# MATH406: Introduction to Number Theory

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Notes are based off of An Introduction to Number Theory with Cryptography (Second edition), by Washington and Kraft

## 1 Basics

Well-Ordering Principle: All non-empty subsets of N has a smallest member

• Note: This is equivalent to the Principle of Induction

## 2 Divisibility

## 2.1 Divisibility

**Definition - Divides:** Given  $a, d \in \mathbb{Z}$ , for  $d \neq 0$ , d divides a if  $\exists c \in \mathbb{Z}$  such that a = cd

**Proposition 2.2**: Let  $a, b, c \in Z$ . If  $a \mid b$  and  $b \mid c \implies a \mid c$ 

Proof: b = ea and  $c = fb \implies c = (fe)a$ 

**Proposition 2.3**: Let  $a, b, d, x, y \in Z$ . If  $d \mid a$  and  $d \mid b \implies d \mid ax + by$ 

Proof: a = md and  $b = nd \implies ax + by = d(mx + ny)$ 

**Upshot**: Every common divisor of both a, b divides any linear combination of a, b

Corollary 2.4: Let  $a, b, d \in \mathbb{Z}$ . If  $d \mid a$  and  $d \mid b$ , then  $d \mid a + b$  and  $d \mid a - b$ 

*Proof*: Apply Proposition 2.3 using x = 1, y = 1, and x = 1, y = -1, respectively

**Lemma 2.5**: Let  $d, n \in N$  and  $d \mid n$ . Then  $d \leq n$ 

*Proof*: Since  $d \mid n$ , we have  $k \in \mathbb{Z}$  such that dk = n

Since  $d \in N$ , we also must have  $k \in N$  (otherwise  $n \notin N$ )

Thus  $n = dk \ge d$ 

## 2.2 Euclid's Theorem

**Definition - Prime**: Integer  $p \ge 2$  whose divisors are 1, p

**Definition - Composite:** Integer  $n \geq 2$  not prime such that n = ab for  $a, b \in Z$  and 1 < a, b, < p

Lemma 2.6: Every integer greater than 1 is prime or divisible by a prime

*Proof 1*: If n is NOT prime, then it is divisible by some  $a_1 \in Z$  where  $1 < a_1 < n$ 

If  $a_1$  is prime, we are done

Otherwise  $a_1$  is divisible by some  $a_2 \in Z$  where  $1 < a_2 < a_1 \implies a_2 \mid n$ 

This creates a decreasing sequence of positive integers, which by the Well Ordering Principle, must have a smallest element  $a_m$ 

So either some  $a_i$  is prime and divides n or we stop at  $a_m$ , which is prime. Thus n is divisible by a prime

*Proof 2 by Induction*: Let  $n \in \mathbb{Z}$ ,  $n \geq 2$ , and suppose n is composite. Thus n = kl for  $k, l \in \mathbb{Z}$  where 1 < k, l, < n

Base case: we only care about the first composite n, i.e.  $n = 4 = 2 \cdot 2$  thus  $2 \mid 4$  and 2 is prime

IH: Suppose the Lemma holds for all  $i \in N, i < n$ 

IS: n = kl where k < n. Thus k is either a prime or is divisible by a prime

- If k is prime, we are done since  $k \mid n$
- Otherwise  $p \mid k$  for some prime p < k. Then we have  $p \mid k \implies k \mid n \implies p \mid n$

Euclid's Theorem: there are an infinite number of primes

*Proof*: Assume by contradiction that there are a finite number of primes  $2, 3, 5, \ldots, p_n$ 

Let 
$$N = (2 * 3 * 5 * \cdots * p_n) + 1$$

Since  $N > 2p_n + 1 > p_n$ , it is composite and thus is divisible by some  $p_i$  in the list of primes

Thus  $p_i \mid 2*3*5*\cdots*p_n$  and  $p_i \mid N$  (by Lemma 2.6)  $\implies p_i \mid N-(2*3*5*\cdots*p_n) \implies p_i \mid 1$  contradiction since  $p_i > 1$ 

Thus there are an infinite number of primes

## 2.3 The Sieve of Eratosthenes

**Proposition 2.7**: If n is composite then n has a prime factor  $p \leq \sqrt{n}$ 

*Proof*: 
$$n = ab$$
 where  $1 < a \le b < n \implies a^2 \le ab = n \implies a \le \sqrt{n}$ 

By Lemma 2.6, a has a prime divisor p, where  $p \mid a \implies p \le a \le \sqrt{n}$ 

• Note: Not all prime factors of n are  $\leq \sqrt{n}$ . For example, 6 = 2 \* 3 but  $3 > \sqrt{6}$ 

## 2.4 The Division Algorithm

**Division Algorithm**: Let  $a, b \in Z$  with b > 0. Then there exists unique  $q, r \in Z$  such that a = bq + r with  $0 \le r < b$ 

*Proof*: Let  $S = \{n \in Z \mid bn \leq a\}$ . Clearly S is non-empty since

- If  $a \ge 0$ , take n = -1
- If a < 0, take n = a

Since S is bounded above by a/b, it has a largest member, call it q

Thus q is the largest integers < a/b such that q < a/b < q + 1

Then we have  $bq \le a < bq + b \implies 0 \le a - bq < b$ 

Setting r = a - bq we see that  $0 \le r < b$  and we have a = bq + r so EXISTENCE is done

To show UNIQUENESS let  $a = bq + r = bq_1 + r_1$  for  $0 \le r, r_1 < b$ 

Then we have  $b(q-q_1)=r_1-r$ . Since LHS is a multiple of b, RHS is also a multiple of b

But  $0 \le r, r_1 < b \implies -b < r_1 - r < b \implies r_1 - r = 0$  since b = 0 is the only multiple of b that satisfies this inequality

Thus  $r_1 = r$  and since  $b \neq 0 \implies b(q - q_1) = 0 \implies q = q_1$ . So q, r are UNIQUE

#### 2.5 The Greatest Common Divisor

**Definition - Relatively Prime:** a, b are relatively prime if gcd(a, b) = 1

• By definition, we have gcd(a,0) = a

**Proposition 2.10**: Let  $a, b \in Z$  and  $d = \gcd(a, b)$ . Then  $\gcd(\frac{a}{d}, \frac{b}{d}) = 1$ 

*Proof*: Let  $c = \gcd(a/d, b/d)$ . Then  $c \mid (a/d)$  and  $c \mid (b/d)$ 

Thus  $a = cdk_1$  and  $b = cdk_2$  so cd is a common divisor of a, b

Since d is the greatest common divisor of a, b, we have  $d \le cd \le d \implies c = 1$ 

**Proposition 2.11:** If  $a, b \in Z$ , not both 0, and  $e \in Z^+$ . Then gcd(ea, eb) = e \* gcd(a, b)

*Proof*: Let  $d = \gcd(ea, eb)$ , we show that  $d = e * \gcd(a, b)$ 

 $gcd(a,b) = ax + by \implies e gcd(a,b) = eax + eby$ . If d is a common divisor of ea and eb, then  $d \mid e * gcd(a,b)$ 

Thus  $d \leq e \gcd(a, b)$ . But since  $e \gcd(a, b)$  is a common divisor of ea, eb, it is the gcd we desire

Various ways to find gcd(a, b):

1. List all prime factors of a, b and take the largest factor.

**Example**: 
$$84 = 2 * 2 * 3 * 7$$
 and  $264 = 2 * 2 * 2 * 3 * 11 \implies \gcd(84, 264) = 2 * 2 * 3 = 12$ 

2. Take Linear Combination of a, b and find a list of possible factors

**Example**: 
$$d = \gcd(1005, 500) \implies d \mid (1005 - 2 * 500) \implies d = 1 \text{ or } d = 5.$$
 Clearly  $d = 5$ 

**Example**: 
$$d = \gcd(2n+3, 3n-7) \implies d \mid 3(2n+3)-2(3n-6) = 21$$
 so  $d \in \{1, 3, 7, 21\}$ . Clearly with  $n = 9, \gcd(21, 21) = 21$ 

3. Use Euclidean Algorithm

## 2.6 The Euclidean Algorithm

**Euclidean Algorithm**: Let  $a, b \in Z$  with  $a \ge 0, b > 0$ . Then we have

$$a = q_1b + r_1 \qquad 0 < r_1 < b$$

$$b = q_2r_1 + r_2 \qquad 0 < r_2 < r_1$$

$$r_1 = q_3r_2 + r_3 \qquad 0 < r_3 < r_2$$

$$\cdots$$

$$r_{n-3} = q_{n-1}r_{n-2} + r_{n-1} \qquad 0 < r_{n-1} < r_{n-2}$$

$$r_{n-2} = q_nr_{n-1} + 0$$

Where  $r_{n-1} = \gcd(a, b)$ 

Proof:  $r_{n-1} \mid r_{n-2}, r_{n-1} \mid r_{n-3}, \dots, r_{n-1} \mid b, r_{n-1} \mid a$  so cleary  $r_{n-1}$  is a common factor of a, b

To show that  $r_{n-1}$  is the largest common factor, let d be an arbitrary common divisor of a, b

From the first line, we see that  $d \mid r_1$ . From the second line,  $d \mid r_2$ . This continues until  $d \mid r_{n-1}$ 

Thus  $d \leq r_{n-1}$  which means that  $r_{n-1}$  is the largest divisor and  $gcd(a,b) = r_{n-1}$ 

**NOTE**: each common divisor of a, b also divides gcd(a, b)

## 2.6.1 The Extended Euclidean Algorithm

**Extended Euclidean Algorithm**: gcd(a, b) can be expressed as a linear combination of a, b.

**Example**: gcd(456, 123)

$$456 = 3 * 123 + 87$$

$$123 = 1 * 87 + 36$$

$$87 = 2 * 36 + 15$$

$$36 = 2 * 15 + 6$$

$$15 = 2 * 6 + 3$$

$$6 = 2 * 3$$

Using the values above, we can create a table

	$\boldsymbol{x}$	y	
456	1	0	
123	0	1	
87	1	-3	$R_1 - 3R_2$
36	-1	4	$R_2 - R_3$
15	3	-11	$R_3 - 2R_4$
6	-7	26	$R_4 - 2R_5$
3	17	-63	$R_5-2R_6$

Thus 3 = 456 \* 17 - 123 \* 63

**Theorem 2.12 (Bezout's Theorem)**: For  $a, b \in Z$  with at least one non-zero,  $\exists x, y \in Z$  such that gcd(a, b) = ax + by

*Proof*: Let S be a set of integers that can be written in the form ax + by for  $x, y \in Z$ 

Since  $a, b, -a, -b \in S$ , clearly S contains at least one positive integer.

Using the Well-Ordering Principle, let d be the smallest positive integer in S. Thus  $d = ax_0 + by_0$  for  $x_0, y_0 \in Z$ We show that d is a common divisor of a, b

$$a = dq + r \implies r = a - dq = a - (ax_0 + by_0)q = a(1 - x_0q) + b(-y_0q)$$

Thus  $r \in S$ . But since d is the smallest positive element of S and  $0 \le r < d$ , we must have r = 0

Thus  $d \mid a$ . Similarly,  $d \mid b$ . Thus d is a common divisor of a, b

Next we show that for any common divisor of a, b, call it e, we have  $e \leq d$ 

 $e \mid a$  and  $e \mid b \implies e \mid ax_0 + by_0 = d$ . Thus  $e \leq d$  and d is the largest common factor of a, b

**Theorem 2.13**: Let  $n \geq 2$  and  $a_1, \ldots, a_n \in Z$  with at least one nonzero  $a_i$ . Then  $\exists x_1, \ldots, x_n \in Z$  such that

$$\gcd(a_1,\ldots,a_n)=a_1x_1+\cdots+a_nx_n$$

*Proof by Induction*: By Theorem 2.12, the statement holds for n=2

IH: assume the statement holds for n = k.  $gcd(a_1, \ldots, a_k) = a_1x_1 + \cdots + a_kx_k$ 

IS: Note that  $gcd(a_1, \ldots, a_{k+1}) = gcd(gcd(a_1, \ldots, a_k), a_{k+1})$ 

Apply Theorem 2.12 to  $a_1x_1 + \cdots + a_kx_k$  and  $a_{k+1}$  so  $\gcd(a_1, \dots, a_{k+1}) = (a_1x_1 + \cdots + a_kx_k)y + a_{k+1}x$ 

But then this satisfies the statement since if we set  $y_i = yx_i$  for  $1 \le i \le k$  and  $y_{k+1} = x$ 

Thus by Induction,  $gcd(a_1, ..., a_n) = a_1x_1 + \cdots + a_nx_n$ 

Corollary 2.14: If e is a common divisor of a, b then  $e \mid \gcd(a, b)$ 

*Proof*:  $e \mid a$  and  $e \mid b \implies e$  divides any linear combination of  $a, b \implies e \mid \gcd(a, b) = ax + by$ 

**Proposition 2.15**: Let  $a, b, c \in Z$  with gcd(a, c) = gcd(b, c) = 1. Then gcd(ab, c) = 1

Proof:  $gcd(a, c) = 1 \implies ax_1 + cy_1 = 1$ 

 $gcd(b,c) = 1 \implies bx_2 + cy_2 = 1$ 

Multiplying these 2 equations we get  $1 = (ab)(x_1x_2) + (c)(by_1x_2 + ax_1y_2 + cy_1y_2)$ 

Thus by Proposition 2.3, any common divisor of ab and c must divide  $1 \implies \gcd(ab,c) = 1$ 

**Proposition 2.16:** Let  $a, b, c \in Z$  with  $a \neq 0$  and gcd(a, b) = 1. Then  $a \mid bc \implies a \mid c$ 

*Proof*: By Theorem 2.12,  $1 = ax + by \implies c = acx + bcy$ 

Thus by Proposition 2.3,  $a \mid a$  and  $a \mid bc \implies a \mid acx + bcy = c$ 

**Proposition 2.17**: Let  $a, b, c \in Z$  with a, b nonzero and gcd(a, b) = 1. Then if  $a \mid c$  and  $b \mid c \implies ab \mid c$ 

*Proof*: By Theorem 2.12,  $1 = ax + by \implies c = acx + bcy$ 

 $b \mid c \implies ab \mid ac$ 

 $a \mid c \implies ba \mid bc$ 

Since c is a linear combination of ac and bc, by Proposition 2.3, we must have that  $ab \mid c$ 

### 2.7 Other Bases

We can convert a number from base 10 to any other base using the Division Algorithm

Example: Convert 21963<sub>10</sub> to base 8

$$21963 = 2745 * 8 + 3$$

$$2745 = 343 * 8 + 1$$

$$343 = 42 * 8 + 7$$

$$42 - 5 * 8 + 2$$

$$5 = 0 * 8 + 5$$

Thus  $21963_{10} = 52713_8$  This is because

$$5 * 8^4 + 2 * 8^3 + 7 * 8^2 + 1 * 8 + 3 = 52713_8$$

**Note**: decimal representations in other bases are NOT unique. For  $a_k \leq n-1$ 

$$\sum_{k=1}^{\infty} \frac{a_k}{n^k} \leq \sum_{k=1}^{\infty} \frac{n-1}{n^k},$$
 which is the geometric series and converges

Thus any sequence  $\{a_n\}_{n=1}^{\infty}$  for  $0 \le a_k \le n-1$  converges

In particular, for 
$$j > 1$$
,  $\sum_{k=j}^{\infty} \frac{n-1}{n^k} = \frac{1}{n^{j-1}}$ 

• Example: for n = 10, we have  $1 = 0.\overline{9}$ 

• Example:  $0.01_7 = 0.000\overline{6}_{7}$ 

## 2.8 Fermat and Mersenne Numbers

**Mersenne Numbers**:  $M_n = 2^n - 1$  for prime n. Thought to generate prime numbers, but doesn't always work (e.g. n = 11 results in a composite number)

**Proposition 2.18:** If n is composite, then  $2^n - 1$  is composite

*Proof*: Recall that  $x^k - 1 = (x - 1)(x^{k-1} + x^{k-2} + \dots + x + 1)$ 

Since n is composite, n = ab. Let  $x = 2^a$  and k = b

Then 
$$2^{ab} - 1 = (2^a - 1)(2^{a(b-1)} + \dots + 2^a + 1)$$

 $1 < a < n \implies 1 < 2^a - 1 < 2^n - 1$  so  $2^a - 1$  is a nontrivial factor and  $2^n - 1$  is composite

Corollary 2.18.1: For  $k, n \in N, k \mid n \implies M_k \mid M_n$ 

Proof: Can be seen from the factorization seen in the previous proposition

Corollary 2.18.2: If  $M_n$  is prime, then n is prime

Proof: Follows from the contraposition of Proposition 2.18

**Fermat Numbers**:  $F_n = 2^{2^n} + 1$ . Thought to generate prime numbers, but doesn't always work (e.g. n = 5 results in a composite number)

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**Proposition 2.19**: If m > 1 is not a power of 2 then  $2^m + 1$  is composite

