
A three-layer model of natural image statistics

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The presentation is based on the paper:
M. Gutmann and A. Hyvärinen,
A three-layer model of natural image statistics,
Journal of Physiology-Paris, 2013, in press.

Introduction

Natural scenes contain regularities

Introduction

● Regularities in images

- Usage of regularities
- Research topic
- Selectivity & tolerance
- Tolerant selectivities
- Emergence of higher-level tolerant selectivities
- Research question

Methods

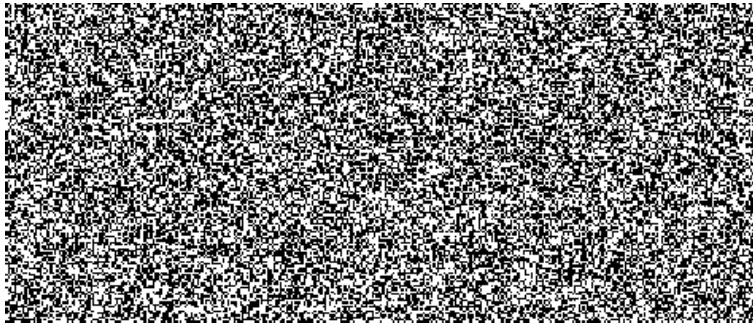
Results

Conclusions



"Apgar 10/10; Feet", by Jacquelyn Berl.

- Dimensions of the image: 150×360 . (54000 pixels).
- There are $2^{54000} > 10^{16000}$ different binary 150×360 images.
- Only a very small fraction depicts scenes that we may see in our natural environment.



The regularities are used by the visual system

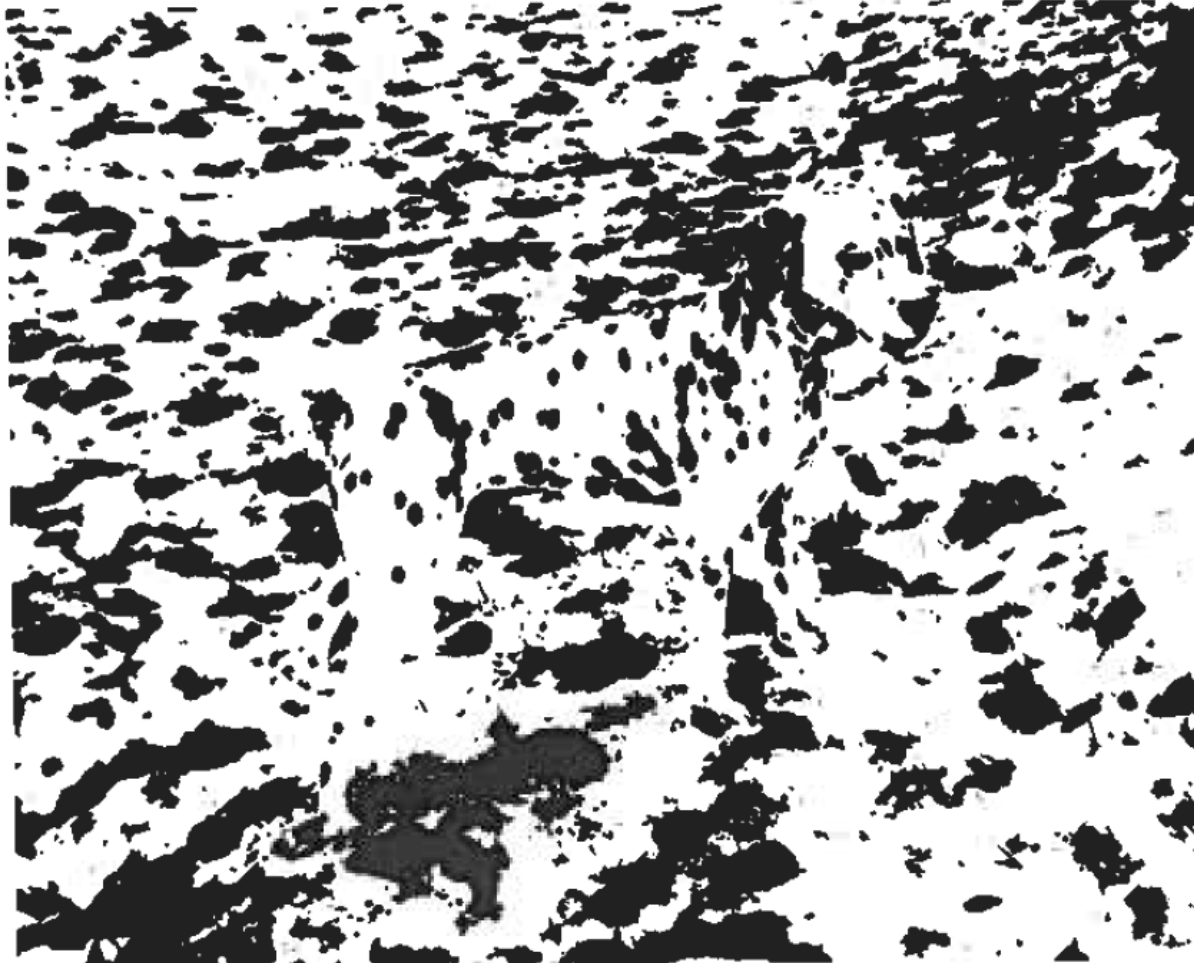
Introduction

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They serve as prior information in perception.

Natural environment and the brain

Introduction

- Regularities in images
- Usage of regularities
- **Research topic**
- Selectivity & tolerance
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- Research question

Methods

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Conclusions

- Natural scenes contain a lot of structure (regularities).
- Basic assumption: The sensory system is adapted to its sensory environment (ecological adaptation).
- Research topic in general: Relate properties of the natural environment to properties of the sensory (visual) system.
- This talk: Its relation to neural selectivity and invariance (tolerance).

