



Chapter 2

Complexity of Algorithms

Data Structures and Algorithms

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- **L.O.1.1** - Define concept “computational complexity” and its special cases, best, average, and worst.
- **L.O.1.2** - Analyze algorithms and use Big-O notation to characterize the computational complexity of algorithms composed by using the following control structures: sequence, branching, and iteration (not recursion).
- **L.O.1.3** - List, give examples, and compare complexity classes, for examples, constant, linear, etc.
- **L.O.1.4** - Be aware of the trade-off between space and time in solutions.
- **L.O.1.5** - Describe strategies in algorithm design and problem solving.



Contents

① Algorithm Efficiency

② Big-O notation

③ Problems and common complexities

④ P and NP Problems





- ① We would like to thank **Dr. The-Nhan LUONG**, a former instructor of our Department, for the composing of this document.
- ② This document also uses figure, sentences and demo source code from the following sources:
 - The old presentation for course *Data Structures and Algorithms* edited by other members in our Department
 - Book entitled **Data Structures - A Pseudocode Approach with C++ (first edition, 2001)** written by Richard F. Gilberg and Behrouz A. Forouzan



Algorithm Efficiency



- A problem often has many algorithms.
- Comparing two different algorithms
⇒ **Computational complexity**:
measure of the difficulty degree (**time**
and/or **space**) of an algorithm.
 - How **fast** an algorithm is?
 - How much **memory** does it cost?



General format

$$\text{efficiency} = f(n)$$

n is the size of a problem (the key number that determines the size of input data)

Algorithm
Efficiency

Big-O notation

Problems and
common
complexities

P and NP
Problems

Linear Loops

```
for (i = 0; i < 1000; i++)  
    application code
```

The number of times the body of the loop is replicated is 1000.

$$f(n) = n$$

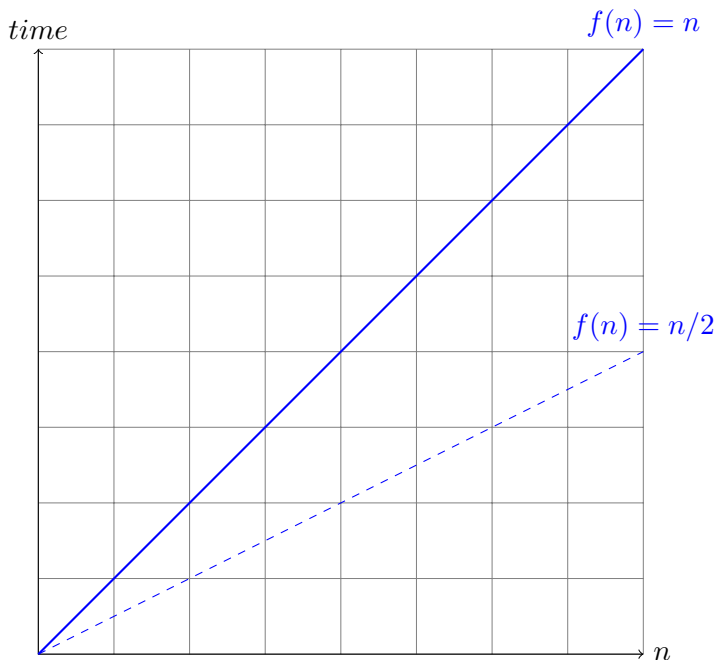
```
for (i = 0; i < 1000; i += 2)  
    application code
```

The number of times the body of the loop is replicated is 500.

