

# Category Theory Summary

Mathematical Notes

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## Contents

<b>1</b>	<b>Basic Definitions</b>	<b>2</b>
1.1	Categories . . . . .	2
1.2	Morphisms . . . . .	2
1.3	Examples of Categories . . . . .	2
<b>2</b>	<b>Functors</b>	<b>2</b>
2.1	Definition . . . . .	2
2.2	Types of Functors . . . . .	3
2.3	Examples of Functors . . . . .	3
<b>3</b>	<b>Natural Transformations</b>	<b>3</b>
3.1	Definition . . . . .	3
3.2	Natural Isomorphism . . . . .	3
<b>4</b>	<b>Limits and Colimits</b>	<b>3</b>
4.1	Cones and Cocones . . . . .	3
4.2	Colimits . . . . .	4
4.3	Specific Limits and Colimits . . . . .	4
<b>5</b>	<b>Adjoint Functors</b>	<b>4</b>
5.1	Definition . . . . .	4
5.2	Unit and Counit . . . . .	4
5.3	Examples of Adjoints . . . . .	4
<b>6</b>	<b>Monads</b>	<b>5</b>
6.1	Definition . . . . .	5
6.2	Monad Algebras . . . . .	5
6.3	Examples of Monads . . . . .	5
<b>7</b>	<b>Yoneda Lemma</b>	<b>5</b>
7.1	Presheaves . . . . .	5
7.2	Yoneda Embedding . . . . .	5
7.3	Yoneda Lemma . . . . .	6
7.4	Corollary . . . . .	6

<b>8</b>	<b>Topoi</b>	<b>6</b>
8.1	Definition . . . . .	6
8.2	Subobject Classifier . . . . .	6
8.3	Examples of Topoi . . . . .	6
<b>9</b>	<b>Higher Category Theory</b>	<b>6</b>
9.1	2-Categories . . . . .	6
9.2	$\infty$ -Categories . . . . .	7
<b>10</b>	<b>Applications</b>	<b>7</b>
10.1	Algebraic Topology . . . . .	7
10.2	Algebraic Geometry . . . . .	7
10.3	Logic and Computer Science . . . . .	7
10.4	Physics . . . . .	7
<b>11</b>	<b>Universal Properties</b>	<b>7</b>
11.1	Initial and Terminal Objects . . . . .	7
11.2	Universal Elements . . . . .	8
<b>12</b>	<b>Enriched Categories</b>	<b>8</b>
12.1	Definition . . . . .	8
12.2	Examples . . . . .	8
<b>13</b>	<b>Coends and Ends</b>	<b>8</b>
13.1	Definition . . . . .	8
13.2	Examples . . . . .	8
<b>14</b>	<b>Monoidal Categories</b>	<b>9</b>
14.1	Definition . . . . .	9
14.2	Symmetric Monoidal Categories . . . . .	9
14.3	Examples . . . . .	9
<b>15</b>	<b>Important Theorems</b>	<b>9</b>
15.1	Adjoint Functor Theorem . . . . .	9
15.2	Freyd's Theorem . . . . .	9
15.3	Brown Representability . . . . .	9
<b>16</b>	<b>Conclusion</b>	<b>10</b>

# 1 Basic Definitions

## 1.1 Categories

**Definition 1.1.** A **category**  $\mathcal{C}$  consists of:

- A collection of **objects**  $\text{Ob}(\mathcal{C})$
- For each pair of objects  $A, B$ , a set  $\text{Hom}(A, B)$  of **morphisms** (or arrows)
- For each object  $A$ , an **identity morphism**  $1_A : A \rightarrow A$
- A **composition** operation  $\circ : \text{Hom}(B, C) \times \text{Hom}(A, B) \rightarrow \text{Hom}(A, C)$

satisfying:

- **Associativity:**  $(f \circ g) \circ h = f \circ (g \circ h)$
- **Identity:**  $f \circ 1_A = f = 1_B \circ f$  for  $f : A \rightarrow B$

