

# Quiz (last one!)

- Go to Quizzes > Quiz 11-05 on Canvas
- You have until 2:40pm to complete it
- Allowed resources
  - Textbook
  - Your notes (on a computer or physical)
  - Course slides and website
- Resources not allowed
  - Generative AI
  - Internet searches

CS 2731

# Introduction to Natural Language Processing

## Session 21: Sequence labeling

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# Course logistics: homework

- Homework 3 has been released and is **due this Fri Nov 7**
  - Run Jupyter notebooks from templates on the CRCD
  - Part 1: LLM prompting
  - Part 2: Instruction tuning of an LLM
    - CRCD GPUs
- Homework 4 on sequence labeling will be released this week

# Course logistics: project

- Project progress report **due next Thu Nov 13**
- Part 1: Task and dataset
  - Address the questions on basic dataset statistics, as well as how you will use your dataset to address your task
  - If you do not have a “traditional” dataset, present rough equivalents
- Part 2: Some kind of a result
  - Options: Baseline system evaluation on your dataset, a result from your own system, an example output from your system
- Part 3: Open questions and challenges
  - Need any help or additional resources?

# Structure of this course

MODULE 1	Introduction and text processing	text normalization, machine learning, NLP tasks
	Approaches	How text is represented
MODULE 2	statistical machine learning	n-grams
MODULE 3	neural networks	static word vectors
MODULE 4	transformers and LLMs	contextual word vectors
MODULE 5	Sequence labeling and parsing	named entity recognition, dependency parsing
MODULE 6	NLP applications and ethics	

# Overview: Sequence labeling

- Parts of speech
- Part-of-speech (POS) tagging
- Named entity recognition (NER)
- Fine-tuning BERT for sequence labeling

# Parts of speech

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My cat who lives dangerously no longer  
has nine lives.

My cat who *lives* dangerously no longer  
has nine *lives*.

My cat who **lives** dangerously no longer has nine **lives**.

**lives** /lɪvz/ verb

**lives** /laɪvz/ noun



STOP  
LETTING YOUR  
DOG SHIT  
In This Grass

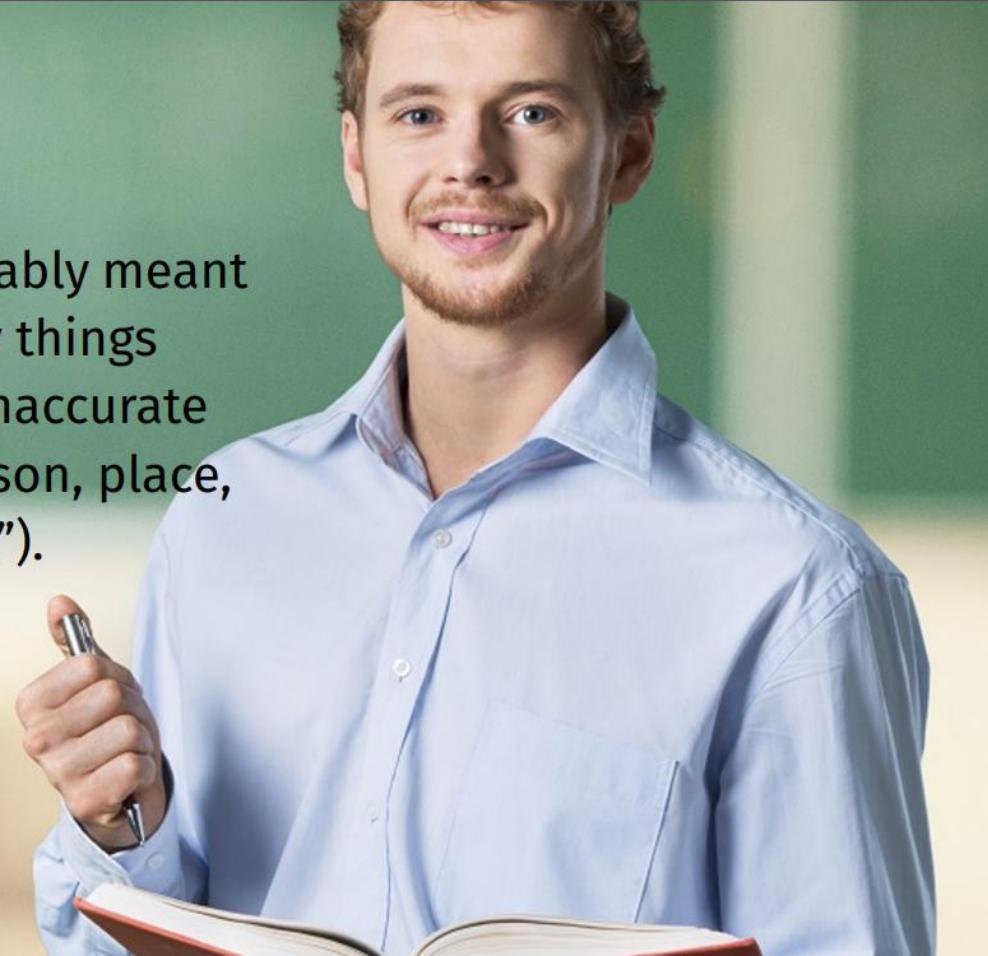
Keep Your Dog Shit at HOME!

