU} = HMAC(AK, CBU)$  value through AK. If the validation is positive, the HGW can confirm that the session keys MSK, AK, and EK are securely shared with the MAG.

# B. Handover Management Step (RO HO MAN Step)

This step supports the RO state of the MN for continuity and safety when the MN performs a handover to another network. The RO\_HO\_MAN procedures as show in Fig.5 are explained below:

- (1) Before handover from the pMAG to the nMAG by the MN, the pMAG transmits an HO\_CTX message including the  $ID_{MN}$ ,  $HNP_{MN}$ ,  $AD_{HGW}$  and the hash value of the previous session key  $SK_{i-1}$  to the nMAG.
- (2) (5) processes show that the MN's authentication process and the standard binding update procedure are performed among the MN, nMAG, and LMA. However, it is different from the standard PMIPv6 operation as the LMA includes the ts and the current session key  $SK_i$  in the PBA message sent to the nMAG, thereby allowing  $SK_i$  to be shared between the nMAG and the HGW. As the steps (1) - (3) are substantially similar to the steps (3) - (5) of the RO\_INIT step, the detailed description is omitted.

#### IV. SECURITY ANALYSIS

This section presents the formal analyses of the proposed protocol. For this goal, the correctness is verified through BAN-logic [63], which is one of the most popular security analysis tools [64][65][66]. Then, Automated Validation of Internet Security Protocols and Applications (AVISPA) tool [69], a state-of-the-art push-button tool for the automated security validation, is used to check whether the proposed protocol is vulnerable to any attack or not. The synergy of these two tools provides more thoroughly and stronger

verification while allowing them to complement each other.

TABLE II BAN LOGIC STATEMENTS

Statement	Meaning		
P believes X	P believes X, which is treated as true.		
P sees X	P receives X at present or received X in the past.		
P said X	P once said X. (i.e., X was sent to P at some point)		
#(X)	X is fresh.		
$\langle M \rangle_K$	It means that <i>M</i> is combined with a secret <i>K</i> . HMAC operation can be expressed by this.		
$P \stackrel{K}{\leftrightarrow} Q$	K is a secret key only known to $P$ and $Q$ .		
$\stackrel{K}{\rightarrow} P$	K is a P's public key.		
$P \overset{K}{\Leftrightarrow} Q$	K is a secret only known to $P$ and $Q$ .		

#### A. Analysis with BAN logic

For BAN-logic analysis, we focus on only the second step, i.e. the RO\_HO\_MAN step, because it is same as the first one except for the forwarding of RO context and  $SK_1$  or  $SK_i$ . In BAN-logic, the basic notations used are shown in Table II, and the BAN-logic's rules are given below:

# Message Meaning Rule (MM)

$$\frac{Pbelieves\ P \stackrel{\mathbb{K}}{\Leftrightarrow} Q, P\ sees\ \langle X \rangle_{\mathbb{K}}}{P\ believes\ Q\ said\ X}$$

# Nonce Verification Rune (NV)

Phelieves 
$$\#(X)$$
,  $P$  believes  $Q$  said  $X$ 

Phelieves  $Q$  believes  $X$ 

Freshness Rule (FR)

Phelieves  $\#(X)$ 

P believes #(X,Y)

**Belief Conjunction Rule (BC)** 

Phelieves (X,Y)P believes (X)

# Diffie-Hellman Rule (DH)

#### 1) Verification

BAN-logic has the following three steps for security analysis: (i) translating a protocol into an idealized version (ii) defining assumptions about the initial states (iii) repeatedly applying the above rules until the attainment of aimed beliefs.

**Idealization:** As the first step, the proposed scheme is idealized as follow:

$$(I1)nMAG \rightarrow HGW \colon \langle ID_{MN}, HNP_{MN}, h(SK_{i-1}), \\ \langle AD_{nMAG}, n_1, ts, \xrightarrow{g^X \bmod p} nMAG \rangle_{SK_i}$$

$$\begin{split} ID_{MN}, HNP_{MN}, \\ (I2) \ HGW \rightarrow nMAG \colon \langle & n_1, n_2, \stackrel{g^Y \ mod \ p}{\longrightarrow} HGW, & \rangle_{SK_i} \\ & nMAG \stackrel{MSK}{\longleftrightarrow} HGW, MAG_{MSK} \end{split}$$

where 
$$MAG_{MSK} = \langle \begin{array}{l} ID_{MN}, HNP_{MN}, n_1, n_2, h(SK_i), \\ EK \\ nMAG \longleftrightarrow HGW, nMAG \Leftrightarrow HGW \end{array} \rangle_{AK}$$

(I3) 
$$nMAG \rightarrow HGW$$
:  $\langle n_2, nMAG \overset{EK}{\leftrightarrow} HGW, \rangle_{AK}$   
 $nMAG \overset{AK}{\leftrightarrow} HGW$ 

Note that only the steps (A) - (E) are skipped because they have no contribution to this analysis.

