

## Sample Problems for Final Exam

Questions from Math 125a Final Exam, Spring 2006

**In the following, you must prove that your answers are correct.**

- Let  $\mathbb{R}$  be the structure of the real numbers with  $0$ ,  $1$ ,  $+$ ,  $\times$ , and  $<$ . Show that there are two countable structures  $\mathcal{M}_1$  and  $\mathcal{M}_2$  with the following properties.

- $\mathcal{M}_1 \equiv \mathbb{R}$  and  $\mathcal{M}_2 \equiv \mathbb{R}$
- $\mathcal{M}_1$  and  $\mathcal{M}_2$  are not isomorphic

**Solution:** By the Lowenheim-Skolem Theorem, let  $\mathcal{M}_1$  be a countable elementary substructure of  $\mathbb{R}$ .

We obtain  $\mathcal{M}_2$  by application of the Compactness Theorem. Note that  $<$  is definable in  $\mathbb{R}$  by  $x < y$  if and only if there is a  $z$  such that  $x + z^2 = y$ , so we may use  $<$  as part of the language of  $\mathbb{R}$ . Let  $\Gamma$  be the following set of sentences in the language of  $\mathbb{R}$  together with an additional constant symbol  $c$ .

$$\Gamma = \{\varphi : \mathbb{R} \models \varphi\} \cup \{\underbrace{1 + \cdots + 1}_{n \text{ times}} < c : n \in \mathbb{N}\}$$

$\Gamma$  is finitely satisfiable, since any finite subset of  $\Gamma$  can be satisfied in  $\mathbb{R}$  by interpreting  $c$  to be a real number that is sufficiently large. By the Compactness Theorem,  $\Gamma$  is satisfiable. Let  $\mathcal{M}_2^*$  be a countable structure which satisfies  $\Gamma$ , and let  $\mathcal{M}_2$  be the restriction of  $\mathcal{M}_2^*$  to the language of  $\mathbb{R}$ .  $\mathcal{M}_2$  is elementarily equivalent to  $\mathbb{R}$  by the definition of  $\Gamma$ .

In  $\mathcal{M}_1$ , every element is less than some finite sum of 1. In  $\mathcal{M}_2$ , that is not the case. Thus, the two structures are not isomorphic.

- Let  $\mathcal{L}_A$  be the language with one unary predicate symbol  $P$ . Let  $\mathcal{M}$  be the finite structure  $(M, I)$  such that  $M = \{a, b, c, d, e\}$  and  $I(P) = \{a, b\}$ . In other words,  $\mathcal{M}$  interprets  $P$  as holding of  $a$  and  $b$  and as not holding of  $c$ ,  $d$ , or  $e$ .

- (a) Which subsets of  $M$  which are definable in  $\mathcal{M}$  without parameters?
- (b) Which subsets of  $M$  are definable in  $\mathcal{M}$  with parameters?

**Solution:** Consider the permutations of  $M$ : transpose  $a$  and  $b$  and leave  $c$ ,  $d$  and  $e$  fixed, and transpose two of the elements of  $c$ ,  $d$  and  $e$  and leave the other elements of  $M$  fixed. Each of these permutations preserves  $P$  and so is an automorphism of  $\mathcal{M}$ . Since definable sets are closed under automorphisms, if  $A$  is definable, then if  $A$  contains either  $a$  or  $b$  then it contains the other and if  $A$  contains any of  $c$ ,  $d$  or  $e$  then it contains the other two. Thus the only subsets of  $M$  which are definable in  $\mathcal{M}$  are  $\emptyset$ ,  $\{a, b\}$ ,  $\{c, d, e\}$  and  $\{a, b, c, d, e\}$ .

Every subset of  $M$  is definable from parameters. If the elements of  $A$  are  $m_1, \dots, m_k$  then

$$x = m_1 \vee \cdots \vee x = m_k$$

is a definition of  $A$  with parameters  $m_1, \dots, m_k$ .

3. Let  $A$  be finite, let  $k$  be a natural number, and  $V_k$  be the set of sentences  $\varphi$  such that for all  $\mathcal{L}_A$ -structures  $\mathcal{M} = (M, I)$ , if  $M$  has exactly  $k$  elements then  $\mathcal{M} \models \varphi$ . Give an algorithm to determine when given a sentence  $\psi$  in  $\mathcal{L}_A$  whether  $\psi \in V_k$ .

**Solution:** First, we can effectively list a finite sequence of  $\mathcal{L}_A$ -structures of size  $k$  such that every  $\mathcal{L}_A$ -structure is isomorphic to one of these. Since isomorphic structures are elementarily equivalent, it is sufficient to check whether the given sentence  $\psi$  is satisfied by every structure in the list.