# Examples of Parts of Speech

PART OF SPEECH	EXAMPLES
<b>noun</b>	dog, cat, professor, exam, fear, loathing, oppression, void, text, Bavarian
<b>verb</b>	enjoy, walk, finish, trust, hug, like, understand, be, text, drink
<b>adjective</b>	nice, happy, red, exciting, ludicrous, funny, ancient, Bavarian
<b>adverb</b>	slowly, quickly, shrewdly, foolishly, boisterously, undercover, yesterday
<b>preposition</b>	to, for, from, under, by
<b>auxiliary verbs</b>	be, have, must, might, will, would
<b>determiner</b>	the, a(n), this, that, my, her
<b>pronouns</b>	he, she, it, this, that
<b>conjunctions</b>	and, but, however, nevertheless, so

# Your English Teacher Was a Well-Intentioned Liar

Your English teacher probably meant well, but taught you many things about language that are inaccurate (like that a noun is a “person, place, thing, or abstract concept”).



*Slide credit: David Mortensen*

# Criteria from linguistics for parts of speech

Defining parts of speech by **where they appear** and **what they are made of** works better across languages than semantic definitions (so say the linguists).

**morphology** What is the distribution of morphemes within these words?

Same POS ⇒ similar morphemes

**syntax** What is the distribution of words within phrases and sentences?

Same POS ⇒ similar roles/contexts

# Open Class Parts of Speech

Classes to which neologisms are readily added. In English:

- |                   |   |
|-------------------|---|
| <b>nouns</b>      | can be both subjects and objects of verbs and objects of prepositions, (usually) be singular or plural, have determiners, be modified by adjectives, and be possessed |
| <b>verbs</b>      | can take noun phrases as arguments and tense morphology and can be modified by adverbs  |
| <b>adjectives</b> | can modify nouns and take comparative and superlative morphology where allowed by prosody   |
| <b>adverbs</b>    | can modify verbs, adjectives, or other adverbs  |

# Closed Class Parts of Speech

Classes to which neologisms are not readily added. In English:

<b>prepositions</b>	occur before noun phrases, connecting them syntactically to larger phrases
<b>determiners</b>	occur at the beginning of noun phrases
<b>conjunction</b>	join phrases, clauses, and sentences
<b>auxiliary verbs</b>	occur before (non-finite) main verbs
<b>particles</b>	are associated with a verb and are “moveable” (e.g. <i>He tore off his shirt</i> versus <i>He tore his shirt off</i> )
<b>numerals</b>	are distributed in some ways like nouns and in others like adjectives

