

Michele Allegra

# Dynamic connectivity clusters reflect progressive learning and fast strategy shifts



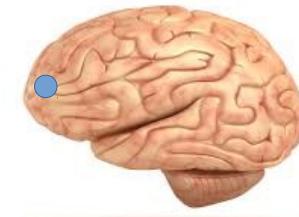
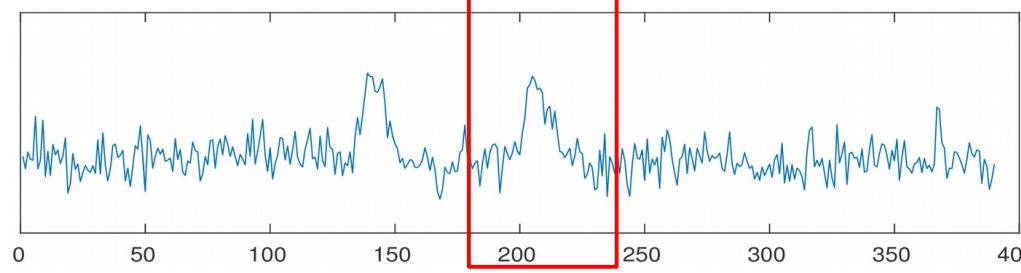
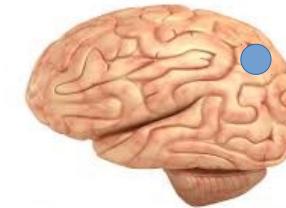
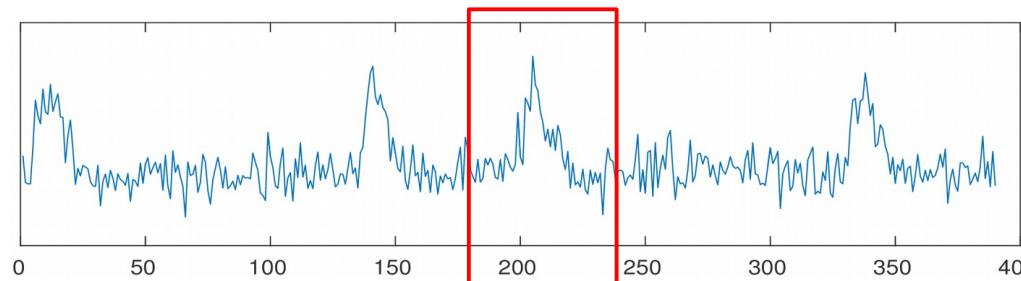
# Outline of the talk

- CDPC: A method to find connectivity clusters in fMRI
  - Density Peak Clustering (DPC): the basics
  - Applying DPC to fMRI: Coherence DPC
- An application of CDPC to a task with two strategies
  - Clustering frequency
  - Effects of learning and strategy-switching

# Identifying short-term activity patterns



- **Original idea:** identify brain activity patterns associated to non-repeatable cognitive events
- **Example:** find brain areas co-activated in finding solution of complex problem

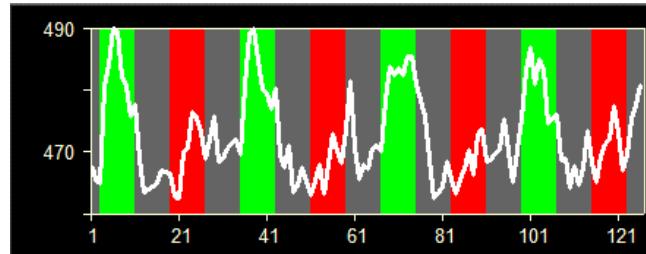


- **Goal:** be able to *identify patterns in fMRI data with high accuracy in short time windows (<30 s)*

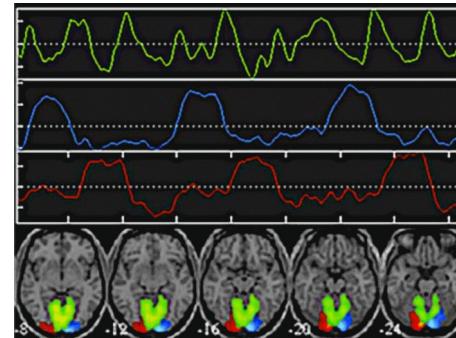
# Identifying short-term activity patterns



- Supervised methods (GLM) need many repetitions and well-defined model (design matrix)



- Unsupervised methods (ICA) may need long windows for reliable source identification



- **Try Density Peak Clustering**, developed within our group  
[A Rodriguez, A Laio, Science 344, 1492 (2014)]
- Idea: cluster BOLD time series of different voxels, finding groups of voxels with similar BOLD time-series (connectivity clusters)

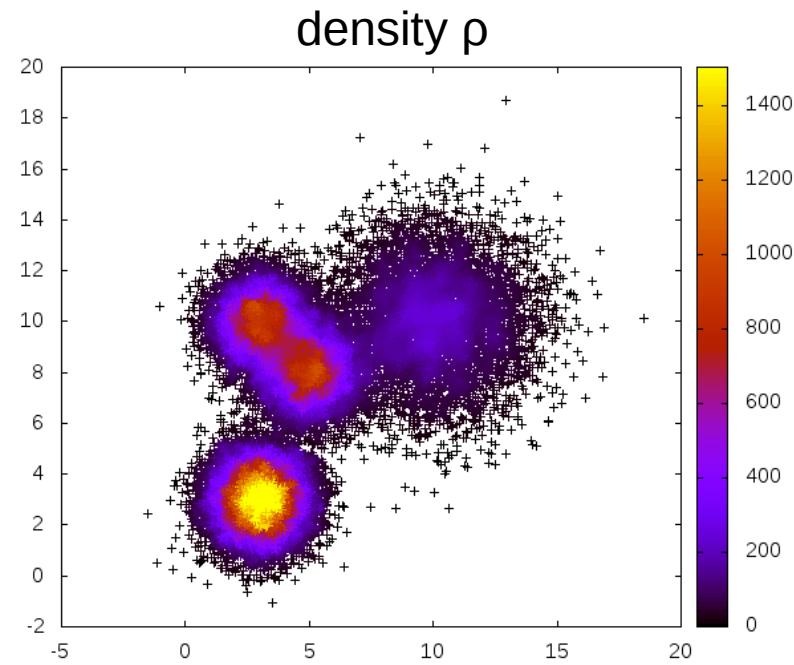
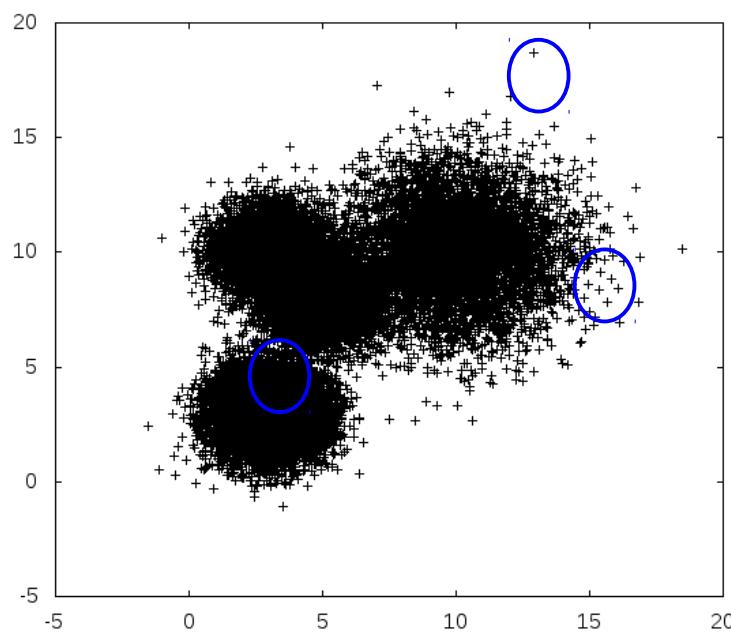
# DPC(1): density-based clustering



