

Alternate *ACM SIG* Proceedings Paper in LaTeX Format

Michal Trnka

Dept. of Computer Science and Engineering
Czech Technical University
Technická 2, 166 27 Praha, Czech Republic
trnkami1@fel.cvut.cz

Tomas Cerny

Dept. of Computer Science and Engineering
Czech Technical University
Technická 2, 166 27 Praha, Czech Republic
tomas.cerny@fel.cvut.cz

ABSTRACT

Security of applications is very challenging and extensive problem. To keep with the trend of personalized applications and with increased interest in context aware applications it needs to be adapted for those applications. In this paper we present how to add context awareness elements into role based access control. We describe already existing solutions and point out their key ideas and we propose our solution, which is very lightweight, universal and allows instant enhancement of current RBAC even in current applications. The proposed solution bases on security levels, which are assigned to users based on context. Security levels represent how the users can be trusted and are determined during users login procedure. The levels are used as additional security constraints so to access resources in application user need to have not only right permission granted through roles, but also to have corresponding level.

Categories and Subject Descriptors

D.2.0 [Software Engineering]: Protection mechanisms

General Terms

Design, Security, Theory

Keywords

Role-based access control, Context-aware security, Security levels

1. INTRODUCTION

Contemporary applications move toward context-awareness (CA) [1, 11]. It is caused by the emergence of the amount of mobile technologies [5], as well as by the users' demand for personalized applications. Applications provide personalized content based on user's context or the application's context [7]. That brings completely new experience for the applications operators and to users. However, securing the

applications is done the old way. Usually, users are assigned various roles in applications or permissions for resources and security rules are independent on context. There are only a few applications, which have security based on context. We can expect that users and application owners would take advantage of application security that bases on context to provide specific resource access based on context.

Applications using Context-Aware Security (CAS) can be much less obtrusive for users. They can be asked for different authentication methods based on context, they can be authorized for same resource various ways depending on their context. For example, access from Prague can have different access rights than access from Brno. They can even sometimes omit authentication because their context is trustworthy by itself (e.g. access from inner company network). Similar to users, also application operators can profit from the context-based authentication. They might define more strict security rules for suspicious users' behavior (e.g. Internet access to system confidential resources at night). Using context allows system administrators to have more fine-grained security rules, which would be otherwise tangled through multiple rules and make them unsustainable for maintenance.

Application operators and software developers are well aware of the added value of CAS. Even though, there exist proposals how to do CAS none of them is widely used [3, 4, 8, 9, 12, 13, 14, 15, 16, 17, 20, 22]. The reason why they are not widely used is that they are either too complex to use or they are too innovative, requiring complete system redesign, which is hard to incorporate into existing solutions.

This paper presents a solution, which extends standard RBAC security architecture with CA elements. This extension bases on users' security levels that consider their context. To access resources require the user to possess particular level in addition to his/her usual access rights. This proposal allows extension to various security architectures with CA elements.

2. BACKGROUND

Large applications or information systems, which have more than one user, need some form of authentication and authorization. Such systems exist for many decades and are almost as old as computers themselves. For example, a proposal for an information system called Memex is mentioned in 1945 [2]. Therefore for many decades there exists a problem with security of applications and it was addressed various ways.

Two of the oldest principles for securing application resources are Mandatory Access Control (MAC) [18] and Dis-

Permission to make digital or hard copies of all or part of this work for personal or classroom use is granted without fee provided that copies are not made or distributed for profit or commercial advantage and that copies bear this notice and the full citation on the first page. To copy otherwise, to republish, to post on servers or to redistribute to lists, requires prior specific permission and/or a fee.

RACS'15 October 9-12, 2015, Prague, Czech Republic.
Copyright 2015 ACM 0-12345-67-8/15/10 ...\$15.00.

cretionary Access Control (DAC) [18]. Those two principles does not define how the application security should be implemented, but rather define core principles in authorization. In MAC there exists an authority that has the responsibility to grant permissions to access all resources. On the contrary, in DAC, the permission can be granted by anyone with sufficient permission for the resource.

However, granting permissions to every user in the system is impractical for larger amount of users. Role-based access control (RBAC) [6] provides another level of abstraction. It has the approach that permission is given to an abstract role and users are assigned these roles. Usually, there exists fewer roles than permissions in the system and the roles do not change significantly over time unlike users.

Nevertheless, these authorization principles and methods are static. They do not reflect changing state of the system and users. Once they are set, they do not take in account any other factors and any fine tuning becomes difficult.