# What about pronouns?

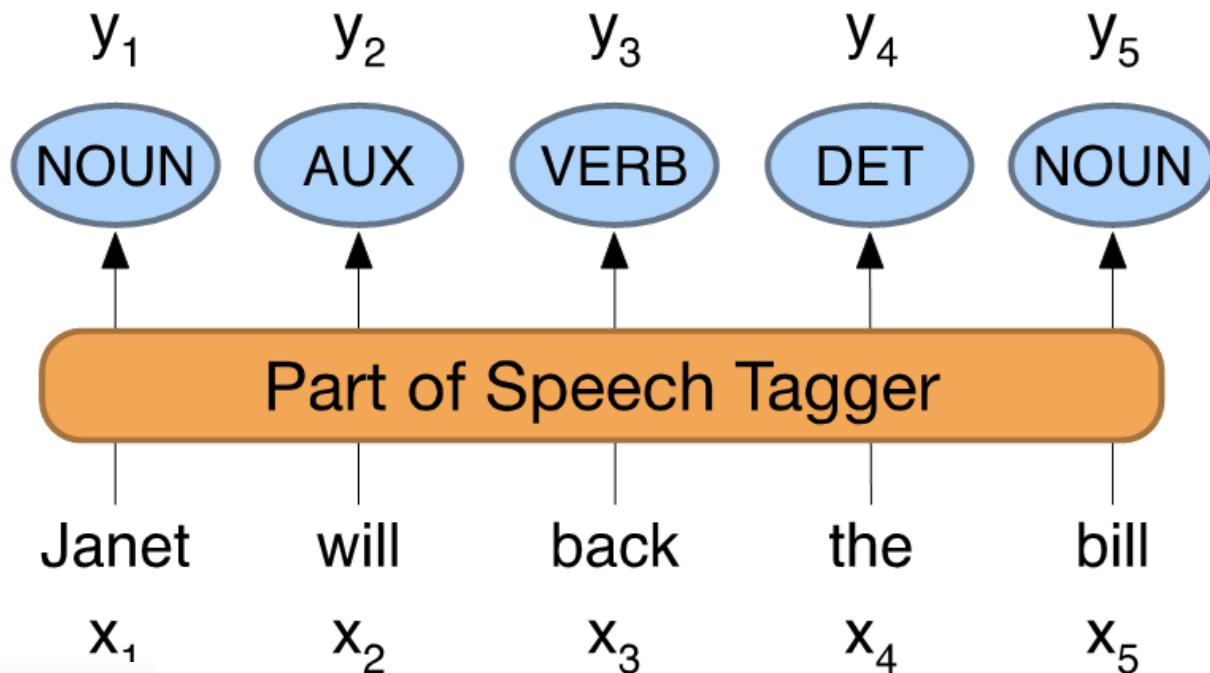
- Pronouns are generally considered, in English, to be a closed class— it is not easy to add new items to it.
- What are we to make of **neopronouns** like *xe* and *xem* or *ze* and *hir*?
- Their existence suggests that pronouns are not a completely closed class
  - Social movements can change grammar!
  - But it is difficult due to anti-transgender attitudes and to pronouns being a rather closed class in English
- In some languages (e.g., Thai) pronouns clearly are an open class

# Part of speech (POS) tagging

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# Part-of-speech tagging

Map from sequence  $x_1, \dots, x_n$  of words to  $y_1, \dots, y_n$  of POS tags



# Why part of speech tagging?

Can be useful for other NLP tasks

- MT: reordering of adjectives and nouns (say from Spanish to English)
- Sentiment or affective tasks: may want to distinguish adjectives or other POS
- Text-to-speech (how do we pronounce “lead” or "object"?)
- Parsing: POS tagging can improve syntactic parsing

Or linguistic or language-analytic computational tasks

- Need to control for POS when studying linguistic change like creation of new words, or meaning shift
- Or control for POS in measuring meaning similarity or difference

