

**APPROXIMATION THEORY FOCUS GROUP - THE FIELDS INSTITUTE  
2025 - A RESTRICTION MAP AND APPLICATIONS TO FREENESS, WITH  
EMPHASIS ON HYPERPLANE ARRANGEMENTS**

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## 1. SETUP

Notation:

- (1)  $\Sigma$ : a pure, hereditary,  $n$ -dimensional polyhedral fan in  $\mathbb{R}^n$ .
- (2)  $\Sigma_i$ :  $i$ -dimensional cones of  $\Sigma$
- (3)  $|\Sigma| = \bigcup_{\sigma \in \Sigma_n} \sigma$ .  $\Sigma$  is *complete* if  $|\Sigma| = \mathbb{R}^n$
- (4)  $R$ : The polynomial ring  $\mathbb{R}[x_1, \dots, x_n]$
- (5) Smoothness distribution or smoothness parameters  $\mathbf{r} : \Sigma_{n-1} \rightarrow \mathbb{Z}_{\geq -1}$ . If  $G = (V, E)$  is a graph we will also use  $\mathbf{r}$  to denote a map  $\mathbf{r} : E \rightarrow \mathbb{Z}_{\geq -1}$ . We choose this notation so that it matches when  $G$  is the *dual graph* of  $\Sigma$ .
- (6)  $S^{\mathbf{r}}(\Sigma)$ : the  $S$ -algebra of functions  $F : |\Sigma| \rightarrow \mathbb{R}$  that are piecewise polynomial on  $\Sigma_n$  and differentiable to order  $\mathbf{r}(\tau)$  across the codimension one face  $\tau$ , for all  $\tau \in \Sigma_{n-1}$ .
- (7)  $H$ : A hyperplane, the zero locus of a linear form  $\alpha_H \in R_1$ .
- (8)  $\mathcal{A}$ : a *central* hyperplane arrangement  $\mathcal{A} = \bigcup_{i=1}^k \mathbb{V}(\alpha_i)$ , where  $\alpha_i \in R_1$  is a linear form for  $i = 1, \dots, k$ .
- (9)  $\Sigma^{\mathcal{A}}$ : the complete fan induced by the hyperplane arrangement  $\mathcal{A}$ , whose maximal cones are the closure (in the Euclidean topology) of the connected components of the complement  $\mathbb{R}^n \setminus \mathcal{A}$ .
- (10)  $\mathcal{R}[\Sigma]$ : the cellular chain complex (with coefficients in  $R$ ) for  $\Sigma$ . The homology is the *Borel-Moore* homology with coefficients in  $R$ . This is equivalent to the homology of  $B^n \cap \Sigma$  relative to  $\partial B^n = \mathbb{S}^n$ , where  $B^n$  is the unit ball in  $\mathbb{R}^n$ .
- (11)  $J^{\mathbf{r}}(\tau)$ : Here  $\tau \in \Sigma_{n-1}$ , and the linear span of  $\tau$  is defined by the linear form  $\alpha_{\tau} \in R_1$ . By definition,  $J^{\mathbf{r}}(\tau) = \langle \alpha_{\tau}^{\mathbf{r}(\tau)+1} \rangle$ .
- (12)  $J^{\mathbf{r}}(\gamma)$ : Here  $\gamma \in \Sigma_i$ ,  $0 \leq i \leq n-1$ . By definition,  $J^{\mathbf{r}}(\gamma) = \sum_{\tau \ni \gamma} J^{\mathbf{r}}(\tau) = \langle \alpha_{\tau}^{\mathbf{r}(\tau)+1} : \gamma \in \tau \rangle$ .
- (13)  $\mathcal{J}^{\mathbf{r}}[\Sigma]$ : Abbreviated  $\mathcal{J}$  if  $\mathbf{r}$  and  $\Sigma$  are understood. The sub-complex of  $\mathcal{R}$  with modules  $\mathcal{J}_n = 0$  and  $\mathcal{J}_i = \bigoplus_{\gamma \in \Sigma_i} J^{\mathbf{r}}(\gamma)$  for  $0 \leq i \leq n$ .
- (14)  $\mathcal{R}/\mathcal{J}^{\mathbf{r}}[\Sigma]$ : Abbreviated  $\mathcal{R}/\mathcal{J}$  if  $\mathbf{r}$ ,  $\Sigma$  understood. The quotient of the chain complex  $\mathcal{R}[\Sigma]$  by the subcomplex  $\mathcal{J}^{\mathbf{r}}[\Sigma]$ .
- (15)  $G = (V, E)$ : A graph with vertices  $V$  and edges  $E$
- (16)  $\ell : E \rightarrow R$ : A map associating each edge of  $G$  to a linear form of  $R$ .
- (17)  $S^{\mathbf{r}}(G, \ell)$  (simply  $S^{\mathbf{r}}(G)$  if  $\ell$  is understood): the  $R$ -module of *generalized splines* on the edge-labeled graph  $(G, \mathcal{I})$  with map  $\mathcal{I} : E \rightarrow \{\text{ideals of } R\}$  defined by  $\mathcal{I}(e) = \langle \ell(e)^{\mathbf{r}(e)+1} \rangle$ , as defined in [4].