## 1.2 Morphisms

**Definition 1.2.** A morphism  $f : A \rightarrow B$  is:

- **Monic** (monomorphism) if  $f \circ g = f \circ h$  implies  $g = h$
- **Epic** (epimorphism) if  $g \circ f = h \circ f$  implies  $g = h$
- **Iso** (isomorphism) if there exists  $g : B \rightarrow A$  such that  $f \circ g = 1_B$  and  $g \circ f = 1_A$

## 1.3 Examples of Categories

**Example 1.1.** • **Set:** Objects are sets, morphisms are functions

- **Grp:** Objects are groups, morphisms are group homomorphisms
- **Top:** Objects are topological spaces, morphisms are continuous maps
- **Vect<sub>k</sub>:** Objects are vector spaces over field  $k$ , morphisms are linear maps
- **Pos:** Objects are partially ordered sets, morphisms are order-preserving maps

# 2 Functors

## 2.1 Definition

**Definition 2.1.** A **functor**  $F : \mathcal{C} \rightarrow \mathcal{D}$  consists of:

- A function  $F : \text{Ob}(\mathcal{C}) \rightarrow \text{Ob}(\mathcal{D})$
- For each  $f : A \rightarrow B$  in  $\mathcal{C}$ , a morphism  $F(f) : F(A) \rightarrow F(B)$  in  $\mathcal{D}$

satisfying:

- $F(1_A) = 1_{F(A)}$
- $F(f \circ g) = F(f) \circ F(g)$

## 2.2 Types of Functors

**Definition 2.2.** A functor  $F : \mathcal{C} \rightarrow \mathcal{D}$  is:

- **Covariant** if  $F(f : A \rightarrow B) = F(f) : F(A) \rightarrow F(B)$
- **Contravariant** if  $F(f : A \rightarrow B) = F(f) : F(B) \rightarrow F(A)$
- **Full** if  $\text{Hom}(A, B) \rightarrow \text{Hom}(F(A), F(B))$  is surjective
- **Faithful** if  $\text{Hom}(A, B) \rightarrow \text{Hom}(F(A), F(B))$  is injective

## 2.3 Examples of Functors

**Example 2.1.** • **Forgetful functor:**  $U : \text{Grp} \rightarrow \text{Set}$  sends groups to their underlying sets

- **Free functor:**  $F : \text{Set} \rightarrow \text{Grp}$  sends sets to free groups
- **Hom functor:**  $\text{Hom}(A, -) : \mathcal{C} \rightarrow \text{Set}$  sends  $B$  to  $\text{Hom}(A, B)$
- **Power set functor:**  $P : \text{Set} \rightarrow \text{Set}$  sends sets to their power sets

## 3 Natural Transformations

### 3.1 Definition

**Definition 3.1.** A **natural transformation**  $\eta : F \Rightarrow G$  between functors  $F, G : \mathcal{C} \rightarrow \mathcal{D}$  consists of:

- For each object  $A$  in  $\mathcal{C}$ , a morphism  $\eta_A : F(A) \rightarrow G(A)$

such that for any morphism  $f : A \rightarrow B$ , the following diagram commutes:

$$\begin{array}{ccc} F(A) & \xrightarrow{F(f)} & F(B) \\ \downarrow \eta_A & & \downarrow \eta_B \\ G(A) & \xrightarrow{G(f)} & G(B) \end{array}$$

### 3.2 Natural Isomorphism

**Definition 3.2.** A natural transformation  $\eta : F \Rightarrow G$  is a **natural isomorphism** if each  $\eta_A$  is an isomorphism.

## 4 Limits and Colimits

### 4.1 Cones and Cocones

**Definition 4.1.** Given a diagram  $D : \mathcal{J} \rightarrow \mathcal{C}$ , a **cone** over  $D$  consists of:

- An object  $C$  in  $\mathcal{C}$
- For each object  $j$  in  $\mathcal{J}$ , a morphism  $c_j : C \rightarrow D(j)$

such that for any morphism  $f : j \rightarrow j'$  in  $\mathcal{J}$ , we have  $D(f) \circ c_j = c_{j'}$ .

