

# CS 180

## Homework 1

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# 1 Solution

- (3b) For every set of TV shows and ratings, there is not always a stable pair of schedules. We consider the following counterexample. Let schedule  $S$  be a set with ratings  $s \in S$  for network  $A$ , and schedule  $T$  be a set with ratings  $t \in T$  for network  $B$ . Let us define the pair  $(S, T)$  as  $(S, T) := \{(s_i, t_i) \mid s_i \in S = \{s_1, s_2, \dots, s_n\}, t_i \in T = \{t_1, t_2, \dots, t_n\} \text{ for } i = 1, 2, \dots, n\}$ . We say that schedule  $S$  wins a time slot  $i$  over  $T$  if  $s_i > t_i$  and that schedule  $T$  wins a time slot  $i$  over  $S$  if  $t_i > s_i$ .

Let schedule  $S = \{1, 3\}$  and schedule  $T = \{2, 4\}$ . Then  $(S, T) = \{(1, 2), (3, 4)\}$ . We see that  $T$  wins time slots 1 and 2 over  $S$ . However, we see that this pairing is not stable, as  $S$ 's schedule be adjusted so that it wins more time slots. Let this adjusted schedule  $S' = \{3, 1\}$ . Then  $(S', T) = \{(3, 2), (1, 4)\}$ . We see that  $S$  has won time slot 1 over  $T$ . However, we see once more, that this pairing is not stable, as  $T$ 's schedule can be adjusted so that it wins more time slots. Let this adjusted schedule  $T' = \{4, 2\}$ . Then  $(S', T') = \{(3, 4), (1, 2)\}$ . We are back where we initially started, that is  $(S, T) = (S', T')$ . Effectively,  $S$  can simply adjust its two spots in response to  $T$ 's schedule, and vice versa, and simply swapping the two positions in each set is sufficient to create a better schedule for either network. This can occur forever, and thus there are no stable pairings for each schedule.

## 2 Solution

Utilizing the algorithm below, one will always find a stable assignment of students to hospital (proof below).

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**Algorithm 1:** Stable assignment of students to hospitals

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**Input** : List of students  $S$  and hospitals  $H$   
**Output:** Stable pairing of  $S$  and  $H$

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1 Initially every student  $s \in S$  and every hospital  $h \in H$  is free
2 while There is a student  $s$  without a hospital assignment left who hasn't applied to every hospital do
3   Choose such a student  $s$ 
4   Let  $h$  be the highest ranked hospital in  $s$ 's list that  $s$  hasn't applied to
5   if  $h$  has room for more students then
6      $s$  is accepted by  $h$ 
7   else
8     if  $h$  prefers  $s$  to its lowest preferred student,  $s'$  then
9        $s'$  is no longer accepted by  $h$ 
10       $s$  is accepted by  $h$ 
11     else
12        $s$  is not accepted by  $h$ 
13     end
14   end
15 end
16 return the set  $X$  of student-hospital assignments

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We now prove that this algorithm yields a stable matching under our definition of stability.

*Proof.* We wish to prove that our algorithm will always yield a stable matching. We consider the criteria for stability:

An assignment of students to hospitals is stable if neither of the following situations arises:

1. There are students  $s$  and  $s'$ , and a hospital  $h$ , so that
  - (a)  $s$  is assigned to  $h$ , and
  - (b)  $s'$  is assigned to no hospital, and
  - (c)  $h$  prefers  $s'$  to  $s$
2. There are students  $s$  and  $s'$ , and hospitals  $h$  and  $h'$ , so that
  - (a)  $s$  is assigned to  $h$ , and
  - (b)  $s'$  is assigned to  $h'$ , and
  - (c)  $h$  prefers  $s'$  to  $s$ , and
  - (d)  $s'$  prefers  $h$  to  $h'$

We first prove criteria (1). Suppose, for a contradiction, that there is indeed some  $s, s' \in S$  and  $h \in H$  such that situation (1) arises. Then either situation occurred:

- (i):  $s'$  has not applied to  $h$  or
- (ii):  $s'$  was assigned to  $h$  at one point and then was dropped at another point before the algorithm finished.

