

Tutorial

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# Facial Micro-Expression Analysis – A Computer Vision Challenge

## I. Introduction & Overview

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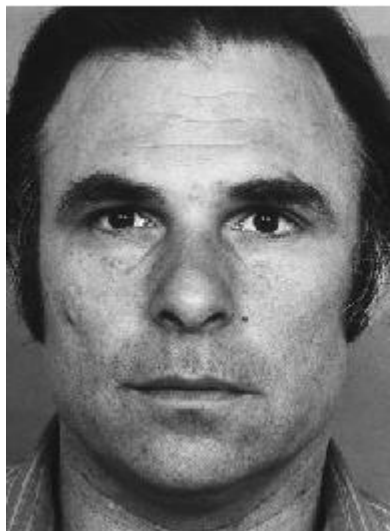


## Outline of Tutorial

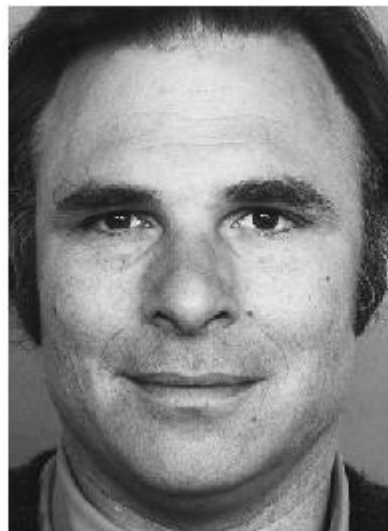
- **Part 1:** Introduction & Overview to Facial Micro-expression (ME) Analysis
- **Part 2:** ME Datasets
- **Part 3:** ME Spotting Task
- **Part 4:** ME Recognition Task
- **Part 5:** Challenges and Future Directions

anger contempt sadness surprise

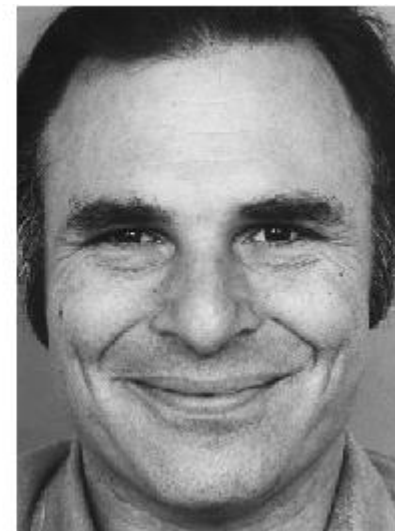




Neutral



Non-Duchenne Smile



Duchenne Smile

## Discovery

- **Haggard and Isaacs (1966)** – discovered micro-expressions while scanning through motion picture films of psychotherapy hours, looking for clues of non-verbal communication.
- **Ekman and Friesen (1969)** spotted a quick full-face emotional expression in a filmed interview – a strong negative feeling a psychiatric patient was trying to **hide** from her psychiatrist to convince that she is no longer suicidal.
  - **Slow motion** – shows a brief sad face lasting only 2 frames (1/12 seconds) followed by a longer duration false smile.

## First baby steps forward

- **Porter and ten Brinke (2008)** – first report published validating the existence of micro-expressions
- **Matsumoto et al. (2000)** – first report published about tests designed for the ability to recognize micro-expressions
- **Ekman (2003)** – Micro-expression Training Tool (METT) was designed

Matsumoto, D., LeRoux, J. A., Wilson-Cohn, C., Raroque, J., Kookan, K., Ekman, P., . . . Goh, A. (2000). **A new test to measure emotion recognition ability: Matsumoto and Ekman's Japanese and Caucasian Brief Affect Recognition Test (JACBART)**. *Journal of Nonverbal Behavior*

Porter, S., & ten Brinke, L. (2008). **Reading between the lies: Identifying concealed and falsified emotions in universal facial expressions**. *Psychological Science*.

## Micro-Expressions

**Micro-expressions** → Result of a **voluntary** and **involuntary** emotional response that conflicts with one another.

- The amygdala (the emotion center of the brain) responds appropriately to the stimuli that the individual experiences and the individual wishes to conceal this specific emotion.
- Results in the individual very briefly displaying their true emotions followed by a false emotional reaction (a return back to previous state)

## Micro-Expressions



Happiness

Surprise

Disgust

Repression

Others

(Videos are 20x slower)

### 3 main characteristics:

- Rapid and short duration:  $\frac{1}{25}$  second –  $\frac{1}{5}$  second
- Subtle: Low intensity of expression
- Fragmented/partial facial action units



# Macro vs. Micro

## Macro-Expressions

- Typically  $\frac{3}{4}$  – 2 seconds
- Occurs over a larger region of the face
- Voluntary response
- Typically a genuine feeling (though it can be faked)
- Easy interpretable by anybody



## Micro-Expressions

- Last for  $\frac{1}{25}$  to  $\frac{1}{5}$  of a second
- Occurs at a small, concentrated area (often just one facial region)
- Involuntary action (not amounting to faking it)
- Concealment of a genuine feeling
- Not easily identifiable by an untrained layperson