Neural selectivity and tolerance

Introduction

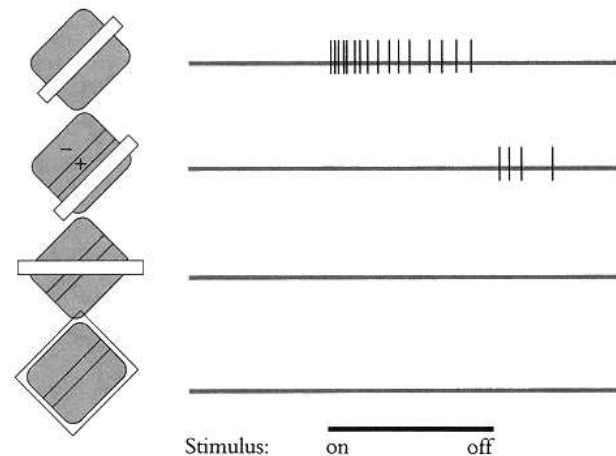
- Regularities in images
- Usage of regularities
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- **Selectivity & tolerance**
- Tolerant selectivities
- Emergence of higher-level tolerant selectivities
- Research question

Methods

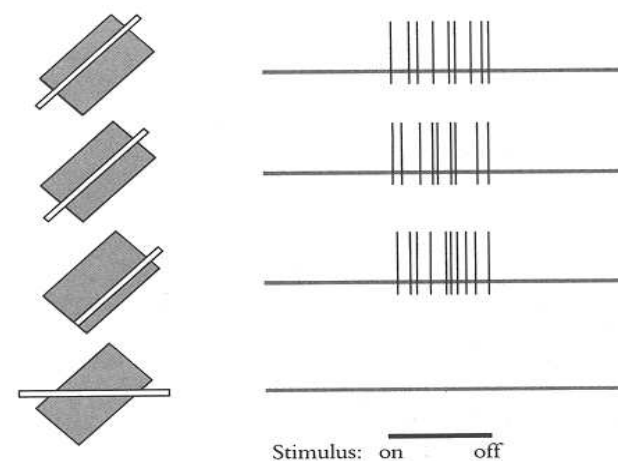
Results

Conclusions

- Some “definitions” of neural selectivity and tolerance:
 - ◆ Neurons are selective to certain properties of the stimulus if their response increases strongly when the stimulus properties become present.
 - ◆ Neurons are tolerant to them if their response does not change much.
- Example for cells in the primary visual cortex:



Simple cells:
Selective to orientation
and location of the bar



Complex cells:
Tolerant to exact location

Tolerant selectivities

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- Regularities in images
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- Combining selectivity with tolerance (tolerant selectivities) is helpful in higher visual tasks.
- Example: To recognize a face, we need to find visual clues that are
 - ◆ specific for the person at hand (selectivity), and
 - ◆ somewhat invariant to the facial expressions (tolerance).



(Figure from “Facial Expressions – A Visual Reference for Artists” by M. Simon.)

Emergence of higher-level tolerant selectivities (1/3)

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- Regularities in images
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- Basic hypothesis: Higher-level tolerant selectivities emerge through a sequence of elementary selectivity and tolerance computations.
- Hypothesis goes back to Kunihiro Fukushima's "neocognitron", which is a multi-layer extension of Hubel & Wiesel's simple-cell, complex-cell cascade.