*Proof*: Recall that k is odd then  $x^{k} + 1 = (x+1)(x^{k-1} - x^{k-2} + x^{k-3} - \dots - x + 1)$ 

Since m is not a power of 2 it has a nontrivial odd factor  $a \ge 3$ , so m = ab. Let k = a and  $x = 2^b$ 

Then  $2^{ab} + 1 = (2^b + 1)(2^{b(a-1)} - 2^{b(a-2)} + \dots - 2^b + 1)$ 

 $1 \le b < m \implies 1 < 2^b + 1 < 2^m + 1$  so  $2^b + 1$  is a nontrivial factor and  $2^n + 1$  is composite

**Proposition 2.20**: A regular n-gon is constructable if and only if  $n = 2^a F_{n_1} F_{n_2} \cdots F_{n_r}$  for distinct Fermat Primes and  $a \ge 0$ 

# 3 Linear Diophantine Equation

We look for solutions to ax + by = c for  $a, b, c \in Z$ 

• If  $gcd(a,b) \nmid c$  then there are NO integer solutions (x,y). This follows from gcd(a,b) divides any linear combination of a,b

**Theorem 3.1**: Let  $a, b, c \in Z$  where a, b are not both 0. Then ax + by = c has a solution if and only if  $gcd(a, b) \mid c$  Furthermore, if it has one solution  $(x_0, y_0)$ , then there are an infinite number of solutions of the form

$$x = x_0 + \frac{b}{\gcd(a,b)}t$$
  $y = y_0 - \frac{a}{\gcd(a,b)}t$   $t \in Z$ 

Proof: Let  $d = \gcd(a, b)$ 

 $\implies$  Contraposition: If  $d \nmid c$  then clearly no solutions

 $\Leftarrow$  If  $d \mid c$  then by Theorem 2.12, there exists  $r, s \in Z$  such that ar + bs = d

 $d \mid c \implies df = c \text{ for } f \in Z \implies a(rf) + b(sf) = df = c$ 

Thus  $x_0 = rf$  and  $y_0 = sf$  is a solution to ax + by = c

To show there are an infinite number of solutions, first let  $x = x_0 + \frac{b}{d}t$  and  $y = y_0 - \frac{a}{d}t$ 

Then  $ax + by = a(x_0 + \frac{b}{d}t) + b(y_0 - \frac{a}{d}t) = ax_0 + by_0 = c$ 

Thus there are an infinite number of solutions of this form

To show that every solution has the correct form, fix solutions  $x_0, y_0$  and let u, v be any solution

$$au + bv = c = ax_0 + by_0 \implies a(u - x_0) - b(v - y_0) = 0 \implies \frac{a}{d}(u - x_0) = \frac{b}{d}(y_0 - v)$$

• The last part follows because  $d\mid a$  and  $d\mid b\implies \frac{a}{d},\frac{b}{d}\in Z$ 

Thus we have  $(a/d) \mid (b/d)(y_0 - v)$ 

Since, by Proposition 2.10, gcd(a/d, b/d) = 1, we have by Proposition 2.6,  $(a/d) \mid (y_0 - v)$ 

Thus  $y_0 - v = \frac{a}{d}t \implies v = y_0 - t\frac{a}{d}$ 

Furthermore,  $\frac{a}{d}(u-x_0) = \frac{b}{d}(\frac{a}{d}t) \implies u = x_0 + \frac{b}{d}t$ 

Corollary 3.2: Let  $a, b, c \in Z$  with at least one a, b nonzero. If gcd(a, b) = 1 then ax + by = c has infinite number of solutions

**Upshot**: If  $(x_0, y_0)$  is a particular solution, then all solutions are of the form

$$x = x_0 + bt$$
  $y = y_0 - at$   $t \in Z$ 

### General Steps to Solve Linear Diophantine Equation:

- 1. Verify  $gcd(a, b) \mid c$ 
  - If no, then there is no solution
  - If yes, divide the equation by d to get a'x + b'y = c' where gcd(a', b') = 1
- 2. Then use Extended Euclidean Algorithm to solve for a'x + b'y = 1, then multiply the solution by the value of c'
- 3. If one of the solution variable (e.g. x) is negative, we can perform Extended Euclidean Algorithm with a positive x then flip the sign of x at the end
- 4. General solutions will be  $(x_0 + \frac{b}{d}t, y_0 \frac{a}{d}t)$

**Example**:  $-17x + 14y = 30 \implies 17x + 14y = 30$  has the solution (5\*30, -6\*30) so the desired solution is (-150, -180) and general solution is of the form

$$x = -150 + 14t$$
  $y = -180 + 17t$   $t \in Z$ 

**Proposition 3.3**: Let  $a, b \in Z^+$  and relatively prime. Then there are no non-negative  $x, y \in Z$  such that ax + by = ab - a - b

*Proof*: Observe that  $a(-1) + b(a-1) = ab - a - b \implies x = -1$  and y = a - 1 is a solution

Since gcd(a, b) = 1 every solution has the form x = -1 + bt and y = a - 1 - at = a(1 - t) - 1

Note that  $x \ge 0$  if and only if t > 0 but then we have  $1 - t \le 0 \implies y \le -1$ 

Thus it is impossible to find a non-negative solution to ax + by = ab - a - b

**Proposition 3.4**: Let  $a, b \in Z^+$  and relatively prime. If n > ab - a - b then there exists non-negative  $x, y \in Z$  such that ax + by = n

*Proof*: First find a pair  $(x_0, y_0)$  such that  $ax_0 + by_0 = n \ge ab - a - b + 1$ . Note  $(x_0, y_0)$  may be negative

Solution has the form  $x = x_0 + bt$  and  $y = y_0 - at$ 

We find the smallest possible  $y \ge 0$  then show that  $x \ge 0$ 

From Division Algorithm and dividing  $y_0$  by a, we have  $y_0 = at + y_1$  for  $0 \le y_1 < a$ . Let  $y_1$  be our choice of  $y_1$ 

Since  $y_1 = y_0 - at$ , we take  $x_1 = x_0 + bt$  as our choice of x. First note that these are a valid solution

$$ax_1 + by_1 = a(x_0 + bt) + b(y_0 - at) = ax_0 + by_0 = m$$

Now we show that  $x_1 \geq 0$ 

Suppose by contradiction that  $x_1 \leq -1$ , then we have

$$n = ax_1 + by_1 \le a + by_1 \le -a + b\underbrace{(a-1)}_{0 \le y < a}$$

Thus n = ab - a - b. Contradiction since we said n > ab - a - b

Thus  $(x_1, y_1)$  is a non-negative solution

# 4 Unique Factorization

**Theorem 4.1**: Let p be prime and  $a, b \in Z$  such that  $p \mid ab$ . Then  $p \mid a$  or  $p \mid b$ 

*Proof*: Let  $d = \gcd(a, p)$ . If d = p then  $d \mid a \implies p \mid a$ 

Otherwise applying Extended Euclidean Algorithm,  $d = 1 = ax + py \implies b = abx + pby$ 

 $p \mid ab$  and  $p \mid p \implies p \mid b$ , which is a linear combination of p and ab

• **NOTE**: if n is composite, then we CANNOT conclude  $n \mid a$  or  $n \mid b$  from  $n \mid ab$ 

Corollary 4.2: Let p be prime and  $a_1, a_2, \ldots, a_3 \in \mathbb{Z}$  such that  $p \mid a_1 \cdot a_2 \cdot \cdots \cdot a_r$ . Then  $p \mid a_i$  for some i

*Proof by Induction*: clearly statement holds for r = 1

IH: assume statement holds for r = k

IS: show statement is true for r = k + 1. Let  $a = a_1 \cdots a_k$  and  $b = a_{k+1}$ 

We can apply Theorem 4.1 where  $p \mid ab \implies$  statement holds for any  $r \ge 1$ 

#### **Lemma 4.3**: Every integer can be written as a product of primes

*Proof*: Assume there exist composite integers that cannot be written as product of primes.

Let S be the set of these integers > 1

Since all  $e \in S$  are positive, by Well Ordering Principle, it has a smallest element s

Since s is composite, we have s = ab, but  $a, b < s \implies a, b \notin S \implies a, b$  can be written as the product of primes

Thus s is also a product of primes and thus S is empty

Fundamental Theorem of Arithmetic: Any positive integer > 1 is either prime or can be factored exactly one way as a product of primes

*Proof*: Lemma 4.3 shows that any integer > 1 can be written as a product of primes

For uniqueness, suppose that there are 2 ways of factoring an integer. Let n be the smallest of these integers

$$n = p_1 p_2 \cdots p_r = q_1 q_2 \cdots q_s$$

$$p_1 \mid \text{LHS} \implies p_1 \mid \text{RHS} \implies p_1 \mid q_i$$

Rearranging the RHS, we let  $p_1 = q_1$  and now we have  $n/p_1 = m = p_2 \cdots p_r = q_2 \cdots q_s$ 

But m < n so it must have a unique factorization but we see that m can be written using 2 different factorization

Thus we have a contradiction and every positive integer > 1 can be unique factored

**Proposition 4.4**: Let  $a, b \in Z^+$  where  $a = 2^{a_2}3^{a_3}\cdots$  and  $b = 2^{b_2}3^{b_3}\cdots$ . Then  $a \mid b$  if and only if  $a_p \leq b_p$  for all p

*Proof*: 
$$\implies a \mid b \implies ac = b \text{ where } c = 2^{c_2}3^{c_3}\cdots$$

Then 
$$2^{a_2+c_2}3^{a_3+c_3}\cdots = b$$

Thus we must have  $\forall p, a_p + c_p = b_p \implies a_p \leq b_p$ 

$$\iff$$
 suppose  $\forall p, a_p \leq b_p$  and let  $c_p = b_p - a_p$ . Clearly  $c_p \geq 0$ 

Let 
$$c = 2^{c_2}3^{c_3} \cdots \implies ac = b \implies a \mid b$$

**Definition - Least Common Multiple:** lcm(a,b) is the smallest positive integer divisible by a,b

**Proposition 4.5**: Let  $a, b \in Z^+$  where  $a = 2^{a_2}3^{a_3}\cdots$  and  $b = 2^{b_2}3^{b_3}\cdots$ . Furthermore, for all p, let  $d_p = \min(a_p, b_p)$  and  $e_p = \max(a_p, b_p)$ . Then  $\gcd(a, b) = 2^{d_2}3^{d_3}\cdots$  and  $\gcd(a, b) = 2^{d_2}3^{d_3}\cdots$ 

*Proof*: Let d be any common divisor of a, b such that  $d = 2^{d_2} 3^{d_3} \cdots$ 

$$d \mid a \implies d_p \leq a_p$$
 for all  $p$ . Similarly  $d \mid b \implies d_p \leq b_p$  for all  $p$ 

Largest common divisor occurs when  $d_p = \min(a_p, b_p)$  for each p

Least common multiple occurs when  $e_p = \max(a_p, b_p)$  for each p

**Definition - Squarefree**: integer whose factors are all distinct (doesn't have a square of a number as a factor)

**Proposition 4.7**: Let  $n \in \mathbb{Z}^+$ . Then there exists  $r \in \mathbb{Z}, r \geq 1$  and a squarefree integer  $s \geq 1$  such that  $n = r^2s$ 

*Proof*: Let  $n = p_1^{a_1} p_2^{a_2} \cdots$ .

If  $a_i$  is even, write it as  $a_i = 2b_i$ . Otherwise write  $a_i = 2b_i + 1$ 

Let  $r = p_1^{a_1} p_2^{p_2} \cdots$  and let s = the product of all primes  $p_i$  with odd  $a_i$ 

Then we have  $r^2s = n$ 

# 5 Applications of Unique Factorization

### 5.1 A Puzzle

**Proposition 5.1**: Let  $k \geq 2$  be an integer and  $m \in \mathbb{Z}^+$ . Then m is a kth power if and only if all exponents in the prime factorization of m are multiples of k

*Proof*:  $\longleftarrow$  Let  $m=2^{y_2}3^{y_3}\cdots$ . If each  $y_p$  is a multiple of k then  $y_p=kz_p\implies m=(2^{z_2}3^{z_3}\cdots)^k$ 

 $\implies$  If  $m = n^k$  where  $n = 2^{w_2} 3^{w_3} \cdots$ , then  $2^{y_2} 3^{y_3} \cdots = m = n^k = 2^{kw_2} 3^{kw_3} \cdots$ 

By Uniqueness of Factorization,  $y_p = kw_p$  for each  $p \implies$  each exponent for m is a multiple of k

**Example:** Find a number A such that  $2/3 * A^2$  is a cube

Let  $A = 2^a 3^b 5^c \cdots$  be the prime factorization of A

We have  $2/3 * A^2 = 2^{2a+1}3^{2b-1}5^{2c} \cdots$  is a cube, so  $2a+1, 2b-1, 2c, \cdots$  are all multiples of 3

By brute force, we see that  $a = 1, b = 2, c = d = \cdots = 0$  works and gives us A = 18

To find the general solution, we note that  $3 \mid 2c$  and gcd(3,2) = 1 so c must be a multiple of  $3 \implies c = 3c'$ . Similar for  $d, e, \ldots$ 

Since 2a + 1 is odd and a multiple of 3, we have  $2a + 1 = 3(2j + 1) \implies a = 3j + 1$ 

Since 2b-1 is odd and a multiple of 3, we have  $2b-1=3(2k+1) \implies b=3k+2$ 

Finally, we see that  $A = 2^a 3^b 5^c \cdots = 2 * 3^2 (2^j 3^k 5^{c'} \cdots)^3 = 18B^3$  for any  $B \ge 1$ 

## 5.2 Irrationality Proof

**Definition - Rational**: Number that can expressed as a ratio of 2 integers

**Theorem 5.2**:  $\sqrt{2}$  is irrational

*Proof*: Suppose by contradiction that  $\sqrt{2}$  is rational and  $\sqrt{2} = a/b \in Q$  in reduced form

Then we have  $2 = a^2/b^2 \implies 2b^2 = a^2$ 

Clearly  $a^2$  is even  $\implies a$  is even so  $a = 2a_1$ 

But then we have  $b^2 = 2a_1$  so  $b^2$  is even  $\implies b$  is even. This a contradiction since we said a/b is in reduced form

Thus we have a contradiction and  $\sqrt{2}$  is irrational

**Theorem 5.3:** Let  $k \in \mathbb{Z}$  and  $k \geq 2$ . Let  $n \in \mathbb{Z}^+$  that is not a perfect kth power. Then  $\sqrt[k]{n}$  is irrational

*Proof*: We show the contrapositive that if  $\sqrt[k]{n}$  is rational then n is a perfect kth power

Suppose 
$$\sqrt[k]{n} = a/b \implies nb^k = a^k$$

We can prime factorize n, b to get  $n = 2^{x_2}3^{x_3} \cdots$  and  $b = 2^{z_2}3^{z_3} \cdots$ 

Thus we have  $nb^k = 2^{x_2 + kz_2} 3^{x_3 + kz_3} \cdots$ 

Let  $a = 2^{y_2}3^{y_3}\cdots$ . Since  $a^k$  is a perfect power, by Proposition 5.1, every exponent is of the prime factorization is a multiple of k

Thus  $x_p + kz_p = ky_p \implies x_p = k(y_p - z_p) \implies n$  is a perfect kth power

## 5.3 Rational Root Theorem

**Theorem 5.4 (Rational Root Theorem)**: let  $P(X) = a_n X^n + \cdots + a_1 X + a_0$  where  $a_i \in Z$  such that  $a_n \neq 0$  and  $a_0 \neq 0$ 

If 
$$r = u/v \in Q$$
 with  $gcd(u, v) = 1$  and  $P(u/v) = 0$  then  $u \mid a_0$  and  $v \mid a_n$ 

Proof: 
$$P(u/v) = 0 \implies a_n(u/v)^n + \dots + a_0 = 0 \implies a_nu^n + \dots + a_0v^n = 0$$

$$a_{n-1}vu^{n-1} + \cdots + a_0v^n = -a_nu^n \implies v \mid a_nu^n$$
. But  $gcd(u,v) = 1 \implies v \mid a_nu^n$ 

$$a_n u^n + \cdots + a_1 v^{n-1} u = -a_0 v^n \implies u \mid a_0 v^n$$
. But  $gcd(u, v) = 1 \implies u \mid a_0 v^n$ 

## 5.4 Pythagorean Triples

**Definition - Pythagorean Triples**: positive integers (a,b,c) where  $a^2+b^2=c^2$ 

**Definition - Primitive Pythagorean Triples:** Pythagorean triples where gcd(a, b, c) = 1

Example: A primitive way of generating Pythagorean Triples is using odd numbers

$$(2n+1)^2 = 4n^2 + 4n + 1 = (2n^2 + 2n) + (2n^2 + 2n + 1) \implies (2n+1)^2 + (2n^2 + 2n)^2 = (2n^2 + 2n + 1)^2$$

**Lemma 5.6**: Let  $k \in \mathbb{Z}, k \geq 2$  and let a, b relatively prime integers such that  $ab = n^k$ . Then a, b are each kth powers of integers Proof: Let  $n = 2^{x_2}3^{x_3}\cdots$ . Then  $ab = n^k = 2^{kx_2}3^{kx_3}\cdots$ 

Let p be a prime in the prime factorization of a and  $p^c$  be the exact power of p in the factorization of a

Since gcd(a,b) = 1, p doesn't occur in the factorization of b, so  $p^c$  occurs in ab and  $n^k$  has  $p^{kx_p}$  as the power of p

Since prime factorization is unique, we have  $c = kx_p \implies$  every prime in factorization of a occurs with a power of a multiple of k. Thus a is a kth power integer. Similar for b

Lemma 5.7: The square of an odd integer is 1 more than a multiple of 8. The square of an even integer is a multiple of 4

*Proof*: Let n be even then  $n = 2k \implies n^2 = 4j^2 \implies 4 \mid n$ 

Let n be odd  $\implies n = 2k + 1 \implies n^2 4k(k+1) + 1$ 

Since k or k+1 is even, we have 4k(k+1) is a multiple of 8. Thus n is a 1 more than a multiple of 8

**Theorem 5.5**: Let (a, b, c) be a Primitive Pythagorean triple. Then c is odd and exactly one of a, b is even and the other is odd. Assume b is even, then there are relatively prime integers m, n such that m < n and one odd and the other even such that

$$a = n^2 - m^2$$
  $b = 2mn$   $c = m^2 + n^2$ 

*Proof*: Let  $a^2 + b^2 = c^2$  and gcd(a, b, c) = 1

Suppose by contradiction that both a, b are odd, then by Lemma 5.7,  $a^2 + b^2$  is 2 more than a multiple of 8

Thus  $a^2 + b^2$  is not a multiple of 4 so by Lemma 5.7,  $a^2 + b^2$  cannot be a square. Thus at least one of a, b is even

Suppose by contradiction that both a, b are even. Then  $c^2 = a^2 + b^2$  is even so c is even.