CAS can overcome these difficulties and even provide new experience for users and application operators. CA applications are much more personalized then the static ones and the same comes for the security. Application can get a lot of information about user from the context and therefore it does not require users to provide additional information. For instance, if application has the knowledge of user's usual IP address then connecting from another IP can be viewed as suspicious. The application context is also valuable source of information for application owner. For example, the owner might restrict certain range of IP addresses, times of the day, etc., to access resources in the application.

With emerge of the CA applications, there is naturally need of CAS. The idea of CA applications exists since 90's [19]. Such CA applications adapts according to the user location and access times, the collection of nearby people, hosts, accessible devices, etc., as well as to changes to such things over time. A system with these capabilities can examine the user's environment, compute it and react to changes to the environment. Naturally, such application needs security architecture, which adopts all of the above-mentioned principles to be fully CA. However there is a significant lack of standardized methods or best practices how to address the CAS.

To illustrate how can CAS improve applications consider the following example. Let us consider an information system in a company. To make it more comfortable, we let users from inner company network access noncritical resources. But if the user comes from Internet or access some sensitive resources, he/she needs to authenticate. Not only users would benefit from it. CAS can determine suspicious users behavior. For example, when user logs into the system in short time frame from different parts of the world it can raise the flag and report the incident for further investigation. Furthermore, the company can set access hours for various resources, such as orders, to limit possibility of their abuse (for example, restrict access in non-working hours, to plan the next day delivery).

3. RELATED WORK

There has been multiple attempts to extend classic RBAC with CA elements as well as to make RBAC more fine-grained.

One of the approaches is to add another set of roles to RBAC. Moyer et. al. [14] proposes creating two additional

sets of object roles and environmental roles and tying permissions with trio of roles. Covington et. al. [4] simplify that to just one additional set of environmental roles. They are hierarchical composed and represent current state of system. Similarly to this approach Seon-Ho et. al. [16] suggests additional set of context roles. However creating completely new set (or sets) of roles increases design and computational complexity and therefore reduces advantages of RBAC.

Different solution proposes Sladić et. al. [20]. Roles are granted to user after his authentication based on context. That way user can obtain new roles which are reflecting his context. The idea is further developed by Kulkarni et. al. [9] into Context-Aware RBAC. It also allows roles to be granted based on context but there is second layer of authorization architecture, which is responsible for granting and revoking roles when the context changes and therefore roles are dynamically reflecting context. Problem with that solution is that it can not be mixed with traditional RBAC. Once the system starts assigning roles based on context all authorization rules are affected.

There is also possibility to solve that problem with adding another element not based on roles. Neumann et. al. [15] suggests adding context constraints to security policies. When the permission is checked user needs to posses not only the permission for the resource (based on his role) but also full-fill context constraints. Similar approach by Mostéfaoui et. al. [12] proposes that security rules should consist of four elements - permission, role, context and authentication method. Nevertheless defining context constraints for every permission would be very difficult, hard to maintain and it would repeat over without any form of their abstraction and aggregation. Also they do not describe how to define context constrains itself and how to check context during the authorization process and what is the performance of their proposal in real application.

Lima et. al. [10] adds another context dimension to current security rules. It would make security policy three dimensional with context, permission and role. Difference from xRBAC [15] is that it takes context more abstractly and complexly. Corrad et. al. [3] suggest leave the roles completely and assign permissions to contexts. Both those approaches are interesting in that they consider and compare contexts to make decisions on how similar they are. However they do not mention how should be contexts compared and how is the context similarity defined, also permanent context checking might consume significant amount of application resources. Mowafi et. al. [13] in his paper describes solution for mobile applications where every service in application should run in sandbox, which would be responsible for determining security rights based on contexts and security rules.

Remarkable idea is proposed by Hung et. al. [8] He proposes three entities in security rules - object, user and activity. All of the entities have some credentials. If user want to perform action on object he needs to poses credentials required for both object and activity. This approach is not connected with RBAC, still it can provide some interesting ideas, which might be used in RBAC.

Another interesting idea is described by Wendong et. al. [22] He suggests adding user security level in addition to RBAC and define needed security levels to perform actions. Idea is with adding security levels is very interesting in connection to this paper, however he grants the security level

manually to user and not based on context.

Neuman et. al. [17] shows very interesting and complex approach to extend Access Control Lists (ACL). In his paper he describes multiple conditions including context constraints and the way they should be enforced on objects. An object is protected with access rights, which can be both positive or negative and with optional set of associated conditions. Even though he describes the solution for ACL many of his proposals can be used in any security concept including RBAC.

4. PROPOSED SOLUTION

Security policies in organizations are very consistent and are changing just slightly over time. Most of the organizations does not want or does not even need to apply any radical changes. Therefore CAS must be another logical step to evolve current security. This will allow us to build new security rules on existing and well proved solution and it also makes the solution more accessible for people who are familiar with current solutions.