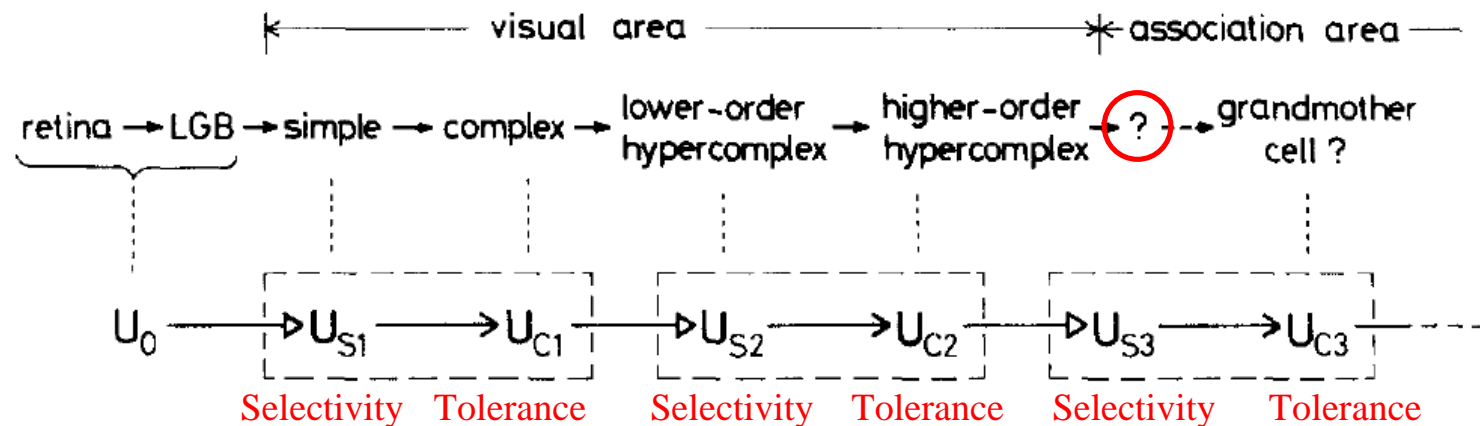
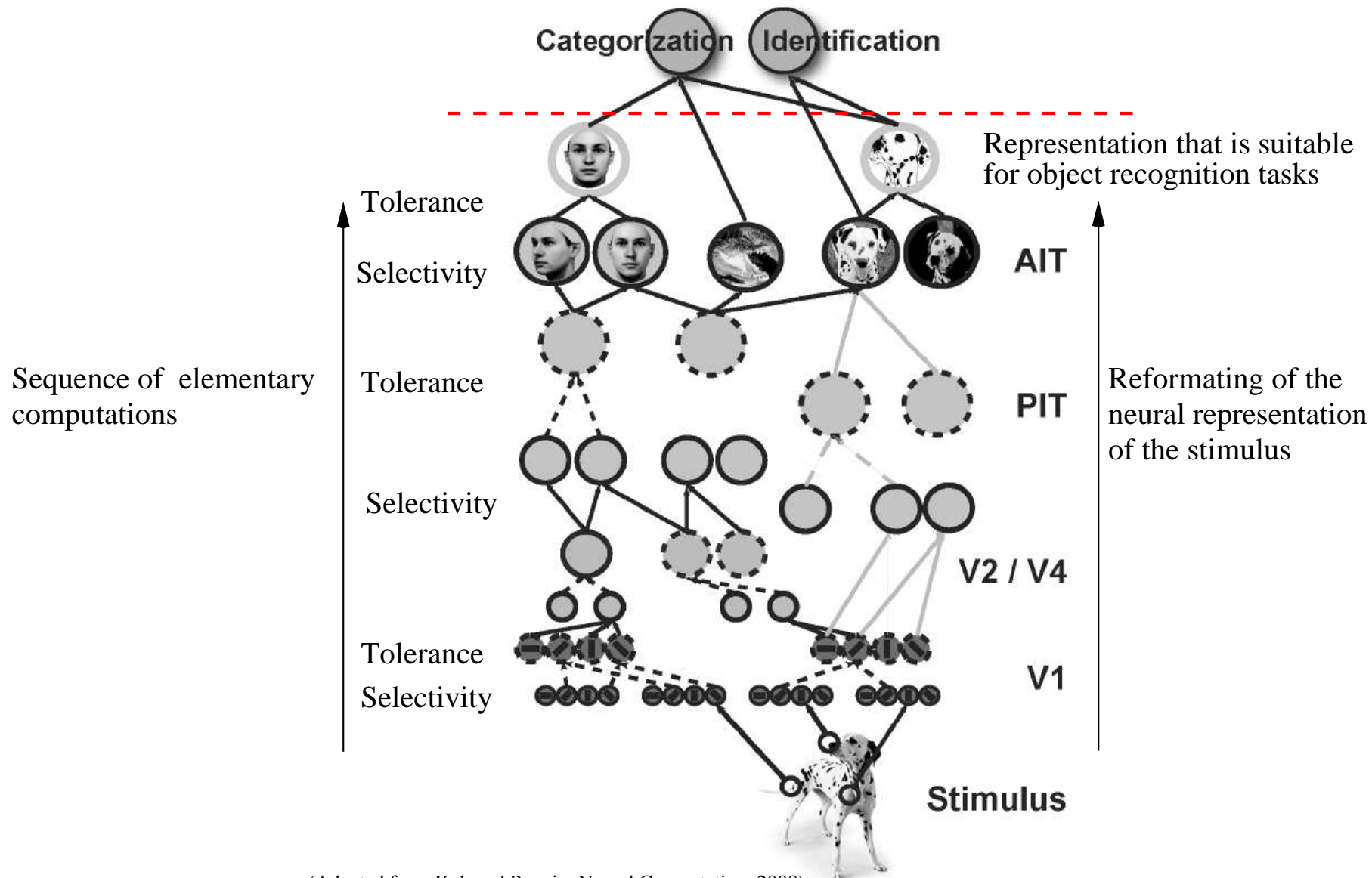


Figure adapted from "Neocognitron: A self-organizing neural network model for a mechanism of pattern recognition unaffected by shift in position", Biol Cybernetics, 1980.

Emergence of higher-level tolerant selectivities (2/3)

Similar idea was put forward by Riesenhuber and Poggio, Nature 1999, and others.



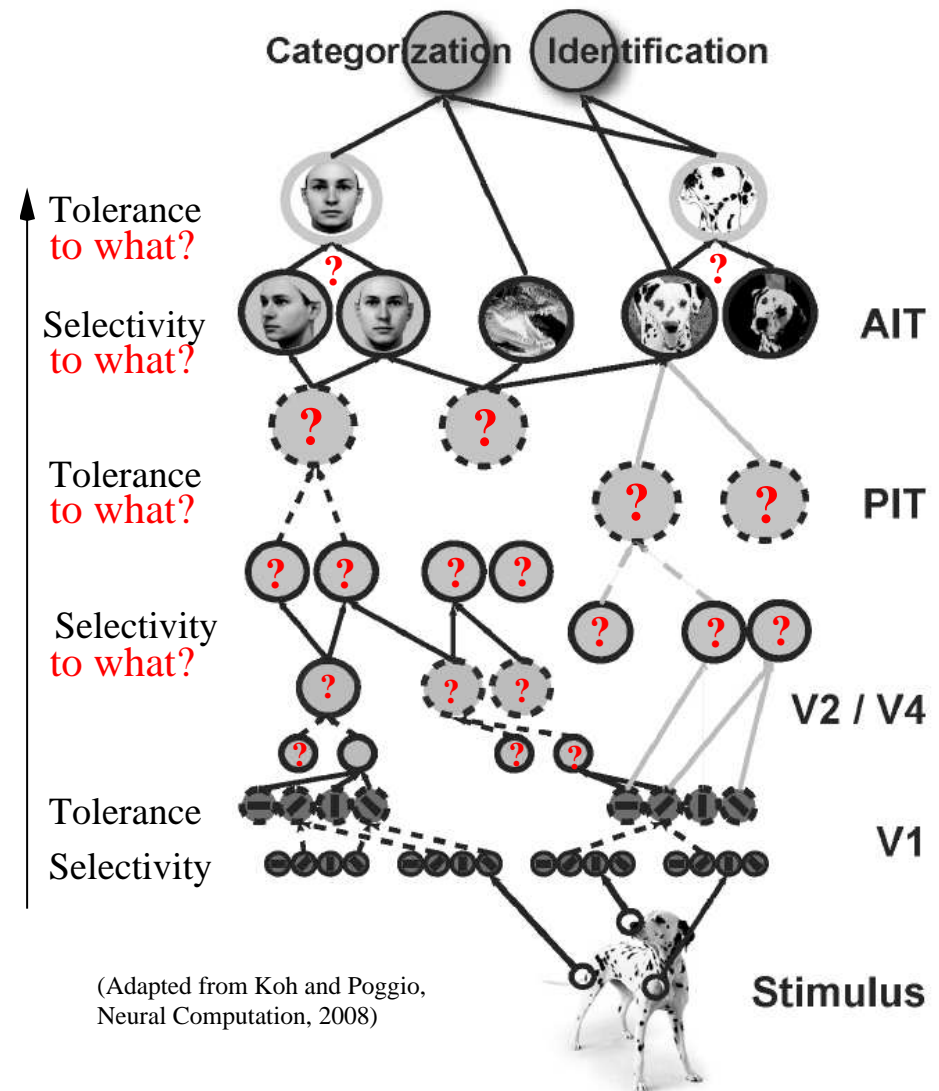
(Adapted from Koh and Poggio, Neural Computation, 2008)