Given a finite structure  $\mathcal{M}$ , a formula  $\varphi$  and a sequence  $\vec{m}$  of elements of  $M$ , we can check whether  $\mathcal{M} \models \varphi[\vec{m}]$  by recursion on the length of  $\varphi$ . We can evaluate terms in  $\mathcal{M}$  by application of its given operations. This lets us tell whether  $\mathcal{M} \models \varphi[\vec{m}]$  when  $\varphi$  is atomic. In the cases of negation or implication, the recursion step is immediate. Finally, when  $\varphi$  is  $\forall x\theta$ ,  $\mathcal{M} \models \varphi[\vec{m}]$  if and only if for all  $m^* \in M$ ,  $\mathcal{M} \models \varphi[m^*, \vec{m}]$ , in which it is understood that  $x$  is to be assigned the value  $m^*$ . Since  $M$  is finite, this too can be checked in finitely many steps.

4. Let  $A = \{c_i : i \in \mathbb{N}\}$ .

(a) Give an example of an  $\mathcal{L}_A$  structure  $\mathcal{M}$  such that

$$T_{\mathcal{M}} = \{\varphi : \varphi \text{ is a sentence and } \mathcal{M} \models \varphi\}$$

does not have the Henkin property.

- (b) Is there an example  $\mathcal{M} = (M, I)$  such that  $T_{\mathcal{M}}$  does not have the Henkin property and  $\{I(c_i) : i \in \mathbb{N}\}$  is infinite?

**Solution:** (1) Let  $M = \{m_1, m_2\}$  and let  $I(c_i) = m_1$  for all  $i$ . Then,  $\mathcal{M} \models \exists x(x \neq c_1)$  but there is no constant  $c$  such that  $\mathcal{M} \models c \neq c_1$ . Thus,  $T_{\mathcal{M}}$  does not have the Henkin Property.

(2) No, there is no example  $\mathcal{M} = (M, I)$  such that  $T_{\mathcal{M}}$  does not have the Henkin property and  $\{I(c_i) : i \in \mathbb{N}\}$  is infinite. Suppose that  $\mathcal{M}$  is an  $\mathcal{L}_A$  structure and  $\{I(c_i) : i \in \mathbb{N}\}$  is infinite. Suppose that  $\mathcal{M} \models \exists x\varphi$ . It could happen that there is a constant  $c_i$  such that  $c_i$  appears in  $\varphi$  and  $\mathcal{M} \models \varphi[x; c_i]$ , which would be an instance of the Henkin Property. Otherwise, there is an  $m \in M$  such that  $m$  is not the interpretation of any constant mentioned in  $\varphi$  and  $\mathcal{M} \models \varphi[m]$ . But then any permutation of the elements of  $M$  which fixes the interpretations of the constants that appear in  $\varphi$  is an automorphism of the structure with universe  $M$  and language the constants of  $\varphi$ . Thus, for every  $m^*$  in  $M$ , if  $m$  is not the interpretation of any constant of  $\varphi$  then  $\mathcal{M} \models \varphi[m^*]$ . Since  $\{I(c_i) : i \in \mathbb{N}\}$  is infinite, there is such an  $m^*$  and a constant  $c_j$  such that  $I(c_j) = m^*$ . By the Substitution Lemma,  $\mathcal{M} \models \varphi[x; c_j]$ , as required to verify the Henkin Property.

5. Suppose that  $\mathcal{M} \subseteq \mathcal{N}$  are infinite  $\mathcal{L}_{\emptyset}$  structures. Show that  $\mathcal{M} \preceq \mathcal{N}$ .

**Solution:** By Tarski's Criterion, it is sufficient to show that if  $A$  is nonempty and definable in  $\mathcal{N}$  with parameters from  $\mathcal{M}$ , then  $A$  has an element in  $\mathcal{M}$ . Suppose that  $A = \{n : \mathcal{N} \models \varphi[n, \vec{m}]\}$ , where  $\vec{m}$  is a sequence of elements from  $\mathcal{M}$ . If one of the elements of  $\vec{m}$  belongs to  $A$  then  $A \cap \mathcal{M}$  is not empty. Otherwise, by the analogous

automorphism observation as in the previous problem, for every element  $n$  of  $\mathcal{N}$  which is not in  $\vec{m}$ ,  $\mathcal{N} \models \varphi[n, \vec{m}]$ . Since  $\mathcal{M}$  is infinite, it contains infinitely many such elements and  $A \cap \mathcal{M}$  is not empty, as required.

6. Give the proof to show that if, for every set of formulas  $\Gamma$

$\Gamma$  is consistent iff  $\Gamma$  is satisfiable

then, for every set of formulas  $\Gamma$  and every formula  $\varphi$

$\Gamma \vdash \varphi$  iff every  $(\mathcal{M}, \nu)$  which satisfies  $\Gamma$  also satisfies  $\varphi$ .