# “Universal Dependencies” tagset [Nivre et al. 2016]

	<b>Tag</b>	<b>Description</b>	<b>Example</b>
Open Class	<b>ADJ</b>	Adjective: noun modifiers describing properties	<i>red, young, awesome</i>
	<b>ADV</b>	Adverb: verb modifiers of time, place, manner	<i>very, slowly, home, yesterday</i>
	<b>NOUN</b>	words for persons, places, things, etc.	<i>algorithm, cat, mango, beauty</i>
	<b>VERB</b>	words for actions and processes	<i>draw, provide, go</i>
	<b>PROPN</b>	Proper noun: name of a person, organization, place, etc..	<i>Regina, IBM, Colorado</i>
	<b>INTJ</b>	Interjection: exclamation, greeting, yes/no response, etc.	<i>oh, um, yes, hello</i>
Closed Class Words	<b>ADP</b>	Adposition (Preposition/Postposition): marks a noun's spacial, temporal, or other relation	<i>in, on, by under</i>
	<b>AUX</b>	Auxiliary: helping verb marking tense, aspect, mood, etc.,	<i>can, may, should, are</i>
	<b>CCONJ</b>	Coordinating Conjunction: joins two phrases/clauses	<i>and, or, but</i>
	<b>DET</b>	Determiner: marks noun phrase properties	<i>a, an, the, this</i>
	<b>NUM</b>	Numeral	<i>one, two, first, second</i>
	<b>PART</b>	Particle: a preposition-like form used together with a verb	<i>up, down, on, off, in, out, at, by</i>
	<b>PRON</b>	Pronoun: a shorthand for referring to an entity or event	<i>she, who, I, others</i>
Other	<b>SCONJ</b>	Subordinating Conjunction: joins a main clause with a subordinate clause such as a sentential complement	<i>that, which</i>
	<b>PUNCT</b>	Punctuation	<i>; , ( )</i>
	<b>SYM</b>	Symbols like \$ or emoji	<i>\$, %</i>
	<b>X</b>	Other	<i>asdf, qwfg</i>

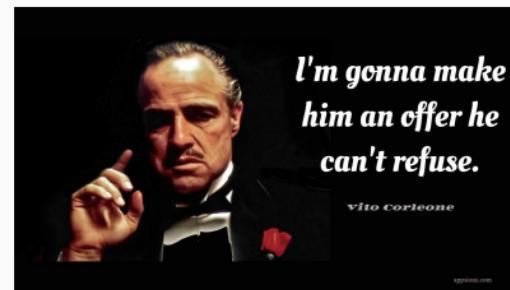
# Penn TreeBank tagset for English

Tag	Description	Example	Tag	Description	Example
CC	coordin. conjunction	<i>and, but, or</i>	SYM	symbol	+%, &
CD	cardinal number	<i>one, two, three</i>	TO	“to”	<i>to</i>
DT	determiner	<i>a, the</i>	UH	interjection	<i>ah, oops</i>
EX	existential ‘there’	<i>there</i>	VB	verb, base form	<i>eat</i>
FW	foreign word	<i>mea culpa</i>	VBD	verb, past tense	<i>ate</i>
IN	preposition/sub-conj	<i>of, in, by</i>	VBG	verb, gerund	<i>eating</i>
JJ	adjective	<i>yellow</i>	VBN	verb, past participle	<i>eaten</i>
JJR	adj., comparative	<i>bigger</i>	VBP	verb, non-3sg pres	<i>eat</i>
JJS	adj., superlative	<i>wildest</i>	VBZ	verb, 3sg pres	<i>eats</i>
LS	list item marker	<i>1, 2, One</i>	WDT	wh-determiner	<i>which, that</i>
MD	modal	<i>can, should</i>	WP	wh-pronoun	<i>what, who</i>
NN	noun, sing. or mass	<i>llama</i>	WP\$	possessive wh-	<i>whose</i>
NNS	noun, plural	<i>llamas</i>	WRB	wh-adverb	<i>how, where</i>
NNP	proper noun, singular	<i>IBM</i>	\$	dollar sign	\$
NNPS	proper noun, plural	<i>Carolinas</i>	#	pound sign	#
PDT	predeterminer	<i>all, both</i>	“	left quote	‘ or “
POS	possessive ending	<i>'s</i>	”	right quote	’ or ”
PRP	personal pronoun	<i>I, you, he</i>	(	left parenthesis	[, (, {, <
PRP\$	possessive pronoun	<i>your, one's</i>	)	right parenthesis	], ), }, >
RB	adverb	<i>quickly, never</i>	,	comma	,
RBR	adverb, comparative	<i>faster</i>	.	sentence-final punc	. ! ?
RBS	adverb, superlative	<i>fastest</i>	:	mid-sentence punc	: ; ... – -
RP	particle	<i>up, off</i>			

