

# Adding up point sources

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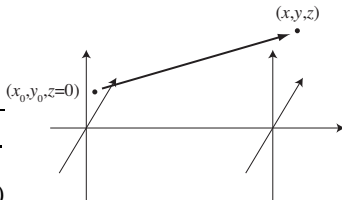
Defocus

- Recall that we start with a wavefield at an input plane  $(x_0, y_0, z = 0)$ . We treat it as a bunch of Huygens point sources, each with magnitude and phase modulated by  $\tilde{g}(x_0, y_0)$ .
- To get the field at a downstream position  $(x, y, z)$ , add up the contribution from the input plane sources:

$$\psi(x, y, z) = \psi_0 \frac{\lambda}{A} \int_{x_0} \int_{y_0} \tilde{g}(x_0, y_0) \frac{\exp[-ikr]}{r} \cos \theta. \quad (1)$$

- The radius  $r$  is given by

$$\begin{aligned} r &= \sqrt{z^2 + (x - x_0)^2 + (y - y_0)^2} \\ &= z \sqrt{1 + \frac{(x - x_0)^2}{z^2} + \frac{(y - y_0)^2}{z^2}} \end{aligned} \quad (2)$$



# Fresnel and Fraunhofer

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- The *Fresnel approximation* involved discarding terms like  $(x - x_0)^4 / (8z^4)$  in phase, and saying  $1/r \simeq 1/z$  in magnitude.
- The *Fraunhofer approximation* discards the additional terms of  $(x_0^2 + y_0^2) / (\lambda z)$  in phase.
- This led to the Fresnel-Kirchoff diffraction integral, where in the Fraunhofer approximation we leave out the **Fresnel term** and where the **out-of-integral phase** can be ignored when calculating intensities:

$$\psi(x, y, z) = \psi_0 e^{-i\pi \frac{x^2 + y^2}{\lambda z}} \int_{x_0} \int_{y_0} \tilde{g}(x_0, y_0) e^{-i\pi \frac{x_0^2 + y_0^2}{\lambda z}} e^{i2\pi (f_x x_0 + f_y y_0)} dx_0 dy_0 \quad (3)$$

where  $f_x = x / (\lambda z)$  and  $f_y = y / (\lambda z)$  are spatial frequencies.

# Fraunhofer=Fourier transform

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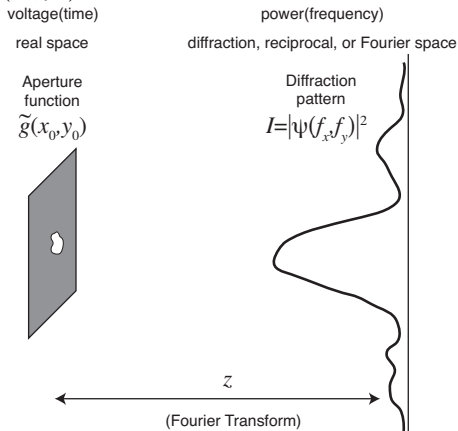
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In the Fraunhofer approximation of Eq. 3, the far-field intensity  $|\psi(f_x, f_y)|^2$  is just a Fourier transform of the input-plane wavefront modification  $\tilde{g}(x_0, y_0)$ :

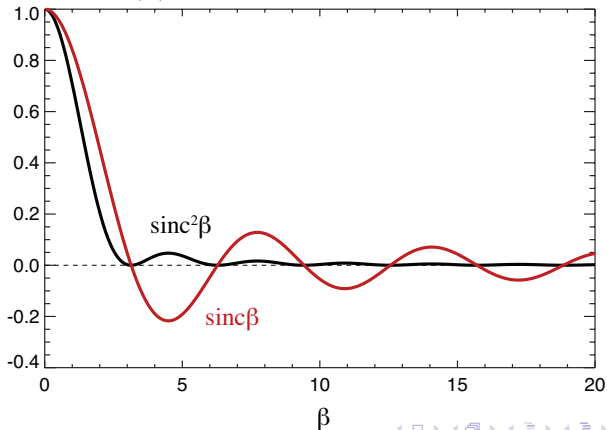


## Diffraction from a slit

For a slit of width  $b$ , and defining  $\beta \equiv \pi b f_x x$ , we found in the Fraunhofer approximation

$$\psi = \psi_0 \frac{\sin(\beta)}{\beta} = \psi_0 \text{sinc}(\beta) \quad (4)$$

leading to  $I \propto \text{sinc}^2(\beta)$ .