We propose creation of a security level, which is based on context in addition to traditional roles in RBAC. Level can be understood as quantification how is the user trustworthy and it is dynamically tied to user. The security level creates second security constraint beside traditional permission and therefore resources in application now can have two different kind of security rules - classic permission tied with role and security level.

```
@AllowedRoles('admin','manager')
@RequiresLevel(3)
public Resource getResource(int Id){
    ...
}
```

Listing 1: Example of using security levels for securing resources

As the context of the user and the application is changing, the level needs to reflect the dynamic nature of context. There are several moments when the level can be calculated. First moment is to calculate the level during user's account creation. However, this does not reflect the dynamic nature of context and therefore is unsuitable for our needs. The opposite extreme is to determine the level on every authorization request. This would reflect changing context most reliably but it is too demanding for computational resources and also time consuming, as the context check might not be trivial. As the best compromise seems to determine the level during user's log in into application. It decreases number of context checks by several orders and at the same time it provides very accurate snapshot of the user's context. In cases when the context changes rapidly, the user can perform relogin or even the application can enforce a new level calculation manually.

The level resolution is achieved by context resolvers. Each resolver takes the responsibility for checking one particular part of context. For example, one resolver would determine network, from which the user comes. Another would check time of the day and so on. Every resolver would return, which level it grants to the user. As the security resolver is written within the application, it has access to users information (e.g. his request, information about him stored in database, etc.), as well as it can use information about

the application (e.g. number of requests, number of users). Furthermore, it can even consider the machine the application is running on (e.g. load of the machine, resource usage, location of the server, etc.). The level does not need to be set in resolver and it does not decide just if to grant it or not, the resolver itself makes decision, which level to grant. After every resolver performs its inner logic and determines the level on its own, the highest level is used as the final user's security level.

The level representation by itself is very abstract. It is only necessary for the level to be comparable with other levels to know whether the given level is higher or lower than required one and also to determine the highest one. Therefore it is not important whether number, string or even some more complex structure represents the level. This leaves a lot of space for customization for a given application.

The proposed solution has many advantages. The most important ones are:

- Lightweight - it does not require any complex structures in application nor it does not consume significant system resources.
- Easy to use - it just requires adding another type of constraint to resources that need to pose CAS.
- Voluntary - if someone wants to use plain RBAC he can and just to chosen resources he might add level restrictions.
- Scalable - there is not any predefined set of levels nor there is no limit in amount of levels in application.
- Universal - the solution can be modified and used with other security architectures, not just with RBAC.

However the solution poses few limitations, which needs to be worked further on. Among them the most significant are:

- Hard to determine exact context - sometimes can happen that some resource should be accessible just from given context. For example, some resources are accessible only during the day and some just during the night. Such scenario is impossible to secure with proposed solution.
- Levels are linear - structure of the levels is strictly linear and therefore it is impossible to build some tree or even more complex structure of levels. Often happen that there are multiple context rules, which are granted different set of right. For example, levels can't model geographical situation when users from same state have some rights but people in different location of the state got additional specialized rights.

5. CASE STUDY

To demonstrate proposed solution we conduct a small case study. We implement a functional mock of e-shop with multiple actions and different security rules. User without any form of authentication is able to browse items in shop and add them to a cart. User who has logged in using his login and password can view his order history and delivery address. And finally there is third level of authentication, lets call user possessing it 'verified user', which allows user to change his delivery address and to pay for the purchase. This level is obtained by additional authentication done by one of two ways. The first possibility is to use specially generated code, delivered to phone by SMS. Second possibility

Table 1: Predelat tabulku User's status and allowed actions

User's status	Actions	Obtained
none	browse e-shop	default
logged in	view order history	username/pwd
verified	Pay for purchase	SMS code verification
	Change delivery address	
	Set trusted IP	Access from set IP address

is, that user can set trusted IP address (it can be set only if the user is already verified) and if he logs in from that IP address he is automatically considered verified.

In every application is important to know what are the operations that user might do and then determine security rules for them. In the showcase application is multiple actions, which is user allowed to perform on different authentication levels. This is shown in Table 1. It also shows how the level can be obtained. You can see that security rights are simple for our application, however for real application they most likely will be very complicated.

To demonstrate difference and effectiveness in our novel approach we implement application describe above twice. Once using levels and once using traditional methods. Levels are implemented in Java EE and integrated into PicketLink¹ framework. Therefore both implementation of application are also build on top of Java EE specifications.