Emergence of higher-level tolerant selectivities (3/3)

- There is (indirect) experimental evidence for an increase in selectivity and tolerance along the ventral pathway

Rust and DiCarlo, J. Neurosci., 2010

- What remains poorly understood is the nature of the tolerance and selectivity computations along the hierarchy.



Question asked and methodology

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- Regularities in images
- Usage of regularities
- Research topic
- Selectivity & tolerance
- Tolerant selectivities
- Emergence of higher-level tolerant selectivities

● Research question

Methods

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- Basic hypothesis:
Higher level tolerant selectivities emerge through a sequence of elementary selectivity and invariance computations.
- Question asked:
In a visual system with three processing layers, what should be selected and tolerated at each level of the hierarchy?
- Methodology: Learn the selectivity and invariance computations from natural images.

Learning = fitting a statistical model to natural image data.

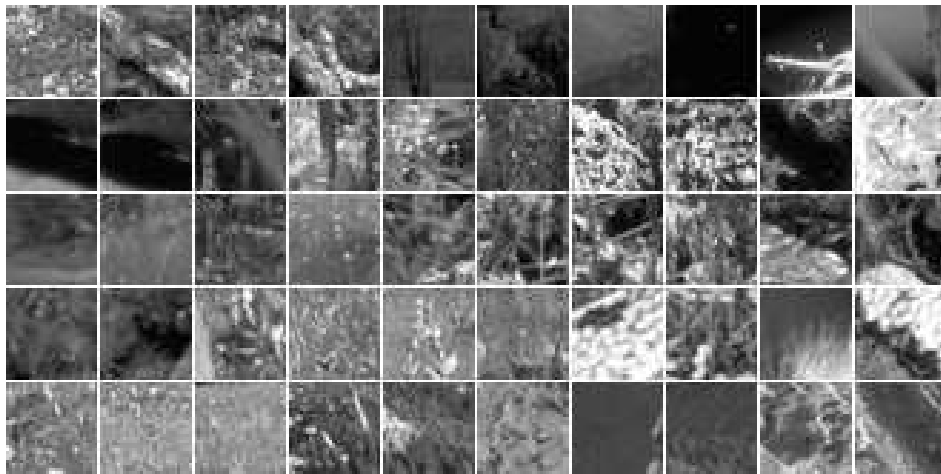
Methods

Data

We learn the computations for two kinds of image data sets:

1. Image patches of size 32 by 32, extracted from larger images (left).
2. “Tiny images” dataset, converted to gray scale: complete scenes downsampled to 32 by 32 images (right)

(Torralba et al, TPAMI 2008)



The three processing layers (1/2)

Introduction

Methods

● Data

● Processing layers

Results

Conclusions

- Let \mathbf{x} be a vectorized image after preprocessing (luminance and contrast gain control, low-pass filtering).

- The three processing layers are:

$$y_i^{(1)} = \max \left(\mathbf{w}_i^{(1)} \cdot \mathbf{x}, 0 \right), \quad i = 1 \dots 600$$

$$y_i^{(2)} = \ln \left(\mathbf{w}_i^{(2)} \cdot (\mathbf{y}^{(1)})^2 + 1 \right), \quad i = 1 \dots 100$$

$$\mathbf{z}^{(2)} = \text{gain control} \left(\mathbf{y}^{(2)} \right),$$

$$y_i^{(3)} = \max \left(\mathbf{w}_i^{(3)} \cdot \mathbf{z}^{(2)}, 0 \right), \quad i = 1 \dots 50$$

Gain control is similar to the preprocessing: centering, normalizing the norm after whitening, possibly dimension reduction

- Free parameters: $\mathbf{w}_i^{(1)}$, $\mathbf{w}_i^{(2)}$, $\mathbf{w}_i^{(3)}$. They govern the computations of the three layers.
- Constraint: the $\mathbf{w}_i^{(2)}$ have nonnegative elements, $w_{ki}^{(2)} \geq 0$.

The three processing layers (2/2)

Introduction

Methods

● Data

● Processing layers

Results

Conclusions

- First and third layer:

$$y_i^{(1)} = \max \left(\mathbf{w}_i^{(1)} \cdot \mathbf{x}, 0 \right)$$

Linear projection followed by rectification.