But then 2 is common divisor of a, b, c but we have gcd(a, b, c) = 1. Contradiction

Thus one of a, b is even and the other is odd. WLOG let a be odd and b be even

Then we have  $a^2 + b^2 = c^2$  is odd.

Let  $b = 2b_1$  so we have  $c^2 - a^2 = (c + a)(c - a) = b^2 = 4b_1^2$ 

Thus we have  $(\frac{c+a}{2})(\frac{c-a}{2})=b_1^2$ . Since c,a are odd we must have  $\frac{c+a}{2}$  and  $\frac{c-a}{2}\in Z$ 

Let  $d = \gcd(\frac{c+a}{2}, \frac{c-a}{2})$  and suppose by contradiction d > 1. Then let p be a prime dividing d

Then  $c = \frac{c+a}{2} + \frac{c-a}{2}$  and  $a = \frac{c+a}{2} - \frac{c-a}{2}$  are multiples of p

Thus  $c^2 - a^2 = b^2$  is a multiple of  $p \implies p \mid b$  so p is a common divisor of a, b, c, contradicting that  $\gcd(a, b, c) = 1$ . Thus d = 1

Thus we have two relatively prime integers:  $\frac{c+a}{2}$  and  $\frac{c-a}{2}$  whose product is a square

By Lemma 5.6, each factor is a square so  $\frac{c-a}{2}=m^2$  and  $\frac{c+a}{2}=n^2$ 

Thus  $c = \frac{c+a}{2} + \frac{c-a}{2} = n^2 + m^2$  and  $a = \frac{c+a}{2} - \frac{c-a}{2} = n^2 - m^2$ 

Thus  $b^2 = c^2 - a^2 = (n^2 + m^2)^2 - (n^2 - m^2)^2 = 4m^2n^2 \implies b = 2mn$ 

Since  $\frac{c-a}{2}=m^2$  and  $\frac{c+a}{2}=n^2$  are relatively prime, then  $\gcd(n,m)=1$ 

Finally since  $m^2 + n^2 = c$  is odd, one of m, n is odd and the other is even

## 5.5 Difference of Squares

**Theorem 5.8**: Let  $m \in \mathbb{Z}^+$ . Then m is a difference of 2 squares if and only if either m is odd or m is a multiple of 4

Proof:  $\Leftarrow$  Let m be odd then  $m = 2n + 1 = (n+1)^2 - n^2$ .

Otherwise let m be a multiple of 4 then  $m = 4n = (n+1)^2 - (n-1)^2$ 

- $\implies$  Suppose  $m = x^2 y^2 = (x + y)(x y)$ . Since x + y, x y differ by 2y (even) they are either both even or both odd
  - If they are both even, then m = (x + y)(x y) is the product of 2 even numbers and is thus a multiple of 4
  - If both are odd, then m is clearly odd

As an aside, suppose m = uv where u, v have the same parity and  $u \ge v$ 

If we let  $x = \frac{(u+v)}{2}$  and  $y = \frac{(u-v)}{2}$  then clearly  $x, y \in Z$  since u, v have the same parity

And we have  $x^2 - y^2 = \frac{(u+v)^2}{4} - \frac{(u-v)^2}{4} = uv = m$ 

**Upshot:** Writing m as a difference of 2 squares corresponds to factorizing m into 2 factors of the same parity

**Example:** 
$$m = 15 \implies 15 * 1 = 8^2 - 7^2$$
 where  $8 + 7 = 15$  and  $8 - 7 = 1$   $m = 15 \implies 5 * 3 = 4^2 - 1^2$  where  $4 + 1 = 5$  and  $4 - 1 = 3$ 

**Example**: 
$$m = 60 \implies 30 * 2 = 16^2 - 14^2$$
  
 $m = 60 \implies 10 * 6 = 8^2 - 2^2$ 

## 5.6 Prime Factorization of Factorials

**Theorem 5.9**: Let  $n \ge 1$  and p be a prime. If we write  $n! = p^b c$  with  $p \nmid c$ , then

$$b = \lfloor \frac{n}{p} \rfloor + \lfloor \frac{n}{p^2} \rfloor + \cdots$$

*Proof*: write n = qp + r for  $0 \le r < p$ . Clearly multiples of p up to n are  $p, 2p, \ldots, qp$  but we see that  $\lfloor \frac{n}{p} \rfloor = \lfloor q + (r/p) \rfloor = q$  so there are  $\lfloor \frac{n}{p} \rfloor$  multiples of p up to n

Similarly, there are  $\lfloor \frac{n}{p^j} \rfloor$  multiples of  $p^j$  up to n

Thus we can write  $b = (\# \text{ of multiples of p up to n}) + (\# \text{ of multiples of } p^2 \text{ up to n}) + \cdots$ 

Take m such that  $1 \le m \le n$  and  $m = p^k m_1$  with  $p \nmid m_1$ .

Then m contributes  $p^k$  to n! and contributes k to the exponent b since m is a multiple of  $p^j$  for  $1 \le j \le k$ 

**Example**:  $n = 30, p = 5 \implies \lfloor \frac{30}{5} \rfloor + \lfloor \frac{30}{25} \rfloor = 6 + 1 \implies 5^7$  is the power of 5 in 30!

**Example**:  $n = 30, p = 2 \implies \lfloor \frac{30}{2} \rfloor + \lfloor \frac{30}{4} \rfloor + \lfloor \frac{30}{8} \rfloor + \lfloor \frac{30}{16} \rfloor = 15 + 7 + 3 + 1 = 26 \implies 2^{26}$  is the power of 2 in 30! Thus  $2^{26}5^7 = 2^{19}10^7 \implies 30!$  has 7 zeros at the end

#### 5.7 Riemann Zeta Function

**Definition - Riemann Zeta Function**: For a real number s > 1, we define the **Riemann zeta function** as

$$\zeta(s) = \sum_{n=1}^{\infty} \frac{1}{n^s}$$

**Theorem 5.10**: If s > 1, then

$$\zeta(s) = \prod_{p} (1 - p^{-s})^{-1}$$
 for all primes  $p$ 

Proof:

Note that the geometric series  $1+r+r^2+\cdots=\frac{1}{1-r}=(1-r)^{-1}$  for |r|<1

Letting  $r = p^{-1}$ , we get

$$1 + \frac{1}{p^s} + \frac{1}{p^{2s}} + \dots = (1 - p^{-s})^{-1}$$

As an example, consider the product

$$(1-2^{-s})^{-1}(1-3^{-s})^{-1} = (1+\frac{1}{2^s}+\frac{1}{4^s}+\cdots)(1+\frac{1}{3^s}+\frac{1}{9^s}+\cdots)$$

$$= (1+\frac{1}{2^s}+\frac{1}{4^s}+\cdots)+(\frac{1}{3^s}+\frac{1}{2^s3^s}+\frac{1}{4^s3^s}+\cdots)+(\frac{1}{9^s}+\frac{1}{2^s9^s}+\frac{1}{4^s9^s}+\cdots)$$

$$= \sum_{n \in S(2,3)} \frac{1}{n^s} \qquad S(p,q) \text{ are all integers whose prime factorizations only use } p,q$$

Now consider using m primes

$$(1-2^{-s})^{-1}(1-3^{-s})^{-1}\cdots(1-p_m^{-s})^{-1} = \sum_{n\in S(2,3,\dots,p_m)} \frac{1}{n^s}$$

The LHS converges to the product over all primes. Since every positive integer has a prime factorization, each n lies in  $S(2,3,\ldots,p_m)$ . Thus RHS converges to the sum over all positive integers n

**Infinite Primes Proof**: BWOC suppose there are only a finite number of primes. Then

$$\lim_{s \to 1^+} \prod_p (1 - p^{-s})^{-1} = \prod_p (1 - p^{-1})^{-1}$$

is a finite product and thus must itself be finite

Furthermore, since each of the functions used in the product is continuous at s = 1, we have that for  $n > 1, x \ge n, s > 1$ 

$$x^s \ge n^s \implies \frac{1}{n^s} \ge \frac{1}{x^s} \implies \int_n^{n+1} \frac{1}{n^s} dx \ge \int_n^{n+1} \frac{1}{x^s} dx$$

Thus we have

$$\zeta(s) = \sum_{n=1}^{\infty} \frac{1}{n^s} \ge \sum_{n=1}^{\infty} \int_n^{n+1} \frac{1}{x^s} = \int_1^{\infty} \frac{1}{x^s} dx = \frac{1}{s-1}$$

Thus  $\zeta(s) \geq \frac{1}{s-1}$  diverges as  $s \to 1^+$ . Contradiction since we showed that  $\prod_{p} (1-p^{-s})^{-1}$  converges

Thus there are an infinite number of primes

## 6 Congruences

## 6.1 Definitions and Examples

**Definition - Congruence**:  $a \equiv b \pmod{m}$  if and only if a - b is a multiple of m

**Proposition 6.2**:  $a \equiv b \pmod{m}$  if and only if a = b + km for some  $k \in \mathbb{Z}$ 

*Proof*:  $a \equiv b \pmod{m}$  if and only if a - b is a multiple of m. Thus  $a - b = km \implies a = b + km$ 

Looking at integers mod m, we get m congruent classes. Each integer is only in one congruent class mod m

**Proposition 6.3**: Let  $a \in Z$  and  $m \in Z^+$  then  $\exists ! r$ , with  $0 \le r \le m-1$  such that  $a \equiv r \pmod m$ 

*Proof*: By division algorithm, we have  $\exists$  unique q, r such that a = mq + r with  $0 \le r \le m - 1$ 

Thus from the previous proposition,  $a \equiv r \pmod{m}$ 

**Proposition 6.4**: Let  $a, b, c \in Z$  and  $m \in Z^+$ . Then

- $a \equiv a \pmod{m}$
- $a \equiv b \pmod{m} \implies b \equiv a \pmod{m}$
- $a \equiv b \pmod{m}$  and  $b \equiv c \pmod{m} \implies a \equiv c \pmod{m}$

Proof:

- $a = a + 0 * m \implies a \equiv a \pmod{m}$
- $a \equiv b \pmod{m} \implies a = b + km \implies b = a + (-k)m \implies b \equiv a \pmod{m}$
- $a-c=(a-b)+(b-c)=(k_1+k_2)m \implies a \equiv c \pmod{m}$

**Proposition 6.5**: Let  $a, b, c, d \in \mathbb{Z}$  and  $m \in \mathbb{Z}^+$ . If  $a \equiv b \pmod{m}$  and  $c \equiv d \pmod{m}$  then

- $a+c \equiv b+d \pmod{m}$
- $a-c \equiv b-d \pmod{m}$
- $ac \equiv bd \pmod{m}$

Proof:  $a \equiv b \pmod{m} \implies a = b + k_1 m \text{ and } c \equiv d \pmod{m} \implies c = d + k_2 m$ 

- $a+c=(b+d)+(k_1+k_2)m \implies a+c\equiv c+d \pmod{m}$
- $a-c=(b-d)+(k_1-k_2)m \implies a-c\equiv c-d \pmod{m}$
- $ac = (bd) + (bk_2 + dk_1 + k_1k_2m)m \implies ac \equiv cd \pmod{m}$

Corollary 6.6:  $a \equiv b \pmod{m} \implies a^n \equiv b^n \pmod{m}$  for  $n \in \mathbb{Z}^+$ 

*Proof*: By the previous proposition,  $a \equiv b \pmod{m} \implies a^2 \equiv b^2 \pmod{m}$ . Repeated multiplication yields  $a^n \equiv b^n \pmod{n}$ 

**Proposition 6.7**:  $ac \equiv bc \pmod{m}$  and  $gcd(c, m) = 1 \implies a \equiv b \pmod{m}$ 

$$ac \equiv bc \pmod{m} \implies m \mid (ac - bc) \implies m \mid c(a - b)$$

If c, m are relatively prime, then we must have  $m \mid a - b \implies a \equiv b \pmod{m}$ 

**Proposition 6.8:**  $ac \equiv bc \pmod{m}$  and  $gcd(c,m) = d \implies a \equiv b \pmod{\frac{m}{d}}$  and  $a = b + \binom{m}{d}k$  with  $0 \le k \le d-1$ 

Proof:  $ac \equiv bc \pmod{m} \implies m \mid c(a-b) \implies \frac{m}{d} \mid \frac{c}{d}(a-b)$ 

Since  $\gcd(c,m)=d$ , we must have  $\gcd(\frac{m}{d},\frac{c}{d})=1 \implies \frac{m}{d}\mid a-b \implies a\equiv b \pmod{\frac{m}{d}}$ 

Furthermore,  $a-b=m(\frac{d}{k})$  where  $\frac{d}{k}\in Z\implies 0\leq k\leq d-1$ 

Various ways to solve equations of the form  $ax \equiv b \pmod{m}$ :

• Add m to b until we find an easy factor of a

**Example**: 
$$2c \equiv 7 \pmod{9} \equiv 16 \pmod{9} \implies c = 8$$

• Use Proposition 6.8 and divide a, b be a common factor c and m by gcd(c, m)

**Example:** 
$$6c \equiv 18 \pmod{21} \implies c \equiv 3 \pmod{7}$$
.

Note: Answer is in terms of mod 7

• Divide a, b, m be a common factor. Then solved the reduced congruence

**Example:** 
$$15x \equiv 25 \pmod{55} \implies 3x \equiv 5 \pmod{11} \implies x \equiv 9 \pmod{11}$$

**Proposition 6.9**: Let  $n \in \mathbb{Z}^+$  and  $a, b \in \mathbb{Z}$ . Then  $a \equiv b \pmod{n} \implies \gcd(a, n) = \gcd(b, n)$ 

*Proof*:  $a \equiv b \pmod{n} \implies a = b + nk$ . Let d be a divisor of b, n. Then  $d \mid a$  since a is a linear combination of b, n

We also must have  $b = a - nk \implies$  any common divisor of a, n is also a divisor of b

Thus the set of common divisors for a, n is the same as the set of common divisors of b, n. Thus gcd(a, n) = gcd(b, n)

**Example:** gcd(1234, 10) = gcd(4, 10) since  $1234 \equiv 4 \pmod{10}$ 

**Proposition 6.10**: If p is a prime and  $ab \equiv 0 \pmod{p}$ . Then  $a \equiv 0 \pmod{p}$  or  $b \equiv 0 \pmod{p}$ 

*Proof*:  $ab \equiv 0 \pmod{p} \implies p \mid ab$ . Thus by theorem,  $p \mid a$  or  $p \mid b \implies a \equiv 0 \pmod{p}$  or  $b \equiv 0 \pmod{p}$ , respectively

Corollary 6.11: Let p be a prime. Then  $x^2 \equiv 1 \pmod{p}$  has only solutions  $x \equiv \pm 1 \pmod{p}$ 

Proof: 
$$x^2 \equiv 1 \pmod{p} \iff x^2 - 1 \equiv 0 \pmod{0} \iff (x - 1)(x + 1) \equiv 0 \pmod{p}$$

By the previous Proposition, this only happens when  $x-1\equiv 0\pmod{p}$  or  $x+1\equiv 0\pmod{p}$ 

