

# leigqNEWTON

## API Reference

### Core solver

leigqNEWTON

help **leigqNEWTON**

**leigqNEWTON** Left eigenpairs of a quaternionic matrix via a Newton-type solver (stand-alone).

```
[lambda, V, res] = leigqNEWTON(A)
[lambda, V, res, info] = leigqNEWTON(A, Name, Value, ...)
[lambda, V, res, info, lambdaU, VU, resU] = leigqNEWTON(A, Name, Value, ...)
[...] = leigqNEWTON(A, Num, Name, Value, ...)           %% convenience positional Num
```

Computes quaternionic LEFT eigenpairs (lambda, V) of a square quaternion matrix A:

$$A*v = \lambda*v, \quad v \sim 0,$$

where lambda is a quaternion acting on the LEFT.

This implementation is self-contained and uses only:

- MATLAB built-in quaternion class (Aerospace Toolbox),
- standard MATLAB linear algebra on REAL/COMPLEX embeddings built locally.

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Outputs (types and meaning)

lambda : K-by-1 quaternion  
The K eigenvalue "hits" accepted by the solver (K is controlled by Num).  
These may contain duplicates (the solver does not enforce uniqueness).

V : n-by-K quaternion  
Corresponding right eigenvectors as columns ( $A*V(:,k) \approx \lambda(k)*V(:,k)$ ).

res : K-by-1 double  
Final residual norms reported for each accepted eigenpair.  
If ResidualNormalized=true (default), res is scale-invariant:  
 $res = ||A*v - \lambda*v|| / \text{den}(A, \lambda, v)$ ,  
where den(...) is a mild scale factor (see local\_residual\_den).  
If ResidualNormalized=false, res is the raw Euclidean norm.

info : cell array (only if requested)  
info{1} is a summary struct; info{2:end} are per-trial structs when InfoLevel='full'.  
In addition, info{1}.distinct describes how lambdaU was formed (if requested).

lambdaU : Ku-by-1 quaternion (only if requested)  
A DISTINCT representative set extracted from lambda using a tolerance.  
Each group of near-identical hits contributes one representative, chosen by:  
(i) significantly better residual (if present), otherwise  
(ii) "prettiness" (prefers exact integers/zeros over small numerical tails).  
By design, lambdaU is a subset of the returned lambda (representatives are picked from existing hits; no additional cleaning is applied).

VU : n-by-Ku quaternion (only if requested)  
resU : Ku-by-1 double (only if requested)  
The vectors/residuals corresponding to lambdaU.

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Profiles (recommended)

**leigqNEWTON** is restart-based; success depends strongly on the TRIAL budget and Newton iterations per trial. SolveProfile presets apply only to options you did

NOT specify explicitly:

'fast' : small budgets; quick scans (may return fewer eigenpairs).  
'default' : balanced; typically succeeds for generic dense matrices.  
'reliable' : larger budgets + auto-extension; aims to return (almost) all eigenpairs.

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Options (Name,Value) (aliases accepted; unknown options are ignored)

Desired count:

'Num'/'K'/'NEigs'/'NEV' : number of eigenpairs requested (default n)  
(positional) Num : **leigqNEWTON**(A, 9, ...) sets Num=9

Trial budgets / restarts:

'Trials'/'Restarts'/'NTrials' : initial trial budget (profile-dependent default)  
'TrialsFactor' : convenience Trials := TrialsFactor\*n (if Trials not set)  
'MaxTrials'/'MaxRestarts' : hard cap on total trials (also disables AutoExtend)  
'AutoExtend' : true/false (profile-dependent default)  
'MaxTrialsCap'/'TrialsCap' : cap used by AutoExtend  
'ExtendBy'/'ExtendFactor' : how much to increase budget when auto-extending

Newton / acceptance:

'MaxIter'/'MaxIt' : Newton iterations per trial (profile-dependent default)  
'Tol'/'ResTol' : convergence tolerance (raw residual is used internally)  
'Damping'/'Alpha'/'StepSize' : initial step size alpha in (0,1] (default 1)  
'Backtrack'/'LineSearch' : backtracking line search (default true)  
'MinAlpha'/'AlphaMin' : minimum alpha in backtracking (default 1/64)