This is a (very) simple model for the steady-state firing rate of neurons.

- Second layer:

$$y_i^{(2)} = \ln \left(\mathbf{w}_i^{(2)} \cdot (\mathbf{y}^{(1)})^2 + 1 \right)$$

Functional form of the energy model for complex cells

(Adelson, J Opt Soc Am, A, 1985)

- Linear projections/pooling patterns are not yet specified, but learned from the data.
- The outputs $y_i^{(1)}, y_i^{(2)}, y_i^{(3)}$ are used to define the statistical model (probability density function) of the natural images. (see paper for details)
- Fitting the model allows us to learn the parameters $\mathbf{w}_i^{(1)}, \mathbf{w}_i^{(2)}, \mathbf{w}_i^{(3)}$.

Results

Computations on the first two layers (in brief)

$$y_i^{(2)} = \ln \left(\sum_k w_{ki}^{(2)} (\mathbf{w}_k^{(1)} \cdot \mathbf{x})^2 + 1 \right)$$

- First layer: Selectivity to localized oriented (“Gabor-like”) image structure.
 (“simple cells”, similar to prev work)
- The learned computation on the second layer resembles a max operation over selected first-layer outputs.
- Second layer: Selectivity to localized oriented image structure. Tolerance to exact localization. (“complex cells”, similar to prev work)

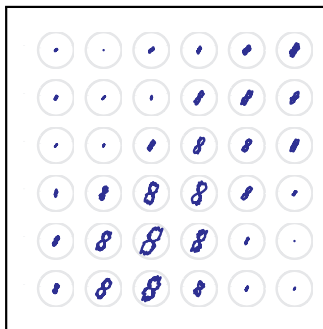
Layer three: example unit for patch data

$$\mathbf{z}^{(2)} = \text{gain control}(\mathbf{y}^{(2)}) \quad y_i^{(3)} = \max(\mathbf{w}_i^{(3)} \cdot \mathbf{z}^{(2)}, 0)$$

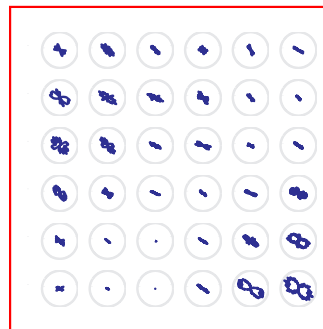
- Black frame: space-orientation receptive field. Visualizes the response to local gratings of different orientations.
(Anzai et al, Neurons in monkey visual area V2 encode combinations of orientations, Nat Neurosci, 2007)
- Red frame: “inhibitory” space-orientation receptive field. Shows the location and orientation of local gratings which inhibit the units most.

07

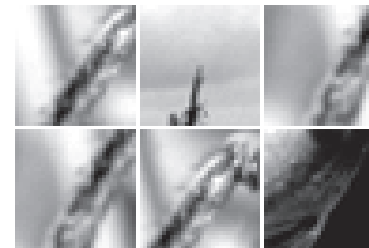
Receptive field (RF)