$$f(n) = n/2$$



Linear Loops



Logarithmic Loops



Multiply loops

```
i = 1
while (i <= n)
    application code
    i = i x 2
```

Divide loops

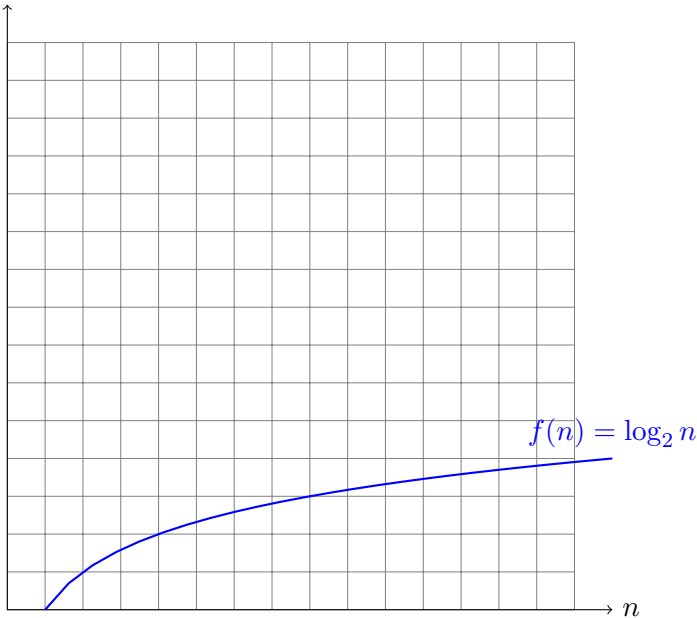
```
i = n
while (i >= 1)
    application code
    i = i / 2
```

The number of times the body of the loop is replicated is

$$f(n) = \log_2 n$$

Logarithmic Loops

time



Nested Loops



Iterations = Outer loop iterations \times Inner loop iterations

Example

```
i = 1
while (i <= n)
    j = 1
    while (j <= n)
        application code
        j = j * 2
    i = i + 1
```

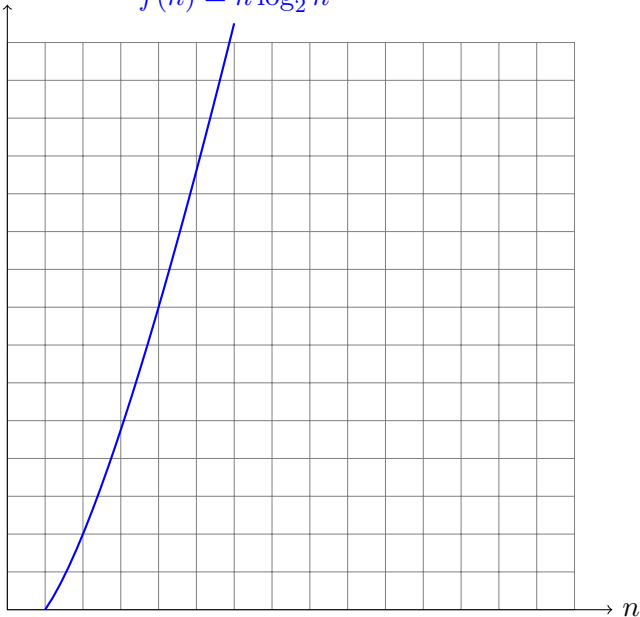
The number of times the body of the loop is replicated is

$$f(n) = n \log_2 n$$

Nested Loops

time

$$f(n) = n \log_2 n$$





Example

```
i = 1
while (i <= n)
    j = 1
    while (j <= n)
        application code
        j = j + 1
    i = i + 1
```

The number of times the body of the loop is replicated is

$$f(n) = n^2$$

Dependent Quadratic Loops



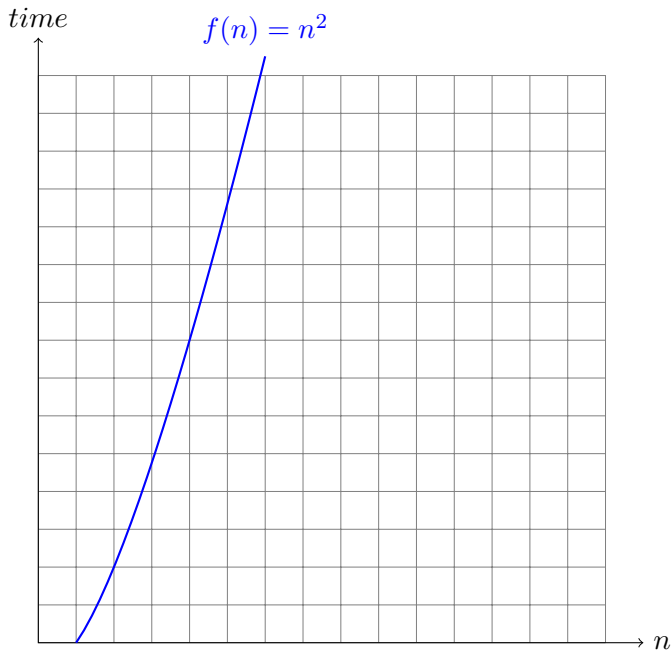
Example

```
i = 1
while (i <= n)
    j = 1
    while (j <= i)
        application code
        j = j + 1
    i = i + 1
```