# POS Tagging is a Disambiguation Task

Consider the following sentences:

I	'm	gonna	make	him	an	offer	he	can	't	refuse
PRO	V	AUX	V	PRO	DET	N	PRO	AUX	ADV	V
			N			V				N



There are eight different ways of tagging this sentence if words are taken out of context. POS Tagging task: **choose the best of these.**

# How difficult is POS tagging in English?

Roughly 15% of word types are ambiguous

- Hence 85% of word types are unambiguous
- *Janet* is always PROPN, *hesitantly* is always ADV

But those 15% tend to be very common.

So ~60% of word tokens are ambiguous

E.g., *back*

earnings growth took a *back*/ADJ seat

a small building in the *back*/NOUN

a clear majority of senators *back*/VERB the bill

enable the country to buy *back*/PART debt

I was twenty-one *back*/ADV then

# Sources of information for POS tagging

Janet will back the bill

AUX/NOUN/VERB?

NOUN/VERB?

Prior probabilities of word/tag

- "will" is usually an AUX

Identity of neighboring words

- "the" means the next word is probably not a verb

Morphology and wordshape:

- Prefixes
- Suffixes
- Capitalization

unable:	un-	→ ADJ
importantly:	-ly	→ ADJ
Janet:	CAP	→ PROPN

# Standard algorithms for POS tagging

Supervised Machine Learning Algorithms:

- Hidden Markov Models
- Conditional Random Fields (CRFs)
- Neural sequence models (RNNs or Transformers)
- Large Language Models (like BERT), finetuned

All required a hand-labeled training set, all about equal performance (97% on English)

All make use of information sources we discussed

- Via human created features: HMMs and CRFs
- Via representation learning: Neural LMs

# Named entity recognition (NER)

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# Named entities

- Named entity means anything that can be referred to with a proper name. Most common 4 tags:
  - PER (Person): “Marie Curie”
  - LOC (Location): “New York City”
  - ORG (Organization): “Stanford University”
  - GPE (Geo-Political Entity): "Boulder, Colorado"
- Often multi-word phrases
- But the term is also extended to things that aren't entities:
  - dates, times, prices

# Named entity tagging

The task of named entity recognition (NER):

- find spans of text that constitute proper names
- tag the type of the entity.

# NER output

Citing high fuel prices, [ORG United Airlines] said [TIME Friday] it has increased fares by [MONEY \$6] per round trip on flights to some cities also served by lower-cost carriers. [ORG American Airlines], a unit of [ORG AMR Corp.], immediately matched the move, spokesman [PER Tim Wagner] said. [ORG United], a unit of [ORG UAL Corp.], said the increase took effect [TIME Thursday] and applies to most routes where it competes against discount carriers, such as [LOC Chicago] to [LOC Dallas] and [LOC Denver] to [LOC San Francisco].

# Why NER?

- Sentiment analysis: consumer sentiment toward a particular company or person?
- Question Answering: answer questions about an entity?
- Information Extraction: Extracting facts about entities from text.

# Why NER is hard

## 1) Segmentation

- In POS tagging, no segmentation problem since each word gets one tag.
- In NER we have to find and segment the entities!

## 2) Type ambiguity

[PER Washington] was born into slavery on the farm of James Burroughs.

[ORG Washington] went up 2 games to 1 in the four-game series.