- start from a **metric**  $d_{ij}$  that defines distances
- reconstruct **density** around each data point  $i$   
[density = probability density from which data are sampled]
- count # of points in ball or radius  $\epsilon$  centered at  $i$

$$\rho_i = \sum_{j \neq i} \chi(d_{ij} - \epsilon)$$

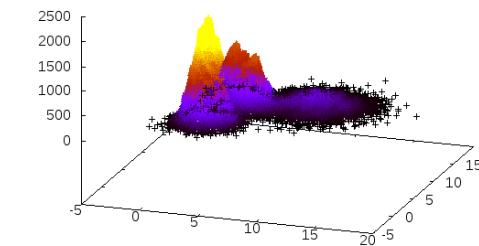
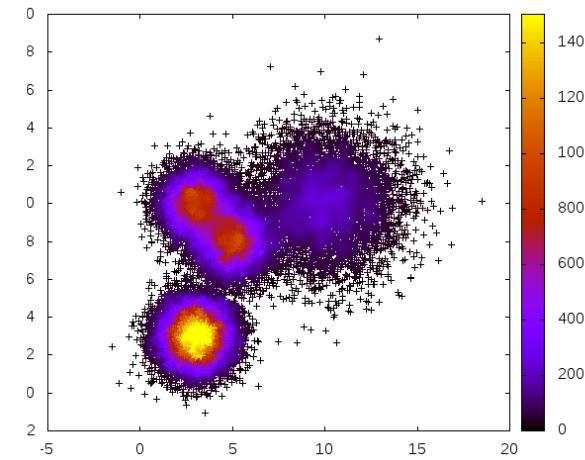
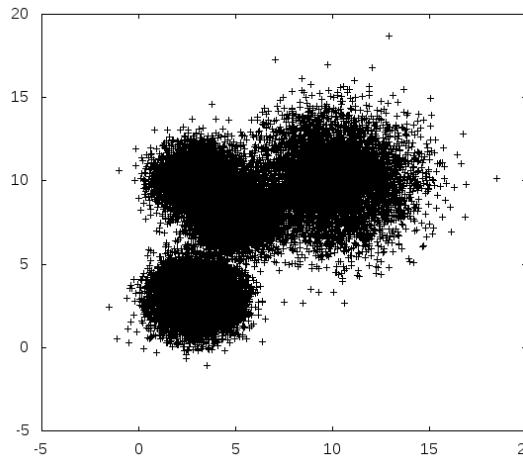
$$\chi(a) = \begin{cases} 1 & a \leq 0 \\ 0 & a > 0 \end{cases}$$



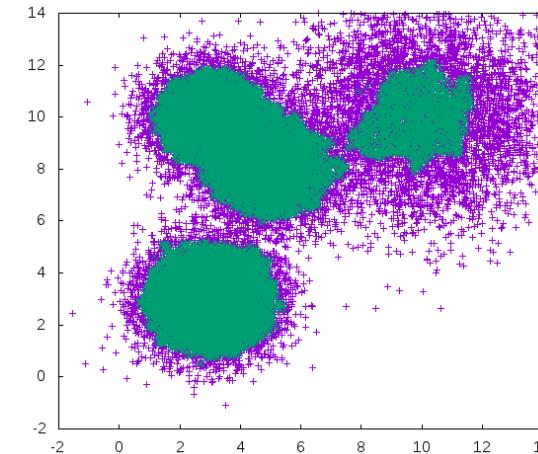
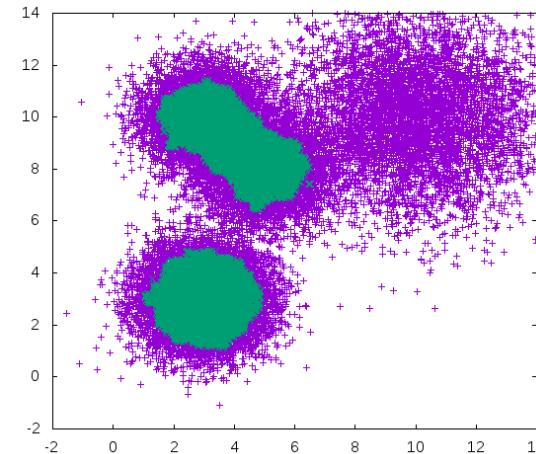
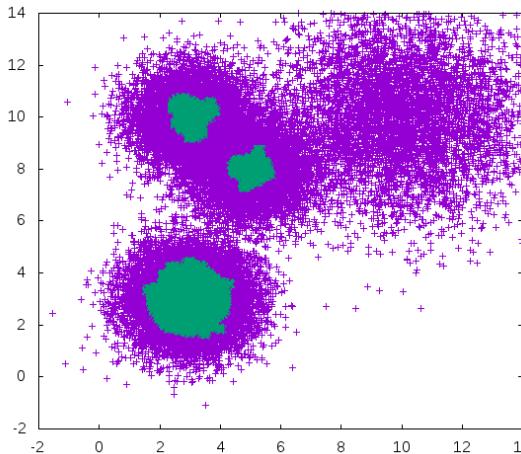
# DPC(2): Density-based clustering



- Reconstruct the density



- Standard algorithms (dbSCAN) identify clusters as disconnected regions of “high density”



- What is high? Results depend on the chosen density threshold!
- Cannot resolve structures at different density scales

# DPC (3): finding peaks



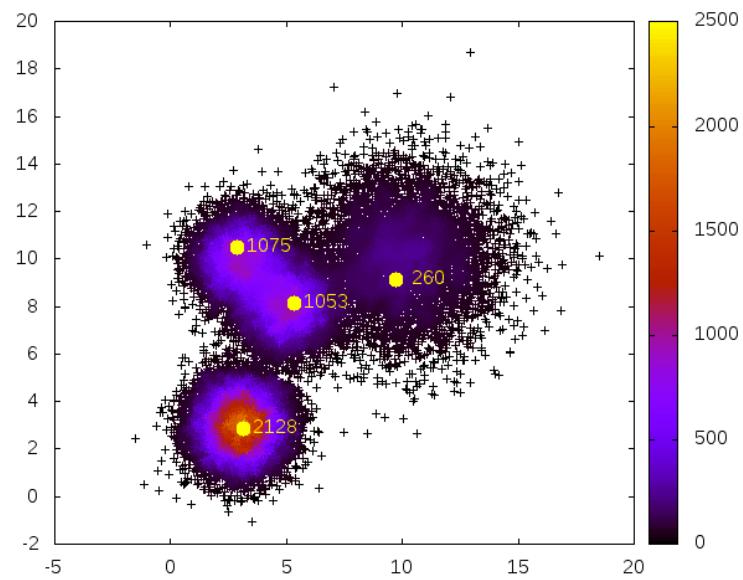
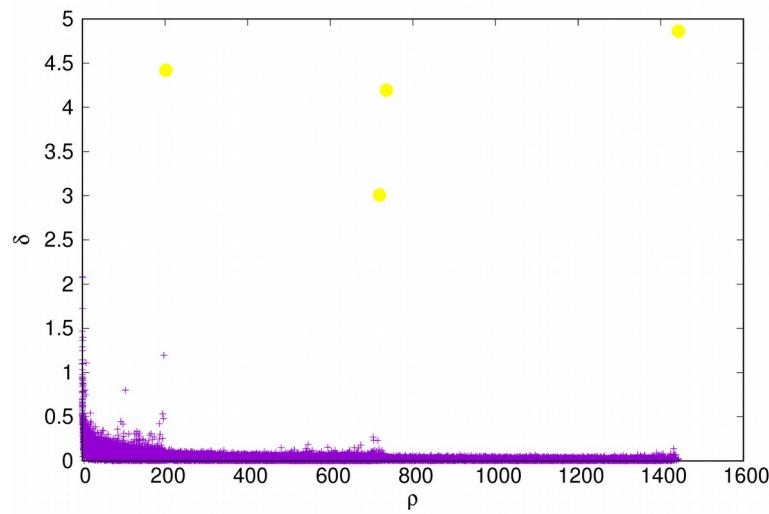
Instead, one can associate a cluster to each density peak

Density peaks are local maxima in the density

**Density peaks are far from any point with higher density**

Compute for all points min distance from point at higher density  $\delta_i = \min_{j: \rho_j > \rho_i} d_{ij}$

Peak are outliers in “decision graph”  $\rho_i$  vs  $\delta_i$ :