Predelat @LoggedIn na HasRole? Nebo to vysvetlit v textu, proc tam nemame zadny role?

```
@LoggedIn
public void makeOrder(Order o) throws
    NotTrustedUserException{
    if(!ipCheck.isIpTrusted() && !smsCheck.
        isSmsVerified()){
        throw new NotTrustedUserException();
    }
    ...
}
```

Listing 2: Method secured traditional way

In implementation without using levels needs to be code determining trusted user manually included in every method requiring, which requires user to be verified. As Listing 2 shows it brings few lines of unrelated code into those methods as well as new declaration of thrown exception.

Predelat @LoggedIn na HasRole? Nebo to vysvetlit v textu, proc tam nemame zadny role?

```
@LoggedIn
@RequiresLevel('2')
public void makeOrder(Order o){
    ...
}
```

Listing 3: Method secured with levels

In Listing 3 you can clearly see that method using security levels is significantly shorter and does not have any

¹<http://picketlink.org/>

unrelated code inside. Therefore it increases cohesion [21] of method and in the same time reduces coupling [21]. The class 'IpCheck' has been changed to level resolver, which reduces dependencies, because all resolvers are invoked automatically during log in. The class SmsCheck was deleted completely, because the framework allows setting up level on authenticator as is shown in Listing 4.

```
@SecurityLevel("2")
public class SmsAuthenticator extends
    BaseAuthenticator {
    ...
}
```

Listing 4: Authenticator for SMS verification

You can clearly see that approach with levels adds to code of secured methods just one line with annotation. In addition it keeps code for determining level separated from business logic of the application and therefore allows faster development once the levels are programmed and easier maintenance and testing of the code. Without using levels there needs to be a condition for every possibility how to obtain given level. Therefore complexity of the code is unnecessary increased. Even if the security rules were extracted to another class it would add one more dependence for given class. The proposed solution can also decrease number of total classes in application because some levels are determined automatically by annotations (e.g. over authenticators).

In given example the implementation with levels removes 3 lines of code and exception declaration while adding one annotation in half of the secured methods. It also removes one class completely (while adding one annotation to authenticator) and second class is changed and there are no dependencies to it. It is obvious that with more complicated application the benefit will be even more significant.

6. CONCLUSION

In this paper we focused on the area of the CAS with focus on RBAC architecture. As is covered by related works the main issue of CAS is no existing standard or efficient best practice solution. There are multiple approaches how to add CA elements into RBAC. However they suffer from multiple inconveniences. They are either too complicated and therefore they significantly decreases one of main advantage of RBAC, which lays in its simplicity to maintain and develop. In some cases they also demands a lot of computational resources or even change the RBAC so extensively that it can be hardly called RBAC anymore.

We introduced a novel approach based on adding another security constraint beside classic permissions tied to roles. The constraint is called security level and it is based on the context. Basically level describes how much user can be trusted. To access resource in application user is required not only to possess permission through roles but also to have corresponding security level. This approach keeps advantages of RBAC and extends them further with CA elements. The solution has few limitations but brings multiple advantages to support CAS.

In future work we want to focus on transfer of security levels to other security architectures since our preliminary results show the potential and flexibility to utilize the advantages. Apart from that we want to examine options to overcome linearity of the levels and how to model more complex

context security constraints and generally reduce downsides of the proposed solution.

7. ACKNOWLEDGMENTS

Research described in the paper was supported by the Grant Agency of the Czech Technical University in Prague, under grant No. SGS14/198/OHK3/3T/13.