*Remark 1.1.* Suppose  $\Sigma$  is a pure, hereditary,  $n$ -dimensional fan in  $\mathbb{R}^n$ , and  $G$  is its dual graph. That is,  $G = (V, E)$  is the graph with a vertex  $v_{\sigma}$  for each  $\sigma \in \Sigma_n$  and  $v_{\sigma}, v_{\sigma'}$  are connected by an edge if and only if  $\sigma \cap \sigma' = \tau \in \Sigma_{n-1}$ . By definition each edge  $e$  of  $G$  corresponds to a codimension one cone  $\tau \in \Sigma_{n-1}$ .

Let  $\mathbf{r} : \Sigma_{n-1} \rightarrow \mathbb{Z}_{\geq -1}$  be a smoothness distribution. By a slight abuse of notation we identify  $\Sigma_{n-1}$  with  $E$ , and so also regard  $\mathbf{r}$  as a map  $\mathbf{r} : E \rightarrow \mathbb{Z}_{\geq -1}$ . Then  $S^{\mathbf{r}}(\Sigma) = S^{\mathbf{r}}(G)$ .

*Remark 1.2.* It is well-known that the spline module  $S^{\mathbf{r}}(\Sigma)$  has a similar structure as the *module of derivations*  $D(\mathcal{A})$  of a hyperplane arrangement (and the module  $D(\mathcal{A}, \mathbf{m})$  of multi-derivations, where  $\mathbf{m}$  assigns a multiplicity to each hyperplane – playing the analogous role to  $\mathbf{r}$  for splines). The module of derivations was introduced by Saito (citation needed), while Ziegler showed in [9] that multi-derivations show up naturally in the study of derivations via restriction.

The study of derivations and multi-derivations is much more developed from an algebraic point of view than is the study of splines.

*Remark 1.3.* A difficult and subtle question is to determine when the spline module  $S^{\mathbf{r}}(\Sigma)$  is *free*. When  $\Sigma$  is *simplicial*, Schenck shows in [6] that  $S^{\mathbf{r}}(\Sigma)$  is free if and only if  $H_i(\mathcal{R}/\mathcal{J}[\Sigma]) = 0$  for  $0 \leq i \leq n$ . This criterion can be extended to non-simplicial fans that meet a certain (somewhat technical) hypothesis. In particular, the criterion holds for the fan induced by a hyperplane arrangement (need notes on this).

*Remark 1.4.* Freeness of the spline module turns out to be related to resolving ideals generated by powers of linear forms (sometimes called *power ideals* in the literature). A first glimpse of this may be seen in [2, Lemma 9.12], where it is shown that, for a complete fan  $\Sigma \subseteq \mathbb{R}^3$ ,  $H_2(\mathcal{R}/\mathcal{J}[\Sigma])$  is isomorphic to the syzygy module of the ideal  $J^{\mathbf{r}}(\mathbf{0})$  modulo the sum of syzygy modules of the ideals  $J^{\mathbf{r}}(\gamma)$  for  $\gamma \in \Sigma_1$ .