Thus the only possible solutions are  $x \equiv \pm 1 \pmod{p}$ 

## 6.2 Modular Exponentiation

Consider  $3^{385} \pmod{479}$ 

Using repeated squaring, we see that

$$3^2 \equiv 9 \pmod{479}$$
 $3^4 \equiv 81 \pmod{479}$ 
 $3^8 \equiv 81^2 \equiv 334 \pmod{479}$ 
 $3^{16} \equiv 334^2 \equiv 428 \pmod{479}$ 
 $3^{32} \equiv 428^2 \equiv 206 \pmod{479}$ 
 $3^{64} \equiv 206^2 \equiv 284 \pmod{479}$ 
 $3^{128} \equiv 284^2 \equiv 184 \pmod{479}$ 
 $3^{256} \equiv 184^2 \equiv 326 \pmod{479}$ 

Thus we see that

$$3^{385} \equiv 3^{256} 3^{128} 3^1 \equiv 326 * 184 * 3 \equiv 327 \pmod{479}$$

## 6.3 Divisibility Tests

For  $a \in N$ , we can express a in base 10 as

$$a = a_0 + 10^1 a_1 + \dots + 10^k a_k \qquad 0 \le a_i \le 9$$

**Axiom**:  $2 \mid a \text{ if and only if } 2 \mid a_0 \implies a \equiv a_0 \pmod{2}$ 

**Proposition 6.12**:  $10 \mid a$  if and only if  $a_0 = 0$  AND  $5 \mid a$  if and only if  $a_0 = 0$  or  $a_0 = 5$ 

Proof:

Let  $a = a_0 + 10a_1 + \dots + 10^k a_k$   $0 \le a_i \le 9$ 

- $\Longrightarrow$  Suppose  $10 \mid a \Longrightarrow 10 \mid a_0 \Longrightarrow a_0 = 0$  since  $0 \le a_0 \le 9$  $\Longleftrightarrow$  Suppose  $a_0 = 0 \Longrightarrow a = 10a_1 + \dots + 10^k a_k \Longrightarrow 10 \mid a$
- We prove that  $a \equiv a_0 \pmod{5}$   $a = a_0 + 10(a_1 + 10a_2 + \dots + 10^{k-1}a_k) \implies a \equiv a_0 \pmod{5}$ Thus it follows that  $5 \mid a$  if and only if  $a_0 \equiv 0 \pmod{10} \implies a_0 = 0$  or  $a_0 = 5$

Corollary 6.12.1:  $a \equiv a_0 \pmod{10}$ 

**Poroposition 6.13**:  $4 \mid a$  if and only if  $4 \mid 10a_1 + a_0$  AND  $8 \mid a$  if and only if  $8 \mid 100a_2 + 10a_1 + a_0$  *Proof*:

- Note that  $4 \mid 10^j$  for  $j \geq 2$ . Thus  $a \equiv 10a_1 + a_0 \pmod{4} \implies 4 \mid a$  if and only if  $4 \mid 10a_1 + a_0 \pmod{4}$
- Note that  $8 \mid 10^j$  for  $j \geq 3$ . Thus  $a \equiv 100a_2 + 10a_1 + a_0 \pmod{8} \implies 8 \mid a$  if and only if  $8 \mid 100a_2 + 10a_1 + a_0$

Proposition 6.14: An integer mod 3 (respectively, mod 9) is congruent to the sum of its digits mod 3 (respectively, mod 9)

*Proof*: Clearly  $10 \equiv 1 \pmod{3}$ . Since  $1^k = 1$  for all integers k, we have

$$10^k \equiv 1^k \equiv 1 \pmod{3}$$

Thus when we look at n expanded in its base 10 form mod 3, we get

$$n = a_m 10^m + \dots + a_1 0 + a_0 \equiv a_m + \dots + a_1 + a_0 \pmod{3}$$

Identical for mod 9

Corollary 6.15: An integer n is divisible by 3 if and only if the sum of its digits are divisible by 3. It is divisible by 9 if and only if the sum of its digits is divisible by 9

**Example:**  $8675309 \equiv 38 \pmod{9} \equiv 11 \pmod{9} \equiv 2 \pmod{9}$ 

**Proposition 6.15.1**:  $6 \mid a$  if and only if  $2 \mid a$  and  $3 \mid a$ 

*Proof*:  $\implies$  Suppose 6 | a. Then any factor of 6 also divides a

 $\Leftarrow$  Suppose 2 | a and 3 | a. Then by the unique prime factorization of a, we know that 6 | a

Corollary 6.15.2:  $a \equiv 0 \pmod{6}$  if and only if  $a_0 \equiv 0 \pmod{2}$  AND  $\sum_{n=0}^{k} a_i \equiv 0 \pmod{3}$ 

**Proposition 6.16**:  $a \equiv a_0 + a_1 + a_2 + \dots + (-1)^k a_k \pmod{11}$ 

*Proof*: Note that  $10 \equiv -1 \pmod{11} \implies 10^k \equiv (-1)^k \pmod{11}$ 

Thus when we look at n expanded in its base 10 form mod 11, we get

$$n = a_m 10^m + \dots + a_1 0 + a_0 \equiv a_0 - a_1 + \dots + (-1)^m a_m \pmod{11}$$

Corollary 6.17: An integer n is divisible 11 if and only if the alternating sum of its digits is divisible by 11

**Proposition 6.17.1**: To test if  $7 \mid a$ , take a, truncate the last digit and subtract the rest of the digit by  $2 * a_0$ . Repeat until we reach one digit and it is 0 or 7. Then  $7 \mid a$ . Otherwise  $7 \nmid a$ 

Proof:

$$a = a_0 + 10(a_1 + 10a_1 + \dots + 10^{k-1}a_k)$$

$$\equiv (-20)a_0 + 10(a_1 + \dots + 10^{k-1}a_k) \equiv \pmod{7}$$

$$\equiv 10(-2a_0 + a_1 + 10a_2 + \dots + 10^{k-1}a_k) \pmod{7}$$

Thus  $7 \mid a \implies 7 \mid (-2a_0 + a_1 + 10a_2 + \cdots + 10^{k-1}a_k)$ , which is the recursion we created above

**Example:** Consider n = 42735

$$4273 - 2(5) = 4263$$

$$426 - 2(3) = 420$$

$$42 - 2(0) = 42$$

$$4 - 2(2) = 0$$

Thus 7 | 42735

## 6.4 Linear Congruences

**Theorem 6.18**: Let  $m \in Z^+$  and  $a \neq 0$ . Then  $ax \equiv b \pmod{m}$  has a solution if and only if  $d = \gcd(a, m)$  divides b. If  $d \mid b$ , then there are exactly d solutions distinct mod m. Let  $x_0$  be a solution, then the other solutions are of the form

$$x = x_0 + \left(\frac{m}{d}\right)k$$
  $0 \le k \le d$ 

Where  $x_0$  can be found by satisfying

$$(\frac{a}{d})x_0 \equiv (\frac{b}{d}) \pmod{\frac{m}{d}}$$

*Proof*:  $ax \equiv b \pmod{m} \iff ax = b + my \iff -my + ax = b$ . This is a Diophantine problem with (-m, a, b)

Let  $d = \gcd(a, m)$ . If  $d \nmid b$ , then there are no solutions

Otherwise let  $d \mid b \implies$  solutions are of the form

$$x = x_0 + \left(\frac{m}{d}\right)k \qquad y = y_0 + \left(\frac{a}{d}\right)k$$

Which implies that  $x \equiv x_0 \pmod{\frac{m}{d}}$ 

To show that these solutions are distinct mod m, let  $x_1 = x_0 + (\frac{m}{d})k_1$  and  $x_2 = x_0 + (\frac{m}{d})k_2$  be distinct solutions and suppose  $x_1 \equiv x_2 \pmod{m}$ 

Then  $x_1 - x_2 = mk_3 \iff \left(\frac{m}{d}\right)(k_1 - k_2) = mk_3 \iff k_1 - k_2 = dk_3 \implies k_1 \equiv k_2 \pmod{d}$ 

• Note that  $0 \le k \le d-1$ 

Finally, to show that  $x_0$  arises from solving  $(\frac{a}{d})x_0 \equiv \frac{b}{d} \pmod{\frac{m}{d}}$ ,

Note that  $(\frac{a}{d})x_0 = \frac{b}{d} + (\frac{m}{d})z \implies ax_0 = b + mz \implies ax_0 \equiv b \pmod{m}$ 

Thus  $x_0$  is a solution we desire

Corollary 6.19: If gcd(a, m) = 1, then  $ax = b \pmod{m}$  has exactly 1 solution mod m

*Proof*: Let d = 1 and apply Theorem 6.18. Then  $d \mid b \implies$  there is only 1 solution

**Example:**  $6x \equiv 7 \pmod{15}$  has no solutions because  $\gcd(6, 15) = 3$  but  $3 \nmid 7$ 

**Example:**  $5x = 6 \pmod{11} \implies x = 10$  is a unique solution since  $\gcd(5, 11) = 1$ 

**Example:**  $9x \equiv 6 \pmod{15}$  has gcd(9,15) = 3 solutions mod 15

Reducing the equation, we get  $3x \equiv 2 \pmod{5} \implies x_0 = 4 \implies \text{solutions are } \{4, 4 + \frac{15}{3}, 4 + 2 * \frac{15}{3}\} = \{4, 9, 14\}$ 

We can also solve linear congruence problems using Extended Euclidean Algorithm

**Example:**  $183x \equiv 15 \pmod{31} \implies 28x \equiv 15 \pmod{31}$ 

Converting it into a Linear Diophantine problem, we get 28x - 31y = 15. Now we find gcd(28, 31)

$$31 = 1 * 28 + 3$$

$$28 = 9 * 3 + 1$$

$$3 = 3 * 1$$

Thus gcd(28,31) = 1. Now we write it as a linear combination of 28,31

$$31 = 1 * 31 + 0 * 28$$

$$28 = 0 * 31 + 1 * 28$$

$$3 = 1 * 31 - 1 * 28$$

$$1 = 1 * 28 - 9 * 3 = -9 * 31 + 10 * 28$$

Thus  $28(10) + 31(-9) = 1 \implies 28(150) + 31(-135) = 15 \implies 28(150) \equiv 15 \pmod{31} \implies x \equiv 150 \equiv 26 \pmod{31}$ 

**Definition - Multiplicative Inverse:** a has a multiplicative inverse b if  $ab \equiv 1 \pmod{m}$ 

Corollary 6.21: a has an inverse mod m if and only if gcd(a, m) = 1

*Proof*: From Theorem 6.18,  $ax = 1 \pmod{m}$  has a solution if and only if  $gcd(a, m) \mid 1 \iff gcd(a, m) = 1$ 

**Example:**  $7x \equiv 4 \pmod{19}$  where  $7^{-1} \equiv 11 \pmod{19}$ 

 $77x \equiv 44 \pmod{19} \implies x \equiv 6 \pmod{19}$ 

Steps to solve  $ax \equiv b \pmod{m}$  where gcd(a, m) = 1

- 1. Convert the problem into Linear Diophantine problem ax my = b
- 2. Use Extended Euclidean Algorithm to find  $x_0, y_0$  such that  $ax_0 my_0 = 1$
- 3. Compute  $x = bx_0$

Steps to find an inverse of  $a \pmod{m}$  with gcd(a, m) = 1

- 1. Convert the problem into Linear Diophantine problem ax my = 1
- 2. Use Extended Euclidean Algorithm to find  $x_0, y_0$  such that  $ax_0 my_0 = 1$
- 3.  $x_0 \pmod{m}$  is the inverse of  $a \pmod{m}$

#### 6.5 Chinese Remainder Theorem

**Theorem 6.22**: Let m, n be relatively prime. Then the system of congruences

$$x \equiv a \pmod{m}$$
$$x \equiv b \pmod{n}$$

Has a unique solution mod mn

Existence Proof: 
$$x \equiv a \pmod{m} \implies x = a + mt \equiv b \pmod{n} \implies mt \equiv (b - a) \pmod{n}$$

Since m, n are relatively prime, there is a unique solution (call it  $t_0$ ). Clearly  $x = a + mt_0$  is a solution to both congruences

- $x = a + mt_0 \equiv a \pmod{m}$
- $x = a + mt_0 \equiv a + (b a) \equiv b \pmod{n}$

Uniqueness Proof: Let  $x_1, x_2$  be 2 different solutions. Then we must have

$$x_1 \equiv a \pmod{m}$$
  $x_1 \equiv b \pmod{n}$   
 $x_2 \equiv a \pmod{m}$   $x_2 \equiv b \pmod{n}$ 

Thus  $x_1 \equiv x_2 \pmod{m}$  and  $x_1 \equiv x_2 \pmod{n} \implies m \mid (x_1 - x_2)$  and  $n \mid (x_1 - x_2) \implies x_1 - x_2$  is multiple of m, n. Since  $\gcd(m, n) = 1$ , we must have  $mn \mid x_1 - x_2 \implies x_1 \equiv x_2 \pmod{mn}$ 

```
Example: x \equiv 2 \pmod 3 x \equiv 4 \pmod 5 x \equiv 4 \pmod 5 \Rightarrow x = 4 + 5k \equiv 2 \pmod 3 for some k \in Z \Rightarrow 5k \equiv 1 \pmod 3 \Rightarrow -1k \equiv 1 \pmod 3 \Rightarrow k \equiv 2 \pmod 3 Thus x = 4 + 5(2 + 3l) for some l \in Z Thus x \equiv 14 \pmod {15}
```

Theorem 6.23 Chinese Remainder Theorem: Let  $m_1, m_2, \ldots, m_r \in \mathbb{Z}^+$  and are pairwise relatively prime. Then

$$x \equiv a_1 \pmod{m_1}$$
  
 $x \equiv a_2 \pmod{m_1}$   
 $\dots$   
 $x \equiv a_3 \pmod{m_r}$ 

Has a unique solution  $x \pmod{m_1 m_2 \cdots m_r}$ 

Proof by Induction:

Base Case r=2 is handled by previous Theorem

IH: Suppose that for an arbitrary  $k \leq n$ , CRT holds true

IS: Prove CRT is true for n+1

Consider the first n congruences. By IH, they have a unique solution mod  $m_1m_2\cdots m_n$ . Call the solution  $x_0$ Now we have the system

$$x \equiv a_{n+1} \pmod{m_{n+1}}$$
  
 $x \equiv x_0 \pmod{m_1, \dots, m_n}$ 

This is handled by the previous theorem, thus CRT holds for any  $n \geq 2$ 

**Example** Let  $x \equiv 2 \pmod{3}$   $x \equiv 3 \pmod{5}$   $x \equiv 2 \pmod{7}$ Taking the largest modulus, we have  $x = 2 + 7k \equiv 3 \pmod{5} \implies 7k \equiv 1 \pmod{5} \implies k \equiv 3 \pmod{5}$ Thus  $k = 3 + 5l \equiv 2 \pmod{3}$ . Now plugging this back into the original equation for x, we get

$$x = 2 + 7(3 + 5l) = 23 + 35l \equiv 2 \pmod{3}$$

This implies that  $l \equiv 0 \pmod{3} \implies l = 3m$ 

Thus  $x = 23 + 35(3m) \equiv 23 \pmod{105}$ 

**Example**:  $x^2 \equiv 1 \pmod{275} = 5^2 * 11$ ) can be broken down into

$$x^2 \equiv 1 \pmod{25} \implies x \equiv 1, 24 \pmod{25}$$
  
 $x^2 \equiv 1 \pmod{11} \implies x \equiv 1, 10 \pmod{11}$ 

Thus solutions are of the form

$$\begin{array}{lll} x\equiv 1\pmod{25} & x\equiv 1\pmod{11} \implies x\equiv 1\pmod{275} \\ x\equiv 1\pmod{25} & x\equiv 10\pmod{11} \implies x\equiv 76\pmod{275} \\ x\equiv 24\pmod{25} & x\equiv 1\pmod{11} \implies x\equiv 199\pmod{275} \\ x\equiv 24\pmod{25} & x\equiv 10\pmod{11} \implies x\equiv 274\pmod{275} \end{array}$$

Thus the solutions are  $x \equiv \{1, 76, 199, 274\} \pmod{275}$ 

**Upshot:** We can factor composite modulus m into distinct prime powers and the solve the system of congruence mod

## 6.6 Fractions mod m

We can interpret  $\frac{a}{b} \pmod{m}$  as  $a(b^{-1}) \pmod{m}$  where  $b^{-1}$  comes from  $bb^{-1} \equiv 1 \pmod{m}$ 

- Only works when gcd(b, m) = 1. Since these are the only b's with a multiplicative inverse mod m
- Here we interpret  $\frac{1}{b}$  as the number we need to multiply b by to get 1 (mod m)

**Example:** Calculate  $\frac{2}{7}$  (mod 19)

We see that  $7^{-1} \equiv 11 \pmod{19}$ . Thus  $\frac{2}{7} = 2 * 11 \equiv 3 \pmod{19}$ 

## 7 Fermat, Euler, and Wilson

## 7.1 Fermat's Theorem

**Lemma 8.3**: For a prime p,

$$(x+y)^p \equiv x^p + y^p \pmod{p}$$

*Proof*: Using the binomial theorem, we have that

$$(x+y)^p = \sum_{k=0}^p \binom{p}{k} x^k y^{p-k}$$

Where

$$\binom{p}{k} = \frac{p!}{k!(p-k)!} \implies p! = k!(p-k)! \binom{p}{k}$$

Clearly p divides the LHS and thus p must also divide the RHS.