8. REFERENCES

- [1] G. D. Abowd, A. K. Dey, P. J. Brown, N. Davies, M. Smith, and P. Steggles. Towards a better understanding of context and context-awareness. In *Proceedings of the 1st International Symposium on Handheld and Ubiquitous Computing*, HUC '99, pages 304–307, London, UK, UK, 1999. Springer-Verlag.
- [2] V. Bush and A. W. M. Think. The atlantic monthly. *As we may think*, 176(1):101–108, 1945.
- [3] A. Corrad, R. Montanari, and D. Tibaldi. Context-based access control management in ubiquitous environments. In *Network Computing and Applications, 2004. (NCA 2004). Proceedings. Third IEEE International Symposium on*, pages 253–260, Aug 2004.
- [4] M. J. Covington, W. Long, S. Srinivasan, A. K. Dev, M. Ahamad, and G. D. Abowd. Securing context-aware applications using environment roles. In *Proceedings of the Sixth ACM Symposium on Access Control Models and Technologies*, SACMAT '01, pages 10–20, New York, NY, USA, 2001. ACM.
- [5] A. Harter, A. Hopper, P. Steggles, A. Ward, and P. Webster. The anatomy of a context-aware application. In *Proceedings of the 5th Annual ACM/IEEE International Conference on Mobile Computing and Networking*, MobiCom '99, pages 59–68, New York, NY, USA, 1999. ACM.
- [6] M. Hitchens and V. Varadharajan. Design and specification of role based access control policies. *Software, IEE Proceedings -*, 147(4):117–129, Aug 2000.
- [7] J. Hong, E.-H. Suh, J. Kim, and S. Kim. Context-aware system for proactive personalized service based on context history. *Expert Systems with Applications*, 36(4):7448 – 7457, 2009.
- [8] L. X. Hung, J. Hassan, A. Riaz, S. Raazi, Y. Weiwei, N. Canh, P. Truc, S. Lee, H. Lee, Y. Son, M. Fernandes, M. Kim, and Y. Zhung. Activity-based security scheme for ubiquitous environments. In *Performance, Computing and Communications Conference, 2008. IPCCC 2008. IEEE International*, pages 475–481, Dec 2008.
- [9] D. Kulkarni and A. Tripathi. Context-aware role-based access control in pervasive computing systems. In *Proceedings of the 13th ACM Symposium on Access Control Models and Technologies*, SACMAT '08, pages 113–122, New York, NY, USA, 2008. ACM.
- [10] J. Lima, C. Rocha, I. Augustin, and M. Dantas. A context-aware recommendation system to behavioral based authentication in mobile and pervasive environments. In *Embedded and Ubiquitous Computing (EUC), 2011 IFIP 9th International Conference on*, pages 312–319, Oct 2011.
- [11] M. Macik, T. Cerny, and P. Slavik. Context-sensitive, cross-platform user interface generation. *Journal on Multimodal User Interfaces*, 8(2):217–229, 2014.
- [12] G. K. Mostéfaoui and P. Brézillon. A generic framework for context-based distributed authorizations. In P. Blackburn, C. Ghidini, R. Turner, and F. Giunchiglia, editors, *Modeling and Using Context*, volume 2680 of *Lecture Notes in Computer Science*, pages 204–217. Springer Berlin Heidelberg, 2003.
- [13] Y. Mowafi, D. Abou-Tair, T. Aqarbeh, M. Abilov, V. Dmitriyev, and J. M. Gomez. A context-aware adaptive security framework for mobile applications. In *Proceedings of the 3rd International Conference on Context-Aware Systems and Applications*, ICCASA '14, pages 147–153, ICST, Brussels, Belgium, Belgium, 2014. ICST (Institute for Computer Sciences, Social-Informatics and Telecommunications Engineering).
- [14] M. Moyer and M. Abamad. Generalized role-based access control. In *Distributed Computing Systems, 2001. 21st International Conference on.*, pages 391–398, Apr 2001.
- [15] G. Neumann and M. Strembeck. An approach to engineer and enforce context constraints in an rbac environment. In *Proceedings of the Eighth ACM Symposium on Access Control Models and Technologies*, SACMAT '03, pages 65–79, New York, NY, USA, 2003. ACM.
- [16] S.-H. Park, Y.-J. Han, and T.-M. Chung. Context-role based access control for context-aware application. In M. Gerndt and D. Kranzlmüller, editors, *High Performance Computing and Communications*, volume 4208 of *Lecture Notes in Computer Science*, pages 572–580. Springer Berlin Heidelberg, 2006.
- [17] T. Ryutov and C. Neuman. The specification and enforcement of advanced security policies. In *Policies for Distributed Systems and Networks, 2002. Proceedings. Third International Workshop on*, pages 128–138, 2002.
- [18] R. Sandhu. Access control: The neglected frontier. In J. Pieprzyk and J. Seberry, editors, *Information Security and Privacy*, volume 1172 of *Lecture Notes in Computer Science*, pages 219–227. Springer Berlin Heidelberg, 1996.
- [19] B. Schilit, N. Adams, and R. Want. Context-aware computing applications. In *Mobile Computing Systems and Applications, 1994. WMCSA 1994. First Workshop on*, pages 85–90, Dec 1994.
- [20] G. Sladić, B. Milosavljević, and Z. Konjović. Context-sensitive access control model for business processes. *Computer Science and Information Systems*, 10(3):939–972, 2013.
- [21] W. P. Stevens, G. J. Myers, and L. L. Constantine. Structured design. *IBM Syst. J.*, 13(2):115–139, June 1974.
- [22] Z. Wendong and Z. Kaiji. A role-based workflow access control model. In *Education Technology and Computer Science, 2009. ETCS '09. First International Workshop on*, volume 2, pages 1136–1139, March 2009.