

# BMIF 201 Lecture 3

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September 11, 2019

## 1 Heterozygosity Equation

**Recall:** CZ posed the question, “Why does the Moran and Wright-Fisher models fixate when the heterozygosity equation (1) suggests otherwise?”

$$\begin{aligned} H_t &= H_0 \left(1 - \frac{1}{N}\right)^t \\ &\approx H_0 e^{-\frac{t}{N}} \end{aligned} \tag{1}$$

For some time  $t$ , the expected heterozygosity for two alleles is as follows

$$\begin{aligned} H_{t+1} &= \frac{1}{N} * 0 + \left(1 - \frac{1}{N}\right) * H_t \\ &= \left(1 - \frac{1}{N}\right) * H_t \end{aligned} \tag{2}$$

where  $\frac{1}{N}$  is the probability two offspring share the same ancestor, and  $(1 - \frac{1}{N})$  is the probability that they do not.

**Explanation:** We are calculating the expected value of the next time step. The probability of reaching, thereby fixating at, 0 or  $N$  is non-zero.

## 2 Wright-Fisher Model

### 2.1 Background

In the Wright-Fisher Model (a.k.a. Fisher-Wright Model), you choose  $n$  alleles (white or black) from the population of a set size  $N$ .

The probability of choosing a black allele is  $p = \frac{b}{N}$ , where  $b$  is the number of black alleles in the population at time  $t$ .

This is a binomial distribution problem, where you are doing  $n$  “coin flips” of probability  $p$  to get the number of black alleles,  $X$ .

$$X \sim \text{Bin}(n, p) \tag{3}$$

## 2.2 Simulations

Simulation exercises can be found here as a Jupyter Notebook: <https://github.com/michellemli/BMIF201>

## 2.3 Shannon Entropy

The class seems to agree that the time to fixation when  $N = 20$  is around 27. We will now derive the results.

Firstly, the Shannon entropy is defined as

$$S(X) = - \sum p_i \log_i(p_i) \quad (4)$$

where  $p_i = \frac{x_i}{N}$ . In words, the Shannon diversity index quantifies the entropy. At fixation, this value is 0 because there is only one allele in the population.

$$S(X) = 0 \iff \text{Fixation}$$

The partial derivative of  $S(X)$  is

$$\frac{\partial S(X)}{\partial x_i} = -\frac{1}{N} (1 + \log(\frac{x_i}{N}))$$

and the second partial derivative of  $S(X)$  is

$$\frac{\partial^2 S(X)}{\partial x_i \partial x_j} = -\frac{\delta_{ij}}{N x_j} \quad (5)$$

where

$$\delta_{ij} = \begin{cases} 1, & \text{if } i = j \\ 0, & \text{if } i \neq j \end{cases} \quad (6)$$

Recall that the expectation for selecting  $j$  alleles with probability  $p$  is

$$\langle j \rangle = np \quad (7)$$

and the variance is

$$\text{Var} \langle j \rangle = \langle j^2 \rangle - \langle j \rangle^2 \quad (8)$$

$$= np(1 - p) \quad (9)$$

because the Wright-Fisher Model follows a binomial distribution.

To calculate the change in Shanon entropy over one time step:

Let  $k$  be the number of alleles, and  $x$  be the number of black alleles.

$$\langle S(x + \Delta x) \rangle \approx \left\langle S(x) + \sum_i^k \frac{\partial S(x)}{\partial x} \Delta x_i + \frac{1}{2} \sum_i^k \sum_j^k \frac{\partial^2 S(x)}{\partial x_i \partial x_j} \right\rangle \quad (10)$$

$$= S(x) + \sum_i^k \frac{\partial S(x)}{\partial x_i} \langle \Delta x_i \rangle + \frac{1}{2} \sum_i^k \sum_j^k \frac{\partial^2 S(x)}{\partial^2 x_i \partial^2 x_j} \langle \Delta x_i \Delta x_j \rangle \quad (11)$$

$$= S(x) + \frac{1}{2} \sum_i^k \sum_j^k \frac{\partial^2 S(x)}{\partial^2 x_i \partial^2 x_j} \langle \Delta x_i \Delta x_j \rangle \quad (12)$$

$$= S(x) - \frac{1}{2} \sum_i^k \sum_j^k \frac{\delta_{ij}}{N x_j} \langle \Delta x_i \Delta x_j \rangle \quad (13)$$

$$= S(x) - \frac{1}{2} \sum_i^k \frac{1}{N x_i} \langle \Delta x_i \Delta x_i \rangle \quad (14)$$

$$= S(x) - \frac{1}{2} \sum_i^k \frac{1}{N x_i} \left( N \left( \frac{x_i}{N} \right) \left( 1 - \frac{x_i}{N} \right) \right) \quad (15)$$

$$= S(x) - \frac{1}{2N} \sum_i^k \left( 1 - \frac{x_i}{N} \right) \quad (16)$$

$$= S(x) - \frac{k-1}{2N} \quad (17)$$

**Remarks:**

- Taking the partial derivative of  $x_i$  in (??) results in  $\langle \Delta x_i \rangle = 0$ .
- For (??), substitute with (??) to get (??).
- For (??), recall that  $\delta_{ij} = 1$  when  $i = j$  from (??).
- For (??), apply the variance equation (??) where  $p = \frac{x_i}{N}$  to get (??).
- For (??), pull out the  $N$  from the summation to get (??).
- For (??), remember that  $\sum_i^k 1 = k$  and  $\sum_i^k x_i = N$ , which simplifies (??) into (??).

So, the expected change in Shannon entropy from generation to generation is

$$\langle \Delta S(X) \rangle = \langle S(x + \Delta x) \rangle - S(x) = -\frac{k-1}{2N} \quad (18)$$

To calculate the fixation time  $T$ , we start by stating that

$$S(X) - T \langle \Delta S(X) \rangle = 0$$

where, after substituting in (??),

$$T = \frac{S(X)}{\langle \Delta S(X) \rangle} = \frac{2NS(X)}{k-1}$$