Distinct representative extraction (for lambdaU):

'DistinctTol'/'DistinctTolAbs'/'UniqueTol' : absolute grouping tolerance (default max(1e-6, sqrt(Tol)))  
'DistinctTolRel' : relative grouping tolerance (default 0)  
'DistinctResFactor' : residual "order-of-magnitude" factor (default 10)  
Note: The distinct-grouping tolerance is intentionally more tolerant than Tol by default.  
You still see all raw hits in lambda; lambdaU only groups near-duplicates into representatives.

Reproducibility / logging:

'Seed'/'RNG' : RNG seed (default [])  
'Verbose'/'Disp' : 0/1 (default 0)  
'InfoLevel'/'InfoMode' : 'summary'|'full' OR numeric 0/1/2 (2='full')

Robustness / post-processing:

'VerifyZeroNull'/'ZeroNullVerify' : verify  $Aq \cdot x$  approx 0 in the zero-eigenvalue pre-pass (default true)  
'ZeroNullTol' : tolerance for the zero-eig pre-pass (raw units). [] -> auto  
'UseNullFallbackLA'/'FallbackLA' : if verification fails, recompute nullspace using real 4n embedding (default true)  
'ResidualNormalized' : if true, res output is scale-invariant (default true)  
'RefineV' : recompute eigenvectors for final lambdas via real least-squares/SVD (default true)

Initialization / triangular handling:

'Lambda0'/'Lam0'/'InitLambda' : initial lambda guess (scalar quaternion)  
'V0'/'X0'/'InitVec' : initial eigenvector guess (n-by-1 quaternion)  
'TriangularInit'/'TriInit' : if true, deterministic diagonal/basis seeding for (detected) triangular A (default true)  
'TriTol'/'TriangularTol' : triangular detection tolerance (default 0)  
'IsTriangular'/'TriangularFlag'/'ForceTriangular' : user override for triangular flag (true/false)  
'TriangularShortcut'/'TriShortcut' : 'diag' (default) shortcut for diagonal A; 'off' forces Newton

Notes on triangular matrices:

If TriangularInit is true and A is treated as triangular, the solver uses deterministic diagonal/basis seeding for the first n trials. This often leads to very small iteration counts (sometimes 0), which is expected.  
To benchmark "generic" random initialization on triangular A, set:  
'TriangularInit', false.

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Useful one-liners (copy/paste)

% Default (balanced):

```
[lam,V,res] = leigqNEWTON(A);

% Also return distinct representatives (lambdaU):
[lam,V,res,info,lamU,VU,resU] = leigqNEWTON(A,'SolveProfile','reliable');
% Add a larger distinct-grouping tolerance explicitly:
[lam,V,res,info,lamU,VU,resU] = leigqNEWTON(A,'SolveProfile','reliable','DistinctTolAbs', 1e-5); % or 3e-5, 1e-6
% If you want it scale-aware (relative as well)
[lam,V,res,info,lamU,VU,resU] = leigqNEWTON(A, 'SolveProfile','reliable','DistinctTolAbs', 1e-5,'DistinctTolRel', 1e-5);

% Rule of thumb: Start with DistinctTolAbs = 10*sqrt(Tol) (or 30*sqrt(Tol) for very defective/triangular-ish cases)
% This affects only deduplication into lamU. You still see all raw hits in lam, so being more tolerant here is useful.

% Request exactly 9 eigenpairs (two equivalent forms):
[lam,V,res] = leigqNEWTON(A, 9, 'SolveProfile','reliable');
[lam,V,res] = leigqNEWTON(A,'Num',9,'SolveProfile','reliable');

% Reproducible run:
[lam,V,res] = leigqNEWTON(A,'Seed',1,'SolveProfile','default');
```

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Legacy name mapping  
leigqVANILA -> leigqNEWTON

See also: quaternion, parts, null, rank, leigqNEWTON\_refine\_polish, leigqNEWTON\_cert\_resMin