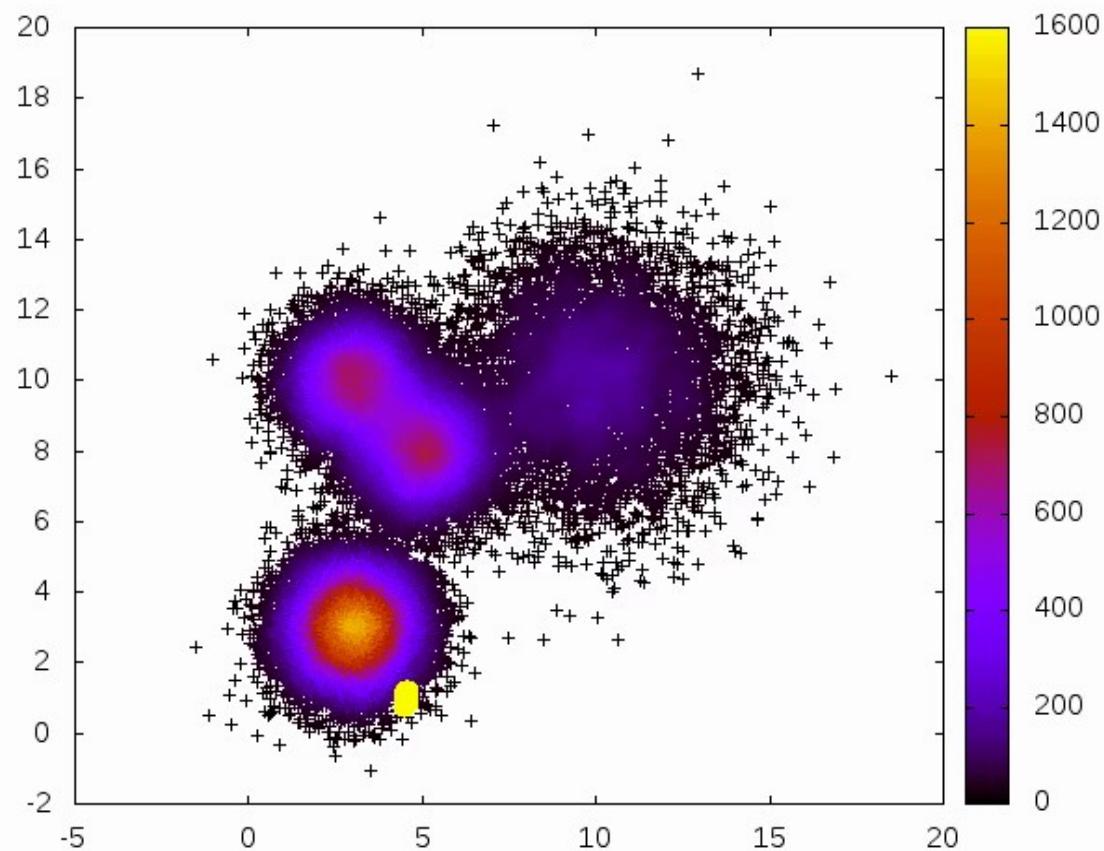


# DPC (4): assigning points



Points are assigned to peaks by following a path of increasing density leading to one of the peaks.

Jump from one point to nearest point with higher density



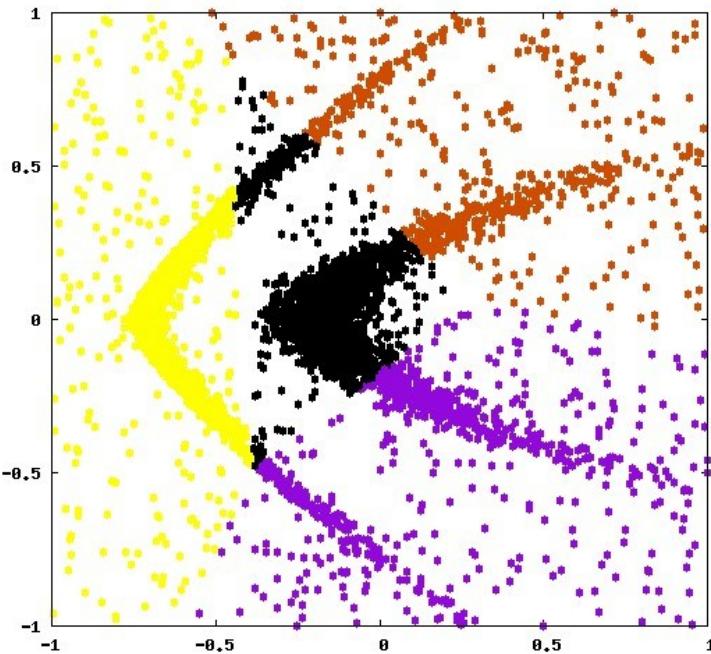
# DPC (5): assigning points



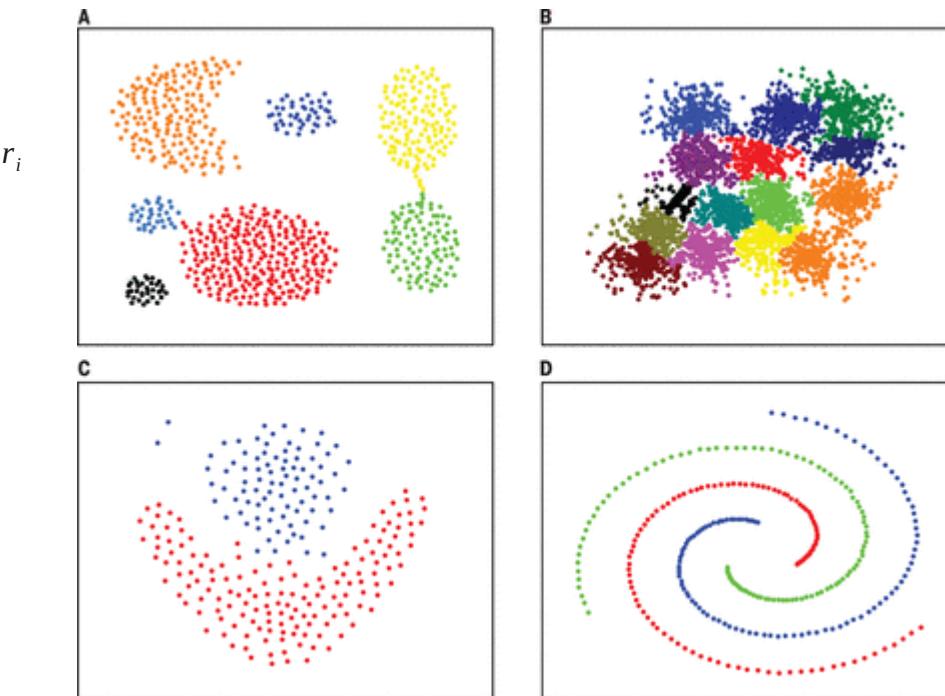
Non density-based clustering methods (e.g. K-means) typically assign point to nearest center, and can only find roughly spherical clusters

Density-based clustering methods allow to retrieve clusters of arbitrary shape

K-means



DPC



# DPC (6): pros and cons



Density peak clustering: a new clustering method  
[Rodriguez and Laio, Science 2014]

Advantages:

- Computationally cheap (no optimization involved)
- Works well in high dimension (no embedding required, only distances)
- Automatically finds number of relevant clusters
- Finds clusters of arbitrary shape

Disadvantages:

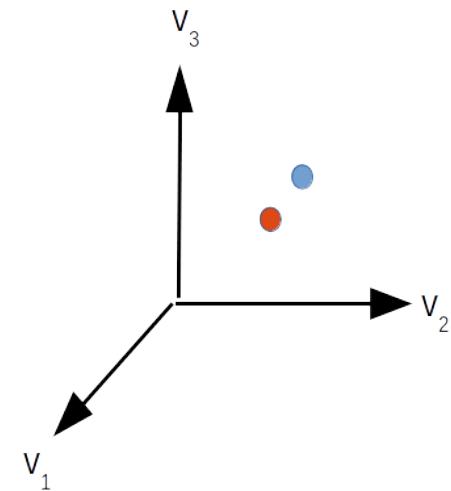
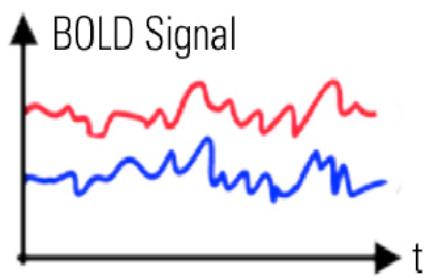
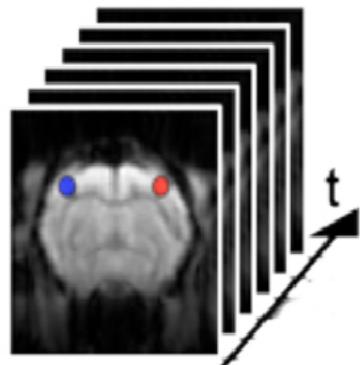
- Requires many data points ( $>100$ )
- One free parameter ( $\varepsilon$ ) [solved in improved version, but highly nontrivial!]