Assumptions: In the second step, the following assumptions are made for the initial states.

- (A1)  $HGWbelievesnMAG \stackrel{SK_i}{\Leftrightarrow} HGW$
- (A2) *HGWbelieves*#(ts)
- (A3)  $HGWbelieves \xrightarrow{g^{Y} \mod p} HGW$ (A4)  $HGWbelieves \# (n_2)$
- (A5)  $nMAGbelievesnMAG \stackrel{SK_i}{\Leftrightarrow} HGW$
- (A6)  $nMAGbelieves\#(n_1)$
- (A7)  $nMAGbelieves \xrightarrow{g^{\hat{X}} \mod p} nMAG$

Goals: The goals of our proposed protocol are defined as shown below. The goals (G1) ~ (G3) are related to mutual authentication while other ones are related to secure key exchange.

- (G1) HGW believes nMAG believes ts
- (G2) HGW believes nMAG believes n2
- (G3) nMAGbelievesHGW believes n<sub>1</sub>
- (G4)  $HGWbelieves \ nMAG \stackrel{MSK}{\longleftrightarrow} HGW$
- (G5)  $HGWbelieves\ nMAG \stackrel{AK}{\Leftrightarrow} HGW$
- (G6)  $HGWbelieves\ nMAG \stackrel{EK}{\longleftrightarrow} HGW$
- (G7) nMAG believes  $nMAG \stackrel{MSK}{\longleftrightarrow} HGW$
- (G8) nMAG believes  $nMAG \stackrel{AK}{\Leftrightarrow} HGW$
- (G9) nMAG believes  $nMAG \overset{EK}{\longleftrightarrow} HGW$
- (G10) nMAG believes HGW believes  $nMAG \stackrel{AK}{\Leftrightarrow} HGW$
- (G11) nMAG believes HGW believes  $nMAG \stackrel{EK}{\longleftrightarrow} HGW$
- (G12) HGW believes nMAG believes nMAG  $\stackrel{AK}{\Leftrightarrow}$  HGW
- (G13) HGW believes nMAG believes  $nMAG \stackrel{EK}{\longleftrightarrow} HGW$

Derivation: With the idealized form and the assumptions, the analyses are executed as follows.

From (I1), we derive:

$$HGWsees \left\langle \begin{array}{c} ID_{MN}, HNP_{MN}, h(SK_{i-1}), AD_{nMAG}, n_1, \\ \downarrow & \downarrow \\ ts, \xrightarrow{g^X \bmod p} nMAG \end{array} \right\rangle_{SK_i} \qquad (1)$$

$$HGW believes \ nMAG \ believes \\ \begin{pmatrix} ID_{MN}, HNP_{MN}, \\ h(SK_{i-1}), \\ AD_{nMAG}, \\ n_1, ts, \\ \frac{g^X \ mod \ p}{nMAG} \end{pmatrix} \tag{2}$$

by (1), (A1), MM, (A2), FR, NV

$$HGW believes \ nMAG \ believes \left(\begin{matrix} ID_{MN}, HNP_{MN}, \\ AD_{nMAG}, h(SK_{i-1}) \end{matrix}\right) \tag{3}$$

by (2), BC

$$HGW believes \ nMAG \ believes \xrightarrow{g^X \ mod \ p} nMAG$$

$$(4)$$

by (2), BC

$$HGW believes \ nMAG \stackrel{g^{XY} \ mod \ p}{\longleftrightarrow} HGW$$

$$(5)$$

$$HGWbelieves \ nMAG \stackrel{MSK}{\longleftrightarrow} HGW$$
  
by (2), BC, (6), (A4), (5) (7)

$$HGWbelieves\ nMAG \stackrel{AK}{\Leftrightarrow} HGW\ by\ (7)$$
 (8)

$$HGWbelieves\ nMAG \stackrel{EK}{\leftrightarrow} HGW\ by\ (7)$$
 (9)