However, for 0 < k < p, clearly  $p \nmid (p - k)!$  and  $p \nmid k!$ . Thus  $p \mid \binom{p}{k}$ 

**Lemma 8.4**: Let  $b \not\equiv 0 \pmod{p}$ , then the set

$$b, 2b, \ldots, (p-1)b \pmod{p}$$

contains each nonzero congruence class mod p exactly once

*Proof*: Let  $a \not\equiv 0 \pmod{p}$  be arbitrary and look at the linear congruence

$$bx \equiv a \pmod{p}$$

This must have a unique solution x where  $1 \le x \le p-1$ 

Thus a belongs to one of the congruence classes defined by  $\{b, 2b, \dots, (p-1)b\} \pmod{p}$ 

Since a was arbitrary, every congruence class occurs

To show that each congruence class only occurs once, BWOC suppose that

$$bi \equiv bj \pmod{p} \implies i \equiv j \pmod{p} \qquad 1 < i < j < p-1$$

However, the given bounds on i, j make this impossible.

Thus each nonzero congruence class occurs exactly once among the multiples of b

**Example**: Let p = 7 and b = 2

Then the numbers 2, 4, 6, 8, 10, 12 (mod 7) are the same as 2, 4, 6, 1, 3, 5 (mod 7)

Thus every nonzero congruence class mod 7 is represented exactly once

**Fermat's Theorem**: For a prime p, the following hold true

- $\forall b \in Z, b^p b \equiv 0 \pmod{p}$
- $b \not\equiv 0 \pmod{p} \implies b^{p-1} \equiv 1 \pmod{p}$

Proof 1 (Using Lemma 8.3): Show that  $b^p \equiv b \pmod{p}$  by Induction

Base Case:  $b = 0 \implies 0^p \equiv 0 \pmod{p}$  and  $b = 1 \implies 1^p \equiv 1 \pmod{p}$ 

IH: Assume that for any arbitrary b, we have that  $b^p \equiv k \pmod{b}$ 

IS: Show for b+1. From the binomial coefficients formula and Lemma 8.3, we see that

$$(b+1)^p \equiv b^p + 1 \equiv \underbrace{b+1}_{\text{by IH}} \pmod{p}$$

The above proves Fermat's Theorem for non-negative integers

Now for negative integers, suppose that b < 0. Then for an odd prime p, we have  $(-b)^p \equiv -b \pmod{p}$  by the ideas above.

- If p is odd, then  $(-1)^p \equiv -1 \pmod{p}$
- If p is 2, then clearly  $-b^p \equiv -b \pmod{p} \implies b^p \equiv b \pmod{p}$

Proof 2 (Using Lemma 8.4): Suppose that  $b \not\equiv 0 \pmod{p}$ .

From Lemma 8.4, we know that

$$\prod_{i=1}^{p-1} i \equiv \prod_{i=1}^{p-1} bi \pmod{p} \implies (p-1)! \equiv b^{p-1}(p-1)!$$

Since  $p \nmid (p-1)!$ , we have that

$$b^{p-1} \equiv 1 \pmod{p}$$

Multiplying both sides by b gives the other form

$$b^p \equiv b \pmod{p}$$

Note that for the case where  $b \equiv 0 \pmod{0}$ , we have that  $b^p \equiv 0^p \equiv 0 \equiv 0 \pmod{p}$ 

Thus the congruence holds for all  $b \in \mathbb{Z}$ 

**Example:**  $2^6 = 64 \equiv 1 \pmod{7}$  and  $2^7 \equiv 2 \pmod{7}$ 

**Example**:  $3^{28} = (3^4)^7 \equiv 1^7 \equiv 1 \pmod{5}$ 

• This follow from the second claim in Fermat's Theorem (since  $3^{5-1} \equiv 1 \pmod{5}$ )

**Example:** Divide 23 into  $7^{200}$ . What is the remainder?

By Fermat's Theorem, we know that  $7^{22} \equiv 1 \pmod{23}$ 

Thus  $7^{200} = (7^{22})^9 * 2^2 \equiv 1^9 * 49 \equiv 3 \pmod{23}$ 

Corollary 8.2: For prime p and  $b \not\equiv 0 \pmod{p}$ ,

$$x \equiv y \pmod{p-1} \implies b^x \equiv b^y \pmod{p}$$

*Proof*: We know that x = y + (p-1)k for some  $k \in \mathbb{Z}$ 

Thus we see that  $b^x = b^y b^{(p-1)k} \implies b^x \equiv b^y \pmod{p}$  by Fermat's Theorem

**Upshot**: We can apply the Divisional Algorithm to the exponent of an integer with p-1 to quickly evaluate congruences mod p

**Fermat Primality Test**: If n is odd,  $b \not\equiv 0 \pmod{n}$ , and  $b^{n-1} \not\equiv 1 \pmod{n}$ , then n is not prime

*Proof*: Using Fermat's Theorem, we see that for an odd prime  $p, b^{p-1} \equiv 1 \pmod{p}$ 

Now by contraposition, suppose that n is odd and that  $p^{n-1} \not\equiv 1 \pmod{n}$ , we get that n is not prime

**Upshot**: We can quickly test if a number n is not prime by looking at  $2^{n-1} \not\equiv 1 \pmod{n}$ 

• Note:  $2^{n-1} \equiv 1 \pmod{n}$  DOES NOT guarantee n is prime

**Example**: For n = 77, we see that

$$2^{n-1} = 2^{76} \equiv 9 \pmod{77} \not\equiv 1 \pmod{77}$$

Thus 77 is not prime

#### 7.2 Euler's Theorem

**Definition - Euler Function**:  $\phi(n)$  is the number of integers  $1 \le j \le n$  such that  $\gcd(j,n) = 1$ 

## Examples:

- $\phi(12) = 4$  this comes from  $\{1, 5, 7, 11\}$
- For any prime  $p, \phi(p) = p 1$

**Proposition 8.6**: For  $m, n \in \mathbb{Z}^+$ , if gcd(m, n) = 1 then

$$\phi(mn) = \phi(m)\phi(n)$$

*Proof*: Define  $T_n = \{1 \le j \le n \mid \gcd(j, n) = 1\}$ , so  $|T_n| = \phi(n)$ 

Now define a function  $f: T_{mn} \to T_m \times T_n$  where  $f(a) = (a \pmod m, a \pmod n)$ 

Firstly, we show that  $a \pmod{m} \in T_m$ , i.e.  $a \pmod{m}$  is relatively prime to m. Similar for  $a \pmod{n}$ 

Suppose  $a \equiv l \pmod{m} \implies a = mk + l$  for some  $k, l \in Z$ 

If d is a common divisor for l, m, then  $d \mid a$  and  $d \mid mn \implies d = 1$  since  $a \in T_{mn}$ 

Now we show that this function is 1-1 and onto

• 1-1: Suppose f(a) = f(b) for some  $a, b \in T_{mn}$ , we show that a = b

Then  $(a \pmod m), a \pmod n) = (b \pmod m), b \pmod n) \implies a \equiv b \pmod m$  and  $a \equiv b \pmod n$ 

Thus 
$$\underbrace{mn \mid (b-a)}_{\gcd(m,n)=1} \implies b \equiv a \pmod{mn}$$

Since  $0 \le a, b \le mn$ , we must have that b = a

• Onto: Take  $(r,t) \in T_m \times T_n$ , so  $\gcd(r,m) = 1$  and  $\gcd(t,n) = 1$ 

By CRT,  $x \equiv r \pmod{m}$   $x \equiv t \pmod{n}$  has a unique solution mod mn, call it a

We show that  $gcd(a, mn) = 1 \implies a \in T_{mn}$ 

BWOC, suppose we have a prime p such that  $p \mid a$  and  $p \mid mn$ 

This implies either  $p \mid a$  and  $p \mid m$  OR  $p \mid a$  and  $p \mid n$  since gcd(m, n) = 1

Thus  $a = mk + r = nl + t \implies p \mid r$  and  $p \mid m$  OR  $p \mid t$  and  $p \mid n$ Contradiction since we supposed  $\gcd(r, m) = 1$  and  $\gcd(t, n) = 1$ Thus  $\gcd(a, mn) = 1 \implies a \in T_{mn}$ 

**Proposition 8.7**: For a prime p and  $k \ge 1$ ,

$$\phi(p^k) = p^k - p^{k-1}$$

*Proof*: For  $1 \le j \le p^k$ , there are  $p^{k-1}$  multiples of p, namely  $\{(1)p, (2)p, \dots, (p^{k-1})p\}$ These multiples are exactly when  $\gcd(j, p^k) \ne 1$ 

**Theorem 8.8**: Let  $n = p_1^{a_1} p_2^{a_2} \cdots p_r^{a_r}$  be the prime factorization of n where each exponent  $a_i \ge 1$ . Then

$$\phi(n) = \prod_{i=1}^{r} (p_i^{a_i} - p_i^{a_i - 1}) = n \prod_{p|n} (1 - \frac{1}{p})$$

*Proof*: Applying Propositions 8.6 and 8.7, we see that

$$\phi(n) = \prod_{i=1}^{r} \phi(p_i^{a_i}) = \prod_{i=1}^{r} (p_i^{a_i} - p_i^{a_i-1})$$

For the second part of the equality of the theorem, note that  $p^a - p^{a-1} = p^a(1 - \frac{1}{p})$ . Thus we see that

$$\begin{split} \prod_{i=1}^{r} (p_i^{a_i} - p_i^{a_i-1}) &= \prod_{i=1}^{r} p_i^{a_i} (1 - \frac{1}{p_i}) \\ &= n \prod_{i=1}^{r} (1 - \frac{1}{p_i}) \\ &= n \prod_{p|n} (1 - \frac{1}{p}) \quad \text{ since each } a_i \geq 1 \end{split}$$

Example:  $\phi(100)$ 

- Applying Propositions 8.6, 8.7, we get that  $\phi(100) = \phi(2^2)\phi(5^2) = (2^2 2)(5^2 5) = 40$
- Applying Theorem 8.8, we get that  $\phi(100) = 100(1 \frac{1}{2})(1 \frac{1}{5}) = 40$

**Lemma 8.10**: Let  $T_n$  be the set of  $1 \le j \le n$  with gcd(j, n) = 1. Choose any  $b \in T_n$  and let  $bT_n \mod n$  be the set of numbers of the form  $bt \mod n$  for  $t \in T_n$ . Then each  $t \in T_n$  is congruent to exactly one element of  $bT_n \mod n$ 

*Proof*: Let  $t \in T_n$ . Then gcd(t, n) = 1

This means that  $bx \equiv t \pmod{n}$  has a unique solution. Call it  $x_0$ 

We claim that  $gcd(x_0, n) = 1 \implies x_0 \in T_n$ 

Suppose  $d \mid x_0$  and  $d \mid n$ 

Then  $n \mid bx_0 - t \implies d \mid bx_0 - t \implies d \mid t$  and  $d \mid n \implies n = 1$  since gcd(t, n) = 1

The uniqueness of follows from the uniqueness of  $x_0$ 

**Example:** Let n = 12, b = 5

Then we have  $T = \{1, 5, 7, 11\}$  and  $bT = \{5, 25, 35, 55\} \equiv \{5, 1, 11, 7\} \mod 12 = T$ 

**Euler's Theorem**: For any b such that gcd(b, n) = 1, we have that

$$b^{\phi(n)} \equiv 1 \pmod{n}$$

• Note: This generalizes Fermat's Theorem since  $\phi(p) = p - 1$ 

*Proof*: Consider the set  $T_n$  from Lemma 8.10. Then

$$\prod_{i \in T_n} i \equiv \prod_{i \in T_n} bi \equiv b^{\phi(n)} \prod_{i \in T_n} i \pmod{n}$$

Lemma 8.10 says that the second product is just a rearrangement of the first product. Thus we get that

$$1 \equiv b^{\phi(n)} \pmod{n}$$

**Example**:  $\phi(10) = 4$  and  $\gcd(3, 10) = 1 \implies 3^4 = 81 \equiv 1 \pmod{10}$ 

**Example**: 3<sup>84</sup> (mod 100)

We see that  $\phi(100) = 40$  so by Euler's Theorem, we have that  $3^{40} \equiv 1 \pmod{100}$ 

Thus  $3^{84} = (3^{40})^2 3^4 \equiv 81 \pmod{81}$ 

Corollary 8.11: Take  $b \in Z$  such that gcd(b, n) = 1. Then

$$x \equiv y \pmod{\phi(n)} \implies b^x \equiv b^y \pmod{n}$$

• Note: This also generalizes the Corollary of Fermat's Theorem since  $\phi(p) = p - 1$ 

*Proof*: We know that  $x = y + \phi(n)k$  for some  $k \in \mathbb{Z}$ 

Thus we see that  $b^x \equiv b^y (b^{\phi(n)})^k \equiv b^y \pmod{n}$ 

**Example:** Let n = 15. Then we have  $\phi(n) = 8$  and  $9 \equiv 1 \pmod{8}$ 

Thus  $2^9 \equiv 2^1 \pmod{15}$ 

**Example:** Let n = 10. Then  $\phi(n) = 4$  and  $5 \equiv 1 \pmod{4}$ 

Thus for any b such that gcd(b, 10) = 1, we have that  $b^5 \equiv b \pmod{10}$ 

Thus  $b^5$  and b have the same last digit for  $b \in \{1, 3, 7, 9\}$ 

**Example**: Given  $m \in \mathbb{Z}$ , let  $\gcd(m, 77) = 1$  and let  $c \equiv m^7 \pmod{77}$ . Find  $c^{43} \pmod{77}$ 

 $\phi(77) = 60 \text{ and } 301 \equiv 1 \pmod{60}$ 

Thus we see that  $c^{43} \equiv (m^7)^{43} \equiv m^{301} \equiv m \pmod{77}$ 

**Example**: Find the last digit of  $3^{7^5}$ 

First, note that  $\phi(4) = 2$  and  $5 \equiv 1 \pmod{2}$ 

Thus  $7^5 \equiv 7^1 \equiv 3 \pmod{4}$ 

Furthermore, we see that  $\phi(10) = 4$ .

Thus  $3^{7^5} \equiv 3^3 \equiv 27 \equiv 7 \pmod{10}$ 

## 7.3 Wilson's Theorem

Wilson's Theorem: For a prime p

$$(p-1)! \equiv -1 \pmod{p}$$

*Proof*: For integers  $1 \le b \le p-1$ ,  $bx \equiv 1 \pmod{p}$  has a unique solution  $1 \le x \le p-1$ 

We pair multiple inverses with each other

• Note that  $b^2 \equiv 1 \pmod{p}$  only if  $b \equiv \pm 1 \pmod{p}$ , so  $b \equiv 1$  and  $b \equiv p-1 \pmod{p}$  are the only numbers that are paired with themselves

Now rearrange the factors so that each inverse is next to each other. This gives

$$(p-1)! \equiv 1(p-1) \equiv -1 \pmod{p}$$

**Example:** For p = 7, we have  $(p - 1)! = 6! = 720 \equiv -1 \pmod{7}$ 

This comes from  $6! = (6)(5*3)(4*1)(1) \equiv -1*1*1*1 \equiv -1 \pmod{7}$ 

**Corollary 8.13**: For  $n \ge 2$ , n is prime if and only if  $(n-1)! \equiv -1 \pmod{n}$ 

*Proof*:  $\implies$  If n is prime, then  $(n-1)! \equiv -1 \pmod{n}$  by the Wilson's Theorem

 $\iff$  BWOC suppose n is composite. Then n = ab for  $a, b \in Z$  and 1 < a < n

Thus a is a factor of  $(n-1)! \implies (n-1)! \equiv 0 \pmod{a}$ .