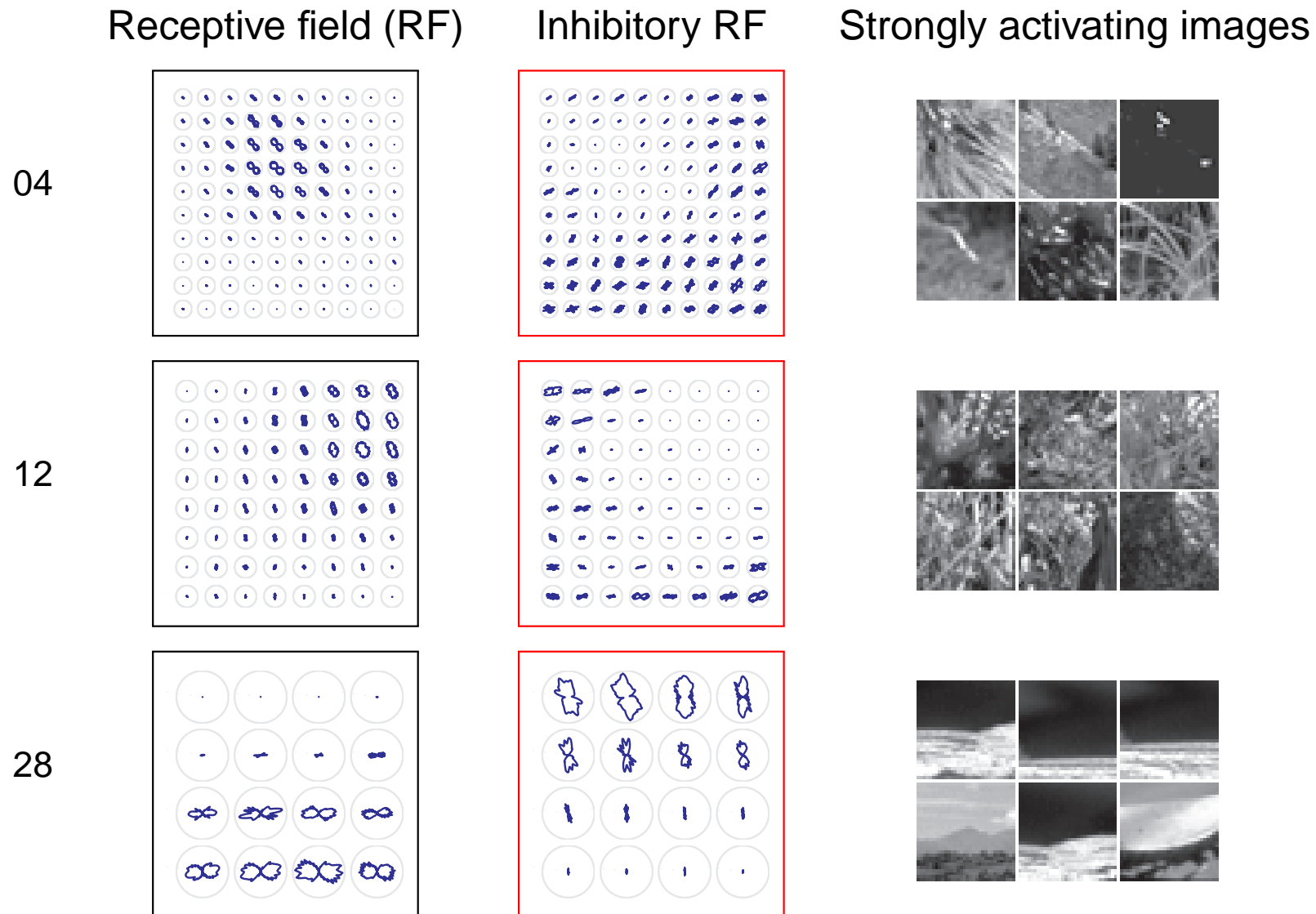
Inhibitory RF



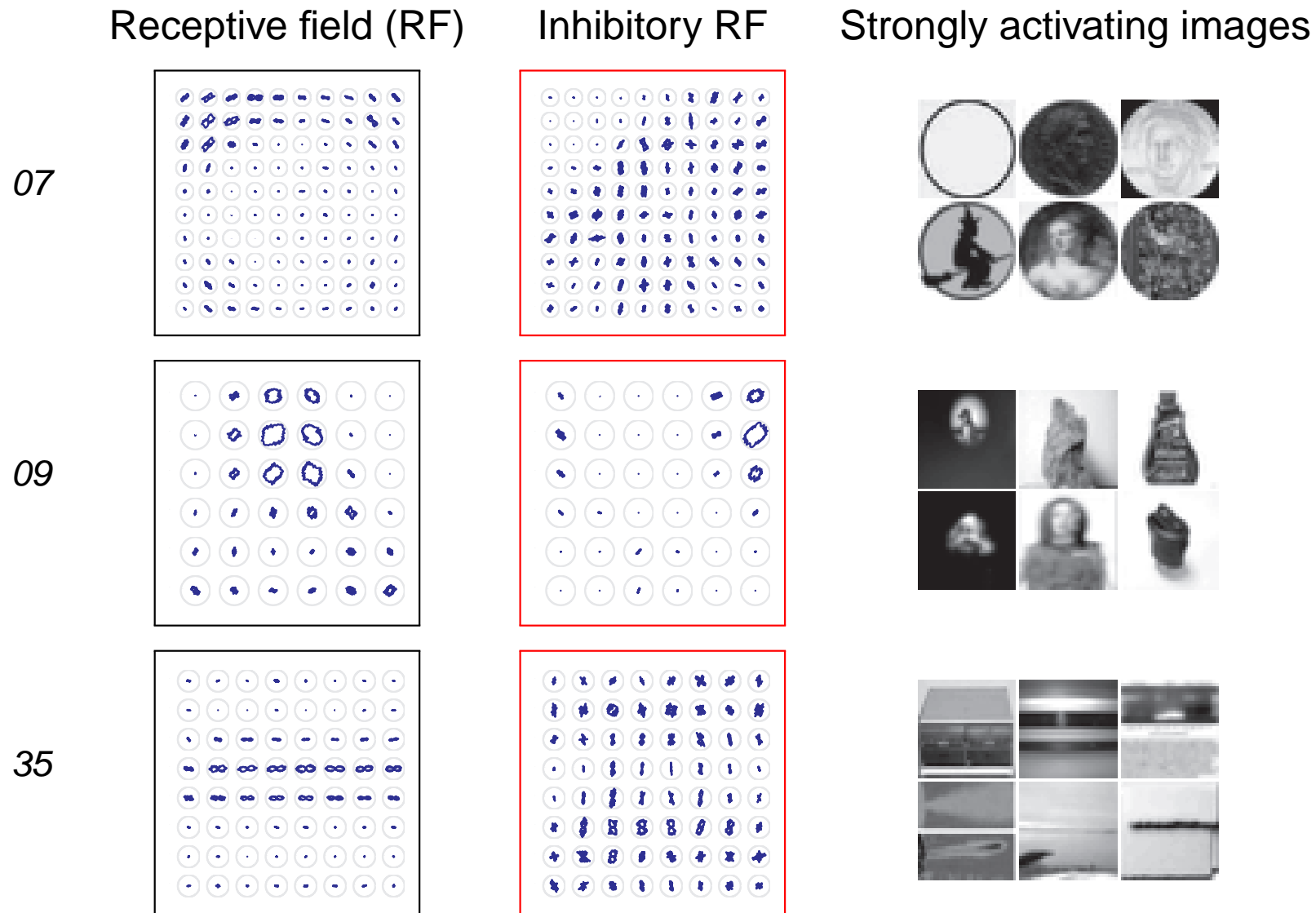
Strongly activating images



Layer three results: more examples for patch data



Layer three results: examples for tiny image data



Qualitative observations

Introduction

Methods

Results

- First two layers
- Layer three example
- More examples
- **Qualitative observations**
- Homogeneity
- Orientation inhibition
- Sparsity

Conclusions

- Receptive fields are well structured and often localized.
- Emergence of non-classical receptive fields.
- For tiny images, the receptive fields are more inhomogeneous than for patch data.
- Excitatory and inhibitory gratings form large angles (orientation inhibition).
- Selectivity on the third layer:
 - ◆ For patch data: longer contours and texture
 - ◆ For tiny images: longer contours, curvatures