From (I2), we derive:

$$nMAGsees \langle \stackrel{ID_{MN}, HNP_{MN}, n_1, n_2, \xrightarrow{g^Y \bmod p} HGW}{\longrightarrow} HGW, \rangle_{SK_i} \qquad (10)$$

$$nMAG \stackrel{MSK}{\longleftrightarrow} HGW, MAG_{MSK}$$

nMAG believes HGW believes

$$\begin{pmatrix}
ID_{MN}, HNP_{MN}, n_1, n_2, \xrightarrow{g^Y \bmod p} HGW, \\
nMAG & \longleftrightarrow HGW, MAG_{MSK}
\end{pmatrix}$$
(11)

by (10), (A5), MM, (A6), FR, NV

nMAG believes HGW believes 
$$\xrightarrow{g^Y \mod p} HGW$$

$$bv (11).BC$$
(13)

(21)

$$nMAG \ believes \ nMAG \stackrel{g^{XY} \ mod \ p}{\longleftrightarrow} HGW$$
 
$$by \ (13), (A7), DH$$
 (14)

$$nMAG$$
 believes  $HGW$  believes  $n_2$  by (11), BC (15)

$$nMAG \ believes \ nMAG \overset{MSK}{\longleftrightarrow} HGW$$

$$by (15), (A6), (14)$$

$$nMAG \ believes \ nMAG \ \stackrel{AK}{\Leftrightarrow} HGW \ by (16)$$
 (17)

$$nMAG \ believes \ nMAG \stackrel{EK}{\leftrightarrow} HGW \ by (16)$$
 (18)

$$nMAG \ sees \left\langle \begin{array}{l} ID_{MN}, HNP_{MN}, n_1, n_2, h(SK_i), \\ nMAG \overset{EK}{\longleftrightarrow} HGW, nMAG \overset{AK}{\longleftrightarrow} HGW \\ \end{array} \right\rangle_{AK}$$
 (19)

nMAG believes HGW believes

$$\begin{pmatrix} ID_{MN}, HNP_{MN}, n_1, \\ n_2, h(SK_i), nMAG \stackrel{EK}{\longleftrightarrow} HGW, nMAG \stackrel{AK}{\Leftrightarrow} HGW \end{pmatrix}$$
 (20) 
$$by \ (19), (17), MM, (A6), FR, NV$$

$$nMAG$$
 believes  $HGW$  believes  $nMAG \stackrel{EK}{\longleftrightarrow} HGW$  by (20), BC

nMAG believes HGW believes nMAG 
$$\stackrel{AK}{\Leftrightarrow}$$
 HGW by (20), BC

From (I3), we derive:

$$HGWsees \langle n_2, nMAG \stackrel{EK}{\leftrightarrow} HGW, nMAG \stackrel{AK}{\Leftrightarrow} HGW \rangle_{AK}$$
 (23)

HGW believes nMAG believes

$$\left(n_{2}, nMAG \overset{EK}{\longleftrightarrow} HGW, nMAG \overset{AK}{\Leftrightarrow} HGW\right)$$

$$by (23), (8), MM, (A4), FR, NV$$

$$(24)$$

HGW believes 
$$nMAG \overset{EK}{\longleftrightarrow} HGW$$
 by (24), BC

HGWbelieves 
$$nMAG$$
 believes  $nMAG \stackrel{AK}{\Leftrightarrow} HGW$ 

$$bv (24), BC$$
(26)

*HGW believes nMAG believes*  $n_2$  by (24), BC (27)

From the above analysis, it is shown that the goals (G1), (G2), and (G3) are achieved through the obtained beliefs (6), (15), and (27). In addition, the goals (G4)  $\sim$  (G9) are satisfied with the beliefs (7)  $\sim$  (9) and (16)  $\sim$  (18) while the rest are satisfied with the beliefs (21), (22), (25), and (25). In summary, the proposed protocol achieves all the goals.

# 2) Security Properties

Lemma 1.HGW and nMAG mutually authenticates each other.