## 2. SAITO-ROSE DETERMINANTAL CRITERION FOR FREENESS

A well-known criterion for the freeness of the module of derivations  $D(\mathcal{A})$  and the module of multi-derivations  $D(\mathcal{A}, m)$  is a determinantal criterion known as *Saito's criterion*. In [5], Rose gives an analogous criterion for the freeness of the spline module  $S^{\mathbf{r}}(\Delta)$ . (There is an interesting result in [3] which extends the Saito-Rose criterion to generalized splines on graphs  $S^{\mathbf{r}}(G)$  over a factorial domain).

In the following theorem, suppose  $F_1, \dots, F_k$  are splines in  $S^{\mathbf{r}}(\Sigma)$ . Write  $F_{ij}$  for  $(F_j)|_{\sigma_i}$ , where  $\sigma_1, \dots, \sigma_n$  is an enumeration of the full-dimensional cones of  $\Sigma$ . We write  $[F_1 \cdots F_n]$  for the  $n \times k$  matrix whose entry in row  $i$  and column  $j$  is  $F_{ij}$ . The following theorem is [5, Theorem 2.3], stated in slightly more generality.

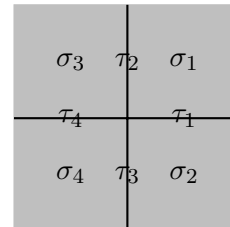
**Theorem 2.1** ([5, Theorem 2.3]). *Suppose  $\Sigma \subset \mathbb{R}^n$  is a pure, hereditary,  $n$ -dimensional fan with  $\ell$  full-dimensional cones. Let  $\mathbf{r} : \Sigma_{n-1} \rightarrow \mathbb{Z}_{\geq 0}$  be a smoothness distribution. Then a collection  $\{F_1, \dots, F_\ell\}$  of splines in  $S^{\mathbf{r}}(\Sigma)$  is a free basis for  $S^{\mathbf{r}}(\Sigma)$  if and only if  $\det [F_1 \cdots F_\ell] = cQ$  for some non-zero constant  $c$ , where  $Q = \prod_{\tau \in \Sigma_{n-1}} \alpha_\tau^{\mathbf{r}(\tau)+1}$ .*

As an immediate corollary we obtain

**Corollary 2.2** ([5, Corollary 2.4]). *Let  $\Sigma \subset \mathbb{R}^n$  and  $\mathbf{r}$  be as in the statement of Theorem 2.1. A collection  $\{F_1, \dots, F_n\}$  of  $S$ -linearly independent homogeneous elements of  $S^{\mathbf{r}}(\Sigma)$  form a basis over  $S$  if and only if  $\sum_{i=1}^n \deg(F_i) = \sum_{\tau \in \Sigma_{n-1}} (\mathbf{r}(\tau) + 1)$ .*

**Example 2.3.** We illustrate Let  $\Sigma$  be the fan in  $\mathbb{R}^2$  with the four cones shown below. The one-dimensional cones  $\tau_1, \tau_2, \tau_3, \tau_4$  are also labeled.

$$\begin{aligned} \sigma_1 &= \{(x, y) \in \mathbb{R}^2 : x \geq 0, y \geq 0\} \\ \sigma_2 &= \{(x, y) \in \mathbb{R}^2 : x \geq 0, y \leq 0\} \\ \sigma_3 &= \{(x, y) \in \mathbb{R}^2 : x \leq 0, y \geq 0\} \\ \sigma_4 &= \{(x, y) \in \mathbb{R}^2 : x \leq 0, y \leq 0\} \end{aligned}$$



Let us choose smoothness distribution  $\mathbf{r}(\tau_2) = \mathbf{r}(\tau_3) = a \in \mathbb{Z}_{\geq 0}$  and  $\mathbf{r}(\tau_1) = \mathbf{r}(\tau_4) = b \in \mathbb{Z}_{\geq 0}$ . Put  $R = \mathbb{R}[x, y]$ . This is one case where we can easily write down generators for  $S^{\mathbf{r}}(\Sigma)$ . We have  $\alpha_{\tau_2} = \alpha_{\tau_3} = x$  and  $\alpha_{\tau_1} = \alpha_{\tau_4} = y$ .