**Solution:** Assume that for every set of formulas, that set is consistent iff it is satisfiable. Suppose that  $\Gamma$  is a fixed set of formulas.

First, suppose that  $\Gamma \vdash \varphi$ . Then,  $\Gamma \cup \{(\neg\varphi)\}$  is not consistent. Thus,  $\Gamma \cup \{(\neg\varphi)\}$  is not satisfiable. Hence, every  $(\mathcal{M}, \nu)$  which satisfies  $\Gamma$  does not satisfy  $(\neg\varphi)$ , and thereby satisfies  $\varphi$ .

Second, suppose that every  $(\mathcal{M}, \nu)$  which satisfies  $\Gamma$  also satisfies  $\varphi$ . Then,  $\Gamma \cup \{(\neg\varphi)\}$  is not satisfiable. Hence, by assumption,  $\Gamma \cup \{(\neg\varphi)\}$  is not consistent. Let  $\theta$  be one of the logical axioms. Since an inconsistent set proves every formula,  $\Gamma \cup \{(\neg\varphi)\} \vdash (\neg\theta)$ . By the Deduction Theorem,  $\Gamma \vdash (\neg\varphi) \rightarrow (\neg\theta)$ . By the completeness theorem for propositional logic,  $\Gamma$  proves the contrapositive:  $\Gamma \vdash \theta \rightarrow \varphi$ . By noting that  $\theta$  is an axiom and applying modus ponens,  $\Gamma \vdash \varphi$ .

**Extra credit.** Suppose that  $T$  is a set of sentences and that there is an  $\mathcal{N} = (N, J)$  such that  $\mathcal{N} \models T$  and  $N$  is infinite. Show that there is an  $\mathcal{M} = (M, I)$  and an element  $a$  of  $M$  such that  $\mathcal{M} \models T$  and  $a$  is not definable in  $\mathcal{M}$  without parameters.

**Solution:** As in the proof of the Completeness Theorem, we may assume that there are infinitely many constant symbols which are not in the language of  $\mathcal{N}$ . By the Lowenheim-Skolem Theorem, we may assume that  $\mathcal{N}$  is countable. Obtain  $\mathcal{N}^* = (N, J^*)$  by augmenting  $\mathcal{N}$  so that every element of  $N$  is the interpretation of some constant symbol and so that the constant symbol  $c$  is not used in the language of  $\mathcal{N}^*$ . Let  $\Gamma$  be as follows:

$$\Gamma = \{\varphi : \mathcal{N}^* \models \varphi\} \cup \{c \neq c_i : c_i \text{ a constant symbol interpreted in } \mathcal{N}^*,\}$$

nn where  $\varphi$  is a sentence in the language of  $\mathcal{N}$ .

That  $\Gamma$  is finitely satisfiable follows from  $\mathcal{N}$ 's being infinite. Let  $\mathcal{M}^*$  satisfy  $\Gamma$ . By definition of  $\Gamma$ ,  $\mathcal{M}^*$  and  $\mathcal{N}^*$  are elementarily equivalent.

Let  $m$  be the interpretation of  $c$  in  $\mathcal{M}^*$ , and let  $\mathcal{M}$  be the reduction of  $\mathcal{M}^*$  to the language of  $\mathcal{N}$  (same universe as  $\mathcal{M}^*$  but interpreting only the symbols in the language of  $\mathcal{N}$ ). Similarly,  $\mathcal{M}$  and  $\mathcal{N}$  are elementarily equivalent.

Suppose that  $\mathcal{M} \models \varphi[m]$ . Then,  $\mathcal{M} \models \exists x \varphi$  and so  $\mathcal{N} \models \exists x \varphi$ . Let  $n$  be an element of  $N$  such that  $\mathcal{N} \models \varphi[n]$ . Let  $c_j$  be such that  $n$  is the interpretation of  $c_j$  in  $\mathcal{N}^*$ . Then  $\mathcal{N}^* \models \varphi[x; c_j]$ , and so  $\mathcal{M}^* \models \varphi[x; c_j]$ . Since  $\mathcal{M}^* \models c \neq c_i$ ,  $\mathcal{M} \models \exists x_{j_1} \exists x_{j_2} (\varphi[x; x_{j_1}] \wedge \varphi[x; x_{j_2}] \wedge x_{j_1} \neq x_{j_2})$ , for any  $x_{j_1}$  and  $x_{j_2}$  which are substitutable for  $x$  in  $\varphi$ . Thus,  $\varphi$  does not define  $\{m\}$  in  $\mathcal{M}$ .