**Proof.** The derived belief (27) enables an HGW to confirm that the correspondent nMAG believes its messages. More importantly, we can derive the following belief (28) by applying BC to (2):

HGW believes nMAG believes  $h(SK_{i-1})(28)$  that can prevent a malicious MAG from lying that a victim MN arrives at its network because it cannot know  $h(SK_{i-1})$  without the pMAG's support. Therefore, it is enough to say that the HGW authenticates the nMAG because it believes the authenticity of the nMAG's last message. On the other hand, it is shown from the obtained belief (15) that the nMAG authenticates the HGW because it trusts the authenticity of the HGW's message. As a result, it is concluded that the HGW and the nMAG mutually authenticates each other.

*Lemma* 2.The session keys *EK* and *AK* are securely exchanged between HGW and nMAG.

**Proof.** While believing the session keys EK and AK based on the beliefs (8) and (9), an HGW can confirm that the correspondent nMAG believes those keys through the beliefs (25) and (26). That makes it possible for the HGW to trust that the two keys are safe to use. Similarly, the nMAG can arrive at the conclusion that the session keys are securely shared with the HGW through the beliefs (17), (18), (21), and (22). Consequently, we can conclude that the session keys EK and AK are securely exchanged between the HGW and the nMAG.

Lemma 3.Perfect Forward Secrecy (PFS) is guaranteed.

**Proof.** It is assumed that EK is used to encrypt the messages exchanged between the MN and its CN. One of our aims is to achieve PFS by preventing the encrypted messages transmitted in the previous sessions from being recovered to their original form even when the long-term key,  $K_{LMA-HGW}$ , is compromised or the current session keys (or the successive ones), MSK and EK, are compromised. The derived beliefs (5), (7), (14), and (16) demonstrate that MSK is securely exchanged based on the Diffie-Hellman key exchange. Moreover, in every session, the two entities randomly generate and temporarily use their private keys, which are then discarded. Thus, the private keys cannot be recovered after

(25)

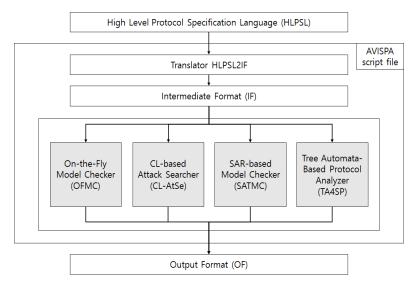


Fig. 6 Architecture of AVISPA

their session finishes even in the case of the compromise for the above key. Note that, as indicated in the beliefs (9) and (18), EK is derived from MSK, and thus, follows its security. Therefore, we can confirm that the proposed protocol satisfies PFS.  $\square$ 

Lemma 4. The MNs' privacy is kept in the MAG-HGW path.

**Proof.** The messages EBU, EBA, and CBU which are transmitted between the associated MAG and HGW don't include the values,  $ID_{MN}$  and  $HNP_{MN}$ , which can identify MNs. Instead, they are just involved to compute the message authentication codes,  $MAG_{EBU}$ ,  $MAG_{EBA}$ ,  $MAG_{MSK}$  as well as the session key  $SK_1$  or  $SK_i$ . Thus, upon receiving the EBU message, a HGW should find a MN with its all MNs'  $ID_{MN}$  and  $HNP_{MN}$ . As a result, we can say that the proposed protocol keeps the MNs' privacy in the MAG-HGW path.  $\square$ 

*Lemma 5*. The proposed protocol is secure against the resource exhaustion attacks.

**Proof.** In the proposed protocol, an HGW first tries to arrive at the belief (5) by verifying the given  $MAG_{EBU}$  prior to its expensive public key operations. In this way, it can avoid to suffer from a storm of public key operations caused by resource exhaustion attacks. Similarly, the MAG or nMAG first verifies  $MAG_{EBA}$ , then executes the successive public key operations. Consequently, it is clear that the proposed protocol is secure against the resource exhaustion attacks.

*Lemma* 6. The proposed protocol is secure against the redirection attacks by a malicious MAG.

**Proof.** Most of all let us consider the first step, *i.e.*, the RO\_INIT step. In this phase, without receiving the RO-INIT message from the LMA, a malicious MAG cannot launch the redirection attacks because it does not know  $SK_1$ . Note that the HGW sends the RO-INIT message to MAG only when RO decision is made. On the other hand, in the RO\_HO\_MAN

phase, nMAG has to receive the HO\_CTX message from pMAG to send the EBU message. Clearly, it is impossible for a malicious MAG to launch the redirection attacks because it should deceive pMAG into believing an MN handovers to itself.