# Applying DPC to fMRI

Allegra et al., Hum Brain Mapp 2017



- apply DPC in the space of BOLD time series
- consider window of  $T$  frames
- to each voxel corresponds a BOLD time series of  $T$  values,  $v_1, v_2, \dots, v_T$
- consider  $T$ -dimensional space of time-series
- each voxel time series is a point in this space
- a cluster in this space is group of coherent voxels, i.e. with similar BOLD
- we call such clustering **Coherence Density Peak Clustering (CDPC)**



# CDPC: finding a metric



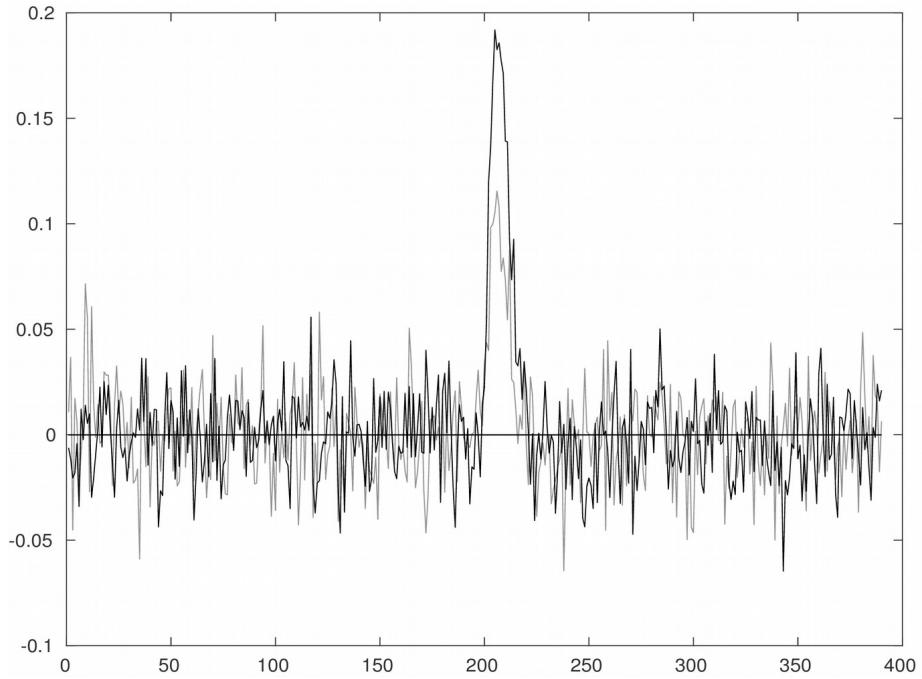
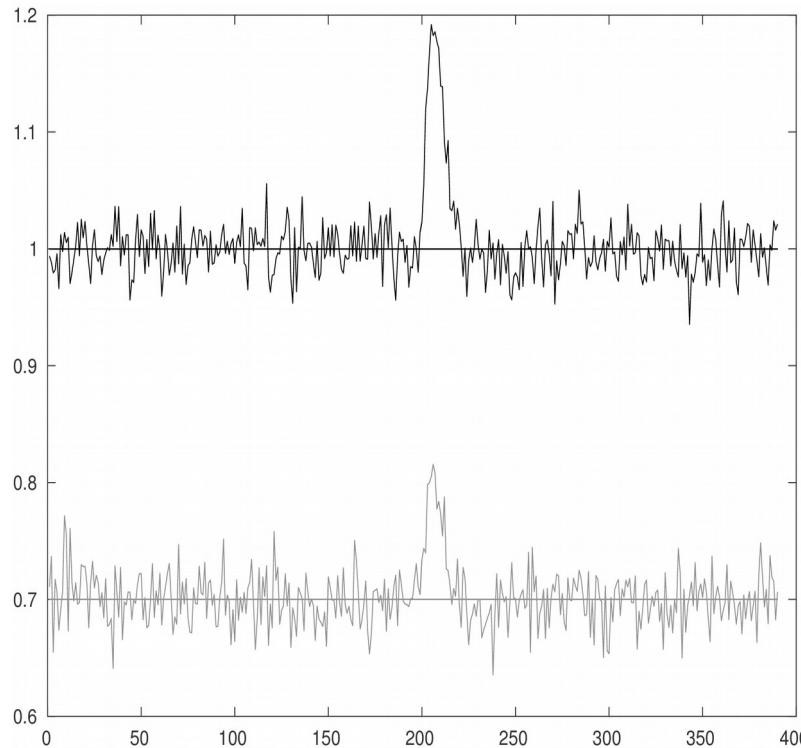
- first, we need a metric  $d_{ij}$  to define the distance between BOLD signals of voxels  $i$  and  $j$ .

- simplest candidate: Euclidean metric

$$d_{ij} = \sqrt{\sum_t (\nu_i(t) - \nu_j(t))^2}$$

- remove average and normalize amplitude

$$d_{ij} = \sqrt{\sum_t (\nu'_i(t) - \nu'_j(t))^2}$$



# CDPC: filtering noise



- Where do we “cut” clusters? Can we use a lower threshold on  $\rho$ ?
- Problem: applying the method on imaging phantom, we find high values of  $\rho$  (comparable to real data)  
**Noise can be (highly) coherent**
- in real images strong coherence between spatially close voxels, in phantom no (sparse coherence)
- Consider small sphere  $S_i$  around each voxel  $i$  and compute “number of coherent neighbor voxels”



$$n_i = \sum_{j \in S_i} \chi(d_{ij} - \epsilon)$$

- $n_i$  is low for phantom, high for real images

# CDPC: filtering noise



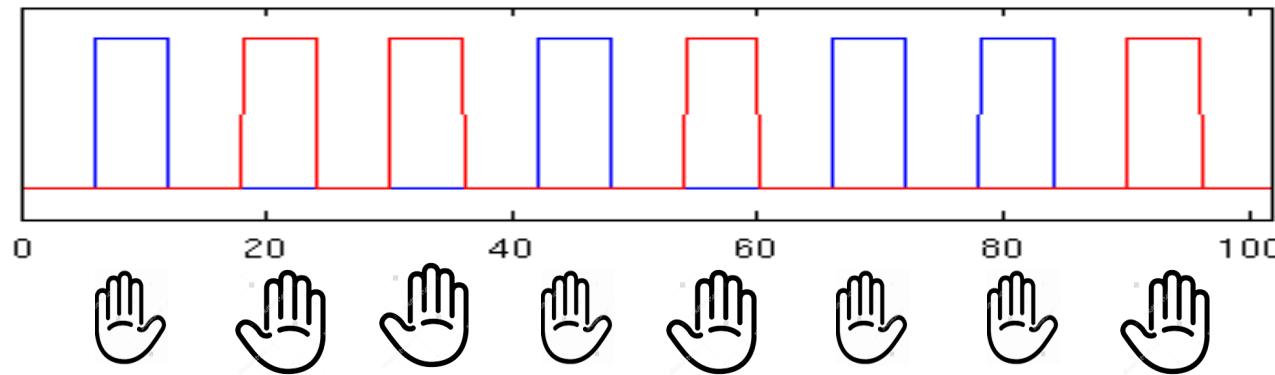
- Assumption: coherence in a task induces coherence among small (possibly disconnected) regions, not isolated voxels
- Let  $n_o$  be max  $n_i$  found in phantom:  
use this as threshold on  $n_i$
- Only voxels with  $n_i > n_o$  are considered in the computation of  $\rho$  and assigned to clusters
- This (empirical) *noise filter* removes spurious clusters in phantom and simulated data affected by high noise