# Multiple slits

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- For two slits, each of width  $b$  and separated by  $a$ , we found

$$I = I_0 \text{sinc}^2(\beta) \cos^2(\alpha) \quad (5)$$

with  $\alpha \equiv \pi a f_x$ .

- For  $N$  slits we found

$$I = I_0 \text{sinc}^2(\beta) \left( \frac{\sin(N\alpha)}{\sin(\alpha)} \right)^2 \quad (6)$$

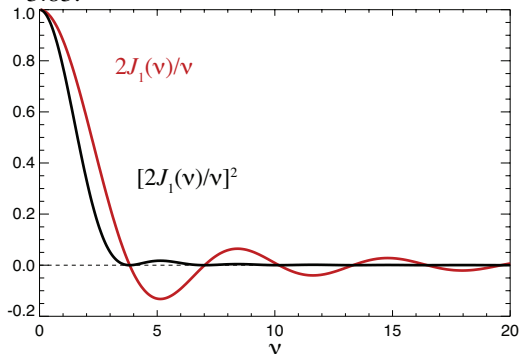
By varying the width of the slits, certain interference fringes will be enhanced and others will be cancelled out.

# Pinhole

For a pinhole with radius  $a$ , the Fraunhofer diffraction pattern is described by

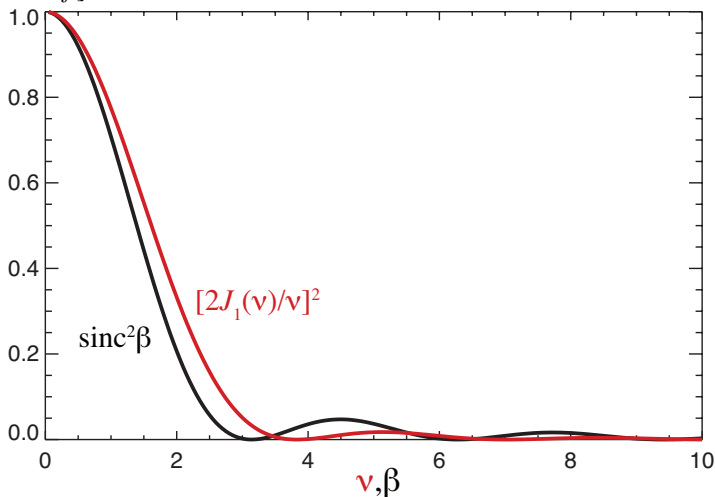
$$\psi = \psi_0 \frac{2J_1(\nu)}{\nu} \quad (7)$$

with  $\nu \equiv 2\pi af_r$ , where  $2J_1(\nu)/\nu$  is known as an Airy function. The diffraction intensity goes like  $[2J_1(\nu)/\nu]^2$ , with a first minimum at  $\nu = 1.22\pi = 3.83$ .



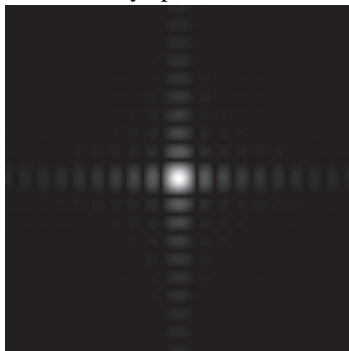
# Airy and sinc

Here's a comparison of a **pinhole's Airy** [ $\nu = \pi(2a)f$ ] and a slit's sinc [ $\beta = \pi b f$ ] diffraction intensities:

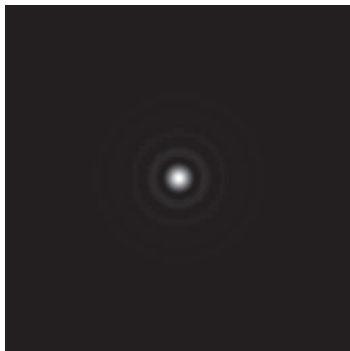


## Airy and sinc: 2D

Here's a comparison of the 2D diffraction intensity from square/sinc and circular/Airy apertures:



Square aperture



Circular aperture

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# Pinhole aperture diffraction

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- Consider the focus of a plane wave by a lens with a radius  $r_0$  and focal length  $f$ .
- The lens does two things:
  - ① Provides a radial dependent phase shift (thicker glass in the middle slows the wave down more)
  - ② Imposes a hard-edged circular aperture (called the *pupil function*)
- The aperture of the lens is like a pinhole from which you have diffraction. If the lens aperture (its pupil function) has a radius  $a$ , then a plane wave incident on the lens will not be focused to a point but rather an Airy pattern  $[2J_1(\nu)/\nu]$  with  $\nu = 2\pi ar/(\lambda f)$ . The intensity  $[2J_1(\nu)/\nu]^2$  will therefore have a first minimum at  $\nu = 1.22\pi$ , or

$$\frac{2\pi ar_{\text{first min}}}{\lambda f} = 1.22\pi$$

$$2\frac{a}{f}\frac{r_{\text{first min}}}{\lambda} = 1.22$$

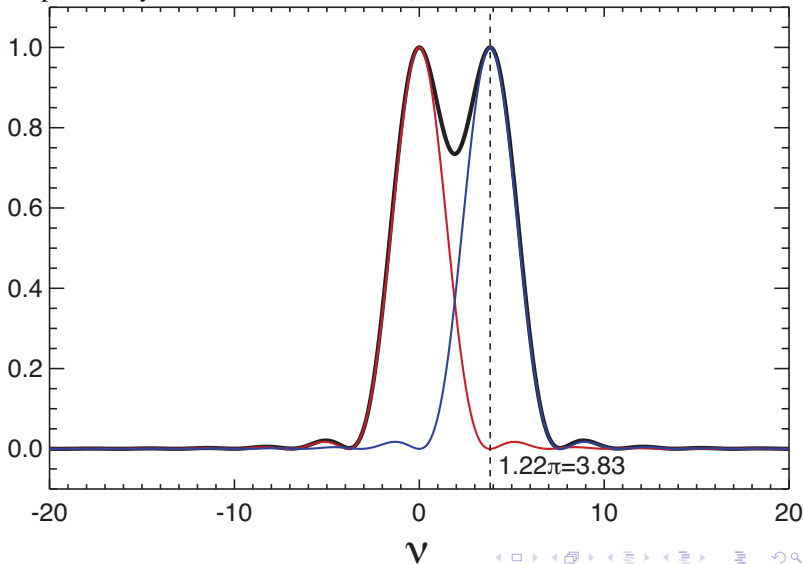
$$r_{\text{first min}} = 0.61\frac{\lambda}{\text{N.A.}} \quad \text{where} \quad \text{N.A.} = \frac{a}{f} \quad (8)$$

# Rayleigh's resolution criterion

- Again, we had  $r_{\text{first min}} = 0.61 \lambda / \text{N.A.}$  as being the position of the first minimum of the diffraction pattern of a circular lens.
- Now consider imaging two nearby stars with a telescope. The light from each star is emitted incoherently with respect to its neighbor (after all, the two stars may in fact be separated by gazillions of light-years in their distance from earth). Therefore the image that the telescope delivers is just the addition of the two intensities.
- Lord Rayleigh (John William Strutt) decided that a sensible criterion for deciding that you could tell if you saw two stars rather than one was to have the second star be centered at a position no closer than the first minimum of the diffraction pattern of the telescope's image of the first star.
- This same criterion applies to imaging two fluorescent molecules in a cell.
- Conclusion: the bigger the lens aperture angle  $r_0/f$ , the finer detail you can see.
- Of course aberrations increase as you open up the lens aperture, so there's often a balancing game to play for best resolution.