B. Analysis with AVISPA

AVISPA is an automated tool used for formal verification, which provides functions for specification, verification, analysis, presentation, and derivation about protocols and applications [69]. AVISPA uses the High-Level Protocol Specification Language (HLPSL) to create a protocol. AVISPA converts the protocol specification written in HLPSL into Intermediate Format (IF) through HLPSL2IF. The transformed specification derives its results through 4 submodules, namely, On-the-Fly Model-Checker (OFMC), Constraint-Logic-based Attack Searcher (CL-AtSe), SAT-Model-Checker based (SATMC), and Automatic Approximations for the Analysis of Security Protocols (TA4SP). Fig.6 presents the operational architecture of AVISPA. The proposed RO protocol is divided into the previous session and the future session on the basis of handovers.

A separate RO protocol is proposed for each session, and protocols for each session are analyzed and verified through AVISPA. Before examining the results of the proposed RO protocol, we briefly describe the specification of each protocol. The route optimization protocol, prior to handover consists of four roles, namely, as MN, MAG, LMA, and HGW. After the handover, the RO protocol consists of 5 roles, which are specified as MN, pMAG (previous MAG), nMAG (new MAG), LMA and HGW as shown in Fig. 7 and Fig. 8. The results obtained from the analysis using AVISPA suggest that the protocol is safe and accounts with the BAN-logic and can be readily applied to smart home IoT networks. The detailed results are given in Appendix-I.

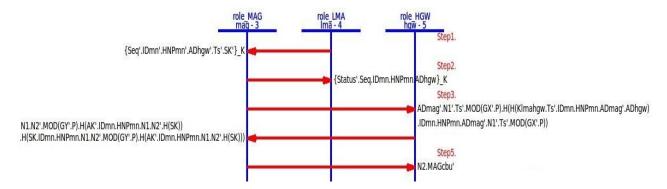


Fig.7 Flow of RO-INIT step

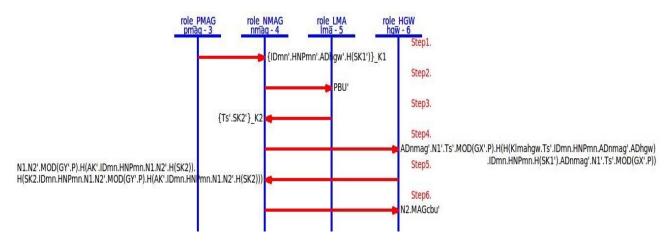


Fig.8 Flow of RO-HO-MAN step

Table III.
SIMULATION CONFIGURATIONS

Parameter	Value		
Area	1000 x 1000 sq.m.		
MN	100-500		
CN	50		
MAG	2		
HGW	1		
LMA	1		
Agent	TCP-New Reno		
MAC	802.11		
Radio Propagation	Two Ray Ground		
Distance	50m-150m		
Data	625 Mb		
Initial data	0.125 Mb		
Antenna	Omni Antenna		
Channel	Wireless Channel		
Max. Speed	20 kmph		
Min. Speed	10 kmph		
Simulation Time	100 s		
Simulation Runs	50		

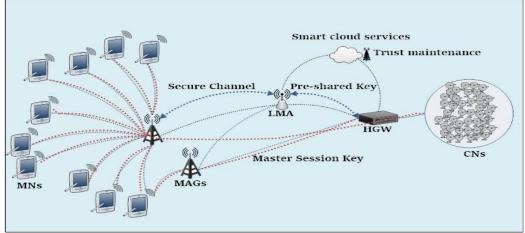


Fig. 9 An illustration of the simulation scenario considered for evaluation of the proposed approach.

# V. PERFORMANCE EVALUATION

The proposed secure RO approach is evaluated for its performance by using NS-2 [67]. The proposed approach is evaluated in two scenarios, the first one comprising flow through via LMA, which is a default case and the second is optimized routing using the LMA only in the initial phase. The simulations are conducted using a total of 50 sensors (CN) in a smart house IoT network. Each of the sensors serves as an equipment controller. A single HGW is created to manage these sensors. It is assumed that the trust is established between the LMA and HGW during the start of the simulations. Multiple MAGs are created on the side of MNs that move using random waypoint model. TCP-NewReno is used as an agent to provide TCP traffic link between the CNs and HGW as it is capable of providing fast recovery and retransmissions. A total of 50 simulation runs is performed and the results are observed for average values. Results are evaluated for handover latency, end to end delay, throughput, transmission rate during handovers and packet loss. The parameters used to evaluate the proposed approach are presented in Table III with a simulation scenario in Fig 9.