In case (i),  $s'$  has not applied to  $h$ , but according to the algorithm (line (2)),  $s'$  applies to every hospital that has not rejected or dropped it. Thus, this is a contradiction and case (i) cannot occur. In case (ii), at some point,  $s'$  was the least preferred student before being dropped. Let  $s'' \in S$  be the new least preferred student assigned to  $h$ . If  $s$  is assigned to  $h$ , then  $s''$  is dropped from  $h$ , and thus  $s$  is preferred over  $s''$ . But, if  $s$  is preferred over  $s''$ , then  $s$  is preferred over  $s'$ , which is a contradiction. Thus, case (ii) is impossible.

Next, we prove criteria (2). Suppose, for a contradiction, that there is indeed some  $s, s' \in S$  and  $h \in H$  such that situation (2) arises. Then one of two situations occurred:

- (i)  $s'$  didn't apply to  $h$ , or
- (ii)  $s'$  was (a) rejected or (b) dropped by  $h$ . In case (i), because  $s'$  is assigned to  $h'$ ,  $s'$  must have applied to  $h'$  before  $h$  in order to not have applied to  $h'$ . But because  $s$  applies to its highest ranked hospital that it hasn't yet applied to, this implies that it prefers  $h'$  to  $h$ , which is a contradiction. Thus, case (i) can't occur.

In case (iia), if  $s'$  was rejected by  $h$ , then  $s'$  was preferred less than the least preferred student of  $h$ . Either  $s$  was assigned to  $h$  at the time, or was not. If  $s$  was assigned to  $h$ , then  $s$  is a preferred student of  $h$ ; but if  $s'$  was rejected, then  $s$  is preferred over  $s'$ , which is a contradiction. If  $s$  was not assigned when  $s'$  was rejected, then let  $s'' \in S$  be the new least preferred student assigned to  $h$ . Because the least preferred only increases as more students are added, if  $s$  was rejected, then  $s''$  is preferred over  $s$ . And if  $s$  was assigned, then  $s''$  must be dropped. But this means that  $s'$  is preferred over  $s''$  and thus over  $s$ , which is a contradiction.

In case (iib), suppose  $s$  is dropped from  $h$  and  $s'' \in S$  is the new least preferred student assigned to  $h$ . If  $s$  is now assigned to  $h$ , then  $s''$  is dropped from  $h$ , and thus  $s$  is preferred over  $s''$ . But, if  $s$  is preferred over  $s''$ , then  $s$  is preferred over  $s'$ , which is a contradiction.

We conclude that neither of criteria (1) or (2) can occur and thus our algorithm yields stable assignments.  $\square$

### 3 Solution

**Terminology:** Consider a list of ships  $S$  and ports  $P$ . We say that a ship  $s \in S$  is docked at  $p \in P$  if its journey stops there for the rest of the month (that is, its journey is truncated). We say that  $s$  is visiting  $p$  if it is merely stopping at  $p$  along its journey and is not docking there for the rest of the month. We say that  $s$  is free if its journey is not yet truncated and a  $p$  is free if it has no ship docked at it.

Now, consider a schedule  $X$  that holds following criteria:

- (1) No two ships can be in the same port on the same day.

We wish to truncate these journeys such that a ship can be docked at a port and remain there for the rest of the month, and thus obey this next criteria:

- (2) Every ship is docked at a port at the end of the month.

We say that a schedule that obeys criteria (1) and (2) is a stable truncation. The algorithm below aims to solve this problem.