# Rayleigh resolution illustrated

Here's Rayleigh's resolution criterion illustrated for two objects separated by at least a distance of  $r_{\text{first min}}$ :



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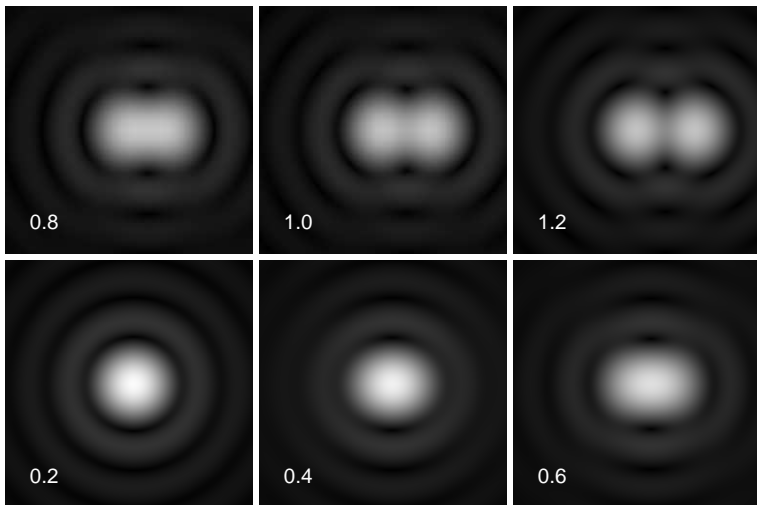
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Defocus

# Rayleigh resolution: 2D images

Here is what two spots look like as their separation is increased (the separation is expressed as a fraction of  $r_{\text{first min}}$  of  $0.61\lambda/\text{N.A.}$ ).



## Rayleigh resolution example

- Let's estimate the resolution of the human eye. The eye length is 20 mm, and let's say the pupil diameter is 3 mm. It turns out that the numerical aperture also involves the index of any refractive medium which is about 1.3 for the vitreous humor (the fluid in the eye), so we have

$$\text{N.A.} = n \sin \theta = 1.3 \frac{0.5 \cdot 3 \text{ mm}}{20 \text{ mm}} = 0.0975$$

- The resolution on the retina is then  $0.61 \cdot (500 \text{ nm})/0.0975$  or  $3 \mu\text{m}$ . This is about the same size as the cones in the eye; evolution knows about the Rayleigh resolution!
- Look at an object at a near point of 25 cm. The magnification will be  $(s/s') = (25/2) = 12.5$ , so  $3 \mu\text{m}$  at the retina corresponds to  $38 \mu\text{m}$  at the piece of paper.
- Note that  $38 \mu\text{m}$  corresponds to about  $1/670^{\text{th}}$  of an inch, so now you see why 600 dots per inch or dpi is sufficient resolution for high quality inkjet or laser printers.
- Photographs can be represented by a series of dots below the resolution of the eye, with the density or diameter of the spots determining the reflectance within the eye's resolution limit.

# Propagating wavefields

The expression of Eq. 3 was what we had for the Fresnel-Kirchoff diffraction integral in the case where we expanded the  $(x - x_0)^2 + (y - y_0)^2$  term in the approximation for  $r$ . Still within the Fresnel approximation [meaning we ignore terms in  $(x/z)^4$ ], we can write an expression for  $r$  where we *do not* expand out the squares of the differences:

$$r \simeq z \left[ 1 + \frac{(x - x_0)^2}{2z^2} + \frac{(y - y_0)^2}{2z^2} \right] \quad (9)$$

We will use Eq. 9 for the phase term  $\exp[-ikr]$ , again write  $r \rightarrow z$  for the  $1/r$  amplitude decrease-with-distance term, and again ignore the  $\cos \theta$  obliquity term. In this case, we can write the Fresnel-Kirchoff diffraction equation as

$$\begin{aligned} \psi(x, y, z) &= \psi_0 \frac{\lambda}{A} \int_{x_0} \int_{y_0} \tilde{g}(x_0, y_0) \frac{\exp[-ikr]}{r} \cos \theta \\ &= \psi_0 \frac{\lambda}{z} \frac{1}{A} e^{-i2\pi \frac{z}{\lambda}} \\ &\quad \int_{x_0} \int_{y_0} \tilde{g}(x_0, y_0) e^{-i\pi \frac{(x-x_0)^2}{\lambda z}} e^{-i\pi \frac{(y-y_0)^2}{\lambda z}} \end{aligned} \quad (10)$$

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Let's look at the integral of Eq. 10, and consider only the 1D version for the moment:

$$\int \tilde{g}(x_0) e^{-i\pi \frac{(x-x_0)^2}{\lambda z}} dx_0$$

This should look familiar!!! Can anyone name a case where we've seen an integral of one function multiplied by another with a shift, integrating over all shifts?