But we also have that  $(n-1)! \equiv -1 \pmod{n} \implies (n-1)! \equiv -1 \pmod{a}$ 

Contradiction. Thus n must be prime

**Example:** Let n = 6, then  $(n - 1)! = 5! = 120 \equiv 0 \not\equiv -1 \pmod{6}$ 

Thus n is not prime

# 8 Cryptography

**Shift Cipher**:  $x \to x + k \pmod{26}$  has key space size of 26

**Affine Cipher:**  $x \to ax + b \pmod{26}$  where  $\gcd(a, 26) = 1$  has key space size of 12 \* 26

#### 8.1 RSA

## RSA Setup:

- 1. Alice chooses 2 primes p, q and calculates n = pq and  $\phi(n) = (p-1)(q-1)$
- 2. Alice chooses an encryption key e such that  $gcd(e, \phi(n)) = 1$
- 3. Alice calculates a decryption key such that  $ed \equiv 1 \pmod{\phi(n)}$
- 4. Alice makes n, e public and d, p, q private

## **RSA** Encryption:

- 1. Bob looks up Alice's public values n, e
- 2. Bob writes the message as  $m \pmod{n}$
- 3. Bob computes  $c \equiv m^e \pmod{n}$
- 4. Bob sends c to Alice

## **RSA** Decryption

- 1. Alice receives c
- 2. Alice computes  $m \equiv c^d \pmod{n}$

### Example

Let p = 3598279 and q = 781629

Then n = 28122813702491  $\phi(n) = 28122802288584$  e = 233 d = 27519308677241

Let  $A = 01, B = 02, \dots, Z = 26$  be the alphabet

Suppose Bob wants to send CAR  $\implies m = 030118 = 30118$ 

Then  $c \equiv m^e \pmod{n} \equiv 21666077416496 \pmod{n}$ 

Finally, Alice decrypts the text as  $m \equiv c^d \equiv 30118 \pmod{n}$ 

**Proposition 9.1**: Let n = pq for distinct primes p, q, and take e, d satisfying  $ed \equiv 1 \pmod{\phi(n)}$ . Then for all m, we have

$$m^{ed} \equiv m \pmod{n}$$
  $c \equiv m^e \pmod{n} \implies m \equiv c^d \pmod{n}$ 

*Proof*: Suppose gcd(m, n) = 1.

Then  $ed \equiv 1 \pmod{\phi(n)} \implies ed = 1 + k\phi(n)$  for some  $k \in \mathbb{Z}$ 

Thus using Euler's Theorem, we have

$$m^{ed} \equiv m^{1+k\phi(n)} \equiv m(m^{\phi(n)})^k \equiv m \pmod{n}$$

Otherwise, suppose that  $gcd(m, n) \neq 1$ . So possible values are p, q, pq

- $pq \implies m \equiv 0 \pmod{n} \implies m^{ed} \equiv 0 \equiv m \pmod{n}$
- $p \implies m \equiv 0 \pmod{p} \implies m^{ed} \equiv 0 \equiv m \pmod{p}$

However since  $q \nmid m$ , we have by Fermat Theorem that  $m^{q-1} \equiv 1 \pmod{q}$ 

Thus  $m^{ed} \equiv m(m^{q-1})^{k(p-1)} \equiv m \pmod{q}$ 

Thus  $p \mid m^{ed} - m$  and  $q \mid m^{ed} - m \implies pq \mid m^{ed} - m \implies m^{ed} \equiv m \pmod{pq}$ 

## 9 Order and Primitive Roots

## 9.1 Orders of Elements

**Definition - Order:** The **order** of  $a \mod n$ , denoted  $\operatorname{ord}_n(a)$  is the smallest positive integer such that

$$a^m \equiv 1 \pmod{n}$$

• In particular powers of  $a \mod n$  create a cyclic group

• The order of an integer a has to exist because of Euler's Theorem:  $a^{\phi(n)} \equiv 1 \pmod{n}$ . Thus  $\operatorname{ord}_n(a) \leq \phi(n)$ 

**Example:** Consider  $2^k \pmod{9}$ 

$$2^0 \equiv 1, 2^1 \equiv 2, 2^2 \equiv 4, 2^3 \equiv 8, 2^4 \equiv 7, 2^5 \equiv 5, 2^6 \equiv 1, 2^7 \equiv 2, \dots$$

Here we have a cyclic group of order 6 and thus  $ord_9(2) = 6$ 

**Theorem 11.1:** Let n be a positive integer and a be an integer where gcd(a, n) = 1. Take any integer m. Then

$$a^m \equiv 1 \pmod{n} \iff \underset{n}{\operatorname{ord}}(a) \mid m$$

Proof: Let  $m_0 = \operatorname{ord}_n(a)$ 

 $\implies$  Suppose  $a^m \equiv 1 \pmod{n}$ . Now apply the division algorithm to  $m, m_0$ , so  $m = m_0 q + r$  where  $0 \le r < m_0$ Now we see that

$$a^m = a^{m_0 q + r} \equiv a^r \equiv 1 \pmod{n}$$

Since  $m_0$  is the smallest positive exponent that yields 1 and  $r < m_0$ , we must have that  $r = 0 \implies m_0 \mid m$   $\iff$  If  $m_0 \mid m$ , then  $m = m_0 k$ . Thus we have

$$a^m \equiv (a^{m_0})^k \equiv 1 \pmod{n}$$

#### Corollary 11.2:

- For a prime p and integer a such that  $a \not\equiv 0 \pmod{p}$ , then  $\operatorname{ord}_p(a) \mid p-1$
- For a positive integer n and integer a such that gcd(a,n) = 1, we have  $ord_n(a) \mid \phi(n)$

*Proof*: The first point follows from the second point

By Euler's Theorem, we have that

$$a^{\phi(n)} \equiv 1 \pmod{n}$$

Thus using Theorem 11.1, we have that  $\operatorname{ord}_n(a) \mid \phi(n)$ 

Example:  $ord_{23}(3)$ 

Divisors of 23-1=22 are  $\{1,2,11,22\}$ . By inspection we see that  $3^{11}\equiv 1\pmod{23}$ 

Thus  $ord_{23}(3) = 11$ 

## 9.1.1 Fermat Numbers

Recall that Fermat Numbers are of the form

$$F_n = 2^{2^n} + 1$$

**Proposition 11.3**: For  $n \geq 2$ , let p be a prime dividing  $F_n$ . Then  $p \equiv 1 \pmod{2^{n+2}}$ 

*Proof*: If  $p \mid 2^{2^n} + 1$ , then  $2^{2^n} \equiv -1 \pmod{p}$ . Squaring both sides yields

$$2^{2^{n+1}} \equiv 1 \pmod{p}$$

Thus by Theorem 11.1,  $\operatorname{ord}_p(2) \mid 2^{n+1}$ , so  $\operatorname{ord}_p(2) = 2^j$  for some  $j \leq n+1$ 

We claim that j = n + 1. BWOC, suppose that  $j \leq n$ , then we have

$$2^{2^n} \equiv (2^{2^j})^{2n-j} \equiv 2^{2^n} \equiv 1 \pmod{p}$$

But we had  $2^{2^n} \equiv -1 \pmod{p}$ . Contradiction

Thus we must have  $\operatorname{ord}_n(2) = 2^{n+1}$ 

Thus by Corollary 11.2,  $2^{n+1} \mid p-1$ 

Since  $n \geq 2$ , we must have that  $p \equiv 1 \pmod{8}$ 

We claim that  $p \equiv 1 \pmod{8} \implies \exists b \in Z \text{ such that } b^2 \equiv 2 \pmod{p}$  (Exercise 11.2.31)

Thus we have

$$2^{2n+1} \equiv (2^2)^{2^n} \equiv 2^{2^n} \equiv -1 \pmod{p} \implies b^{2n+2} \equiv 1 \pmod{p}$$

Thus  $\operatorname{ord}_p(b)$  divides  $2^{n+2}$  and does not divide  $2^{n+1} \implies \operatorname{ord}_p(2) = 2^{n+2}$ 

Thus by Corollary 11.2,  $2^{n+2} \mid p-1 \implies p \equiv 1 \pmod{2^{n+2}}$ 

Example: Factor  $F_5$ 

By Proposition 11.3, any prime must be congruent 1 mod 128. Some of the primes include

By inspection, we see that  $F_5 = 641 * 6700417$ 

• Note: Any prime factor of 6700417 must also be a prime factor of  $F_5$  and therefore must be 1 mod 128. Thus 6700417 has no prime factors less than  $\sqrt{6700417} \implies 6700417$  is prime

**Non-Example**: Factor  $F_4 = 65537$ 

Any prime factors of  $F_4$  must be  $p \equiv 1 \pmod{64}$ .

The first two such primes are 193, 257 but 193  $\nmid$  65537 and 257  $> \sqrt{65537} \implies F_4$  is prime

#### 9.1.2 Mersenne Numbers

Recall that Mersenne numbers are of the form

$$M_p = 2^p - 1$$

where p is a prime

**Proposition 11.4:** Let p,q be primes and suppose that  $q \mid 2^p - 1$ . Then  $q \equiv 1 \pmod{p}$ 

*Proof*: If  $2^p \equiv 1 \pmod{q}$ , then by Theorem 11.1,  $\operatorname{ord}_q(2) \mid p \implies \operatorname{ord}_q(2) = 1$  or p

- If  $\operatorname{ord}_q(2) = 1 \implies 2^1 \equiv 1 \pmod{q}$  which is impossible
- Therefore  $\operatorname{ord}_q(2) = p \implies p \mid q-1 \implies q \equiv 1 \pmod{p}$  by Corollary 11.2

#### 9.2 Primitive Roots

**Definition - Primitive Root**: For a prime p, if the order of  $g \mod p$  equals p-1, then g is a **primitive root** 

**Example:**  $\operatorname{ord}_5(2) = 4 \implies 2$  is a primitive root for 5

**Non-Example**:  $\operatorname{ord}_7(2) = 3 \implies 2$  is not a primitive root for 7

**Proposition 11.5**: Suppose gcd(g, p) = 1 for a prime p, then the following are equivalent

- g is a primitive root,  $\operatorname{ord}_p(g) = p 1$
- Every integer that is non-zero mod p is congruent to a power of  $g \mod p$

 $Proof 1 \to 2$ : Let g be a primitive root. We claim that  $1, g, g^2, \dots, g^{p-2} \pmod{p}$  are distinct

BWOC, suppose 
$$g^i \equiv g^j \pmod{p} \implies g^{i-j} \equiv 1 \pmod{p}$$
 for  $0 \le i, j \le p-2$ 

Then  $\operatorname{ord}_p(g) = p-1 \mid j-i$ . Contradiction since  $0 \le j-i < p-1$ 

Thus powers of  $g \mod p$  give p-1 distinct congruence classes

Proof  $2 \to 1$ : Let  $m = \operatorname{ord}_p(g)$  and suppose

$$1, g, g^2, \dots, g^{m-1} \pmod{p}$$

are distinct

Since  $g^m \equiv 1$ , the cycle starts again. Thus m = p - 1 by definition

**Proposition 11.6**: Let g be a primitive root for an odd prime p. Then

$$g^{(p-1)/2} \equiv -1 \pmod{p}$$

*Proof*: Let  $x \equiv g^{(p-1)/2} \pmod{p}$ . Then

$$x^2 \equiv g^{p-1} \equiv 1 \pmod{p} \implies x \equiv \pm 1 \pmod{p}$$

- If  $x \equiv 1 \pmod{p} \implies g^{(p-1)/2} \equiv 1 \pmod{p}$ . Contradiction since the order of g is p-1
- Thus  $x \equiv -1 \pmod{p}$  as desired

**Proposition 11.7**: For a positive integer and gcd(x, n) = 1. Let  $m = ord_n(x)$  and take an integer i. Then

$$\operatorname{ord}_{n}(x^{i}) = \frac{m}{\gcd(i, m)}$$

Proof: Let  $k = \operatorname{ord}_n(x^i)$ 

Then  $x^{ik} \equiv 1 \pmod{n} \implies ik \equiv 0 \pmod{m}$ 

Now let  $d = \gcd(i, m)$ . then

$$\frac{i}{d}k \equiv 0 \pmod{\frac{m}{d}}$$

Since gcd(i/d, m/d) = 1, we can divide the congruence by i/d to get

$$k \equiv 0 \pmod{m/d} \implies k \ge \frac{m}{d}$$

Furthermore, since i/d is an integer,

$$(x^i)^{m/d} \equiv (x^m)^{i/d} \equiv 1 \pmod{p}$$

Thus by Theorem 11.1,  $k \mid \frac{m}{d} \implies k \leq \frac{m}{d}$ 

Thus we see that  $k = \frac{m}{d}$ 

Corollary 11.8: For a prime p and a primitive root  $q \mod p$ , we have that

$$\operatorname{ord}_{p}(g^{i}) = \frac{p-1}{\gcd(i, p-1)}$$

*Proof*: Follows from Proposition 11.7 using x = g and m = p - 1

**Example**: Since 2 is a primitive root for 13, we have that  $2^8 \equiv 9 \pmod{13}$ . Proposition 11.7 says that

$$\operatorname*{ord}_{13}(9) = \frac{12}{\gcd(8,12)} = 3$$

Corollary 11.9: Let g be a primitive root for a prime p. The primitive roots for p are numbers congruent to  $g^i \pmod{p}$  for  $\gcd(i, p-1) = 1$ 

*Proof*: Since g is a primite root, every number that is nonzero mod p is congruent so some  $g^i$ 

By Corollary 11.8,  $\operatorname{ord}_{p}(g^{i}) = p - 1$  if and only if  $\gcd(i, p - 1)$ 

**Example:** Numbers relatively prime to 12 are 1, 5, 7, 11. Thus the primitive roots for 13 are

$$2, \quad 2^5 \equiv 6, \quad 2^7 \equiv 11, \quad 2^{11} \equiv 7$$

• Note: Fermat's Theorem tells us that everything starts over at  $2^{12} \equiv 1$ , so

$$2^{17} \equiv 2^{15}2^5 \equiv 2^5 \equiv 6 \pmod{13}$$

**Theorem 11.10**: Let p be a prime. There are  $\phi(p-1)$  primitive roots g for p where  $1 \le g < p$ Proof: Let g be a primitive root. The other primitive roots are exactly  $g^i \pmod{p}$  where  $1 \le u \le p-1$  with  $\gcd(i, p-1)$ There are  $\phi(p-1)$  such values of i, so we are done

**Example**: The number of primitive roots for 10003 is

$$\phi(100002) = 28560$$

**Example**: Suppose we want to show that 6 is a primitive root mod 41

Let  $m = \text{ord}_{41}(6)$ . Since  $m \mid 40$ , by Corollary 11.2, we see that  $m \in \{1, 2, 4, 5, 8, 10, 20, 40\}$ 

Calculation shows that  $6^{20} \equiv -1 \pmod{41}$ . Then m cannot be a divisor of 20

• BWOC, if  $6^5 \equiv 1 \pmod{41}$ , then  $6^{20} \equiv (6^5)^4 \equiv 1^4 \equiv 1$ . Contradiction

The only remaining choices are m = 8 and m = 40

- If m = 8, then  $6^8 \equiv 10 \pmod{41} \implies m \neq 8$
- Thus we must have m = 40. Thus 6 is a primitive root for 41

**Proposition 11.11**: For a prime p and  $h \neq 0 \pmod{p}$ , the following are equivalent

- h is a primitive root for p
- For each prime q dividing p-1, we have

$$h^{(p-1)/q} \not\equiv 1 \pmod{p}$$

 $Proof 1 \rightarrow 2$ : If h is a primitive root, then

$${\rm ord}_p(h) = p - 1 > (p - 1)/q > 0$$

Thus for each q,

$$h^{(p-1)/q} \not\equiv 1 \pmod{p}$$

Proof  $2 \to 1$ : Let  $m = \operatorname{ord}_p(h)$ 

Corollary 11.2 says that  $m \mid p-1$ .