## Certification

```
leigqNEWTON_cert_resMin
leigqNEWTON_cert_resPair
```

help **leigqNEWTON\_cert\_resMin**

**leigqNEWTON\_cert\_resMin** Minimum-residual certificate for a quaternion LEFT eigenvalue candidate.

```
resMin = leigqNEWTON_cert_resMin(A, lambda)
[resMin, xMin] = leigqNEWTON_cert_resMin(A, lambda)
[resMin, xMin, rMin, info] = leigqNEWTON_cert_resMin(A, lambda, Name,Value,...)
```

Defines the certificate-like quantity

$\text{resMin}(A, \lambda) := \min_{\|x\|_2=1} \|A*x - \lambda*x\|_2$ ,  
computed via a real/complex embedding and a smallest-singular-value routine.

Inputs

A : n-by-n quaternion (or numeric; interpreted as real quaternion)  
lambda : scalar quaternion or K-by-1 quaternion array

Name,Value options (selected)

```
'Method' : 'svd' | 'eigs' (default 'svd') % internal method for sigma_min
'MaxIter' : iterations for iterative methods (if used)
'Tol' : tolerance for iterative methods (if used)
'ResidualNormalized' : if true, return scale-invariant resMin (default true)
'UseLambda' : 'lambda' | 'lambdac' (default 'lambda') % choose which lambda vector to use
'Lambdac' : cleaned lambdas (required if UseLambda='lambdac')
```

Outputs

```
resMin : K-by-1 double (certificate value for each lambda)
xMin : n-by-K quaternion (minimizers; columns; returned if requested)
rMin : cell or struct (auxiliary residual vectors/metrics; for diagnostics)
info : struct (method statistics; timings; etc.)
```

Useful one-liners

```
r = leigqNEWTON_cert_resMin(A, lam(1));
[r,x] = leigqNEWTON_cert_resMin(A, lam, 'ResidualNormalized',true);
```

See also: leigqNEWTON\_cert\_resPair, leigqNEWTON\_refine\_lambda, leigqNEWTON

## help leigqNEWTON\_cert\_resPair

**leigqNEWTON\_cert\_resPair** Residual certificate for a quaternion LEFT eigenpair (lambda,v).

```
resPair = leigqNEWTON_cert_resPair(A, lambda, v)
[resPair, rVec] = leigqNEWTON_cert_resPair(A, lambda, v)
[resPair, rVec, info] = leigqNEWTON_cert_resPair(A, lambda, v, Name,Value,...)
```

Computes  $\|A*v - \lambda*v\|_2$  for one or many eigenpairs. If v is provided as n-by-K (columns), lambda may be scalar or K-by-1.

### Inputs

A : n-by-n quaternion (or numeric; interpreted as real quaternion)  
lambda : scalar quaternion or K-by-1 quaternion array  
v : n-by-1 or n-by-K quaternion array (eigenvectors in columns)

### Name,Value options (selected)

'NormalizeV' : true/false (default true). If true, normalize each column of v.  
'ResidualNormalized' : true/false (default false). If true, return a scale-invariant residual.

### Outputs

resPair : K-by-1 double (residual norm(s))  
rVec : cell array (optional residual vectors; for diagnostics)  
info : struct (diagnostics; normalization; denominators if used)

### Useful one-liners

```
r = leigqNEWTON_cert_resPair(A, lam(1), V(:,1));
r = leigqNEWTON_cert_resPair(A, lam, V, 'NormalizeV',true);
```

See also: leigqNEWTON\_cert\_resMin, leigqNEWTON\_refine\_polish, leigqNEWTON

## Refinement

```
leigqNEWTON_init_vec
leigqNEWTON_refine_polish
leigqNEWTON_refine_lambda
leigqNEWTON_refine_auto
leigqNEWTON_refine_batch
```

## help leigqNEWTON\_refine\_batch

**leigqNEWTON\_refine\_batch** Refine + certify a list of eigenvalue candidates.

```
[lambdaRef, vRef] = leigqNEWTON_refine_batch(A, lambda0)
[lambdaRef, vRef, cert] = leigqNEWTON_refine_batch(A, lambda0, Name,Value,...)
```

Batch wrapper that turns coarse candidates lambda0 into refined/certified eigenvalues (and optionally polished eigenvectors). This is the recommended “production” post-processing step after leigqNEWTON.