# Propagating wavefields III

Again, the integral from Eq. 10 that we want to consider is

$$\int \tilde{g}(x_0) e^{-i\pi \frac{(x-x_0)^2}{\lambda z}}, dx_0$$

You should recognize this as a convolution integral:

$$j(x) = \int g(a) h(x-a) da = g(x) * h(x), \quad (11)$$

And what do we know about convolution integrals? That if we take a Fourier transform of each of the functions, we can multiply their transforms and invert to do the convolution calculation, because

$$J(f) = G(f) \cdot H(f). \quad (12)$$

And what do we know about numerical evaluation of Fourier transforms? That they involve only  $N \log_2(N)$  rather than  $N^2$  calculation steps; that's why they're called Fast.



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This is great news! We can re-write the Fresnel-Kirchoff diffraction integral from Eq. 10:

$$\begin{aligned}\psi(x, y, z) &= \psi_0 \frac{\lambda}{z} \frac{1}{A} e^{-i2\pi \frac{z}{\lambda}} \\ &\quad \int_{x_0} \int_{y_0} \tilde{g}(x_0, y_0) e^{-i\pi \frac{(x-x_0)^2}{\lambda z}} e^{-i\pi \frac{(y-y_0)^2}{\lambda z}} \\ &= \psi_0 \frac{\lambda}{z} \frac{1}{A} e^{-i2\pi \frac{z}{\lambda}} \left\{ \tilde{g}(x_0, y_0) * \tilde{h}(x_0, y_0) \right\} \quad (13)\end{aligned}$$

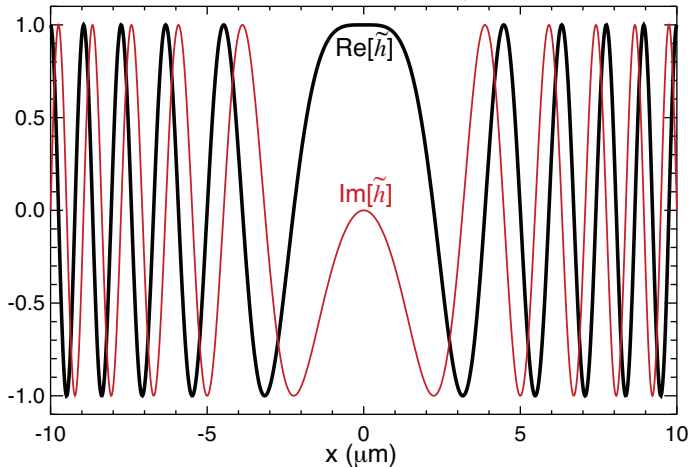
$$\text{with} \quad \tilde{h}(x_0, y_0) \equiv e^{-i\pi \frac{(x_0^2 + y_0^2)}{\lambda z}} \quad (14)$$

That is, the wavefield at a downstream plane is just given by the wavefield at an upstream plane [which is an a plane wave modulated by  $\tilde{g}(x_0, y_0)$ ] convolved with a propagator function  $\tilde{h}(x_0, y_0)$ . This propagator function has a magnitude of 1 everywhere, so it only provides a phase modification of the object.

# The propagator function

Again, the propagator function has a magnitude of 1 everywhere. Here's how its real and imaginary part varies:

For  $\lambda=500$  nm,  $z=20$   $\mu\text{m}$



# Fourier transform of the propagator

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Since convolution of a propagator involves multiplication with the Fourier transform of a propagator, it's useful to know what the Fourier transform of a propagator is:

$$\begin{aligned} H(f) &= \int_{-\infty}^{\infty} \exp \left[ -i\pi \frac{x_0^2}{\lambda z} \right] \exp[i 2\pi x_0 f] dx_0 \\ &= \sqrt{\lambda z} \exp \left[ i\pi \lambda z f^2 \right] = \sqrt{\lambda z} \exp \left[ i\pi \lambda z \left( \frac{x}{\lambda z} \right)^2 \right] \\ &= \sqrt{\lambda z} \exp \left[ i\pi \frac{x^2}{\lambda z} \right] \end{aligned} \quad (15)$$

where we have used the fact that spatial frequencies go like  $f = x/(\lambda z)$ . That is, the Fourier transform of a propagator is a propagator! This is not so surprising, for we said that the Fourier transform of a Gaussian is a Gaussian. . .