# Simple validation of CDPC: motor experiment

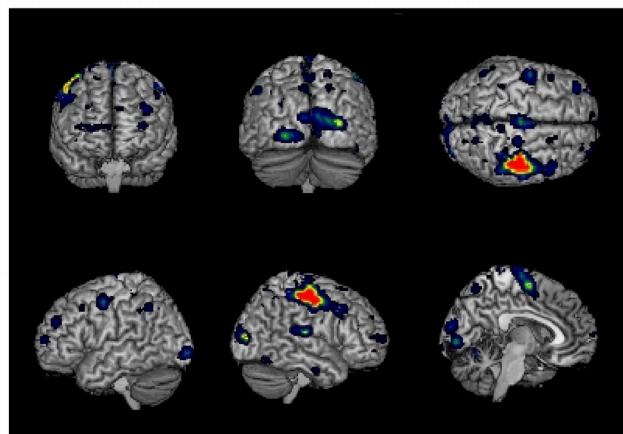
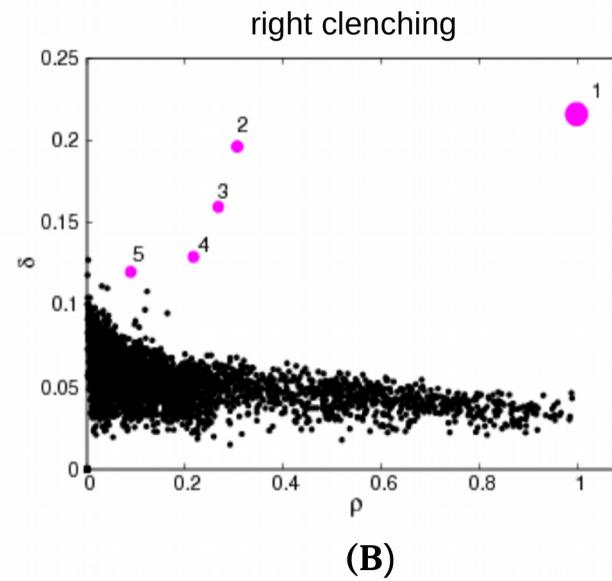
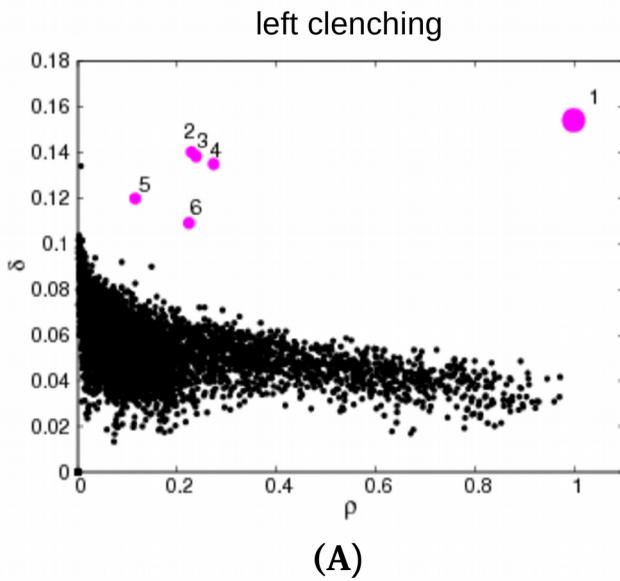


- First test in motor experiment (alternative trials left/right clenching, visually cued)

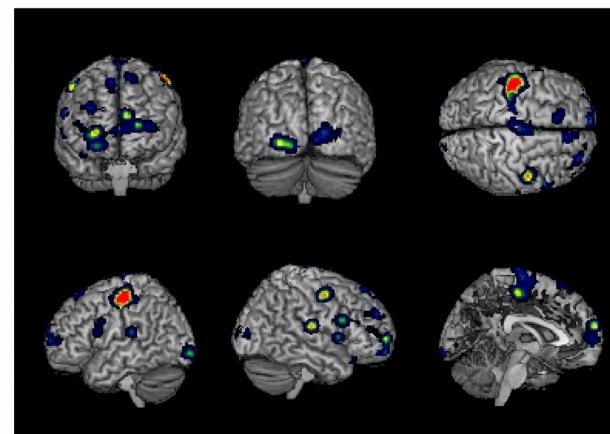


- Can we reconstruct activity patterns in single trials?
- Apply CDPC to short time windows (~12 volumes, ~20 s) corresponding to single clenching trials

# Simple validation of CDPC: motor experiment



(C)



In window corresponding to left/right clenching trial we find main cluster including right/left motor cortex

The cluster also includes part of occipital cortex (clenching was visually cued)

# Simple validation of CDPC: motor experiment

M. Allegra et al., Hum Brain Mapp 38 (3), 1421 (2017)



## Results:

- Proof-of-principle of **coherent pattern detection in single trials**
- Accurate retrieval of coherent patterns, little noise even in single subjects and short time windows
- Results are consistent over subjects

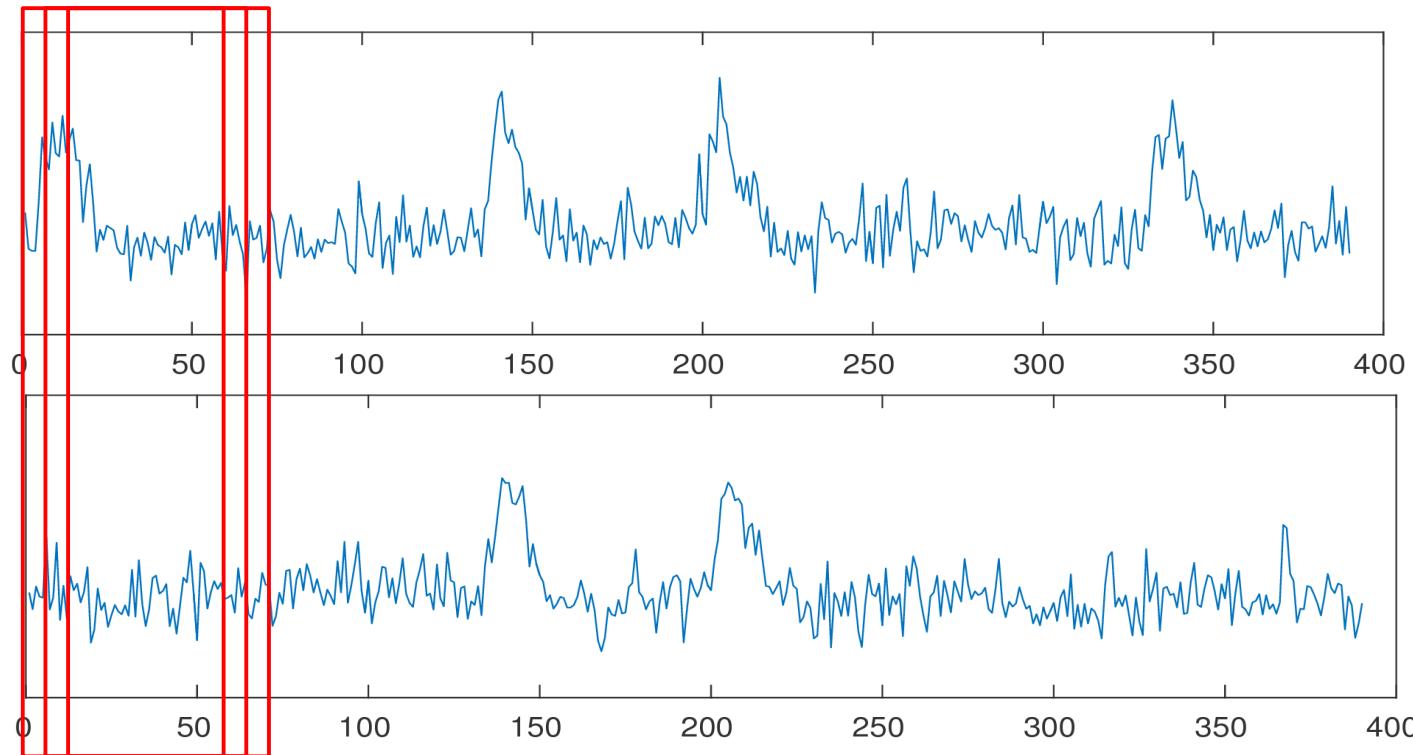
## Limitations:

- No null model to perform inference on clustering results
- Two free parameters ( $n_i$  and  $\varepsilon$ )

# Many windows together: clustering frequency map



- With CDPC we can in principle retrieve connectivity in single trials
- Looking at several time windows we can track dynamic connectivity in a task
- Apply CDPC on running windows of ~20 s (scans 1-12, 2-13, ...)