### Inputs

A : n-by-n quaternion  
lambda0 : K-by-1 quaternion candidates (raw or cleaned)

### Name,Value options (selected)

'Mode' : 'auto'|'rand+fminsearch'|'fminsearch'|'none' (default 'auto')

```
'TargetResMin' : target certificate value (default 1e-13)
'DoPolish'      : true/false (default true)
```

#### Outputs

```
lambdaRef : K-by-1 quaternion (refined)
vRef      : n-by-K quaternion (associated vectors; columns)
cert      : struct with fields such as:
             resMin, resPair, lambdaClean, acceptedMask, timings, ...
```

#### Useful one-liners

```
[lamR,vR,cert] = leigqNEWTON_refine_batch(A, lam);
[lamR,vR,cert] = leigqNEWTON_refine_batch(A, lam, 'DoPolish',true,'TargetResMin',1e-14);
```

See also: `leigqNEWTON_refine_auto`, `leigqNEWTON_refine_lambda`, `leigqNEWTON_cert_resPair`

## Sphere module

```
leigqNEWTON_sphere_sample
leigqNEWTON_sphere_refine
```

### help `leigqNEWTON_sphere_refine`

**leigqNEWTON\_sphere\_refine** Refine/validate sphere candidates and decide sphere-vs-artifact (advanced engine).

This routine is the engine behind `LEIGQNEWTON_SPHERE_VALIDATE`. It is intended for sphere-hunting experiments: it refines sampled eigenvalue candidates, computes residual certificates, and (optionally) verifies a detected sphere by grid tests and refitting. Use `LEIGQNEWTON_SPHERE_VALIDATE` for a user-facing entry point.

#### Canonical form (case list + index)

```
[A, lamAll, resAll, lamSamples, resSamples, sph, conf, out] = ...
    leigqNEWTON_sphere_refine(cases, k, Name,Value,...)
```

#### Convenience overloads

```
leigqNEWTON_sphere_refine(caseStruct, Name,Value,...)
leigqNEWTON_sphere_refine(A, lamAll, lamSamples, Name,Value,...)
```

#### Inputs

```
cases / caseStruct Case container (struct, struct array, or cell array). Each case
                    should provide A and lambda candidates.
k                Case index (when cases is a list). Use [] for a single case struct.
```

#### Positional form inputs

```
A            n-by-n quaternion matrix.
lamAll       M-by-1 quaternion, candidate list.
lamSamples   K-by-1 quaternion, DISTINCT samples (may be []).
```

#### Name,Value options (selected)

```
'RefineArgs'      Cell array of Name,Value passed to LEIGQNEWTON_REFINE_AUTO.
'TargetRes'       Target residual for polishing (default 1e-14).
'VerifySphere'    Enable sphere validation tests (default true).
'SphereTolRel'    Relative tolerance for sphere validation (default 5e-3).
'GridTheta','GridPhi' Sphere grid resolution (defaults 8 and 16).
'RefineGrid'      Refine grid points (default true).
'RefitSphere'     Refit sphere after refinement (default true).
'Verbose'         0/1/2 (default 1).
```

#### Outputs

```
A, lamAll, lamSamples Refined lists.
resAll, resSamples   Certificate-like residual values (via LEIGQNEWTON_CERT_RESMIN).
sph                 Sphere model struct (empty if none/invalid).
```

conf	Confidence indicator/struct.
out	Detailed diagnostics.

#### Examples

% Validate a detected sphere (positional form):

```
[A, lamAll2, resAll, lamS2, resS, sph2] = leigqNEWTON_sphere_refine(A, lamAll, lamSamples);
```

See also leigqNEWTON\_sphere\_validate, leigqNEWTON\_sphere\_sample,  
leigqNEWTON\_refine\_auto, leigqNEWTON\_cert\_resMin