# Wavefield propagation: final result

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Whew! Now that we know that  $H(f) = \sqrt{\lambda z} e^{i\pi \lambda z f^2}$ , we can re-write the Fresnel-Kirchoff diffraction integral in the Fresnel approximation (Eq. 13) as

$$\begin{aligned}\psi &= \psi_0 \frac{\lambda}{z} \frac{1}{A} e^{-i2\pi \frac{z}{\lambda}} \{ \tilde{g}(x_0, y_0) * \tilde{h}(x_0, y_0) \} \\ &= \psi_0 \frac{\lambda^3}{A} \exp \left[ -i2\pi \frac{z}{\lambda} \right] \mathcal{F}^{-1} \left\{ \mathcal{F} \{ \tilde{g}(x_0, y_0) \} \cdot e^{i\pi \lambda z (f_x^2 + f_y^2)} \right\} \quad (16) \\ &= \psi_0 (\lambda^3 / A) \exp[-i2\pi z / \lambda] \mathcal{F}^{-1} \left\{ \mathcal{F} \{ \tilde{g}(x_0, y_0) \} \cdot e^{i\pi \lambda z (f_x^2 + f_y^2)} \right\}\end{aligned}$$

It now becomes something we can calculate numerically:

- 1 Take the Fourier transform of the input wavefield  $\mathcal{F}\{\tilde{g}(x_0, y_0)\}$
- 2 Multiply by the Fourier transform of a propagator  $\exp[i\pi \lambda z (f_x^2 + f_y^2)]$
- 3 Inverse transform the result  $\mathcal{F}^{-1}\{\}$

## Example: numerical wavefield propagation

Let's illustrate this by doing some numerical Fourier transforms on a digitized image of Jon Stewart, host of *The Daily Show*:

- 1 Take the square root of the image to convert it from intensity to amplitude
- 2 Take the Fourier transform (remember that we shift by  $N/2$  on the input and output, as we illustrated in 1D!)
- 3 Square the result to look at the resulting far-field intensity



What the \$#%?? Just a bright dot in the center? The Fourier transform of what gives a spike?

## Removing the DC value

Aha! The Fourier transform of a flat function gives a delta function. So let's do this:

- 1 Take the square root of the image to convert it from intensity to amplitude
- 2 Subtract the average value of the image from the image, to give it a “DC” (direct current in circuits) value of zero.
- 3 Now take the Fourier transform and square it for intensity



Well, this is still not terribly informative. Maybe the intensity scaling needs tweaking?

## We need a log scale

Examining the values of our image, we find that the intensity varies from  $10^{-1.1}$  to  $10^{10.7}$ ! Therefore maybe it's better to display the image on a logarithmic scale, and show eight decades worth of intensity:



This is looking better—but what about those horizontal and vertical streaks?

# Repeated objects and edge ringing

It turns out that the discrete Fourier transform, with its finite “integration” bounds, has a property of assuming that the object repeats in an array:

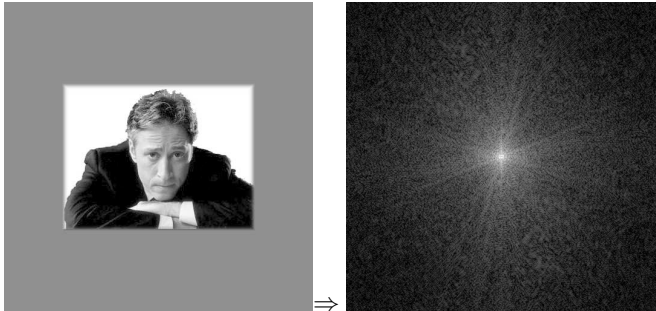


Notice the discontinuities at the edges? They will diffract strongly!



# Smoothing edges and embedding in a larger array

Solution: roll the edges off with a gaussian (here with  $\sigma = 4$  pixels), and embed the image in a larger array (here we go from  $322 \times 246$  to  $512 \times 512$  since FFTs are fast with  $N = 2^n$  pixels):



OK, now we have a version of our image that is well-behaved for numerical Fourier transform calculations!