The number of times the body of the loop is replicated is

$$1 + 2 + \dots + n = n(n + 1)/2$$

Quadratic Loops





- Algorithm efficiency is considered with only **big problem sizes**.
- We are **not concerned** with an **exact measurement** of an algorithm's efficiency.
- Terms that do **not substantially change** the function's magnitude are eliminated.



Big-O notation



Example

$$f(n) = c.n \Rightarrow f(n) = O(n)$$

$$f(n) = n(n+1)/2 = n^2/2 + n/2 \Rightarrow f(n) = O(n^2)$$

- Set the **coefficient** of the term **to one**.
- Keep the **largest term** and discard the others.

Some example of Big-O:

$$\log_2 n \quad n \quad n \log_2 n \quad n^2 \quad \dots \quad n^k \quad \dots \quad 2^n \quad n!$$

Standard Measures of Efficiency

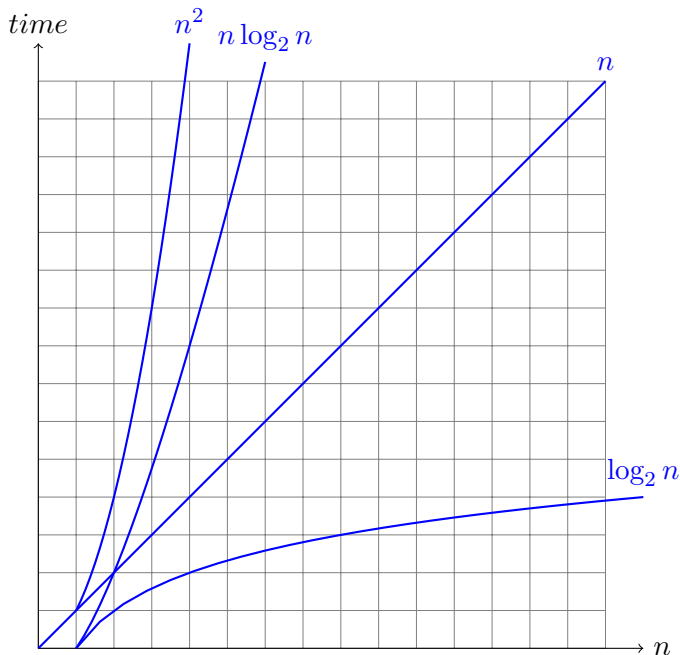


Efficiency	Big-O	Iterations	Est. Time
logarithmic	$O(\log_2 n)$	14	microseconds
linear	$O(n)$	10 000	0.1 seconds
linear log	$O(n \log_2 n)$	140 000	2 seconds
quadratic	$O(n^2)$	10000^2	15-20 min.
polynomial	$O(n^k)$	10000^k	hours
exponential	$O(2^n)$	2^{10000}	intractable
factorial	$O(n!)$	$10000!$	intractable

Assume instruction speed of 1 microsecond and 10 instructions in loop.

$$n = 10000$$

Standard Measures of Efficiency



Big-O Analysis Examples

Algorithm addMatrix(val **matrix1**<matrix>, val **matrix2**<matrix>, val **size**<integer>, ref **matrix3**<matrix>)

Add **matrix1** to **matrix2** and place results in **matrix3**

Pre: **matrix1** and **matrix2** have data

size is number of columns and rows in matrix

Post: matrices added - result in **matrix3**

r = 1

while **r** <= **size** **do**

c = 1

while **c** <= **size** **do**

matrix3[**r**, **c**] = **matrix1**[**r**, **c**] + **matrix2**[**r**, **c**]

c = **c** + 1

end

r = **r** + 1

end

return **matrix3**

End addMatrix





Nested linear loop:

$$f(size) = O(size^2)$$



- The most time consuming: data movement to/from memory/storage.
- Operations under consideration:
 - Comparisons
 - Arithmetic operations
 - Assignments



Problems and common complexities



Recurrence Equation (Phương trình hồi quy)

An equation or inequality that describes a **function** in terms of its value on **smaller input**.

