### **Key concepts & alpha diversity**

### Demo

Microbiome data exploration

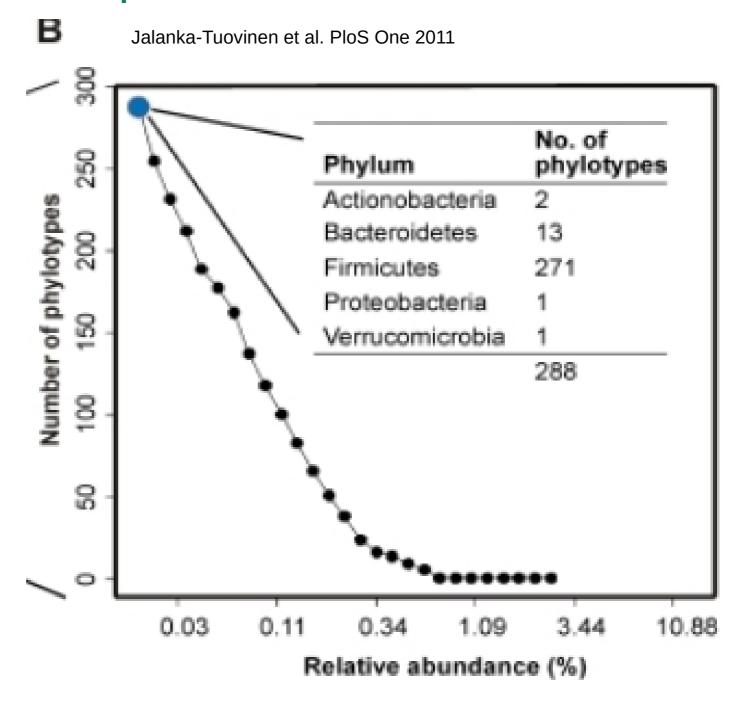
### Lecture

Key concepts in microbiome data science

### **Practical**

Alpha diversity: estimation, analysis, and visualization

### Gut microbiome species distribution



### **Richness:**

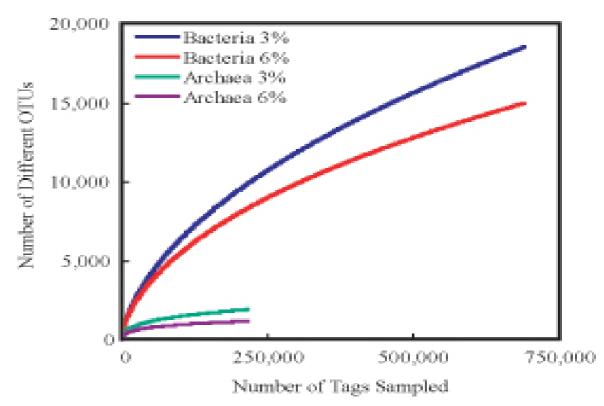
- Number of types
- Estimates of true richness based on finite sample sizes (Howard Sanders 1968); see e.g. Chao1

### **Evenness:**

distribution of sizes (even or uneven?)