- This allows to detect *transient coherence*, different from global coherence over all windows

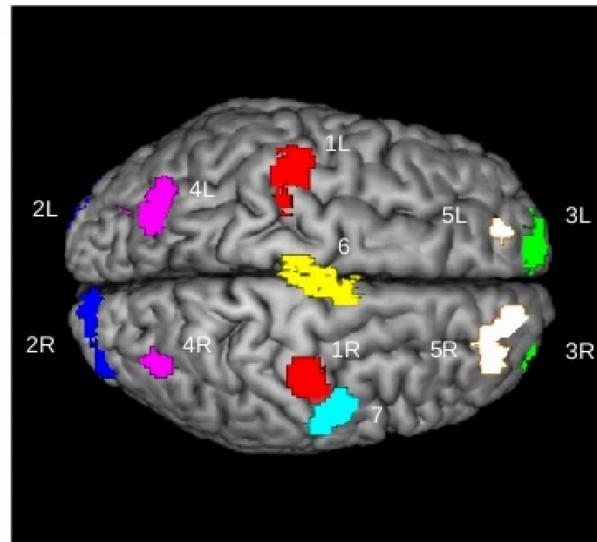
# Many windows together: clustering frequency map



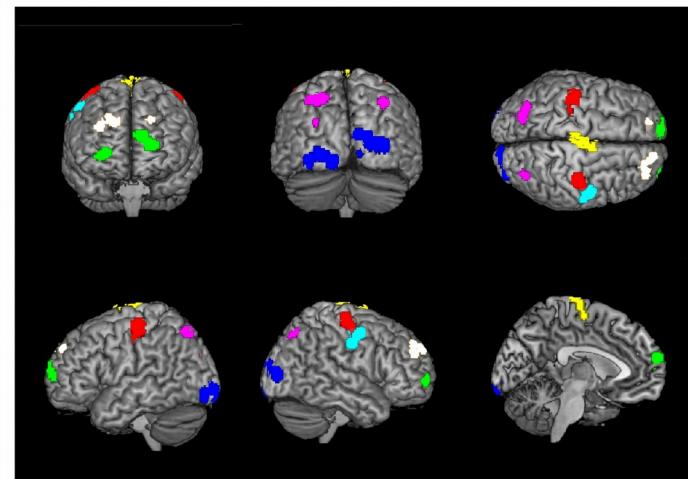
- Hypothesis: a brain area participating to the task will be involved in coherent clusters
- Put together many windows: Clustering frequency map

# windows where voxel  $i$  is clustered       $\Phi_i = \frac{1}{N_t} \sum_t \chi(c_i(t))$

- High- $\Phi$  regions for the motor experiment reflect areas involved in the task: motor, parietal, visual, frontal



(A)



(B)

# Applying CDPC to more complex experiments



- **Q1:** by means of the clustering frequency map  $\Phi$ , can we find areas involved in a task?

If yes, CDPC may be used to find task-relevant areas without supervision

- **Q2:** for a task with several sessions, can we track variations in the functional response by looking at how  $\Phi$  varies in different sessions?

If yes, CDPC may be used to track learning and task-switching effects

- **A:** we try to apply CDPC to a task where there is both progressive learning and a sudden behavioral shift,

re-analysis of paper by NW Schuck et al. Neuron 86.1 (2015): 331

# A task with two strategies



At each trial, subjects are shown a cloud of dots inside a square

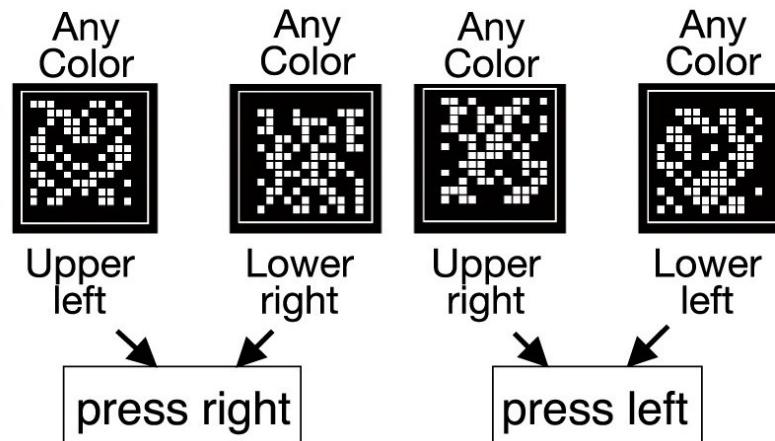
Visual stimulus has **two features**: **corner** (position of dots closer to one corner of the square) and **color** (color of dots, rd or green)

“Judge in which corner of the frame the little squares are.  
The squares are colored and can be either red or green”

## Instructed S-R Mapping

*Corner determines response*

4 corners map onto 2 buttons



# A task with two strategies

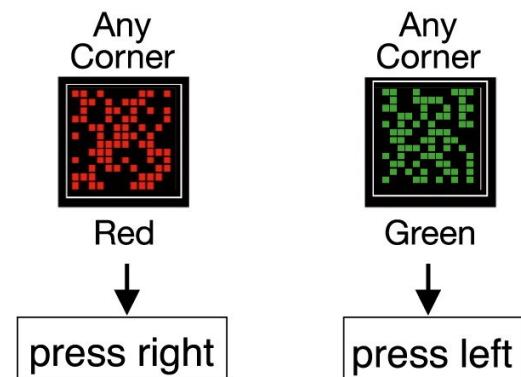


- There are 12 runs of 5 min each; in each run, ~180 trials
- Instructed S-R mapping requires effort: 4-2 mapping, conflict when corner is contralateral to button
- without telling participants, starting from third run a perfect color-corner correlation is introduced, so that UL/LR are always red and UR/LL always green
- Then an alternative, cheaper strategy based on color becomes possible

## Learned S-R Mapping

*Color determines response*

2 colors map onto 2 buttons



# A task with two strategies

- 11/36 subjects (“**color users**”) spontaneously realize correlation and switch to color strategy in the mid of the experiment

The switch can be identified with a temporal resolution of 0.5 run (1 block) based on several behavioral markers, e.g. drop in RT, drop in error rate, ...

- 25/36 subjects (“**corner users**”) continue to rely on corner information, and are told about the correlation before last two runs

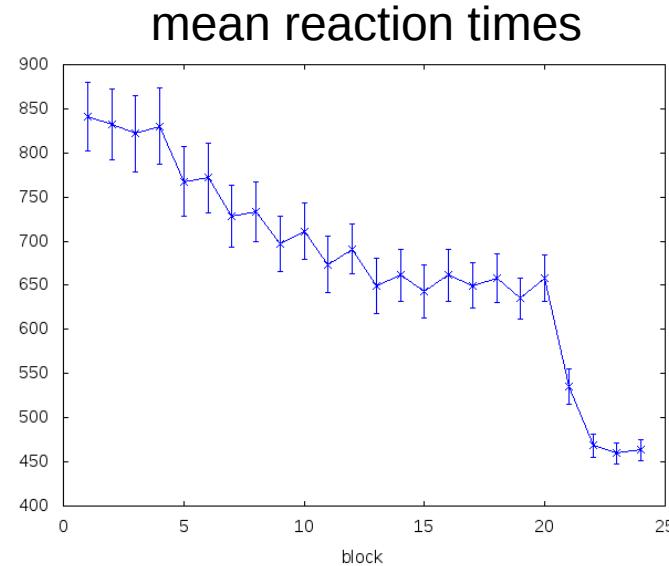
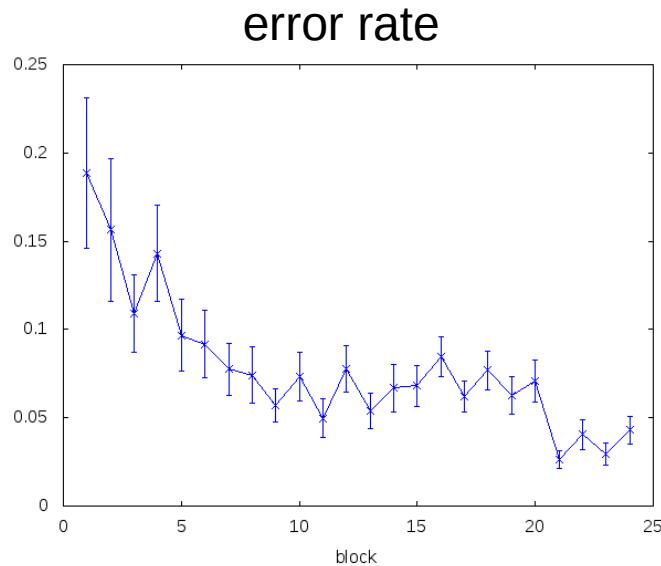


