

Math 114 Homework 1  
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(due Thursday, 29 January)

1. (Exercise 7 in DF §13.2.) Prove that  $\mathbf{Q}(\sqrt{2} + \sqrt{3}) = \mathbf{Q}(\sqrt{2}, \sqrt{3})$ . (One inclusion is obvious; for the other consider powers of  $\sqrt{2} + \sqrt{3}$ .) Find an irreducible polynomial  $p(X) \in \mathbf{Q}[X]$  such that  $p(\sqrt{2} + \sqrt{3}) = 0$ .

*Proof.* All we need to show is that  $\sqrt{2} + \sqrt{3} \in \mathbf{Q}(\sqrt{2}, \sqrt{3})$  and  $\sqrt{2}, \sqrt{3} \in \mathbf{Q}(\sqrt{2} + \sqrt{3})$ , since  $\mathbf{Q}(A)$  is defined to be the intersection of all fields containing  $\mathbf{Q}$  and  $A$ .

Clearly,  $\mathbf{Q}(\sqrt{2} + \sqrt{3}) \subseteq \mathbf{Q}(\sqrt{2}, \sqrt{3})$  because we can simply add  $\sqrt{2}$  and  $\sqrt{3}$  to obtain the primitive the primitive element of  $\mathbf{Q}(\sqrt{2} + \sqrt{3})$ .

To see that  $\mathbf{Q}(\sqrt{2}, \sqrt{3}) \subseteq \mathbf{Q}(\sqrt{2} + \sqrt{3})$ , note that

$$\frac{1}{2}(\sqrt{2} + \sqrt{3})^3 - \frac{9}{2}(\sqrt{2} + \sqrt{3}) = \frac{1}{2}(11\sqrt{2} + 9\sqrt{3}) - \frac{9}{2}(\sqrt{2} + \sqrt{3}) = \sqrt{2},$$

so  $\sqrt{2} \in \mathbf{Q}(\sqrt{2} + \sqrt{3})$ . Therefore,  $\sqrt{3} = (\sqrt{2} + \sqrt{3}) - \sqrt{2} \in \mathbf{Q}(\sqrt{2} + \sqrt{3})$  as well.

An irreducible polynomial  $p(X) \in \mathbf{Q}[X]$  such that  $p(\sqrt{2} + \sqrt{3}) = 0$  is

$$p(X) = (X + (\sqrt{2} + \sqrt{3}))(X - (\sqrt{2} + \sqrt{3})) = X^2 - 5 - 2\sqrt{6} \notin \mathbf{Q}[X]$$

The only factors of  $p(X)$  we need to check for containment in  $\mathbf{Q}[X]$  are

$$(X + (\sqrt{2} + \sqrt{3}))(X - (\sqrt{2} + \sqrt{3})) = X^2 - 5 - 2\sqrt{6} \notin \mathbf{Q}[X]$$

and

$$(X + (\sqrt{2} + \sqrt{3}))^2 = X^2 + 2(\sqrt{2} + \sqrt{3})X + 2\sqrt{6} + 5 \notin \mathbf{Q}[X]$$

thus  $p(X)$  is indeed irreducible in  $\mathbf{Q}[X]$  (the other non-unit factor is the conjugate of the  $(X + (\sqrt{2} + \sqrt{3}))^2$ , so it also contains non-rational coefficients).

□

2. (Exercise 12 in DF §13.2.) Suppose the degree of the extension  $K/F$  is a prime  $p$ . Show that any subfield  $E$  of  $K$  containing  $F$  is either  $K$  or  $F$ .

*Proof.* We will first show that if  $A \subseteq B \subseteq C$  is a chain of subfields, then  $[C : A] = [C : B][B : A]$ .

Let  $n = [C : B]$  and  $m = [B : A]$ . Let  $v_1, \dots, v_n$  be a basis for  $C$  over  $B$  and let  $u_1, \dots, u_m$  be a basis for  $B$  over  $A$ . We will show that  $S = \{v_i u_j : 1 \leq i \leq n, 1 \leq j \leq m\}$  forms a basis for  $C$  over  $A$ .