# Universal Expressions of Emotion... as according to Ekman

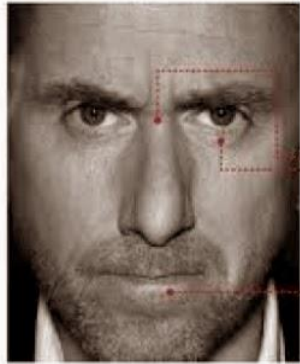


Normal expressions



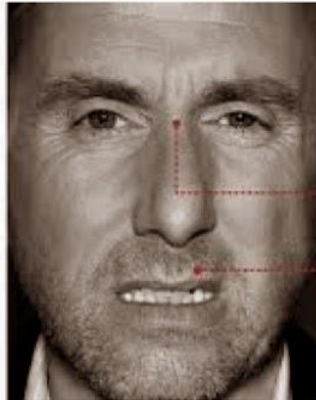
Micro-expressions

# Microexpressions



**anger**

- ① eyebrows down and together
- ② eyes glare
- ③ narrowing of the lips



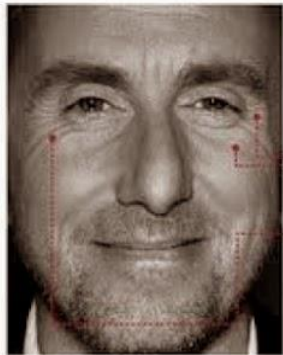
**disgust**

- ① nose wrinkling
- ② upper lip raised



**fear**

- ① eyebrows raised and pulled together
- ② raised upper eyelids
- ③ tensed lower eyelids
- ④ lips slightly stretched horizontally back to ears



**happiness**

- A real smile always includes:
- ① crow's feet wrinkles
  - ② pushed up cheeks
  - ③ movement from muscle that orbits the eye



**sadness**

- ① drooping upper eyelids
- ② losing focus in eyes
- ③ slight pulling down of lip corners



**surprise**

- Lasts for only one second:
- ① eyebrows raised
  - ② eyes widened
  - ③ mouth open

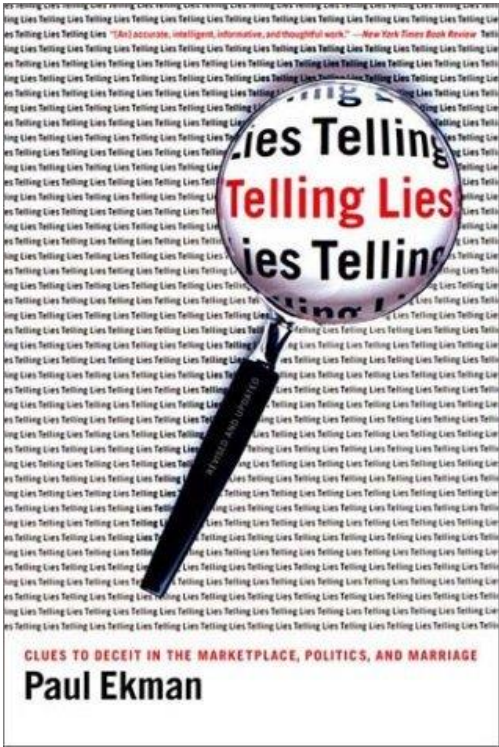
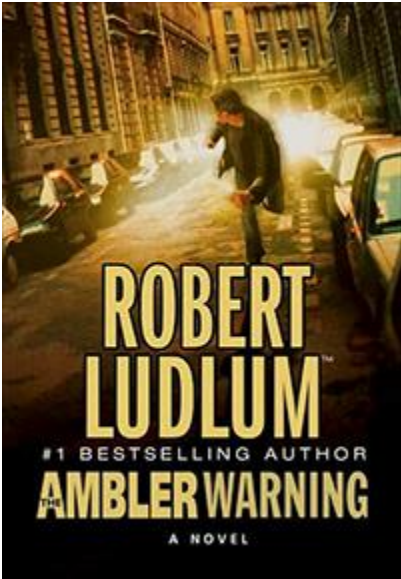


**contempt**

- ① lip corner tightened and raised on only one side of face



Books...  
Movies...  
Popular culture



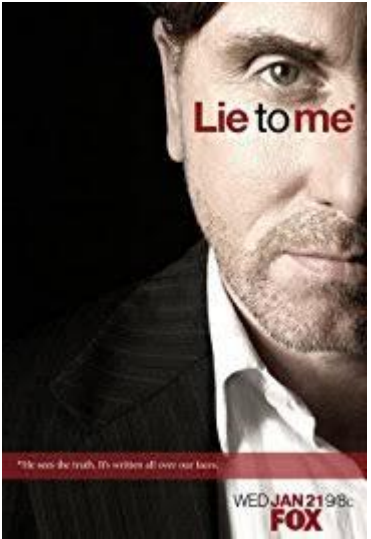
## Micro-Expressions



Reading Anyone's Hidden Thoughts



By  
Dylan Clearfield



# Applications

- Interviews
- Business Negotiations
- Criminal Interrogation
- Clinical Diagnosis
- Political Debates
- High-stakes Games (Poker, Game Shows etc.)