# A task with two strategies



Both color and corner users exhibit learning effects:

- Progressive drop in RT and error rate in corner phase
- Sudden drop in RT and error rate in the (spontaneous or instructed) switch to color phase

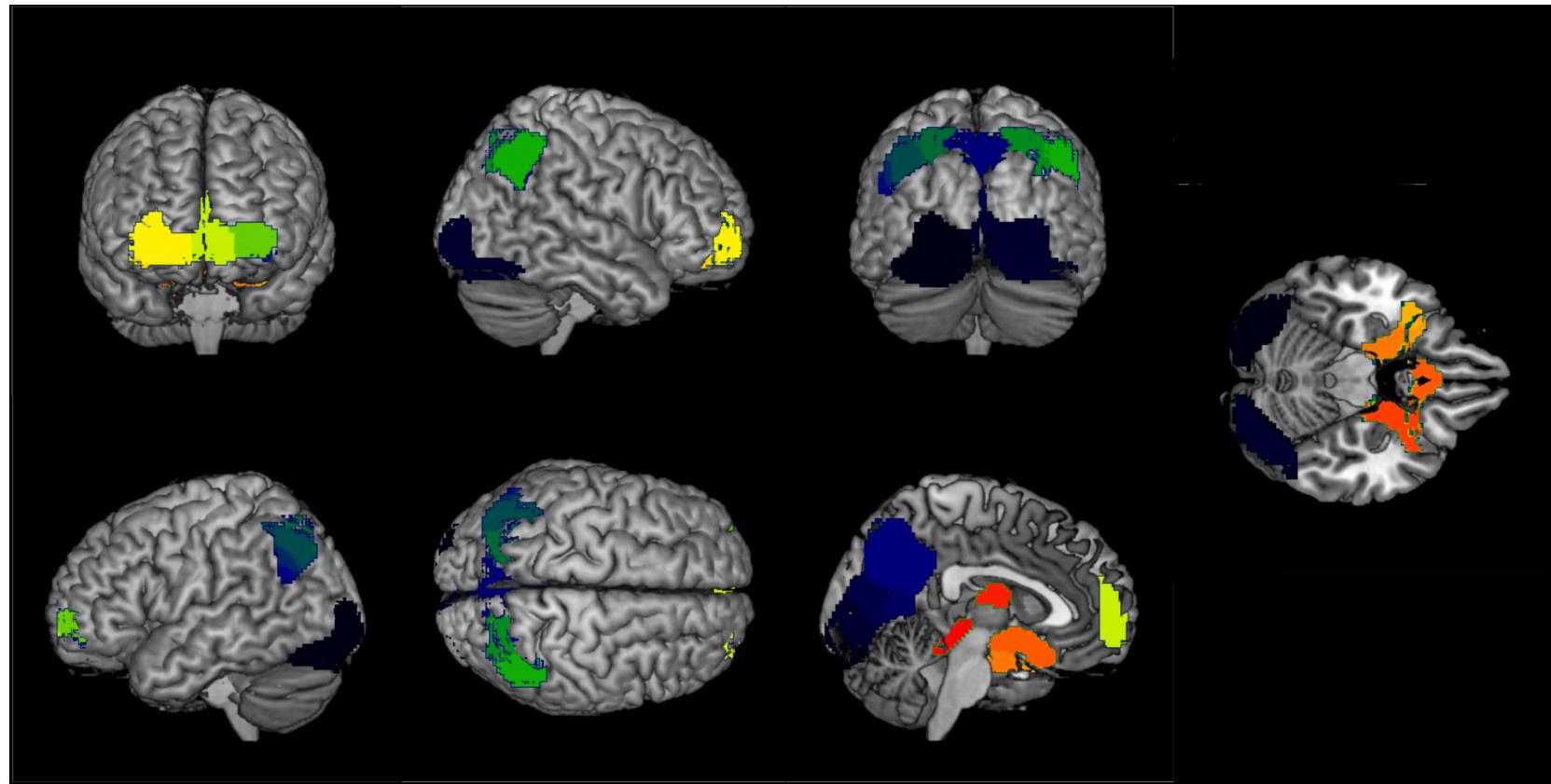


# CDPC results (1): average $\Phi$

Allegra et al., in preparation (2018)



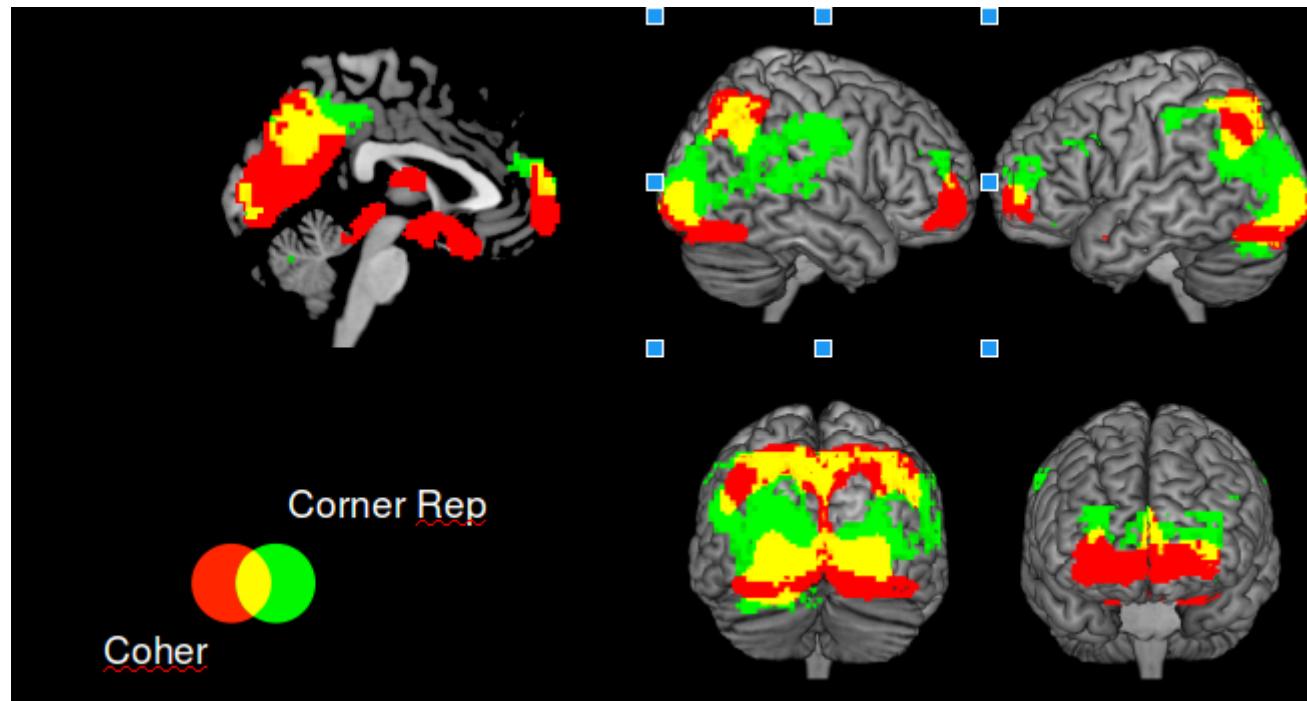
- we compute  $\Phi$  for gray matter voxels and use max value found as cutoff for  $\Phi$  map
- we obtain set of “high- $\Phi$  regions” comprising occipital, parietal, and frontal regions, plus deep region in temporal lobe