We record a spline  $F \in S^{\mathbf{r}}(\Sigma)$  as a tuple  $(F_{\sigma_1}, F_{\sigma_2}, F_{\sigma_3}, F_{\sigma_4})$  or in transposed form as a column vector. Put  $F_{\sigma_i} = F_i$  for  $i = 1, 2, 3, 4$ . There are four natural splines:  $F_1 = (x^{a+1}y^{b+1}, 0, 0, 0)$ ,  $F_2 = (x^{a+1}, x^{a+1}, 0, 0)$ ,  $F_3 = (y^{b+1}, 0, y^{b+1}, 0)$ , and  $F_4 = (1, 1, 1, 1)$  (check the spline conditions):

0	$x^{a+1}y^{b+1}$	0	$x^{a+1}$	$y^{b+1}$	$y^{b+1}$	1	1
0	0	0	$x^{a+1}$	0	0	1	1

Putting these as column vectors into a matrix we get:

$$\begin{matrix} & F_1 & F_2 & F_3 & F_4 \\ \sigma_1 & x^{a+1}y^{b+1} & x^{a+1} & y^{b+1} & 1 \\ \sigma_2 & 0 & x^{a+1} & 0 & 1 \\ \sigma_3 & 0 & 0 & y^{b+1} & 1 \\ \sigma_4 & 0 & 0 & 0 & 1 \end{matrix} \begin{bmatrix} \\ \\ \\ \end{bmatrix}.$$

Observe that the determinant of the above matrix is  $x^{2(a+1)}y^{2(b+1)}$ , which means by the Saito-Rose criterion that these are a free basis for the spline module  $S^{\mathbf{r}}(\Sigma)$ .

There is a nice generalization of this basis to the fan whose cones are the  $2^n$  orthants of  $\mathbb{R}^n$ .

#### Example 2.4.

### 3. A RESTRICTION MAP

A standard operation for sheaves on projective space is the restriction to a hyperplane. This operation is of fundamental importance in the theory of hyperplane arrangements, where it gives rise to addition-deletion theorems for (simple) arrangements [7, 8]. There are also addition-deletion theorems for multi-arrangements that were discovered much later [1]. One reason that it took so long (almost 30 years after Terao's addition-deletion theorems and 20 years after Ziegler defined multi-arrangements) to generalize addition-deletion methods to multi-arrangements is that these methods required a technical tool developed by the authors of [1] called the *Euler multiplicity*. It is not clear if there is an analogous technique that will lead to addition-deletion techniques for splines. We define a natural restriction of the spline module that allows inductive arguments to work on some classes of subdivision.

In this section, we discuss what restriction to a hyperplane looks like for splines on fans.

We first describe a natural restriction of the module of splines  $S^{\mathbf{r}}(\Sigma)$  which turns out, in general, not to have the good properties necessary to formulate addition-deletion theorems. We then conjecture that a slight modification of this restriction does have the right properties. We also consider circumstances in which no alteration is necessary.

If  $H \subset \mathbb{R}^n$  is a hyperplane, we write  $\mathbf{1}_H$  for the function  $\mathbf{1}_H : \Sigma_{n-1} \rightarrow \mathbb{Z}_{\geq 0}$  defined by

$$\mathbf{1}_H(\tau) = \begin{cases} 1 & \tau \subset H \\ 0 & \text{otherwise} \end{cases}.$$

We write  $\alpha_H$  for a choice of linear form vanishing on  $H$ .

**Definition 3.1.** For a fan  $\Sigma \subset \mathbb{R}^n$ , a hyperplane  $H \subset \mathbb{R}^n$ , and a smoothness distribution  $\mathbf{r} : \Sigma_{n-1} \rightarrow \mathbb{Z}_{\geq -1}$  which is non-negative on every  $\tau \in \Sigma_{n-1}$  so that  $\tau \subset H$ , the pair  $(\Sigma, \mathbf{r} - \mathbf{1}_H)$  is called the *deletion* of  $(\Sigma, \mathbf{r})$  along  $H$ .