Blair arrived in [LOC Washington] for what may well be his last state visit.

In June, [GPE Washington] passed a primary seatbelt law.

# BIO tagging [Ramshaw and Marcus 1995]

How can we turn this structured problem into a sequence problem like POS tagging, with one label per word?

[PER Jane Villanueva] of [ORG United Airlines Holding] discussed the [LOC Chicago ] route.

Words	BIO Label
Jane	B-PER
Villanueva	I-PER
of	O
United	B-ORG
Airlines	I-ORG
Holding	I-ORG
discussed	O
the	O
Chicago	B-LOC
route	O
.	O

# BIO tagging

B: token that *begins* a span

I: tokens *inside* a span

O: tokens outside of any span

# of tags (where n is #entity types):

1 O tag,

$n$  B tags,

$n$  I tags

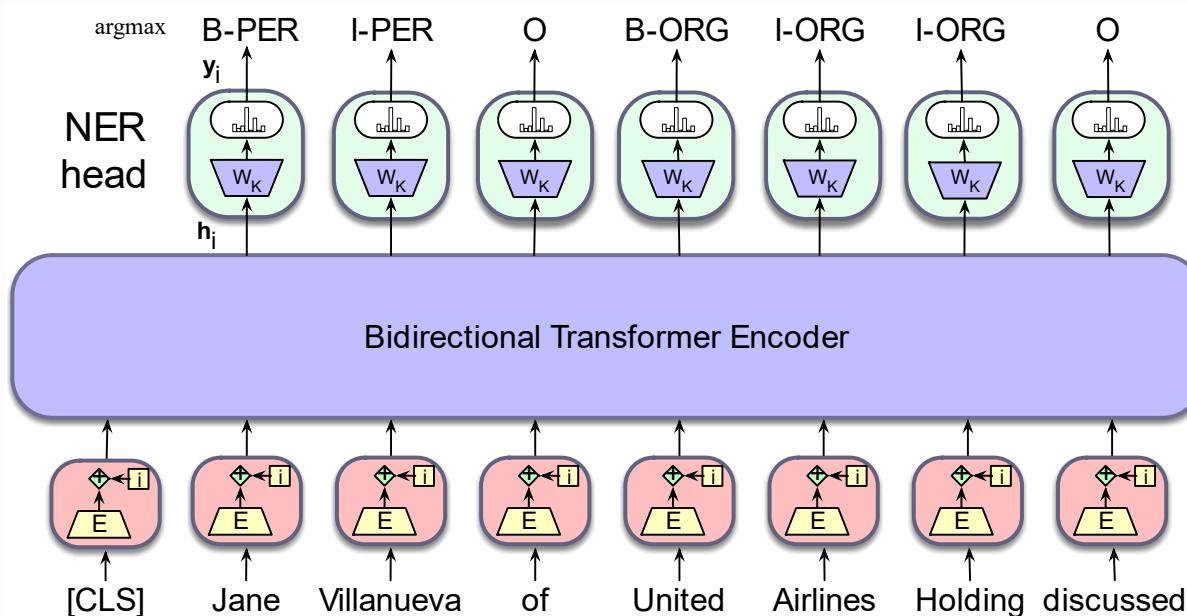
total of  $2n+1$

Words	BIO Label
Jane	B-PER
Villanueva	I-PER
of	O
United	B-ORG
Airlines	I-ORG
Holding	I-ORG
discussed	O
the	O
Chicago	B-LOC
route	O
.	O