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**Algorithm 2:** Stable truncation of ships' journeys

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**Input** : List of ships  $S$  and ports  $P$   
**Output:** Stable truncation of  $S$ 's journeys

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1 Initially every ship  $s \in S$  and every port  $p \in P$  is free
2 while There is a ship  $s$  that is free and hasn't tried to dock at every port  $p \in P$  do
3   Choose such a ship  $s$ 
4   Let  $p$  be the first port in  $s$ 's journey that  $s$  hasn't tried to dock at
5   if  $p$  is free then
6      $s$  is docked at  $p$  and truncates its journey there
7   else
8     if  $s$  visits  $p$  at a later day than the ship currently docked at  $p$ ,  $s'$  then
9        $s'$  is no longer docked at  $p$  and is free
10       $s$  is docked at  $p$ 
11     else
12        $s$  is not docked at  $p$ 
13     end
14   end
15 end
16 return the set  $X$  of truncated journeys

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*Proof.* We now prove that this algorithm always yields a stable truncation of ships' journeys. Suppose, toward a contradiction, that there is some schedule such that criteria (1) holds, but after truncation via the algorithm above, no longer holds. In other words, there is some  $s \in S$  at  $p$  and  $s' \in S$  at  $p$ , such that  $s \neq s'$ .

We consider the cases when this could occur. The first case (1) is that one ship,  $s$  is visiting the port  $p$  and another ship,  $s'$  is visiting the port  $p$ . In this case, both  $s$ 's and  $s'$ 's journeys are not truncated, and thus up to that point, are one-to-one with  $s$ 's and  $s'$ 's journeys prior to truncation. But that implies that at some point, the schedule of  $s$  and  $s'$  had a conflict before truncation, which is a contradiction, as the schedule abides by criteria (1) prior to truncation.

The next case (2) we consider is when  $s$  is visiting  $p$  and  $s'$  is docked at  $p$ . In this case,  $s'$  must be docked at  $p$  prior to  $s$ 's arrival (as we have already proved case (1) to be impossible). But if  $s'$  is docked at  $p$ , then by line (8) of the algorithm,  $s'$  must have visited  $p$  last. This of course, is a contradiction, as  $s'$  had to have arrived at  $p$  before  $s$ .

We now wish to prove criteria (2). Suppose, toward a contradiction, that after truncation via the algorithm above, there exists some  $s \in S, p \in P$  such that  $s$  is free and  $p$  is free after the algorithm ends. By line (2) of the algorithm,  $s$  must have attempted to dock at every dock if it is free. Thus, the only way that  $s$  could be free is that it was dropped by  $p$  at some point for some  $s'$  that would visit later. But then  $p$  would have a docked ship  $s'$  and would thus not be free, which is a contradiction.

Thus, we conclude criteria (1) and (2) hold and that our algorithm yields stable truncations. □

## 4 Solution

Functions listed in order of ascending growth rate, with 1 being the lowest and 7 being the highest:

- 1.)  $g_1(n) = 2^{\sqrt{\log n}}$
- 2.)  $g_3(n) = n(\log n)^3$
- 3.)  $g_4(n) = n^{\frac{4}{3}}$
- 4.)  $g_5(n) = n^{\log n}$
- 5.)  $g_2(n) = 2^n$
- 6.)  $g_7(n) = 2^{n^2}$
- 7.)  $g_6(n) = 2^{2^n}$

Explanation: we see that  $g_2(n)$ ,  $g_7(n)$ , and  $g_6(n)$  are all exponential functions, which have one of largest growth rates, so we put them in spots 5, 6 and 7, respectively (as  $n$  is  $O(n^2)$  and  $n^2$  is  $O(2^n)$ , it follows that  $2^n$  is  $O(2^{n^2})$  and  $2^{n^2}$  is  $O(2^{2^n})$ ). Next, we analyze  $g_4(n)$  and  $g_5(n)$ . We can analyze the respective logarithmic growth of said functions,  $\log(g_4(n))$  and  $\log(g_5(n))$ , respectively, to determine their relative growth:

