Intro to Analysis of Algorithms Computational Foundations Sections 9.1-9.2 Chapter 9

Michael Soltys

CSU Channel Islands

[Ed: 4th, last updated: September 4, 2025]

Sections 9.1 & 9.2: Alphabets, strings and languages

Since long ago "markings" have been used to store & process information. The following pictures are from the *Smithsonian Museum of Natural History, Washington D.C.*

Engraved ocher plaqueBlombos Cave, South Africa 77,000–75,000 years old



Congo, 25,000–20,000 years old leg bone from a baboon; 3 rows of tally marks, to *add* or *multiply* (?)

Reindeer antler with tally marks La Madeleine, France 17,000–11,500 years old









About 8,000 years ago, humans were using symbols to represent words and concepts. True forms of writing developed over the next few thousand years.

Cylinder seals were rolled accross wet clay tablets to produce raised designs



cylinder seal in lapis lazuli, Assyrian culture, Babylon, Iraq, 4,100–3,600 years ago

Cuneiform symbols stood for concepts and later for sounds and syllables



cuneiform clay tablet, Chakma, Chalush, near Babylon, Iraq, 4,000–2,600 years ago

MEANING		OUTLINE CHARACTER, B. C. 3500	ARCHAIC CUNEIFORM, B. C. 2500	ASSYRIAN, B. C. 700	LATE BABYLONIAN, B. C. 500
1.	The sun	>	\$	4 T	4
2.	God, heaven	*	*	>>	PD
3.	Mountain	{<	{<	*	*
4.	Man			辯	*
5.	Ox	\Rightarrow	#	#	Ħ
6.	Fish	V	4	}	*

An *alphabet* is a finite, non-empty set of distinct symbols, denoted usually by Σ .

e.g.,
$$\Sigma = \{0,1\}$$
 (binary alphabet)
 $\Sigma = \{a,b,c,\ldots,z\}$ (lower-case letters alphabet)

A *string*, also called *word*, is a finite ordered sequence of symbols chosen from some alphabet.

e.g., 010011101011

|w| denotes the *length* of the string w.

e.g.,
$$|010011101011| = 12$$

The *empty string*, ε , $|\varepsilon| = 0$, is in any Σ by default.

 Σ^k is the set of strings over Σ of length *exactly k*.

e.g., If
$$\Sigma = \{0, 1\}$$
, then

$$\begin{split} \Sigma^0 &= \{\varepsilon\} \\ \Sigma^1 &= \Sigma \\ \Sigma^2 &= \{00,01,10,11\}, \text{ etc. } |\Sigma^k| ? \end{split}$$

Kleene's star Σ^* is the set of all strings over Σ .

$$\Sigma^* = \Sigma^0 \cup \underbrace{\Sigma^1 \cup \Sigma^2 \cup \Sigma^3 \cup \ldots}_{=\Sigma^+}$$

Concatenation If x, y are strings, and $x = a_1 a_2 \dots a_m \& y = b_1 b_2 \dots b_n \Rightarrow x \cdot y = \underbrace{xy}_{juxtaposition} = a_1 a_2 \dots a_m b_1 b_2 \dots b_n$



Stephen Cole Kleene

A *language* L is a collection of strings over some alphabet Σ , i.e., $L \subseteq \Sigma^*$. E.g.,

$$L = \{\varepsilon, 01, 0011, 000111, \ldots\} = \{0^n 1^n | n \ge 0\}$$
 (1)

Note:

- $\triangleright w\varepsilon = \varepsilon w = w.$
- ▶ $\{\varepsilon\} \neq \emptyset$; one is the language consisting of the single string ε , and the other is the empty language.

Two fundamental questions:

- ▶ How do we describe a language? (1) is just an *informal* set-theoretic description.
- ▶ Given a language $L \subseteq \Sigma^*$ and a string $x \in \Sigma^*$, how do we check if $x \in L$? E.g.,

$$L = \{\underbrace{10}_{2}, \underbrace{11}_{3}, \underbrace{101}_{5}, \underbrace{111}_{7}, \ldots\} \subseteq \{0, 1\}^{*}$$

 $w \in L$ iff $w \in \{0,1\}^*$ encodes a prime number in standard binary notation.

▶ What is an algorithm?