First, we need to show that  $S$  spans  $C$  over  $A$ . Let  $v \in C$ . Since  $v_1, \dots, v_n$  is a basis for  $C$  over  $B$ , there exist constants  $b_1, \dots, b_n \in B$  such that  $v = b_1 v_1 + \dots + b_n v_n$ .

Since  $u_1, \dots, u_m$  is a basis for  $B$  over  $A$ , there exist constants  $a_{i,j}$  such that  $b_i = a_{i,1} u_1 + \dots + a_{i,m} u_m$ .

Therefore,

$$v = \sum_{i=1}^n b_i v_i = \sum_{i=1}^n \left( \sum_{j=1}^m a_{i,j} u_j \right) v_i = \sum_{i=1}^n \sum_{j=1}^m a_{i,j} u_j v_i.$$

The righthand side is a linear combination of elements of  $S$  with coefficients in  $A$ , thus  $S$  spans  $C$  over  $A$ .

Next, to see that  $S$  is linearly independent, suppose that

$$\sum_{i=1}^n \sum_{j=1}^m a_{i,j} u_j v_i = \sum_{i=1}^n \left( \sum_{j=1}^m a_{i,j} u_j \right) v_i = 0.$$

Since  $v_1, \dots, v_n$  are linearly independent over  $B$  and  $\sum_{j=1}^m a_{i,j}u_j \in B$  for each  $i$ , we must have  $\sum_{j=1}^m a_{i,j}u_j = 0$  for each  $i$ . Since  $u_1, \dots, u_m$  are linearly independent over  $A$  and  $a_{i,j} \in A$  for each  $i, j$ , we must have  $a_{i,j} = 0$  for each  $i, j$ . Therefore,  $S$  is linearly independent over  $A$  and has  $n \cdot m$  elements, so  $[A : C] = n \cdot m$ . Now, suppose that  $[A : B] = 1$ . Then 1 forms a basis for  $A$  over  $B$ , so  $A = \{b \cdot 1 : b \in B\} = B$ . It follows that if  $[A : B] = [A : C]$  then  $[B : C] = 1$ , thus  $B = C$ .

Since  $[K : F] = p$ , if  $F \subseteq E \subseteq K$  then either  $[K : E] = p$  or  $[K : E] = 1$ , since  $[K : E] \mid p$ . Therefore, by the previous paragraph, either  $E = K$  or  $E = F$ . □

3. (Exercise 19 in DF §13.2.) Let  $K$  be an extension of  $F$  of degree  $n \in \mathbf{N}$ .

(a) For any  $\alpha \in K$ , prove that the map  $K \rightarrow K$  given by  $x \mapsto \alpha x$  is an  $F$ -linear transformation of  $K$  (i.e. a linear transformation of  $K$  as an  $F$ -vector space).

*Proof.* Let  $x, y \in K$  and  $c \in F$ . Let  $T$  denote the map given above. Then

$$T(cx + y) = \alpha(cx + y) = \alpha cx + \alpha y = c\alpha x + \alpha y = cT(x) + T(y)$$

where the third equality is given by the fact that  $c, \alpha \in K$  so  $\alpha c = c\alpha$ . □

(b) Prove that  $K$  is isomorphic to a subfield of the ring  $M_n(F)$  of  $n \times n$  matrices over  $F$ . (For a review of the relationship between matrix rings and rings of linear transformations of a vector space, see §11.2.) Thus  $M_n(F)$  contains a copy of every extension of  $F$  with degree  $\leq n$ .

*Proof.* Define  $\varphi : K \rightarrow M_n(F)$  by  $\alpha \mapsto \text{Mat}(T_\alpha)$ , where  $T_\alpha(x) = \alpha x$  and  $\text{Mat}$  denotes the matrix representation of a linear map  $K \rightarrow K$  with respect to some basis for  $K$  over  $F$ . Since  $T_\alpha$  is an  $F$ -linear transformation of  $K$ , the  $\text{Mat}$  function is well-defined.