$$\log(g_4(n)) = \log(n^{4/3}) = \frac{4}{3} \log(n)$$

$$\log(g_5(n)) = \log(n) \log(n) = \log(n)^2.$$

We see that  $\log(g_4(n))$  is  $O(\log n)$  and  $\log(g_5(n)) = O((\log n)^2)$ . We thus determine that  $\log(g_4(n)) = O(\log(g_5(n)))$ . Thus,  $g_4(n) = O(g_5(n))$ . Applying the same argument, we determine that  $\log(g_2(n)) = \log(2^n) = n$ .  $\log(g_5(n)) = O((\log n)^2)$ , and we conclude  $\log(g_5(n)) = O(\log(g_2(n)))$ , and thus  $g_5(n) = O(g_2(n))$ . Looking at  $g_4(n)$ , we can evaluate  $g_4(n) = n^{\frac{4}{3}} = n(n^{\frac{1}{3}})$ . Looking at  $g_3(n)$ , we see that  $g_3(n) = n(\log n)^3$ . We note that we have some term  $n$  multiply some expression for both  $g_3(n)$  and  $g_4(n)$ .

Analyzing said expression, we can determine each function's relative growth. We see that  $\frac{g_3(n)}{n} = (\log(n))^3$  and  $\frac{g_4(n)}{n} = n^{1/3}$ . We note that logarithmic functions grow slower than polynomial functions; thus  $\frac{g_3(n)}{n} = O(\frac{g_4(n)}{n})$ , and so  $g_3(n) = O(g_4(n))$ . Finally, we consider  $g_1(n)$ . We note that  $g_1(n) = 2^{\sqrt{\log n}} = O(2^{\log n})$ . But assuming a base 2 logarithm,  $2^{\log n} = n$ , and so  $g_1(n) = O(n)$  and thus  $g_1(n) = O(n(\log n)^3) = O(g_3(n))$ .

## 5 Solution

We consider the following problem:

We wish to find the lowest rung on a ladder such that an egg dropped at said rung will break. We have two eggs. An egg that breaks at a certain rung is assumed to break at a higher rung. To find such a rung, how many drops are necessary in the worst case?

We consider dropping an egg on some arbitrary rung,  $n$ . If the first egg breaks on the  $n$ th rung, then in the worst case, we must traverse up the remaining rungs from 1 to  $n - 1$ , and thus we will have  $n$  drops in the worst case. In order to maintain this worst case number of drops, we traverse  $n - 1$  rungs up from the  $n$ th rung. If the egg breaks at the  $n + (n - 1)$ th rung, then in the worst case, we must check rungs  $n + 1$  to  $n + (n - 2)$ , or  $n - 2$  rungs in total. Adding the two additional drops from before yields  $1 + 1 + (n - 2) = n$  drops total.

We can continue this process, jumping one less increment every time (e.g. jumping  $(n-2)$  rungs, then  $(n-3)$  rungs, etc.) In each case, if the egg breaks at the rung which we jump to, then we still have in the worst case  $n$  drops to find the lowest rung (we jump one less rung at a time to accommodate for the fact that we iteratively drop an additional egg with each jump; thus we are left with  $n$  drops total in the worst case).

Because we need to potentially check every rung of the ladder, we aim to choose a rung such that the sum of each jump will be equal to the size of the ladder. More formally,

$$n + (n - 1) + (n - 2) + \dots + 1 = k, \quad (1)$$

where  $k$  is the number of rungs in the ladder. The leftside of the equation (proved in solution (6a)) equates to  $\frac{n(n+1)}{2}$ . Thus, we have

$$\frac{n(n+1)}{2} = k \quad (2)$$

Solving for  $n$ ,

$$n^2 + n = 2k \quad (3)$$

$$n^2 + n - 2k = 0 \quad (4)$$

$$n = \frac{-1 \pm \sqrt{1 + 8k}}{2} \quad (5)$$

We choose the positive root and take the ceiling, as  $n \in \mathbb{N}$ , and we must round up to reach every rung of the ladder. Thus, we have

$$n = \left\lceil \frac{-1 + \sqrt{1 + 8k}}{2} \right\rceil \quad (6)$$

(a) Now, for a 200 rung ladder, we simply plug in  $k = 200$  into equation (6). Thus, we have  $n = \left\lceil \frac{-1 + \sqrt{1 + 8(200)}}{2} \right\rceil = 20$ . Because we defined  $n$  to also be the number of drops in the worst case, we have 20 drops in the worst case.