Population analysis of homogeneity

Introduction

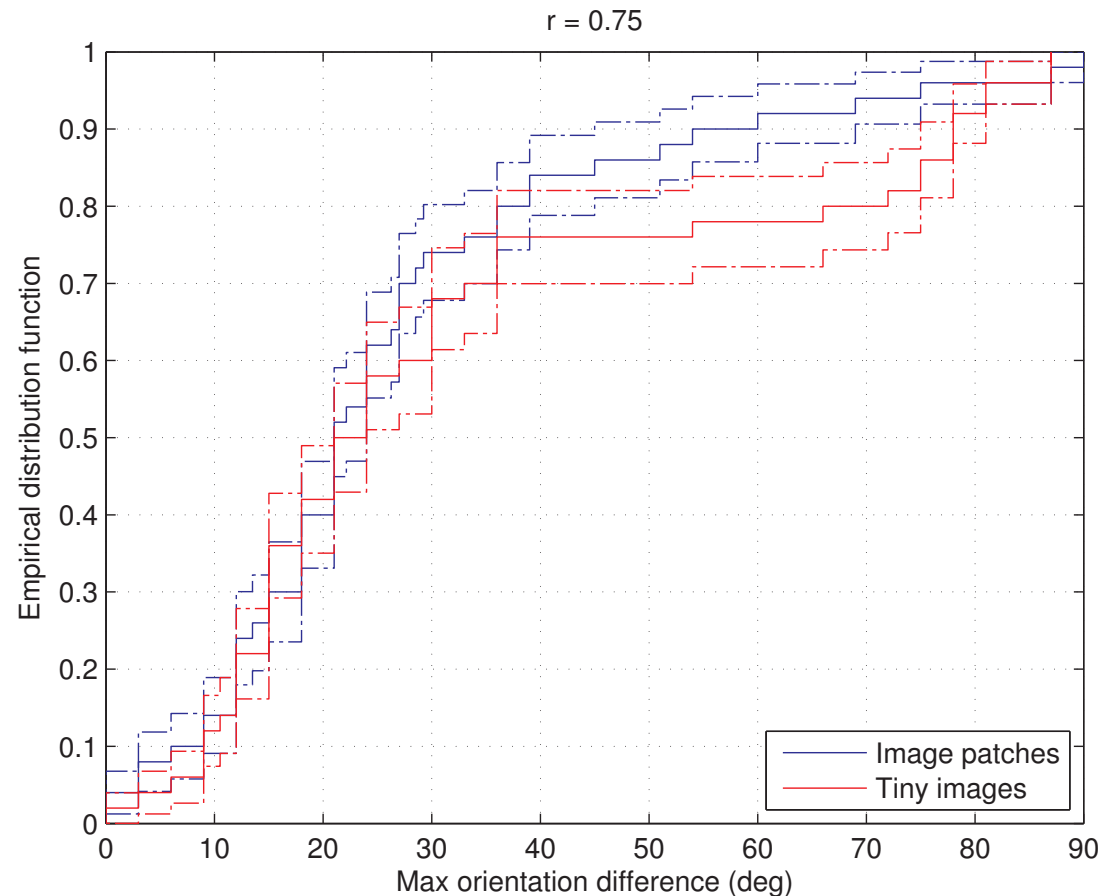
Methods

Results

- First two layers
- Layer three example
- More examples
- Qualitative observations
- Homogeneity
- Orientation inhibition
- Sparsity

Conclusions

- Maximal difference δ in orientation tuning within a RF on L3:
 $\delta < 30^\circ$: 70%; $\delta > 60^\circ$: 10% (patches), 20% (tiny images)
- Experimental findings (V2 in Macaque monkeys):
 - ◆ Anzai, 2007: $\delta < 30^\circ$: 60 – 70%; $\delta > 60^\circ$: 30%
 - ◆ Tao, 2012: $\delta < 30^\circ$: 80%; $\delta > 60^\circ$: 5%