We will show that  $\varphi$  is a ring homomorphism, thus a field homomorphism: for any  $\alpha, \beta, x \in K$ ,

$$\begin{aligned} \varphi(\alpha + \beta)(x) &= \text{Mat}(T_{\alpha+\beta})(x) = (\alpha + \beta)(x) = \alpha x + \beta x \\ &= \text{Mat}(T_\alpha)(x) + \text{Mat}(T_\beta)(x) = (\varphi(\alpha) + \varphi(\beta))(x) \end{aligned}$$

and

$$\begin{aligned} \varphi(\alpha\beta)(x) &= \text{Mat}(T_{\alpha\beta})(x) = \alpha\beta x \\ &= \text{Mat}(T_\alpha)\text{Mat}(T_\beta)(x) = (\varphi(\alpha) \circ \varphi(\beta))(x). \end{aligned}$$

Clearly,  $\varphi \neq 0$ , since  $\varphi(1) = T_1$  is the identity map, which is nonzero. The image of a nonzero field homomorphism is a field, thus  $\varphi$  is an isomorphism onto a subfield of  $M_n(F)$ . □

4. (Exercise 4 in DF §14.1.) Prove that  $\mathbf{Q}(\sqrt{2})$  and  $\mathbf{Q}(\sqrt{3})$  are not isomorphic.

*Proof.* Suppose that  $\varphi : \mathbf{Q}(\sqrt{2}) \rightarrow \mathbf{Q}(\sqrt{3})$  is an isomorphism. Then there is some unique  $\alpha \in \mathbf{Q}(\sqrt{2})$  whose image is  $\sqrt{3}$ , so

$$\varphi(\alpha^2) = \varphi(\alpha)^2 = (\sqrt{3})^2 = 3.$$

However, we also have

$$\varphi(3) = \varphi(1 + 1 + 1) = 3\varphi(1) = 3.$$

Since  $\varphi$  is a bijection, this means that  $\alpha^2 = 3$ . We know  $\alpha = a + b\sqrt{2}$  for some  $a, b \in \mathbf{Q}$ , so  $(a + b\sqrt{2})^2 = a^2 + 2b^2 + 2ab\sqrt{2} = 3$ . Since the set  $\{1, \sqrt{2}\}$  is linearly independent over  $\mathbf{Q}$ , this gives the system

$$\begin{cases} a^2 + 2b^2 = 3 \\ 2ab\sqrt{2} = 0 \end{cases}.$$

From the second equation, we know either  $a = 0$  or  $b = 0$ . If  $a = 0$ , then the first equation gives  $b^2 = \frac{3}{2}$ , which has no rational solution for  $b$ . If  $b = 0$ , we obtain  $a^2 = 3$ , which also has no rational solutions for  $a$ . Therefore  $\alpha \notin \mathbf{Q}(\sqrt{2})$ , a contradiction.  $\square$

5. (Exercise 7 in DF §14.1.) This exercise determines  $\text{Aut}(\mathbf{R}/\mathbf{Q})$ .

(a) Prove that any  $\sigma \in \text{Aut}(\mathbf{R}/\mathbf{Q})$  takes squares to squares and takes positive reals to positive reals. Conclude that  $a < b$  implies  $\sigma(a) < \sigma(b)$  for every  $a, b \in \mathbf{R}$ .

*Proof.* For any  $\alpha \in \mathbf{R}$ ,  $\sigma(\alpha^2) = \sigma(\alpha)^2$  is a square. Thus  $\sigma$  takes squares to squares.

In  $\mathbf{R}$ , any positive real number  $x$  is the square of  $\sqrt{x}$ , which is also a real number. So  $\sigma(x)$  is a square as well. Therefore,  $\sigma(x)$  is nonnegative, since  $\mathbf{R}$  contains no negative perfect squares. Since  $\sigma$  is bijective, the only element that maps to 0 is 0, thus  $\sigma(x) \neq 0$  since  $x$  is strictly positive. So  $\sigma(x)$  is positive, thus  $\sigma$  takes positive reals to positive reals.  $\square$

(b) Prove that  $-\frac{1}{m} < a - b < \frac{1}{m}$  implies  $-\frac{1}{m} < \sigma(a) - \sigma(b) < \frac{1}{m}$  for every positive integer  $m$ . Conclude that  $\sigma$  is a continuous map on  $\mathbf{R}$ . (Recall that a map  $f : \mathbf{R} \rightarrow \mathbf{R}$  is *continuous* if for every  $a \in \mathbf{R}$  and every  $\epsilon > 0$  there exists some  $\delta > 0$  such that  $|f(b) - f(a)| < \epsilon$  whenever  $|b - a| < \delta$ .)