# A. Handover latency

Handover latency is the measure of time consumed after the initiation of the handovers and its completion. Handover latency provides evaluation regarding the speed of a network in connecting an MN to the new MAG. In the proposed approach, MN moves between MAGs and handover takes place every time the MN moves towards the new MAG. The simulation results show that the proposed approach provides a steady latency, which remains same despite the number of MNs in a network. But, with variation in the number of nodes, the handover latency is affected and increases with an increase in the number of MNs, as shown in Fig.10. This latency can be further controlled by optimizing the bandwidth of a network. The results show that the proposed approach provides 38.7% lesser handover latency than the default scenario operating without RO. The maximum latency recorded for the proposed approach is 10.1 ms, whereas for the default scenario, the maximum value is 15.8 ms. With lower handover latency, it is

evident that the proposed approach provides security without compromising the mobility of MNs.

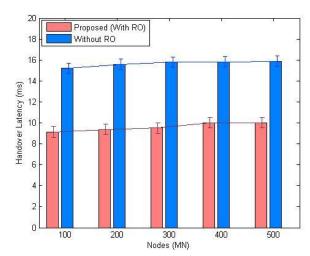


Fig. 10 Handover latency vs. nodes.

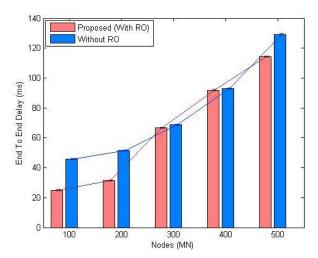


Fig. 11 End to End Delay vs. nodes.

#### B. End to End Delay (E2E)

E2E is the measure of the delays induced before the initiation and after the completion of the handovers. It accounts for transmission, propagation, queuing, and processing delays. A network with lower E2E provides better connectivity and can handle sensitive traffic, such as multimedia traffic, efficiently. The continuity of traffic over the network using a bypassing methodology over the LMA allows 15.1% lesser delays in the proposed approach as compared to the default case, as shown in Fig.11. The result shows that the variation in delays is affected by the variation in the number of MNs. Further, with a large value of link delay and a higher number of MNs, the E2E delay increases, but this increase is well in control allowing the network to perform efficiently even in a scenario with limited support from the underlying network.

#### C. Network Throughput

Network throughput is the measure of the overall transmission speed attained in the network. It provides analyses of the number of bits transferred per second in the network during entire session of connectivity. The network throughput is recorded against the variation in the number of nodes over a consistent traffic without altering the data and the initial rate of transmission. Fig.12 presents the throughput comparison of the proposed RO strategy and a default case without RO. The results show that the proposed approach, despite the variation in security methodology, provides 18.18% better throughput during the entire session of transmission. The results show that the proposed approach provides a highest of 36.006 Mbps (or 38000.6 Kbps) whereas the default scenario could sustain a highest of 31.00 Mbps (or 31000.6 Kbps) in a network with only 100 MNs.

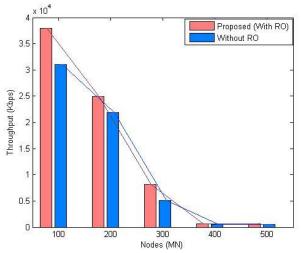


Fig. 12 Network Throughput vs. nodes.

However, the adverse case provides lesser throughput as a large number of MNs make connections simultaneously with the same CNs, which is an almost impossible scenario to occur in a real time. Thus, considering the average number of users, the proposed approach is capable of providing high throughput during the entire session of connectivity.

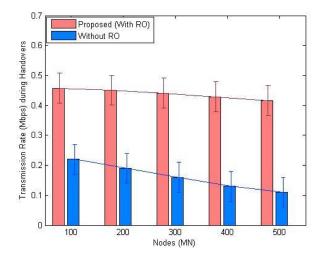


Fig. 13 Transmission rate during handovers vs. nodes.

#### D. Transmission Rate during Handovers

With provisioning of security enhancement and RO by overcoming the excessive transmission via LMA, the proposed approach provides early binding, which allows high traffic transmission even during the handover scenarios. The results for transmission rate during the handovers are shown in Fig.13. The results show that the proposed scenario is capable of providing 63.1% higher transmission rate during the handovers in comparison with a scenario which uses the LMA for every pass. With sufficient rate even during the handovers, the proposed approach allows better connectivity and can be used to further incorporate heavy security operations in flow between the MNs and CNs.