**Definition 4.2.** A **limit** of a diagram  $D : \mathcal{J} \rightarrow \mathcal{C}$  is a cone  $(L, \lambda)$  that is universal: for any other cone  $(C, c)$ , there exists a unique morphism  $u : C \rightarrow L$  such that  $\lambda_j \circ u = c_j$  for all  $j$ .

## 4.2 Colimits

**Definition 4.3.** A **colimit** of a diagram  $D : \mathcal{J} \rightarrow \mathcal{C}$  is a cocone  $(C, c)$  that is universal: for any other cocone  $(L, \lambda)$ , there exists a unique morphism  $u : C \rightarrow L$  such that  $u \circ c_j = \lambda_j$  for all  $j$ .

## 4.3 Specific Limits and Colimits

**Definition 4.4.**     • **Product:** Limit of a discrete diagram

- **Coproduct:** Colimit of a discrete diagram
- **Equalizer:** Limit of a parallel pair
- **Coequalizer:** Colimit of a parallel pair
- **Pullback:** Limit of a cospan
- **Pushout:** Colimit of a span

## 5 Adjoint Functors

### 5.1 Definition

**Definition 5.1.** Functors  $F : \mathcal{C} \rightarrow \mathcal{D}$  and  $G : \mathcal{D} \rightarrow \mathcal{C}$  are **adjoint** (written  $F \dashv G$ ) if there exists a natural isomorphism:

$$\mathrm{Hom}_{\mathcal{D}}(F(A), B) \cong \mathrm{Hom}_{\mathcal{C}}(A, G(B))$$

### 5.2 Unit and Counit

**Definition 5.2.** For adjoint functors  $F \dashv G$ :

- The **unit** is  $\eta : 1_{\mathcal{C}} \Rightarrow G \circ F$
- The **counit** is  $\epsilon : F \circ G \Rightarrow 1_{\mathcal{D}}$

satisfying the triangle identities:

- $\epsilon_{F(A)} \circ F(\eta_A) = 1_{F(A)}$
- $G(\epsilon_B) \circ \eta_{G(B)} = 1_{G(B)}$

### 5.3 Examples of Adjoints

**Example 5.1.**     • **Free-Forgetful:**  $F \dashv U : \mathrm{Grp} \rightarrow \mathrm{Set}$

- **Tensor-Hom:**  $- \otimes A \dashv \mathrm{Hom}(A, -)$  in vector spaces
- **Product-Exponential:**  $A \times - \dashv (-)^A$  in cartesian closed categories

## 6 Monads

### 6.1 Definition

**Definition 6.1.** A **monad** on a category  $\mathcal{C}$  is a triple  $(T, \eta, \mu)$  where:

- $T : \mathcal{C} \rightarrow \mathcal{C}$  is a functor
- $\eta : 1_{\mathcal{C}} \Rightarrow T$  (unit)
- $\mu : T^2 \Rightarrow T$  (multiplication)

satisfying:

- $\mu \circ T\mu = \mu \circ \mu T$  (associativity)
- $\mu \circ T\eta = \mu \circ \eta T = 1_T$  (unit laws)

### 6.2 Monad Algebras

**Definition 6.2.** A **T-algebra** for a monad  $(T, \eta, \mu)$  is a pair  $(A, \alpha)$  where:

- $A$  is an object in  $\mathcal{C}$
- $\alpha : T(A) \rightarrow A$  is a morphism

satisfying:

- $\alpha \circ \eta_A = 1_A$
- $\alpha \circ T(\alpha) = \alpha \circ \mu_A$

### 6.3 Examples of Monads

**Example 6.1.** • **List monad:**  $T(A) = \text{List}(A)$

- **Maybe monad:**  $T(A) = A \cup \{\perp\}$
- **State monad:**  $T(A) = S \rightarrow (A \times S)$
- **Continuation monad:**  $T(A) = (A \rightarrow R) \rightarrow R$

## 7 Yoneda Lemma

### 7.1 Presheaves

**Definition 7.1.** A **presheaf** on a category  $\mathcal{C}$  is a functor  $\mathcal{C}^{\text{op}} \rightarrow \text{Set}$ .