If  $m \neq p-1$ , let p be a prime dividing (p-1)/m such that qk = (p-1)/m for some k

Then we have

$$mk = (p-1)/q \implies h^{(p-1)/q} \equiv (h^m)^k \equiv 1 \pmod{p}$$

Contradiction. Thus m = p - 1

#### 9.3 Discrete Log Problem

**Definition - Discrete Log Problem (DLP)**: Given a prime p, a primitive root g, and  $h \not\equiv 0 \pmod{p}$ , find x such that  $g^x \equiv h \pmod{p}$ 

• Here the answer x is called the **discrete log** of h

**Example:** Suppose we want to solve  $3^x = 1594323$  without mods

•  $3^{10} = 59049$   $3^{15} = 14348907 \implies x$  is between 10 and 15. By inspection x = 13 works

Now suppose we want to solve  $3^x \equiv 8 \pmod{43}$ . This is clearly harder since higher powers are reduced mod 43

- Brute force approach gives us x = 39
- In particular, x = 81 also works

$$3^{81} \equiv 3^{42}3^{39} \equiv 1 * 3^{39} \equiv 8 \pmod{43}$$

In general, using Fermat's Theorem, x = 39 + 42k for any integer k

## 9.3.1 Baby Step-Giant Step Method

Let g be a primitive root for a prime p and let  $h \not\equiv 0 \pmod{p}$ . We solve

$$g^x \equiv h \pmod{p}$$

- 1. Let  $N = \lceil \sqrt{p-1} \rceil$
- 2. Make two lists
- $g^i \pmod{p}$  for  $0 \le i \le N-1$
- $hg^{-Nj} \pmod{p}$  for  $0 \le j \le N-1$
- 3. Find a match between the two lists  $g^i \equiv hg^{-Nj} \pmod{p}$
- 4. x = i + Nj solves the DLP

Note: There is always a match since we can express n in terms of base  $N \implies n = \underbrace{x_0}_{j} + \underbrace{x_1}_{k} N$ 

**Example:** Solve  $2^x \equiv 9 \pmod{19}$ . Here

$$N = \lceil \sqrt{19 - 1} \rceil = 5$$

Since h = 9, we have the lists

• 
$$2^0 \equiv 1$$
,  $2^1 \equiv 2$ ,  $2^2 \equiv 4$ ,  $2^3 \equiv 8$ ,  $2^4 \equiv 16$ 

• 
$$9*2^{-0} \equiv 9$$
,  $9*2^{-5} \equiv 8$ ,  $9*2^{-10} \equiv 5$ ,  $9*2^{-15} \equiv 15$ ,  $9*2^{-20} \equiv 7$ 

Both lists have 8 in common, so a match is  $2^3 \equiv 8 \equiv 9 * 2^{-5}$ 

Thus  $2^8 \equiv 9$ 

## 9.3.2 Index Calculus

Baby Step-Giant Step Method is slow when p is large. In this section, we solve DLPs faster Notationwise, we usually let log(h) be the DLP of h when p, q are understood

**Example**: Solve  $2^x \equiv 55 \pmod{101}$ 

$$\log(h) \implies x \text{ such that } 2^x \equiv h \pmod{101}$$

First ignore 55 and compute some other discrete logs instead

• Choose a set of small primes {3, 5, 7}. Call this set a **factor base** 

The first goal is to compute their discrete logs by computing  $2^r \pmod{101}$  for randomly chosen values of r and trying to factor the results using only 3, 5, 7

$$2^7 \equiv 27 \equiv 3^3 * 5^0 * 7^0 \pmod{101}$$
  
 $2^9 \equiv 7 \equiv 3^0 * 5^0 * 7^1 \pmod{101}$   
 $2^{17} \equiv 75 \equiv 3^1 * 5^2 * 7^0 \pmod{101}$ 

$$2^{24} \equiv 5 \equiv 3^0 * 5^1 * 7^0 \pmod{101}$$

$$2^{47} \equiv 63 \equiv 3^2 * 5^0 * 7^1 \pmod{101}$$

Relations such as  $2^{22} \equiv 77 \pmod{101}$  are excluded since 77 is not a product of numbers in the factor base

We want to find  $\log(n)$  for  $n \in \{3, 5, 7\}$ 

- Since  $2^9 \equiv 7 \implies \log(7) = 9$
- Since  $2^{24} \equiv 5 \implies \log(5) = 24$
- To get log(3), we look at the prime factorizations we already have

$$3 \equiv (3^3 * 5^0 * 7^0)(3^0 * 5^0 * 7^1)(3^2 * 5^0 * 7^1)^{-1} \equiv 2^7 * 2^9 \equiv 2^{-47} \equiv 2^{-31} \equiv 2^{69}$$

Finally, we now find  $\log(55)$  by computing  $55 * 2^r \pmod{101}$  for random values of r until we obtain a number that can be factored using only primes in the factor base

$$55*2^{25} \equiv 45 \equiv 3^2*5 \pmod{101} \implies 55 \equiv 2^{-25}*3^2*5 \pmod{101} \equiv 2^{-25}*2^{2*69}*2^{24} \equiv 2^{37} \pmod{101}$$

Thus we conclude that x = 37

The steps above can be generalized into

Let g be a primitive root for prime p and let  $h \not\equiv 0 \pmod{p}$ . We solve

$$g^x \equiv h \pmod{p}$$

- 1. Choose a factor base B of small primes
- 2. Compute  $g^r \pmod{p}$  for many random values of r and try to factor the results using only primes from B
- 3. Use combinations of successes from Step 2 to evaluate  $\log(q)$  for all  $q \in B$
- 4. Computer  $h * g^r \pmod{p}$  for random values of r and try to factor these using only primes from B. If this happens, evaluate  $\log(h)$  using the values of  $\log(q)$  for  $q \in B$

## 10 Diffie-Hellman Key Exchange

- 1. Alice and Bob agree on a large prime p and a primitive root  $q \mod p$
- 2. Alice chooses a secret a and computes  $h_1 \equiv g^a \pmod{p}$
- 3. Bob chooses a secret b and calculates  $h_2 \equiv g^b \pmod{p}$
- 4. Alice sends  $h_1$  to Bob and Bob sends  $h_2$  to Alice
- 5. Alice computes  $k \equiv h_2^a \pmod{p}$
- 6. Bob computes  $k \equiv h_1^b \pmod{p}$

Thus Alice and Bob have computed  $k \equiv g^{an}$ , which is their shared key

• Note an eavesdropper can intercept  $g, g^a \pmod{p}$ , and  $g^b \pmod{p}$ . If Discrete Log Problem is easy, they can use g and  $g^a$  to find a, then compute  $k \equiv g^{ba}$ 

# 11 Quadratic Reciprocity

#### 11.1 Squares and Square Roots Mod Primes

**Definition - Quadratic Residue**: If a is a square mod n, then a is a quadratic residue mod n

• If not, then a is a quadratic nonresidue

#### Examples:

• 2 is a square mod 7 since  $3^2 \equiv 2 \pmod{7}$ 

- -1 is a square mod 5 since  $2^2 \equiv 1 \pmod{5}$
- 2 is not a square mod 3 since for  $x^2 \not\equiv 2$  for x = 0, 1, 2

**Proposition 13.1**: Let p be an odd prime and let  $a \not\equiv 0 \pmod{p}$ . Then

$$a^{(p-1)/2} \equiv \pm 1 \pmod{p}$$
 and  $a$  is a square mod  $p \iff a^{(p-1)/2} \equiv 1 \pmod{p}$ 

*Proof*: Let  $b \equiv a^{(p-1)/2} \pmod{p}$ . Then  $b^2 \equiv a^{p-1} \equiv 1 \pmod{p}$  by Fermat's Theorem

Thus by Corllary 6.11,  $b \equiv a^{(p-1)/2} \equiv \pm 1 \pmod{p}$ 

 $\implies$  Let a be a square mod p, then  $x^2 \equiv a$  for some x. Thus we have

$$a^{(p-1)/2} \equiv (x^2)^{(p-1)/2} \equiv x^{p-1} \equiv 1 \pmod{p}$$

by Fermat's Theorem

 $\iff$  Suppose  $a^{(p-1)/2} \equiv 1 \pmod{p}$  and let g be a primitive root mod p. Then  $g^i \equiv a$  for some i, so

$$1 \equiv a^{(p-1)/2} \equiv q^{i(p-1)/2} \pmod{p}$$

Thus  $p-1 \mid i(p-1)/2 \implies (p-1)k = i(p-1)/2$  for some k

Thus i=2k and therefore  $a\equiv g^i\equiv (g^k)^2$ 

Thus a is a square mod p

**Definition Legendre Symbol:** For an odd prime p and integer  $a \not\equiv 0 \pmod{p}$ , we define the **Legendre symbol** as

$$\left(\frac{a}{p}\right) = \begin{cases} +1 & x^2 \equiv a \pmod{p} \text{ has a solution} \\ -1 & x^2 \equiv a \pmod{p} \text{ has no solution} \end{cases}$$

Examples

- $(\frac{2}{7}) = +1$
- $(\frac{-1}{5}) = +1$
- $(\frac{2}{3}) = -1$

**Proposition 13.3**: For an odd prime p and  $a, b \not\equiv 0 \pmod{p}$ , we have

• (a) Euler's Criterion:

$$(\frac{a}{p}) \equiv a^{(p-1)/2} \pmod{p}$$

• (b)

$$\left(\frac{a}{n}\right)\left(\frac{b}{n}\right) = \left(\frac{ab}{n}\right)$$

• (c)

$$a \equiv b \pmod{p} \implies (\frac{a}{p})(\frac{b}{p})$$

• (d)

$$\left(\frac{-1}{p}\right) = \begin{cases} +1 & p \equiv 1 \pmod{4} \\ -1 & p \equiv 3 \pmod{4} \end{cases}$$

Proof:

(a): Using Proposition 13.1, we know that

- If a is a square mod p, then  $a^{(p-1)/2} \equiv +1 \equiv (\frac{a}{p}) \pmod{p}$
- If a is not a square mod p, then  $a^{(p-1)/2} \equiv -1 \equiv \left(\frac{a}{p}\right) \pmod{p}$
- (b): The congruence of (a) also holds for b, ab. Thus

$$(\frac{a}{p})(\frac{b}{p}) \equiv a^{(p-1)/2}b^{(p-1)/2} = (ab)^{(p-1)/2} \equiv (\frac{ab}{p}) \pmod{p}$$

Since  $-1 \not\equiv +1 \pmod{p}$  for  $p \geq 3$ , the congruence above must hold

(c): If  $a \equiv b \pmod{p}$ , then  $x^2 \equiv a \pmod{p}$  has a solution if and only if  $x^2 \equiv b \pmod{p}$  has a solution. This is what (c) is saying

(d): Note that (p-1)/2 is even if  $p \equiv 1 \pmod{4}$  and odd if  $p \equiv 3 \pmod{4}$ . Thus

$$\left(\frac{-1}{p}\right) \equiv (-1)^{(p-1)/2} = \begin{cases} +1 & p \equiv 1 \pmod{4} \\ -1 & p \equiv 3 \pmod{4} \end{cases}$$

**Note** that  $(\frac{x^2}{p}) = 1$  if  $p \nmid x$  since  $x^2$  will be a square mod p. Thus from part (b), we have that

$$(\frac{x^2}{p}) = (\frac{x}{p})^2 = (\pm 1)^2 = 1$$

**Theorem 13.4**: For distinct odd primes p, q, we have

• (a) Quadratic Reciprocity:

$$(\frac{q}{p}) = (-1)^{(p-1)(q-1)/4} (\frac{p}{q}) = \begin{cases} (\frac{p}{q}) & p \equiv 1 \pmod{4} \ \forall \ q \equiv 1 \pmod{4} \\ -(\frac{p}{q}) & p \equiv q \equiv 3 \pmod{4} \end{cases}$$

• (b) Supplementary Law 1:

$$\left(\frac{-1}{p}\right) = (-1)^{(p-1)/2} = \begin{cases} +1 & p \equiv 1 \pmod{4} \\ -1 & p \equiv 3 \pmod{4} \end{cases}$$

• (c) Supplementary Law 2:

$$(\frac{2}{p}) = (-1)^{(p^2 - 1)/8} = \begin{cases} +1 & p \equiv 1,7 \pmod{8} \\ -1 & p \equiv 3,5 \pmod{8} \end{cases}$$

**Example**: Is 23 a square mod 419?

$$(\frac{23}{419}) = -(\frac{419}{23}) \quad \text{since } 23 \equiv 419 \equiv 3 \pmod{4}$$

$$= -(\frac{5}{23}) \quad \text{since } 419 \equiv 5 \pmod{23}$$

$$= -(\frac{23}{5}) \quad \text{since } 5 \equiv 1 \pmod{4}$$

$$= -(\frac{3}{5}) \quad \text{since } 23 \equiv 3 \pmod{5}$$

$$= -(\frac{5}{3}) \quad \text{since } 5 \equiv 1 \pmod{4}$$

$$= -(\frac{2}{3}) \quad \text{since } 5 \equiv 2 \pmod{3}$$

$$= -(-1) = +1 \quad \text{by Supplementary Law 2}$$

Thus 23 is a square root mod 419

Non-Example: Is 295 a square mod 401?

$$(\frac{295}{401}) = (\frac{5}{401})(\frac{59}{401})$$

Where

$$(\frac{5}{401}) = (\frac{401}{5}) = (\frac{1}{5}) = +1 \qquad (\frac{59}{401}) = (\frac{401}{59}) = (\frac{47}{59}) = (\frac{59}{47}) = -(\frac{12}{47}) = -(\frac{12}{47}) = -(\frac{4}{47})(\frac{3}{47}) = -(\frac{3}{47}) = +(\frac{47}{3}) = (\frac{2}{3}) = -1$$

Thus

$$(\frac{295}{401}) = (+1)(-1) = -1$$

Thus 295 is not a square mode 401

Consider: For which primes p is 5 a square mod p?

To answer this, we look at 5 mod p for each p and get a list of primes. By Quadratic Reciprocity

$$\left(\frac{5}{p}\right) = \left(\frac{p}{5}\right) = \begin{cases} +1 & p \equiv \pm 1 \pmod{5} \\ -1 & p \equiv \pm 2 \pmod{5} \end{cases}$$

Thus the primes for which 5 is a quadratic residue form congruence classes

$$p \equiv 1 \mod 5$$
  $p \equiv 4 \mod 5$ 

**Consider**: For which primes p is 3 a square mod p

The answer to this depends on  $p \mod 12$ 

• If  $p \equiv 1 \pmod{12}$ , then

$$(\frac{3}{p}) = (\frac{p}{3}) = (\frac{1}{3}) = +1$$

• If  $p \equiv 5 \pmod{12}$ , then

$$(\frac{3}{p}) = (\frac{p}{3}) = (\frac{2}{3}) = -1$$

• If  $p \equiv 7 \pmod{12}$ , then

$$(\frac{3}{p}) = -(\frac{p}{3}) = -(\frac{1}{3}) = -1$$

Thus we need to consider the congruence class of p both mod 3 and mod 4  $\implies$  we are looking at p mod 12

This wasn't necessary in the previous case since  $5 \equiv 1 \pmod{4}$  and  $3 \equiv 3 \pmod{4}$ , so a negative sign never occurs in Quadratic Reciprocity

**Upshot**: For a prime p, when asking if a is a square mod p, the answer depends only on the congruence class of p mod 4a

### 11.2 Computing Square Roots Mod p

**Proposition 13.5**: Let  $p \equiv 3 \pmod{4}$  be prime and take  $x \not\equiv 0 \pmod{p}$ . Then exactly one of x or -x is a square mod p. Let

$$y \equiv x^{(p+1)/4} \pmod{p} \implies y^2 \equiv \pm x \pmod{p}$$

*Proof*: Since  $p \equiv 3 \pmod{4}$ , by Proposition 13.3, we have that  $\left(\frac{-1}{n}\right) = -1$ . Thus

$$(\frac{-x}{p}) = (\frac{-1}{p})(\frac{x}{p}) = -(\frac{x}{p})$$

Therefore exactly one of  $(\frac{x}{p})$  and  $(\frac{-x}{p})$  is +1 and the other is -1

Thus exactly one of x and -x is a square mod p

Now let  $y \equiv x^{(p+1)/4}$ . Then

$$y^2 \equiv (x^{(p+1)/4})^2 \equiv x^{(p+1)/2} \equiv x^{(p-1)/2} x \equiv (\pm 1)x \pmod{p}$$

since  $x^{(p-1)/2} \equiv \pm 1$  by Proposition 13.1

**Example:** Let  $p = 12583 \equiv 3 \pmod{4}$  and  $\equiv 7 \pmod{8}$ 

$$\left(\frac{8}{12583}\right) = \left(\frac{2}{12583}\right)^3 = +1$$

Thus we see that

$$8^{(12583+1)/4Q} = 8^{3146} \equiv 9363 \pmod{12583} \implies 9363^2 \equiv 8 \pmod{12583}$$

**Proposition 13.6**: Let  $p \equiv 5 \pmod{8}$  be prime and take  $x \not\equiv 0 \pmod{p}$ . If  $x \equiv y^2 \pmod{p}$ , then

$$y \equiv \begin{cases} \pm x^{(p+3)/8} & x^{(p-1)/4} \equiv 1 \pmod{p} \\ \pm 2^{(p-1)/4} x^{(p+3)/8} & x^{(p-1)/4} \equiv -1 \pmod{p} \end{cases}$$

*Proof*: Since  $x^{(p-1)/4} \equiv y^{(p-1)/2} \equiv \pm 1 \pmod{p}$ , so the cases above are the only possibilities

• Assume that  $x^{(p-1)/4} \equiv 1$ . Then we see that

$$(x^{(p+3)/8})^2 \equiv x^{(p+3)/4} \equiv x^{(p-1)/4} x \equiv x \equiv y^2 \pmod{p} \implies \pm x^{(p+3)/8} \equiv y \pmod{p}$$

• Assume that  $x^{(p-1)/4} \equiv -1$ . Then we see that

$$(2^{(p-1)/4}x^{(p+3)/8})^2 \equiv 2^{(p-1)/2}x^{(p-1)/4}x \equiv (\frac{2}{p})(-1)y^2 \equiv y^2 \pmod{p}$$

Thus by Supplementary Law 2, we have that  $(\frac{2}{p}) = -1$  when  $p \equiv 5 \pmod 8$ 

Thus the formula in the proposition holds

**Example**: Let  $p = 37 \equiv 5 \pmod{8}$  and  $\equiv 1 \pmod{4}$ 

$$(\frac{7}{37}) = (\frac{37}{7}) = (\frac{2}{7}) = +1$$

Thus we have that

$$p^{(37-1)/4} = 7^9 \equiv 1 \pmod{37} \implies y \equiv \pm 7^{(37+3)/8} \equiv \pm 7^5 \equiv \pm 9 \pmod{37}$$