For a fan  $\Sigma \subset \mathbb{R}^n$  we write  $G_\Sigma$  for the dual graph. Given a hyperplane  $H \subset \mathbb{R}^n$  with linear form  $\alpha_H$  vanishing on  $H$ , we write  $\ell|_H$  for the map  $\ell|_H : E \rightarrow R/\langle \alpha_H \rangle$  for the map that sends an edge  $e$  (dual to a codimension one cone  $\tau$ ) to the coset defined by the linear form  $\alpha_\tau + \langle \alpha_H \rangle$  in the quotient  $R/\langle \alpha_H \rangle$ . Then  $S^\mathbf{r}(G, \ell|_H)$  is the module of generalized splines on the edge labeled graph  $(G_\Sigma, \mathcal{I})$ , where  $\mathcal{I}(e) = \alpha_\tau^{\mathbf{r}(\tau)+1} + \langle \alpha_H \rangle = J^\mathbf{r}(\tau) + \langle \alpha_H \rangle$  in the ring  $R/\langle \alpha_H \rangle$ .

**Proposition 3.2.** *If  $\mathbf{r} : \Sigma_{n-1} \rightarrow \mathbb{Z}_{\geq 0}$  is non-negative, there is a left exact sequence*

$$0 \rightarrow S^{\mathbf{r}-\mathbf{1}_H}(\Sigma) \xrightarrow{\cdot \alpha_H} S^\mathbf{r}(\Sigma) \xrightarrow{\pi} S^\mathbf{r}(G_\Sigma, \ell|_H),$$

where  $\cdot \alpha_H$  is multiplication by  $\alpha_H$  (this should be viewed as happening in the free module  $R^{\Sigma_n}$ ) and  $\pi$  is the quotient map taking each polynomial constituent modulo  $\alpha_H$ .

*Remark 3.3.* By a change of coordinates, we may assume that  $\alpha_H = x_n$  and so  $R/\langle \alpha_H \rangle \cong \mathbb{R}[x_1, \dots, x_{n-1}]$ . Then, if  $(x_1, \dots, x_n) \in \mathbb{R}^n$ , we can concretely view  $\pi(F)$  as the tuple  $(F_\sigma(x_1, \dots, x_{n-1}, 0))_{\sigma \in \Sigma_n} \in (R')^{\Sigma_n}$ .

*Proof.* If  $F \in S^\mathbf{r}(\Sigma)$ , then  $F = (F_\sigma)_{\sigma \in \Sigma_n} \in R^{\Sigma_n}$ . Put  $R' = R/\langle \alpha_H \rangle$ . The map  $\pi$  can be described as  $\pi(F) = (F_\sigma + \langle \alpha_H \rangle)_\sigma \in (R')^{\Sigma_n}$ . Write  $\bar{F}_\sigma$  for the coset  $F_\sigma + \langle \alpha_H \rangle$ .

We first show that if  $F \in S^\mathbf{r}(\Sigma)$  then  $\pi(F) \in S^\mathbf{r}(G_\Sigma, \ell|_H)$ . The spline conditions in  $S^\mathbf{r}(G_\Sigma, \ell|_H)$  imply that if  $\sigma, \sigma' \in \Sigma_n$  and  $\sigma' \cap \sigma = \tau \in \Sigma_{n-1}$ , then  $F_\sigma - F_{\sigma'} \in \langle \alpha_\tau^{\mathbf{r}(\tau)+1} \rangle = J^\mathbf{r}(\tau)$ . Thus  $\bar{F}_\sigma - \bar{F}_{\sigma'} \in J^\mathbf{r}(\tau) + \langle \alpha_H \rangle$ , as desired. It follows that if  $F \in S^\mathbf{r}(\Sigma)$  then  $\pi(F) \in S^\mathbf{r}(G_\Sigma, \ell|_H)$ .

Since  $S^{\mathbf{r}-\mathbf{1}_H}(\Sigma)$  is an  $R$ -submodule of  $R^{\Sigma_n}$ ,  $\alpha_H S^{\mathbf{r}-\mathbf{1}_H}(\Sigma)$  is simply given as pointwise multiplication by  $\alpha_H$ . This is an injective map. So what is left is to prove that  $\ker(\pi) = \alpha_H S^{\mathbf{r}-\mathbf{1}_H}(\Sigma)$ .