1	2	3	5	8	13	21	34	55	89
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$$T(n) = 1 + T(n/2) \Rightarrow T(n) = O(\log_2 n)$$

- **Best case**: when the number of steps is smallest. $T(n) = O(1)$
- **Worst case**: when the number of steps is largest. $T(n) = O(\log_2 n)$
- **Average case**: in between.
 $T(n) = O(\log_2 n)$



Sequential search

8	5	21	2	1	13	4	34	7	18
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- Best case: $T(n) = O(1)$
- Worst case: $T(n) = O(n)$
- Average case: $T(n) = \sum_{i=1}^n i \cdot p_i$
 p_i : probability for the target being at $a[i]$
 $p_i = 1/n \Rightarrow T(n) = (\sum_{i=1}^n i) / n =$
 $O(n(n+1)/2n) = O(n)$



Quick sort

19	8	3	15	28	10	22	4	12	83
----	---	---	----	----	----	----	---	----	----

Recurrence Equation

$$T(n) = O(n) + 2T(n/2)$$

- Best case: $T(n) = O(n \log_2 n)$
- Worst case: $T(n) = O(n^2)$
- Average case: $T(n) = O(n \log_2 n)$





Algorithm
Efficiency

Big-O notation

Problems and
common
complexities

P and NP
Problems

P and NP Problems



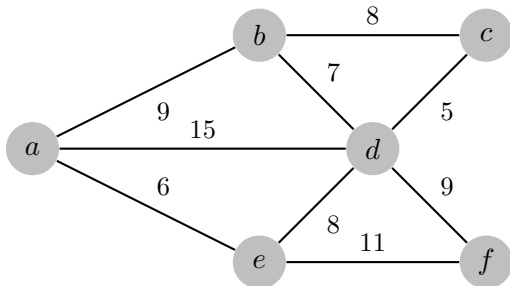
- **P**: Polynomial (can be solved in polynomial time on a **deterministic** machine).
- **NP**: Nondeterministic Polynomial (can be solved in polynomial time on a **nondeterministic** machine).

P and NP Problems

Travelling Salesman Problem:

A salesman has a list of cities, each of which he must visit exactly once. There are direct roads between each pair of cities on the list.

Find the route the salesman should follow for the shortest possible round trip that both starts and finishes at any one of the cities.



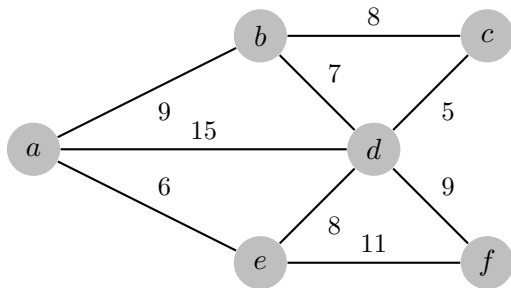
P and NP Problems



Travelling Salesman Problem:

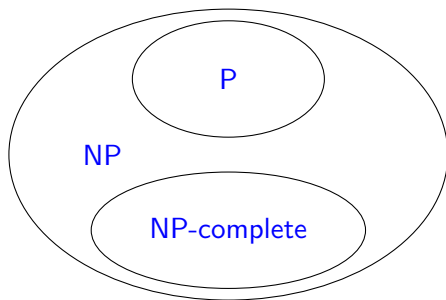
Deterministic machine: $f(n) = n(n-1)(n-2)\dots 1 = O(n!)$

\Rightarrow NP problem



P and NP Problems

NP-complete: NP and every other problem in NP is polynomially reducible to it.



$P = NP?$