## Can machines play a part?

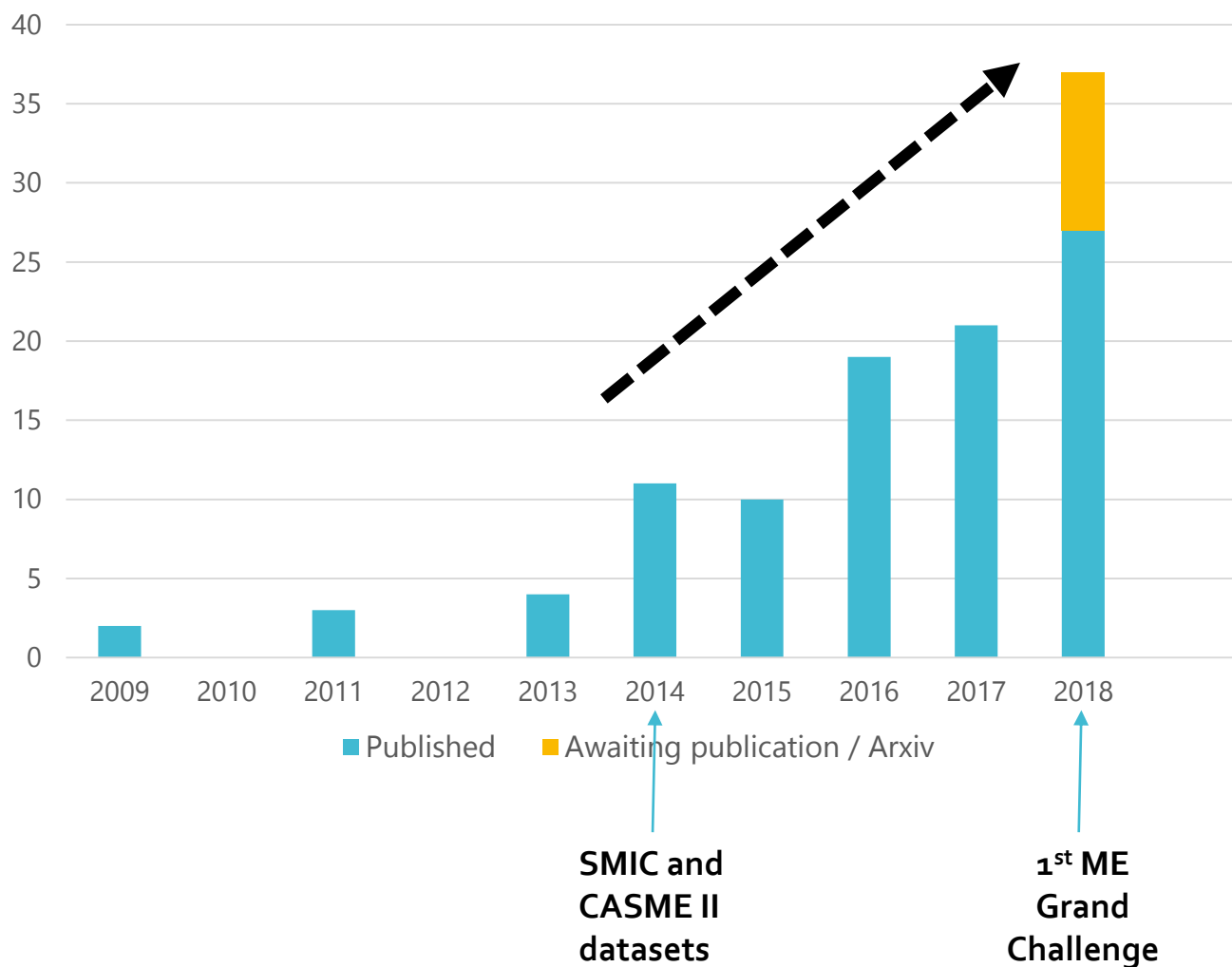
- Micro-expressions are typically captured by high speed cameras and observed through replaying them at slower speeds
- Frank et al. (2009)'s Experiment: Performance of detecting MEs by people who undergo METT reach **at most 40%**, unaided US Coast Guards performed **not more than 50%** at best.
- **Can researchers in computer vision / video processing / machine learning help to automate the task?**

# Facial Micro-Expression Analysis: Current State

- **A relatively “young” field**
  - Bloomed circa 2013-2014 with the establishment of spontaneous facial ME datasets from University of Oulu (SMIC) and the Chinese Academy of Sciences, China (CASME, CASME II)
- **Survey paper:**
  - “A Survey of Automatic Facial Micro-expression Analysis: Databases, Methods and Challenges”, Oh et al., Frontiers in Psychology, 2018
- **Pipelines for ME spotting and recognition**
  - Known pipelines for these two tasks have been established
  - Merging them into a single seamless task is still challenging and a road less travelled (only 2 papers on this!)

## Facial Micro-Expression Analysis: Current State

**Number of works on Facial Micro-expressions  
(spotting & recognition)**





End of Part 1

Questions?