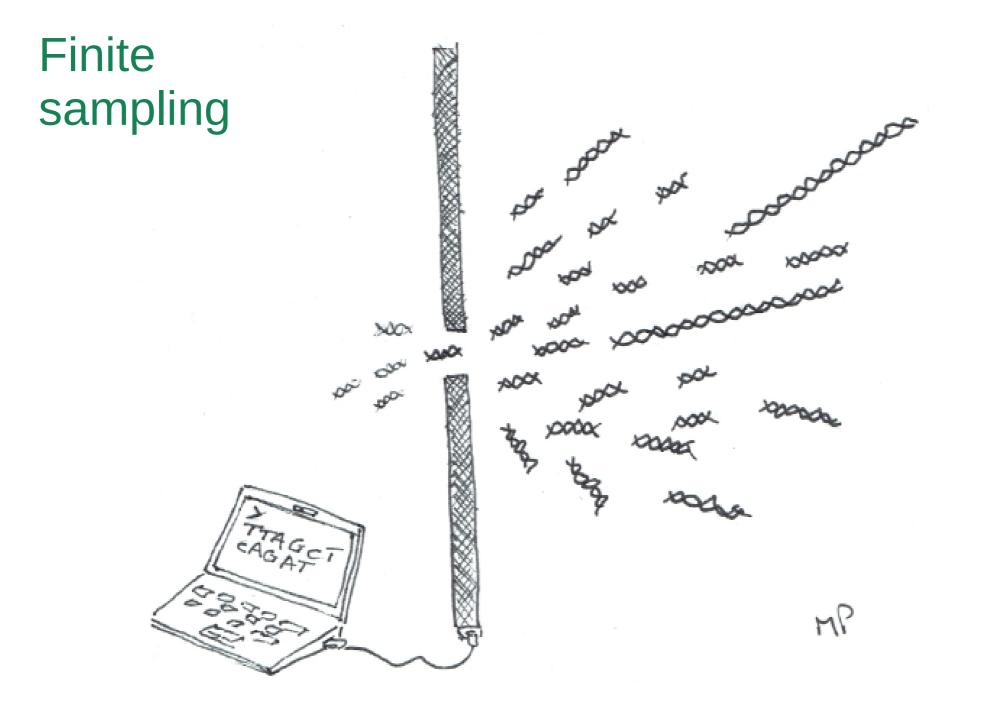
## Diversity: richness & evenness

### Dominance



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Figure 2

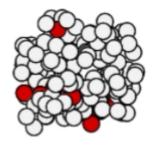


## The Poisson distribution



 This bag contains very many small balls, 10% of which are red.

 Several experimenters are tasked with determining the percentage of red balls.



 Each of them is permitted to draw 20 balls out of the bag, without looking.

$$3/20 = 15\%$$

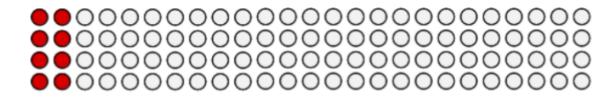
$$1/20 = 5\%$$

$$2/20 = 10\%$$

$$0/20 = 0\%$$

$$7/100 = 7\%$$

$$10/100 = 10\%$$



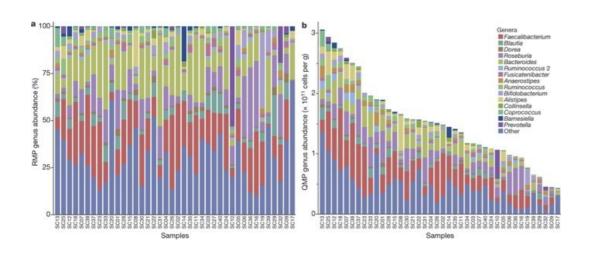
$$8/100 = 8\%$$

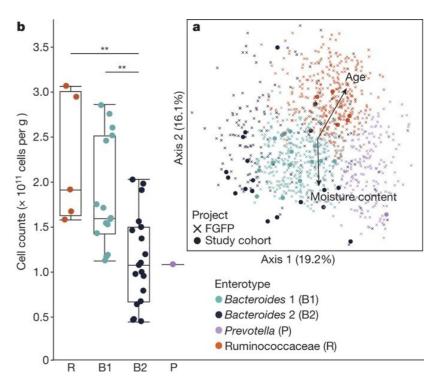
$$11/100 = 11\%$$

# Poisson distribution: Counting uncertainty

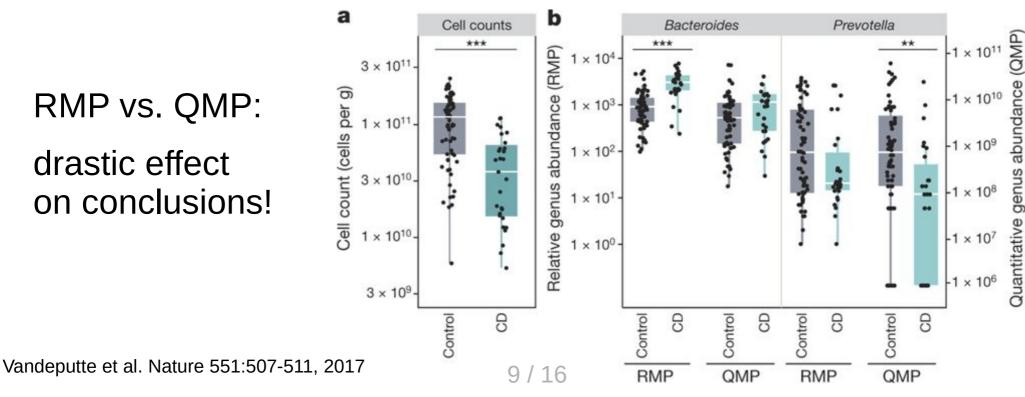
•	standard deviation of number of red balls	relative error in estimate for the fraction of red balls
10	$\sqrt{10} = 3$	$1/\sqrt{10} = 31.6\%$
100	$\sqrt{100} = 10$	$1/\sqrt{100} = 10.0\%$
1,000	$\sqrt{1,000} = 32$	$1/\sqrt{1000} = 3.2\%$
10,000	$\sqrt{10,000} = 100$	$1/\sqrt{10000} = 1.0\%$