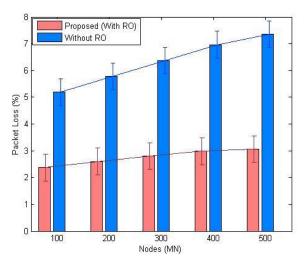


Fig. 14 Packet loss vs. nodes.

#### E. Packet Loss

The proposed approach provides better transmission support to entire network and removes the excessive overheads of transmission via LMA even after authentication. This allows more traffic to pass efficiently without much delay and loss. With lesser delay, even with minimum support from the underlying channel and increasing number of users, the proposed approach provides higher delivery support and less packet loss as shown in Fig.14.The results show that the proposed approach provides 2.3% loss in the overall traffic at the minimum support from the link, which is 56.3% lower than the default scenario. The results shown in terms of packet loss suggest that the proposed approach is capable of providing higher delivery rate with sufficiently high transmission speed.

#### VI. CONCLUSION

In this paper, the problem of efficient communication in SH-IoT networks was considered in the form of RO, and a secure protocol was proposed, which used PMIPv6 domain divisibility to ensure the security as well as performance over the path between the MN and the CN. The proposed protocol used the pre-established trust relationship between the MN's HGW and the PMIPv6 domain (i.e., LMA), where the session keys exchange was performed on the basis of Diffie-Hellman security algorithm. The correctness of the proposed protocol was formally and precisely analyzed using BAN-logic and AVISPA. Further, network simulations were conducted to evaluate the performance of the proposed protocol. The results showed that the proposed approach was capable of providing secure transmission by overcoming the RO problem in PMIPv6 along with a reduction in handover latency, end to end delay, and packet loss. The proposed approach provided high throughput and transmission rate during the handover phase in comparison with a smart home network operating with the default PMIPv6. The results showed that the proposed approach provided 38.7% lower handover latency, 15.1% lesser end to end delays, 56.3% lower packet loss, 18.18% higher throughput, and 63.1% higher transmission rate during handover phase in comparison with SH-IoT network operating with the default PMIPv6.

In future, the proposed protocol will be extended to consider distributed mobility management with 5G, and performance will be evaluated using varying traffic and mobility models.

#### ACKNOWLEDGMENT

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#### APPENDIX-I

In this appendix, the proposed protocol is specified using HLPSL and verified with OFMC and CL-AtSe among the submodules of AVISPA. Figs. 15 and 16 show the results of verification of proposed RO protocol before handover with OFMC and CL-AtSe. Fig. 17 and Fig. 18 show the result of verification for the path of proposed RO protocol after handover with OFMC and CL-AtSe, respectively. These results correspond to the theoretical analysis, and prove that the proposed RO protocol is safe to attacks.

```
% OFMC
% Version of 2006/02/13
SUMMARY
SAFE
DETAILS
BOUNDED NUMBER OF SESSIONS
PROTOCOL
/home/span/span/testsuite/results/asdf.if
GOAL
as specified
BACKEND
OFMC
COMMENTS
STATISTICS
parseTime: 0.00s
 searchTime: 0.04s
 visitedNodes: 12 nodes
 depth: 6 plies
```

Fig.15 The output of OFMC back-end (RO-INIT step)



Fig.16 The output of CL-AtSe back-end (RO-INIT step)

% OFMC % Version of 2006/02/13 SUMMARY SAFE DETAILS BOUNDED NUMBER OF SESSIONS /home/span/span/testsuite/results/loT\_2.if as\_specified BACKEND **OFMC** COMMENTS STATISTICS parseTime: 0.00s searchTime: 0.02s visitedNodes: 7 nodes depth: 4 plies

Fig.17 The output of OFMC back-end (RO-HO-MAN step)

SUMMARY
SAFE

DETAILS
BOUNDED\_NUMBER\_OF\_SESSIONS
TYPED\_MODEL

PROTOCOL
/home/span/span/testsuite/results/IoT\_2.if

GOAL
As Specified

BACKEND
CL-AtSe

STATISTICS

Analysed: 8 states
Reachable: 3 states
Translation: 0.04 seconds
Computation: 0.00 seconds

Fig.18 The output of CL-AtSe back-end (RO-HO-MAN step)

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