*Proof.* Suppose  $-\frac{1}{m} < a - b < \frac{1}{m}$ . Then  $a - b + \frac{1}{m} > 0$  and  $\frac{1}{m} + b - a > 0$ . By part (a), this means that  $\sigma(a) - \sigma(b) + \sigma(\frac{1}{m}) > 0$  and  $\sigma(\frac{1}{m}) + \sigma(b) - \sigma(a) > 0$ . Since  $\frac{1}{m}$  is rational and  $\sigma$  fixes rationals,  $\sigma(\frac{1}{m}) = \frac{1}{m}$ . So rearranging the inequalities gives  $-\frac{1}{m} < \sigma(a) - \sigma(b) < \frac{1}{m}$ .

Now, let  $\epsilon > 0$ . By the Archimedean Principle, there exists some positive integer  $m$  such that  $\frac{1}{m} < \epsilon$ . Let  $\delta = \frac{1}{m}$ . Whenever  $|a - b| < \delta$ , it follows that  $|\sigma(a) - \sigma(b)| < \frac{1}{m} < \epsilon$  by the above paragraph. Therefore,  $\sigma$  is continuous.  $\square$

(c) Prove that any continuous map  $\mathbf{R} \rightarrow \mathbf{R}$  which is the identity on  $\mathbf{Q}$  is the identity map; hence  $\text{Aut}(\mathbf{R}/\mathbf{Q}) = \{1\}$ . (You may use without proof the fact that  $\mathbf{Q}$  is dense in  $\mathbf{R}$ ; that is, for every  $a \in \mathbf{R}$  and every  $\epsilon > 0$  there exists some  $q \in \mathbf{Q}$  such that  $|a - q| < \epsilon$ .)

*Proof.* Let  $x \in \mathbf{R}$ . By definition,  $x$  is the limit of some Cauchy sequence  $\{q_n\}$  in  $\mathbf{Q}$ . Suppose  $f : \mathbf{R} \rightarrow \mathbf{R}$  is a continuous function that fixes  $\mathbf{Q}$ . Since  $f$  is continuous and  $q_n$  is convergent,  $f(\lim q_n) = \lim f(q_n)$ . Since  $f$  fixes  $\mathbf{Q}$ , we know  $f(q_n) = q_n$ . So

$$f(x) = f(\lim q_n) = \lim f(q_n) = \lim q_n = x$$

therefore  $f$  fixes  $\mathbf{R}$  as well, since  $x$  was arbitrary. So  $f$  must be the identity.

We have shown that if  $\sigma \in \text{Aut}(\mathbf{R}/\mathbf{Q})$  is a continuous map that fixes  $\mathbf{Q}$ , then  $\sigma$  is the identity. So  $\text{Aut}(\mathbf{R}/\mathbf{Q}) = \{1\}$ .  $\square$

6. (Exercise 9 in DF §14.1.) Let  $k$  be a field, and let  $k(t)$  denote the field of rational functions in  $t$  with coefficients in  $k$ . (In other words,  $k(t)$  is the field of fractions of the polynomial ring  $k[t]$ . It is an extension of  $k$  of infinite degree.) Observe (but you need not prove) that the map  $\phi : k(t) \rightarrow k(t)$  given by  $\phi(r(t)) = r(t+1)$  is an automorphism of  $k(t)$ . Determine (with proof) the fixed field of  $\phi$ .

*The fixed field of  $\phi$  is the field of all  $r \in k(t)$  that are periodic with a period of 1.*

*Proof.* If  $r$  is periodic with a period of 1, then  $\phi(r)(t) = r(t+1) = r(t)$  for all  $t$ , thus  $\phi(r) = r$ .

Conversely, if  $r$  is not periodic with a period of 1, then for some  $t$ ,  $r(t) \neq r(t+1) = \phi(r)(t)$ , thus  $\phi(r) \neq r$ .  $\square$