# CDPC results (1): average $\Phi$



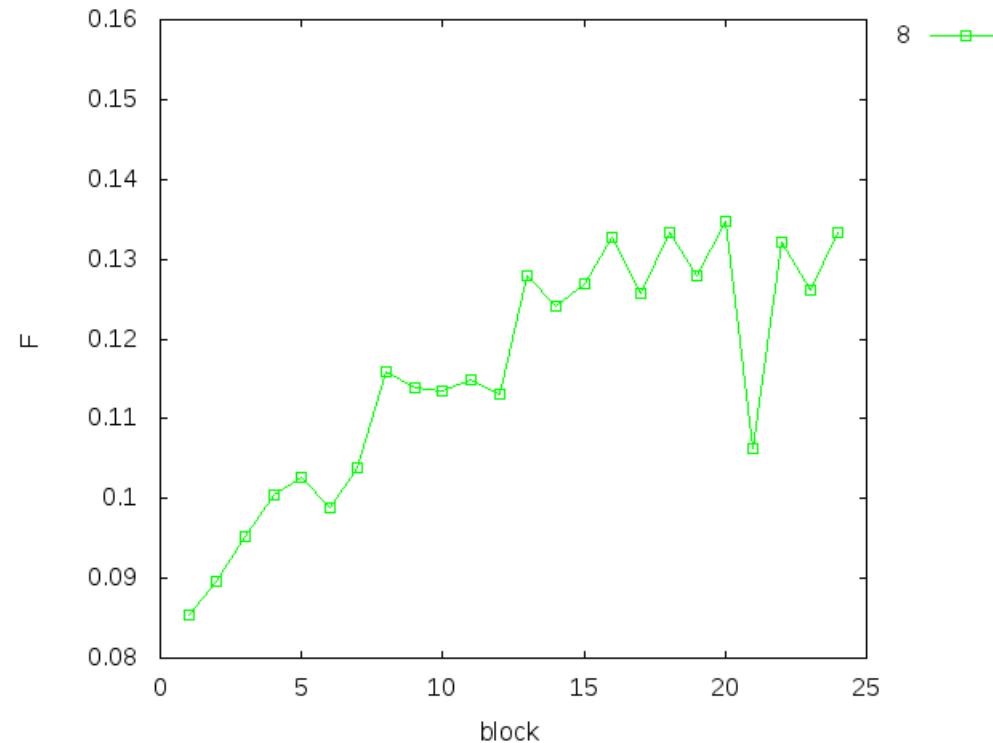
- Original work (Schuck et al.) focused on corner and color encoding areas (mVPA)
- high- $\Phi$  regions (found completely without supervision) largely overlap with regions found by mVPA (highly supervised)



# CDPC results (2): changes in $\Phi$



- how does  $\Phi$  vary with run?
- increase in  $\Phi$  when subject is performing corner strategy, sudden decrease followed by increase after transition to color

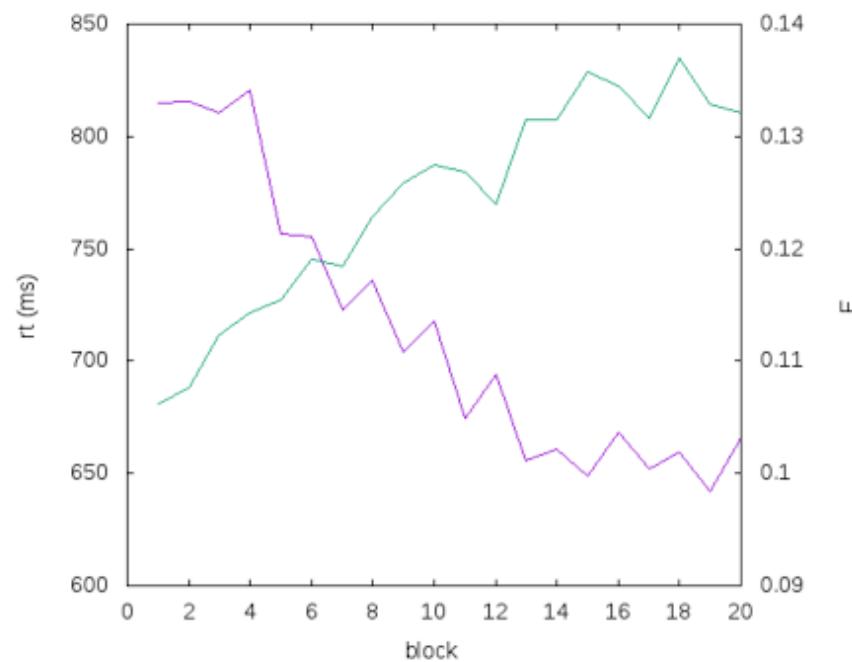
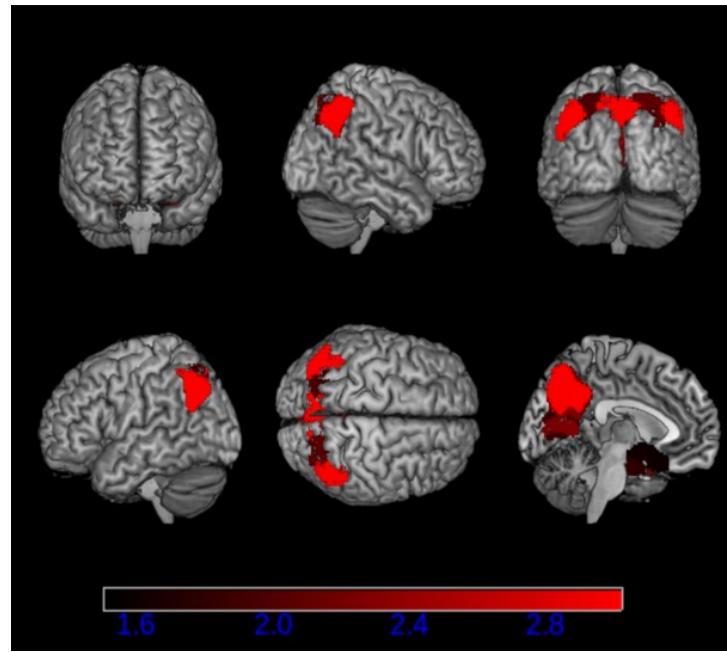


- effect concentrated in parietal cortex and precuneus

# CDPC results (2): changes in $\Phi$



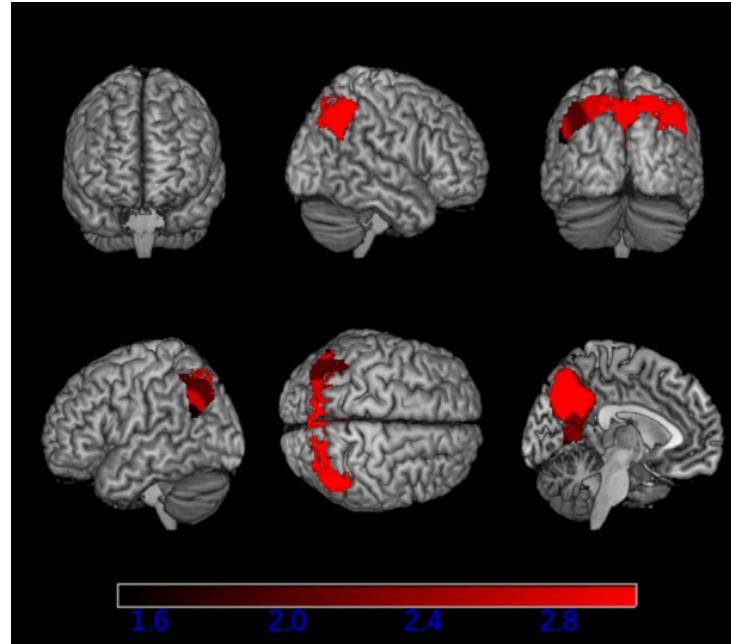
- During incremental learning in corner phase, increase in in parietal and precuneus
- $\Phi$  increase is correlated with decrease in RT



# CDPC results (2): changes in $\Phi$



- During instructed switch to color, sudden decrease in  $\Phi$  in parietal and precuneus
- Same effect in spontaneous switch, although much weaker (lower stats?)



# Global summary:



- We developed CDPC, an fMRI analysis method based on the recently introduced Density Peak Clustering
- The method can find groups of voxels with similar activation time series even in short windows and single subjects
- CDPC can be used with sliding windows approach to find a clustering frequency map ( $\Phi$ ) that represents areas that are recurrently involved in coherent patterns in a task
- CDPC is promising tool to find task-relevant regions in fully unsupervised way
- Variations of  $\Phi$  can be related to incremental learning and sudden behavioral shifts in a task with two strategies
- Task-relevant areas seem to become more synchronized during incremental learning, while such synchronization is disrupted by the strategy change



# Acknowledgments



Alessandro Laio



Daniele Amati



Shima Seyed-Allaei



Carlo Reverberi



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