### Relative versus absolute abundance: quantitative microbiome profiling





RMP vs. QMP: drastic effect on conclusions!



### Rarefaction

If sample A has been sampled deeper than sample B, we the counts can be expected to be higher.

Solution: Rarify to even sampling depth

Limitation: Abundant taxa may distort the ratios.

Waste Not, Want Not: Why Rarefying Microbiome Data Is Inadmissible

Paul J. McMurdie, Susan Holmes

### Shannon diversity

$$H' = -\sum_{i=1}^{S} p_i \ln p_i$$

### True richness

### **Inverse Simpson**

$$DI = \frac{N(N-1)}{\sum n(n-1)}$$

$$EX$$

$$N = \text{Total number of individuals collected}$$

$$n_i = \text{Number of individuals of a species}$$

$$DI = \text{Simpson Diversity Index}$$

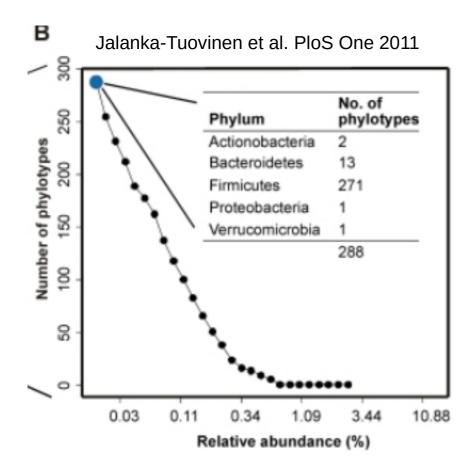
How likely it is to pick two members of the same species at random?

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## (Alpha) Diversity

True diversity, or the effective number of types, refers to the number of equally abundant types needed for the average proportional abundance of the types to equal that observed in the dataset of interest.

$$qD = \left(\sum_{i=1}^{R} p_i^q\right)^{1/(1-q)}$$



R: richness (number of distinct types)

p<sub>i</sub>: proportion of type I

Order of diversity:

q = 0 : Species Richness

q = 1 : Shannon diversity

q = 2 : (Inverse) Simpson diversity

q ≠ 1 : Renyi entropy

## Evenness (Equitability)

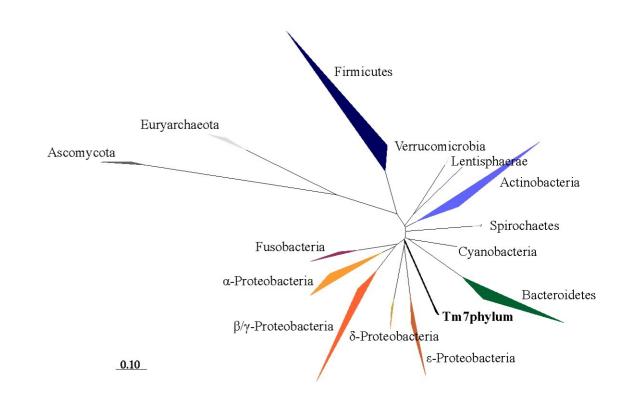
H / In(S)

H: Shannon diversity

S: Species richness

## Alpha & beta diversity

alpha(x) diversity(x) evenness(x) dominance(x) rarity(x) readcount(x)



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$$\operatorname{clr}(\mathbf{x}) = \left[ \ln \frac{x_i}{g(\mathbf{x})}; \dots; \ln \frac{x_D}{g(\mathbf{x})} \right]$$

$$\operatorname{alr}(\mathbf{x}) = \left[ \ln \frac{x_i}{x_D}; \dots; \ln \frac{x_{D-1}}{x_D} \right]$$