(b) For a ladder with  $k$  rungs, by equation (6), we have  $n = \left\lceil \frac{-1+\sqrt{1+8k}}{2} \right\rceil$ , and thus we have  $\left\lceil \frac{-1+\sqrt{1+8k}}{2} \right\rceil$  drops in the worst case.

## 6 Solution

(a) *Proof.* We wish to prove by induction the following equation:

$$1 + 2 + 3 + \dots + n = \frac{n(n+1)}{2}, \quad (7)$$

for all  $n \in \mathbb{N}$ . To prove by induction, we take equation (7) to be our inductive hypothesis. Now, we see if the equation holds true for our base case, namely  $n = 1$ . Indeed,

$$1 = \frac{(1)(1+1)}{2} \quad (8)$$

$$= 1, \quad (9)$$

so our base case holds. Now, we assume our inductive hypothesis to be true for some arbitrary  $n \in \mathbb{N}$  and must prove that

$$1 + 2 + 3 + \dots + n + (n+1) = \frac{(n+1)(n+2)}{2}. \quad (10)$$

Now, utilizing the inductive hypothesis, the left-hand side of (10) can be rewritten as

$$\frac{n(n+1)}{2} + (n+1). \quad (11)$$

Further manipulation yields:

$$\frac{n(n+1)}{2} + (n+1) = \frac{n(n+1)}{2} + \frac{2(n+1)}{2} \quad (12)$$

$$= \frac{n^2 + 3n + 2}{2} \quad (13)$$

$$= \frac{(n+1)(n+2)}{2} \quad (14)$$

Thus, we conclude equation (7) to be true for all  $n \in \mathbb{N}$ .  $\square$

(b)

$$1^3 + 2^3 + 3^3 + \dots + n^3 = \left( \frac{n(n+1)}{2} \right)^2 \quad (15)$$

*Proof.* We wish to prove by induction the following equation:

$$1^3 + 2^3 + 3^3 + \dots + n^3 = \left( \frac{n(n+1)}{2} \right)^2, \quad (16)$$

for all  $n \in \mathbb{N}$ . To prove by induction, we take equation (16) to be our inductive hypothesis. Now, we see if the equation holds true for our base case, namely  $n = 1$ . Indeed,

$$1^3 = \left( \frac{1(1+1)}{2} \right)^2 \quad (17)$$

$$= 1. \quad (18)$$

Thus, our base case holds. Now, we assume our inductive hypothesis to be true for some arbitrary  $n \in \mathbb{N}$  and must prove that

$$1^3 + 2^3 + 3^3 + \dots + n^3 + (n+1)^3 = \left( \frac{(n+1)(n+2)}{2} \right)^2 \quad (19)$$

Now, utilizing the inductive hypothesis, the left-hand side of (19) can be rewritten as

$$\left( \frac{n(n+1)}{2} \right)^2 + (n+1)^3. \quad (20)$$

Further manipulation yields:

$$\left( \frac{n(n+1)}{2} \right)^2 + (n+1)^3 = \frac{n^2(n+1)^2}{4} + \frac{4(n+1)(n+1)^2}{4} \quad (21)$$

$$= \frac{(n^2 + 4(n+1))(n+1)^2}{4} \quad (22)$$

$$= \frac{(n^2 + 4n + 4)(n+1)^2}{4} \quad (23)$$

$$= \frac{(n+2)^2(n+1)^2}{2^2} \quad (24)$$

$$= \left( \frac{(n+1)(n+2)}{2} \right)^2 \quad (25)$$

Thus, we conclude equation (16) to be true for all  $n \in \mathbb{N}$ .

□