## 12 Arithmetic Functions

#### 12.1 Perfect Numbers

**Definition - Perfect Number**: n > 0 is perfect if

$$n = \sum_{d|n, d \neq n} d$$

**Definition - Abundant Number**: n > 0 is perfect if

$$n < \sum_{d|n, d \neq n} d$$

**Definition - Deficient Number**: n > 0 is **perfect** if

$$n > \sum_{d|n, d \neq n} d$$

We define  $\sigma(n) = \sum_{d|n} d$  (including n)

• Note: n is perfect if  $n = \sigma(n) - n \implies \sigma(n) = 2n$ 

**Proposition 16.3**: For a prime p

$$\sigma(p^k) = 1 + p + \dots + p^k = \frac{p^{k+1} - 1}{p-1}$$

*Proof*: Divisors for  $p^k$  are  $1, p, \ldots, p^k$ , so the sum of these is  $\sigma(p^k)$ 

The second part of the equation comes from the geometric series

**Example**: 
$$\sigma(9) = \sigma(3^2) = 1 + 3 + 9 = 13 = \frac{27-1}{2}$$

**Proposition 16.4**: If m, n are relatively prime, then

$$\sigma(mn) = \sigma(m)\sigma(n)$$

**Theorem 16.5**: Let n be an even perfect number, then there exists a unique prime p such that

- 1.  $2^p 1$  is prime
- 2.  $n = 2^{p-1}(2^p 1)$  is prime

Conversely, every n of this form with  $p, 2^{p-1}$  prime, is perfect

*Proof*:  $\implies$  Suppose n is perfect and even, we show that n has the desired form  $n=2^{p-1}(2^p-1)$ 

First write  $n = 2^k m$  where m is odd, then

$$\sigma(n) = \sigma(2^k)\sigma(m) = (2^{k+1} - 1)\sigma(m)$$

Now we find the value of m. BWOC suppose m = 1, then

$$n = 2^k \implies \phi(n) = 2^{k+1} - 1 \neq 2n$$

Thus m > 1 and we have at least 2 distinct divisors of m, namely 1 and m. Now we see that

$$\sigma(m) = 1 + m + s$$

Where s is the sum of the other divisors. We show that s=0

Since n is assumed to be perfect, we have that

$$2^{k+1}m = 2n = \sigma(n) = \sigma(2^k)\sigma(m) = (2^{k+1}-1)(1+m+s)$$

Which implies that

$$m = (2^{k+1} - 1)(s+1)$$

Thus  $s+1 \mid m$  and we see that

$$\sigma(m) \ge 1 + m + (s+1)$$

However, this leads to

$$\sigma(m)=1+m+s\geq 1+m+(s+1)$$

Contradiction. Thus s = 0 and m is prime and  $m = 2^{k+1} - 1$ 

Thus  $n = 2^k m = 2^k (2^{k+1} - 1)$ 

 $\iff$  Suppose  $p, 2^{p-1}$  are prime and that  $n = 2^{p-1}(2^p - 1)$ . Then

$$\sigma(n) = \sigma(2^{p-1}(2^p - 1)) = (2^p - 1)(2^p) = 2(2^{p-1}(2^p - 1)) \implies \sigma(n) = 2n$$

Thus n is perfect

### 12.2 Multiplicative Functions

**Definition - Multiplicative Function**: f(x) is a **multiplicative function** if f(mn) = f(m)f(n) for all positive integers with gcd(m,n) = 1

Examples:

- $\phi(35) = \phi(7)\phi(5) = 6 * 4$
- For f(n) = n, we have that f(mn) = mn = f(m)f(n)
- For f(n) = 1, we see that f(mn) = 1 = f(m)f(n)
- $\sigma(n)$  is multiplicative
- Let  $\tau(n)$  be the number of positive divisors of n, for example  $\tau(4) = 3$ . Then  $\tau(n)$  is multiplicative
- Mobius Function:  $\mu(n) = \begin{cases} (-1)^r & n \text{ is the product of } r \text{ distinct primes} \\ 0 & \text{otherwise} \end{cases}$

**Proposition 16.7**: If f, g are multiplicative functions and  $f(p^j) = g(p^j)$  for all primes p, then f(n) = g(n)

*Proof*: Let  $n=p_1^{a_1}\cdots p_r^{a_r}$ . Since  $\gcd(p_i^{a_i},p_j^{a_j})=1$  if  $i\neq j$  we can write

$$f(n) = f(p_1^{a_1}) f(p_2^{a_2}) \cdots f(p_r^{a_r}) = f(p_1^{a_1}) f(p_2^{a_2}) \cdots f(p_r^{a_r}) = g(n)$$

**Lemma 16.9**: Let gcd(m, n) = 1 and let d be a positive divisor of mn. Then d has a unique decomposition  $d = d_1d_2$  where  $d_1 \mid m$  and  $d_2 \mid n$ 

*Proof*: Let  $m = p_1^{a_1} \cdots p_r^{a_r}$  and let  $n = q_1^{b_1} \cdots q_s^{b_s}$ , then d has the form

$$d = p_1^{a_1'} \cdots p_r^{a_r'} q_1^{b_1'} \cdots q_s^{b_s'}$$

We also have  $d_1 \mid m$  and  $gcd(m, n) = 1 \implies gcd(d_1, n) = 1$  so  $q_j$  cannot appear in  $d_1$ . Similarly,  $p_i$  cannot appear in  $d_2$ . Thus we can form

$$d_1 = p_1^{a_1'} \cdots p_r^{a_r'}$$
  $d_2 = q_1^{b_1'} \cdots q_s^{b_s'} \implies d = d_1 d_2$ 

**Example:** Let  $m = 56 = 2^3 * 7$  and  $n = 75 = 3 * 5^2$ , then d = 70

We see that

$$\$70 \mid 4200 = 56 * 75 \implies 70 = 14 * 5 \quad d_1 = 14 \mid 56 \quad d_2 = 5 \mid 75$$

**Proposition 16.8**: Let f be a multiplicative function and let

$$g(n) = \sum_{d|n} f(d)$$

Then g is multiplicative

*Proof*: Let gcd(m, n) = 1, then we have

$$g(mn) = \sum_{d|mn} f(d) = \sum_{d_1|m,d_2|n} f(d_1d_2)$$

Clearly  $gcd(d_1, d_2) = 1$  and since f is multiplicative, we have that  $f(d_1d_2) = f(d_1)f(d_2)$ . Thus the sum becomes

$$\sum_{d_1|m}^{d_2|n} f(d_1)f(d_2) = (\sum_{d_1|m} f(d_1))(\sum_{d_2|n} f(d_2)) = g(m)g(n)$$

Thus g is multiplicative

Corollary 16.10:  $\tau(n) = \sum_{d|n} 1$  is multiplicative

• Note:  $\tau(n) = (a_1 + 1)(a_2 + 1) \cdots (a_r + 1)$ 

Corollary 16.11:  $\sigma(n) = \sum_{d|n} d$  is multiplicative

## 13 Gaussian Integers

## 13.1 Complex Arithmetic

**Definition - Gaussian Integer**:  $Z[i] = \{a + bi \mid a, b \in Z\}$ 

**Definition - Norm**:  $||a+bi|| = \sqrt{a^2 + b^2}$ 

• Note:  $z\overline{z} = ||z||^2$ 

### 13.2 Gaussian Irreducible

Consider when a Gaussian integer has a factor

We define a function  $N: Z[i] \to Z$   $N(a+bi) = a^2 + b^2 = |a+bi|^2$ 

Note: N(zw) = N(z)N(w) for  $z, w \in Z[i]$ 

**Lemma 18.1**: For  $\alpha \in \mathbb{Z}[i]$ , the following are equivalent

- 1.  $N(\alpha) = 1$
- $2. 1/\alpha \in Z[i]$
- 3.  $\alpha = \pm 1$  or  $\alpha = \pm i$

Proof  $1 \leftrightarrow 3$ : Suppose  $\alpha = a + bi$ , then  $N(\alpha) = a^2 + b^2 = 1 \iff (a,b) = (\pm 1,0)$  or  $(0,\pm 1)$ 

Proof  $1 \to 2$ : Suppose  $N(\alpha) = 1$  and  $\alpha = a + bi \implies a^2 + b^2 = 1$ 

Thus we see that  $1/\alpha = a - bi \in Z[i]$ 

 $Proof \ 2 \to 1$ : Let  $\beta = 1/\alpha \in Z[i]$ , then  $\alpha\beta = 1 \implies N(1) = N(\alpha)N(\beta) \implies N(\alpha) = N(\beta) = 1$ 

**Definition - Units**:  $\pm 1$  and  $\pm i$  are called **units** of Z[i]

**Definition - Irreducible**: Gaussian integers are **irreducible** if  $\alpha$  is not a unit and  $\alpha = \beta \gamma \implies \beta$  or  $\gamma$  is a unit

**Proposition 18.3**: Suppose  $N(\alpha) = p$  for some prime, then  $\alpha$  is irreducible

*Proof*: Let 
$$\alpha = \beta \gamma \implies p = N(\alpha) = N(\beta)N(\gamma)$$

Thus either  $N(\beta) = 1$  or  $N(\gamma) = 1$ 

Thus by Lemma 18.1, either  $\beta$  or  $\gamma$  is a unit

Thus  $\alpha$  is irreducible

**Proposition 18.4**: Let p be a prime such that  $p \equiv 3 \pmod{4}$ , then p is irreducible in Z[i]

*Proof*: Let  $p = \beta \gamma \implies p^2 = N(p) = N(\beta)N(\gamma)$ 

BWOC, suppose neither  $\beta$  nor  $\gamma$  are units, then  $N(\beta) = N(\gamma) = p$ 

Looking at,  $\beta = a + bi$ , we see that  $p = a^2 + b^2$ 

Since a square can is either equivalent to 0,1 (mod 4), we must have that  $a^2 + b^2 \equiv 0,1,2 \pmod{4}$ 

However, we were given that  $a^2 + b^2 \equiv 3 \pmod{4}$ . Contradiction

Thus either  $\beta$  or  $\gamma$  is a unit, which means that p is irreducible

**Proposition 18.5**: The irreducible elements of Z[i] are the following and their associates

- 1+i
- p where p is a prime  $p \equiv 3 \pmod{4}$
- (a+bi), (a-bi) where  $a^2+b^2=p$  a prime where  $p\equiv 1\pmod 4$

**Example:** Let  $p = 29 \equiv 1 \pmod{4}$ . Then  $29 = 5^2 + 2^2$  gives two irreducibles: (5+2i), (5-2i)

**Proposition 18.6**: All Z[i] are either units, irreducible, or a product of irreducibles

*Proof*: BWOC, let  $\alpha$  not be a unit, irreducible, or a product of irreducibles with a minimal  $N(\alpha)$ 

Then  $\alpha = \beta \gamma \implies N(\alpha) = N(\beta)N(\gamma)$ , where  $\beta, \gamma$  are either irreducible or product of irreducibles since  $N(\beta), N(\gamma) < N(\alpha)$ 

Thus  $\alpha$  must be a product of irreducibles. Contradiction

#### 13.3 Division Algorithm

**Theorem 18.7**: Let  $\alpha, \beta \in Z[i]$  with  $\beta \neq 0$ , then there exists  $\eta, \rho \in Z[i]$  such that

$$\alpha = \beta \eta + \rho$$
  $0 \le N(\rho) < N(\beta)$ 

**Example:** Let  $\alpha = 23 - 9i$  and  $\beta = 3 + 2i$ , then

$$23 - 9i = (3 + 2i)(4 - 5i) + (1 - 2i)$$
  $N(1 - 2i) < N(3 + 2i)$ 

#### 13.4 Unique Factorization

**Definition - Divides**: For  $\alpha, \beta \in Z[i]$ , we say that  $\alpha$  divides  $\beta$  if there exists  $\gamma \in Z[i]$  such that

$$\alpha \gamma = \beta$$

Examples:

$$-1 + 7i = (2+i)(1+3i) \implies 2+i \mid -1+7i$$

$$6 + 3i = 3(2+i) \implies 2+i \mid 6+3i$$

If  $\alpha \mid \beta$  and u is a unit, then  $u\alpha \mid \beta$ . This can be seen by

$$\alpha \mid \beta \implies \beta = \alpha \gamma = (u\alpha)(u^{-1}\gamma) \implies u\alpha \mid \beta$$

Thus  $\alpha \mid \beta \iff$  an associate of  $\alpha \mid \beta$ 

• Note: Since u is a unit,  $u^{-1} \in Z[i]$ 

**Definition - Greatest Common Divisor**: Let  $\alpha, \beta \in Z[i]$ , and assume one is non-zero. Then  $\gamma$  is a **greatest common divisor** of  $\alpha, \beta$  if

- 1.  $\gamma \mid \alpha$  and  $\gamma \mid \beta$
- 2. Whenever  $\delta \mid \alpha$  and  $\delta \mid \beta$ , then  $\delta \mid \gamma$

**Theorem 18.9**: For  $\alpha, \beta \in Z[i]$ , where one if non-zero, then

- 1.  $\gamma = \gcd(\alpha, \beta)$  exists
- 2. If  $\gamma'$  is another gcd of  $\alpha, \beta$ , then  $\gamma'$  is an associate of  $\gamma$
- 3. There exists  $x, y \in Z[i]$  such that  $\alpha x + \beta y = \gamma$
- 4. If  $\delta$  is a common divisor of  $\alpha, \beta$ , then  $N(\delta) \leq N(\gamma)$
- 5. If  $\delta$  is a common divisor of  $\alpha, \beta$  and  $N(\delta) = N(\gamma)$ , then  $\delta$  is also a gcd of  $\alpha, \beta$

Corollary 18.10: Let  $\pi$  be irreducible in Z[i], and let  $\alpha, \beta \in Z[i]$  then

$$\pi \mid \alpha \beta \implies \pi \mid \alpha \vee \pi \mid \beta$$

*Proof*: If  $\pi \mid \alpha$ , we're done

Otherwise assume that  $\pi \nmid \alpha$  and let  $\gamma = \gcd(\alpha, \pi)$ 

Then  $\gamma \mid \pi$ . But since  $\pi$  is irreducible,  $\gamma = 1$  or  $\gamma = \pi$ 

However  $\gamma \neq \pi$  since  $\gamma \mid \alpha$  and  $\gamma \nmid \alpha$ . Thus  $\gamma$  is a unit

Thus there exists  $x_1, y_1 \in Z[i]$  such that

$$\alpha x_1 + \pi y_1 = \gamma$$

Since  $\gamma$  is a unit,  $\gamma^{-1}$  exists. Letting  $x = \gamma^{-1}x_1$  and  $y = \gamma^{-1}y_1$ , we have

$$\alpha x + \pi y = 1 \implies \alpha \beta x + \pi \beta y = \beta$$

Since  $\pi \mid \alpha \beta$  and  $\pi \mid \pi \beta y \implies \pi \mid \beta$ 

Corollary 18.11: Let  $\pi \in Z[i]$  be irreducible. If  $\pi \mid \alpha_1 \alpha_2, \dots \alpha_m$ , then  $\pi \mid \alpha_j$  for some j

Proof by Induction:

Base Case: m = 2 is handled by Corollary 18.10

IH: Assume the corollary holds for an arbitrary k

IS: Show that the corollary holds for k+1

$$\pi \mid \alpha_1 \alpha_2 \cdots \alpha_{k+1} = (\alpha_1 \alpha_2 \cdots \alpha_k) \alpha_{k+1}$$

Thus we can apply Corollary 18.10 and either  $\pi \mid \alpha_{k+1}$  or  $\pi \mid \alpha_1 \cdots \alpha_k$  (handled by IH)

Thus the corollary holds for m = k + 1

**Theorem 18.12**: Every non-zero Gaussian integer is either a unit, irreducible, or a product of irreducibles. This factorization is unique up to the order of the factors and multiplication of irreducibles by units

*Proof*: Proposition 18.6 showed that such a factorization exists

For uniqueness, BWOC, suppose that there are elements of Z[i] that can be written as a product of irreducibles in more than one way. Among these, let  $\alpha$  have the smallest norm

$$\alpha = \pi_1 \pi_2 \cdots \pi_r = \pi'_1 \pi'_2 \cdots \pi'_s$$

Where each  $\pi_j, \pi'_j$  is irreducible

Now divide  $\pi_1$  on both sides. By Corollary 18.11,  $\pi_1 \mid \pi_j'$  for some j

WLOG, we can reorder and have  $\pi'_i = \pi'_1$ 

Since  $\pi'_1$  is irreducible and  $\pi_1 \mid \pi'_1$ , they must differ by a unit u. Thus we have

$$\alpha = \pi_1 \pi_2 \cdots = \pi_r = u \pi_1 \pi_2' \cdots \pi_r' \implies \mu = \pi_2 \pi_3 \cdots \pi_r = \pi_2'' \pi_3' \cdots \pi_s'$$

Since  $\alpha$  had different factorizations,  $\mu$  also has different factorizations, but  $N(\mu) < N(\alpha)$ , contradicting the minimality of  $N(\alpha)$ . Thus every Gaussian integer can be factored into a product of irreducibles in one way