First we prove that  $\alpha_H S^{\mathbf{r}-\mathbf{1}_H}(\Sigma) \subset S^\mathbf{r}(\Sigma)$ . Suppose  $F' \in S^{\mathbf{r}-\mathbf{1}_H}(\Sigma)$ . Now suppose  $\sigma_1, \sigma_2 \in \Sigma_n$  so that  $\sigma_1 \cap \sigma_2 = \tau \in \Sigma_{n-1}$ . Then

$$F'_{\sigma_1} - F'_{\sigma_2} = g \alpha_\tau^{(\mathbf{r}-\mathbf{1}_H)(\tau)} \tag{3.1}$$

Multiplying both sides of (3.1) by  $\alpha_H$ , we obtain  $\alpha_H F'_{\sigma_1} - \alpha_H F'_{\sigma_2} = g \alpha_H \alpha_\tau^{(\mathbf{r}-\mathbf{1}_H)(\tau)}$ . If  $\tau \not\subset H$ , then

$$\alpha_H F'_{\sigma_1} - \alpha_H F'_{\sigma_2} = g \alpha_H \alpha_\tau^{(\mathbf{r}-\mathbf{1}_H)(\tau)} = (g \alpha_H) \alpha_\tau^{\mathbf{r}(\tau)+1}.$$

If  $\tau \subset H$ , then  $\alpha_\tau = \alpha_H$  and

$$\alpha_H F'_{\sigma_1} - \alpha_H F'_{\sigma_2} = g(\alpha_H \alpha_\tau^{(\mathbf{r}-\mathbf{1}_H)(\tau)}) = g \alpha_\tau^{\mathbf{r}(\tau)+1}.$$

In either case,  $\alpha_H F'_{\sigma_1} - \alpha_H F'_{\sigma_2}$  satisfies the spline criterion across  $\tau$ . Since  $\sigma_1, \sigma_2$  were arbitrary,  $\alpha_H F' \in S^\mathbf{r}(\Sigma)$ . It is clear that  $\alpha_H F'$  is in the kernel of  $\pi$ , since every polynomial constituent will be mapped to zero in the quotient  $R/\langle \alpha_H \rangle$ .

Let  $F = (F_\sigma)_{\sigma \in \Sigma_n} \in \ker(\pi)$ . Then, for every  $\sigma \in \Sigma_n$ ,  $F_\sigma + \langle \alpha_H \rangle = \langle \alpha_H \rangle$ , so  $F_\sigma \in \langle \alpha_H \rangle$ . It follows that, for every  $\sigma \in \Sigma_n$ ,  $F_\sigma = F'_\sigma \alpha_H$  for some  $F'_\sigma \in R$ . We prove that  $(F'_\sigma)_{\sigma \in \Sigma_n} \in S^{\mathbf{r}-\mathbf{1}_H}$ . Suppose  $\sigma_1, \sigma_2 \in \Sigma_n$  so that  $\sigma_1 \cap \sigma_2 = \tau \in \Sigma_{n-1}$ . Then

$$F_{\sigma_1} - F_{\sigma_2} = g \alpha_\tau^{\mathbf{r}(\tau)+1} \tag{3.2}$$

for some  $g \in R$ . If  $\tau \not\subset H$  then  $\mathbf{r}(\tau) = (\mathbf{r} - \mathbf{1}_H)(\tau)$  by definition and we can re-write (3.2) as  $\alpha_H(F'_{\sigma_1} - F'_{\sigma_2}) = g \alpha_\tau^{(\mathbf{r}-\mathbf{1}_H)(\tau)}$ . Since  $\alpha_\tau$  and  $\alpha_H$  are linear forms, they are both prime ring elements of  $R$ . They are coprime since they are not multiples of each other. So  $\alpha_H$  must divide  $g$ , yielding  $g = \alpha_H g'$  for some  $g' \in R$ . Thus

$$F'_{\sigma_1} - F'_{\sigma_2} = g' \alpha_\tau^{\mathbf{r}(\tau)+1} = g' \alpha_\tau^{(\mathbf{r}-\mathbf{1}_H)(\tau)+1},$$

as desired.