### 7.2 Yoneda Embedding

**Definition 7.2.** The **Yoneda embedding** is the functor  $Y : \mathcal{C} \rightarrow [\mathcal{C}^{\text{op}}, \text{Set}]$  defined by:

$$Y(A) = \text{Hom}(-, A)$$

### 7.3 Yoneda Lemma

**Theorem 7.1** (Yoneda Lemma). For any presheaf  $F : \mathcal{C}^{\text{op}} \rightarrow \text{Set}$  and object  $A$  in  $\mathcal{C}$ :

$$[\mathcal{C}^{\text{op}}, \text{Set}](\text{Hom}(-, A), F) \cong F(A)$$

### 7.4 Corollary

**Corollary 7.1.** The Yoneda embedding is full and faithful.

## 8 Topoi

### 8.1 Definition

**Definition 8.1.** An **elementary topos** is a category  $\mathcal{E}$  with:

- Finite limits
- A subobject classifier  $\Omega$
- Power objects

### 8.2 Subobject Classifier

**Definition 8.2.** A **subobject classifier** is an object  $\Omega$  with a morphism  $\text{true} : 1 \rightarrow \Omega$  such that for any monomorphism  $m : A \rightarrow B$ , there exists a unique morphism  $\chi_m : B \rightarrow \Omega$  making the following diagram a pullback:

$$\begin{array}{ccc} A & \xrightarrow{m} & B \\ \downarrow & & \downarrow \chi_m \\ 1 & \xrightarrow{\text{true}} & \Omega \end{array}$$

### 8.3 Examples of Topoi

**Example 8.1.** • **Set:** The category of sets

- **Sh(X):** Sheaves on a topological space  $X$
- **Sh(C, J):** Sheaves on a site  $(C, J)$

## 9 Higher Category Theory

### 9.1 2-Categories

**Definition 9.1.** A **2-category** is a category enriched over  $\text{Cat}$ , consisting of:

- Objects
- 1-morphisms between objects
- 2-morphisms between 1-morphisms

with horizontal and vertical composition satisfying interchange laws.

## 9.2 $\infty$ -Categories

**Definition 9.2.** An  $\infty$ -category (or  $(\infty, 1)$ -category) is a simplicial set satisfying the weak Kan condition, where morphisms can be composed up to higher homotopies.

# 10 Applications

## 10.1 Algebraic Topology

- Fundamental groupoid
- Homology and cohomology as functors
- Spectral sequences
- Fibrations and cofibrations

## 10.2 Algebraic Geometry

- Schemes as functors
- Sheaves and presheaves
- Étale cohomology
- Derived categories

## 10.3 Logic and Computer Science

- Curry-Howard correspondence
- Type theory
- Domain theory
- Coalgebras

## 10.4 Physics

- Quantum mechanics
- String theory
- Topological quantum field theory
- Categorical quantum mechanics

# 11 Universal Properties

## 11.1 Initial and Terminal Objects

**Definition 11.1.** • An object  $I$  is **initial** if for any object  $A$ , there exists a unique morphism  $I \rightarrow A$

- An object  $T$  is **terminal** if for any object  $A$ , there exists a unique morphism  $A \rightarrow T$



## 11.2 Universal Elements

**Definition 11.2.** A **universal element** of a functor  $F : \mathcal{C}^{\text{op}} \rightarrow \text{Set}$  is a pair  $(A, x)$  where  $A$  is an object and  $x \in F(A)$ , such that for any other pair  $(B, y)$  with  $y \in F(B)$ , there exists a unique morphism  $f : B \rightarrow A$  with  $F(f)(x) = y$ .