# Finetuning BERT for sequence labeling

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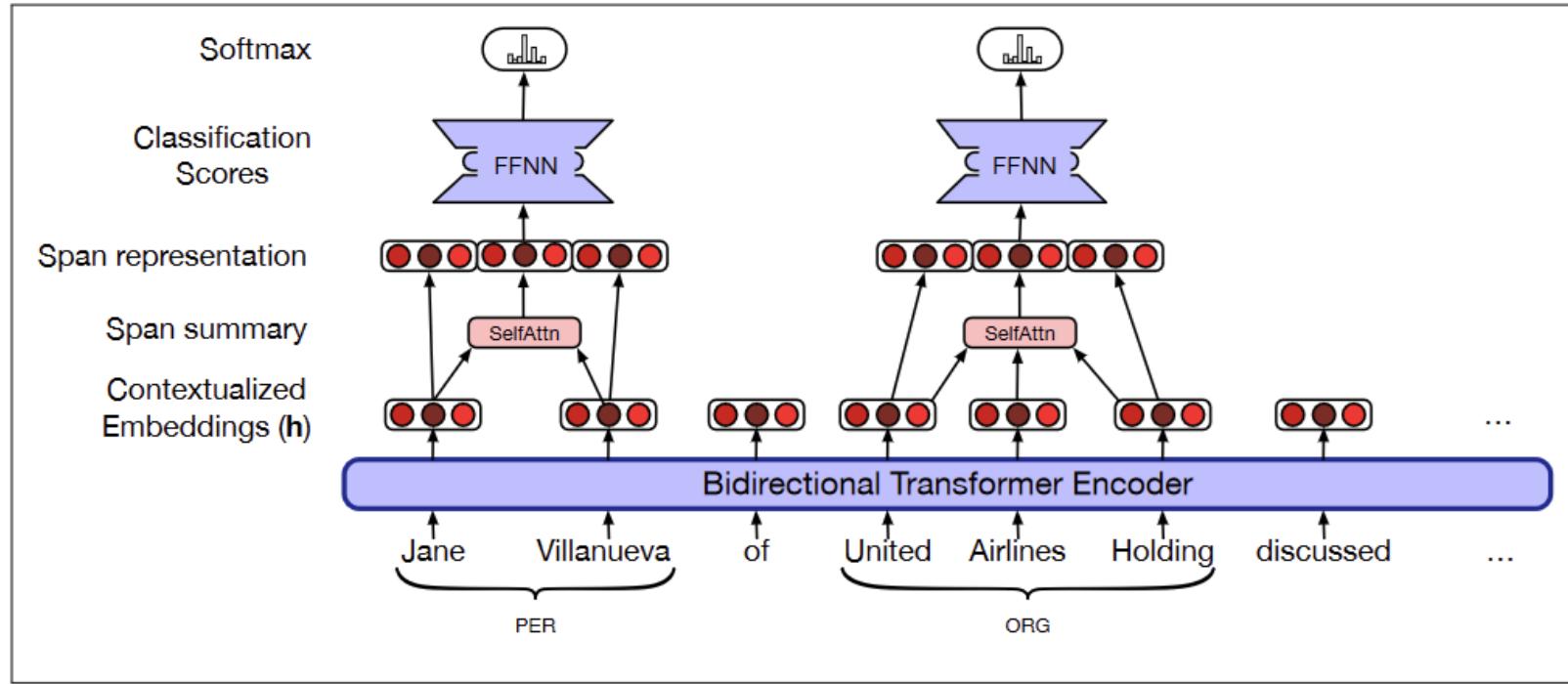
# Sequence labeling



$$\mathbf{y}_i = \text{softmax}(\mathbf{h}_i^L \mathbf{W}_K)$$

$$t_i = \text{argmax}_k(y_i)$$

# An alternative to BIO: span-based NER



**Figure 11.10** A span-oriented approach to named entity classification. The figure only illustrates the computation for 2 spans corresponding to ground truth named entities. In reality, the network scores all of the  $\frac{T(T-1)}{2}$  spans in the text. That is, all the unigrams, bigrams, trigrams, etc. up to the length limit.

Slide adapted from Jurafsky & Martin

# Conclusion

- Parts of speech are grammatical classes of words like nouns, verbs, and adjectives
- Part of speech (POS) tagging assigns a part of speech to every input word in context
- Named entity recognition (NER) is the task of identifying named entities like people, locations, and organizations
- NER can be framed as a sequence labeling task with a BIO framework
- BERT can be finetuned for sequence labeling

*Questions?*