Population analysis of orientation inhibition

Introduction

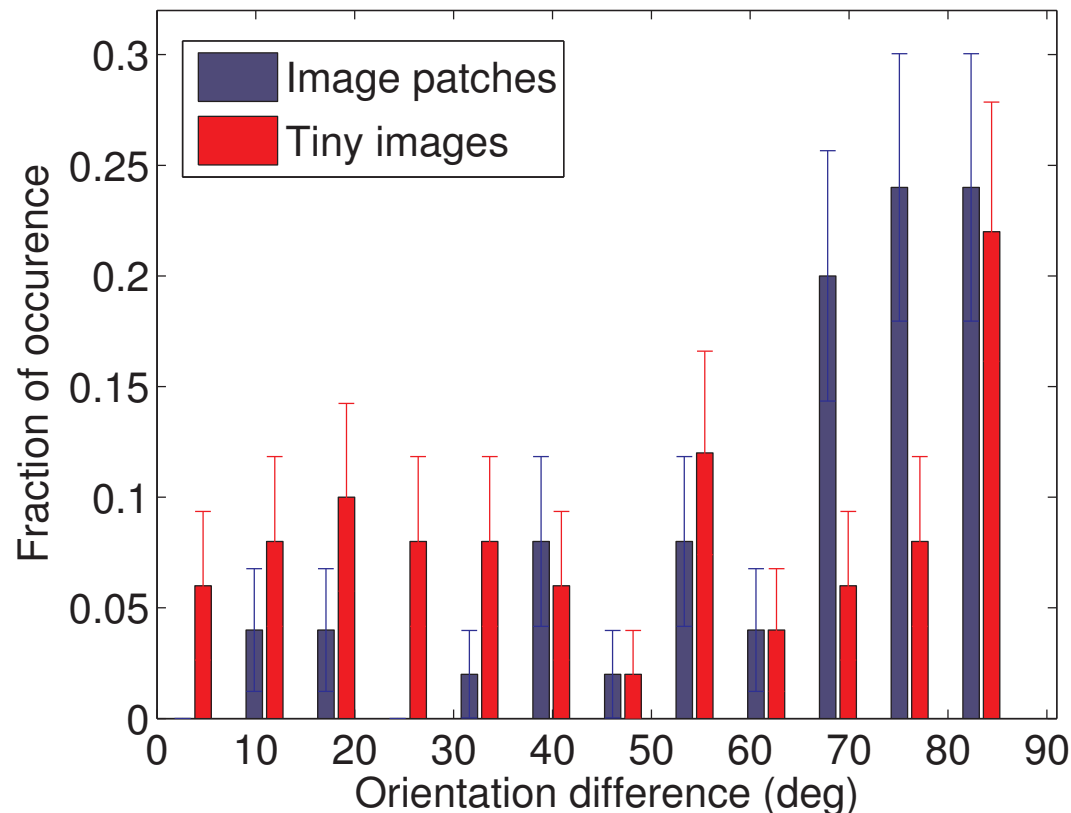
Methods

Results

- First two layers
- Layer three example
- More examples
- Qualitative observations
- Homogeneity
- Orientation inhibition
- Sparsity

Conclusions

- We computed the angle between preferred and least preferred orientation for all third-layer units.
- The mode of the distribution is at $83^\circ \pm 7^\circ$.
- Strongest inhibition occurs for local gratings which are (roughly) orthogonal to the preferred orientation.



Lifetime sparsity across the three layers

Introduction

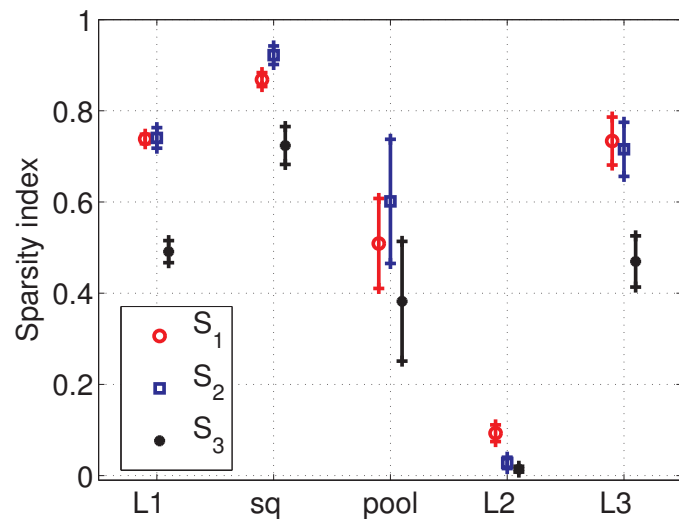
Methods

Results

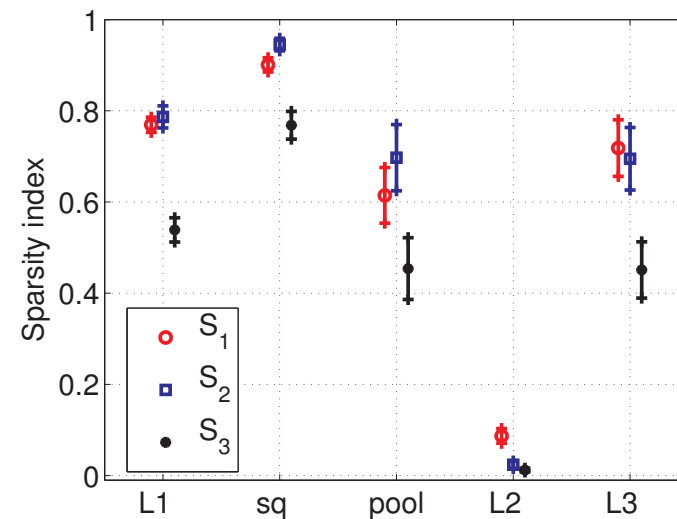
- First two layers
- Layer three example
- More examples
- Qualitative observations
- Homogeneity
- Orientation inhibition
- Sparsity

Conclusions

- We use three different indices S_1 , S_2 , S_3 to measure lifetime sparsity (see paper for details).
- Sparsity on layer one (“L1”) and three (“L3”) are about the same.
- Squaring (“sq”) increases sparsity. Pooling (“pool”) and taking the logarithm (“L2”) reduces it.
- Iterating between selectivity and tolerance computations balances sparsity (no net increase).



Patch data



Tiny images

Conclusions

What the talk was about

Introduction

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Conclusions

● What the talk was about

● What we found

- Basic hypothesis of our work is:
Higher level tolerant selectivities emerge through a sequence of elementary selectivity and invariance computations.
- We asked:
In a visual system with three processing layers, what should be selected and tolerated at each level of the hierarchy?
- Our approach was:
Learn the selectivity and invariance computations from natural images by fitting a statistical model.

What we found

Introduction

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● What the talk was about

● What we found

- Computations in the first two layers are in line with previous research. For both patch data and tiny images:
 - ◆ First layer: Emergence of selectivity to Gabor-like image structure (“simple cells”)
 - ◆ Second layer: Emergence of tolerance to exact orientation or localization of the stimulus (“complex-cells”)
- Computations on the third layer:
 - ◆ Patch data: Emergence of selectivity to longer contours and, to some extent, texture.
 - ◆ Tiny images: Emergence of selectivity to longer contours and, to some extent, curvature.
 - ◆ The receptive fields are mostly homogeneous, in line with experimental results. They are more inhomogeneous for tiny images than for patch data.
 - ◆ Emergence of (orientation) inhibition to facilitate the selectivity computations.
- No net increase of sparsity as we go from layer one to layer three.