## 12 Enriched Categories

### 12.1 Definition

**Definition 12.1.** A category **enriched over** a monoidal category  $\mathcal{V}$  is a category  $\mathcal{C}$  where:

- $\text{Hom}(A, B)$  is an object in  $\mathcal{V}$
- Composition is a morphism  $\text{Hom}(B, C) \otimes \text{Hom}(A, B) \rightarrow \text{Hom}(A, C)$
- Identity is a morphism  $I \rightarrow \text{Hom}(A, A)$

satisfying associativity and unit laws.

### 12.2 Examples

**Example 12.1.** • **Ordinary categories:** Enriched over  $\text{Set}$

- **Preorders:** Enriched over  $\{0, 1\}$
- **Metric spaces:** Enriched over  $([0, \infty], \geq)$
- **Abelian categories:** Enriched over  $\text{Ab}$

## 13 Coends and Ends

### 13.1 Definition

**Definition 13.1.** For a functor  $F : \mathcal{C}^{\text{op}} \times \mathcal{C} \rightarrow \mathcal{D}$ , the **coend** is the colimit of the diagram formed by  $F(A, A)$  for all objects  $A$ , with morphisms induced by  $F(f, 1_A)$  and  $F(1_A, f)$ .

**Definition 13.2.** For a functor  $F : \mathcal{C}^{\text{op}} \times \mathcal{C} \rightarrow \mathcal{D}$ , the **end** is the limit of the diagram formed by  $F(A, A)$  for all objects  $A$ , with morphisms induced by  $F(f, 1_A)$  and  $F(1_A, f)$ .

### 13.2 Examples

**Example 13.1.** • **Tensor product:**  $\int^A F(A) \otimes G(A)$

- **Hom functor:**  $\int_A \text{Hom}(F(A), G(A))$
- **Geometric realization:**  $\int^n \Delta^n \times X_n$

## 14 Monoidal Categories

### 14.1 Definition

**Definition 14.1.** A **monoidal category** is a category  $\mathcal{C}$  equipped with:

- A bifunctor  $\otimes : \mathcal{C} \times \mathcal{C} \rightarrow \mathcal{C}$
- A unit object  $I$
- Natural isomorphisms for associativity and unit

satisfying coherence conditions.

### 14.2 Symmetric Monoidal Categories

**Definition 14.2.** A **symmetric monoidal category** is a monoidal category with a natural isomorphism  $\sigma_{A,B} : A \otimes B \rightarrow B \otimes A$  satisfying  $\sigma_{B,A} \circ \sigma_{A,B} = 1_{A \otimes B}$ .

### 14.3 Examples

**Example 14.1.** • **Set** with cartesian product

- **Vect** with tensor product
- **Ab** with tensor product
- **Cat** with cartesian product

## 15 Important Theorems

### 15.1 Adjoint Functor Theorem

**Theorem 15.1** (Adjoint Functor Theorem). A functor  $G : \mathcal{D} \rightarrow \mathcal{C}$  has a left adjoint if and only if:

- $G$  preserves limits
- $\mathcal{C}$  is complete
- The solution set condition holds

### 15.2 Freyd's Theorem

**Theorem 15.2** (Freyd's Theorem). A small category with all small limits is a preorder.

### 15.3 Brown Representability

**Theorem 15.3** (Brown Representability). In the homotopy category of pointed CW complexes, a contravariant functor  $F$  is representable if and only if:

- $F$  satisfies the wedge axiom
- $F$  satisfies the Mayer-Vietoris axiom

## 16 Conclusion

Category theory provides a unifying framework for mathematics by abstracting common patterns across different fields. Key concepts include:

- Categories, functors, and natural transformations
- Limits, colimits, and universal properties
- Adjoint functors and monads
- Topoi and higher categories
- Enriched categories and monoidal categories

These concepts have found applications in:

- Algebraic topology and geometry
- Logic and computer science
- Physics and quantum mechanics
- Functional programming
- Database theory

Category theory continues to be a powerful tool for understanding mathematical structures and their relationships.