If  $\tau \subset H$  then  $\alpha_\tau = \alpha_H$  and we can re-write (3.2) as  $\alpha_\tau(F'_{\sigma_1} - F'_{\sigma_2}) = g\alpha_\tau^{\mathbf{r}(\tau)+1}$ . Cancelling  $\alpha_\tau$  on both sides yields

$$F'_{\sigma_1} - F'_{\sigma_2} = g\alpha_\tau^{\mathbf{r}(\tau)+1-1} = g\alpha_\tau^{(\mathbf{r}-\mathbf{1}_H)(\tau)+1},$$

as desired. Thus, for any  $\sigma_1, \sigma_2 \in \Sigma_n$  satisfying that  $\sigma_1 \cap \sigma_2 = \tau \in \Sigma_{n-1}$ ,  $F'_{\sigma_1} - F'_{\sigma_2} \in \langle \alpha_\tau^{(\mathbf{r}-\mathbf{1}_H)(\tau)+1} \rangle$ . It follows that  $F' = (F'_\sigma)_{\sigma \in \Sigma_n} \in S^{\mathbf{r}-\mathbf{1}_H}(\Sigma)$ . Thus  $F = \alpha_H F' \in \alpha_H S^{\mathbf{r}-\mathbf{1}_H}(\Sigma)$ , as desired.  $\square$

$S^{\mathbf{r}}(G_\Sigma, \ell|_H)$  appears to be a good candidate for addition-deletion techniques, but it is typically not. It turns out that a fundamental property must be satisfied in order to have a chance for addition-deletion techniques - this is that the map  $\pi$  needs to be locally surjective in codimension two. That is, upon localizing the above exact sequence at primes of codimension two, it becomes a short exact sequence. If  $\Sigma$  is three-dimensional, this means that  $\pi$  induces a surjective map of sheaves, whence we get a long exact sequence in sheaf cohomology that allows us to determine freeness via Horrocks's criterion (via duality theorems - either Serre duality or local duality - this is equivalent to vanishing of  $\text{Ext}^i$  for  $i > 0$ ). It turns out that the map  $\pi$  in Proposition 3.2 is *not* surjective in codimension two. We illustrate by considering a simple example when  $\Sigma$  itself is two-dimensional, and the map  $\pi$  is not surjective.

#### 4. IF BOTH THE SPLINE MODULE AND ITS DELETION ARE FREE

##### 5. RESTRICTION TO A GENERIC HYPERPLANE

In this section we will see that, in case  $H$  is what we call a *generic* hyperplane, then  $S^{\mathbf{r}}(G_\Sigma, \ell|_H)$  is a good restriction in the sense that the map  $\pi$  in Proposition 3.2 is surjective in codimension two. We start with a simple proposition.

**Proposition 5.1.** *Let  $\alpha \in R$  be a polynomial and  $I$  an ideal of  $R$ . Given a positive integer  $k$ , define a map  $\phi : \frac{S}{\langle \alpha^k \rangle + I} \rightarrow \frac{S}{\langle \alpha^{k+1} \rangle + I}$  by  $\phi(F + \langle \alpha^k \rangle + I) = \alpha \cdot F + \langle \alpha^{k+1} \rangle + I$ , where  $F \in R$ . Then*

- $\phi$  is a well-defined homomorphism of  $R$ -modules.
- If  $\alpha$  is a non-zero divisor on  $R/I$ , then  $\phi$  is injective.

*Proof.* To show  $\phi$  is well-defined, suppose that  $F, G \in R$  satisfy that  $F + \langle \alpha^k \rangle + I = G + \langle \alpha^k \rangle + I$ . Then there exist  $f \in R$  and  $p \in I$  so that  $F - G = f\alpha^k + p$ . So  $\alpha \cdot F - \alpha \cdot G = f\alpha^{k+1} + \alpha \cdot p \in \langle \alpha^{k+1} \rangle + I$ . It follows that  $\phi$  is well-defined. It is routine to check that  $\phi$  is a homomorphism of  $R$ -modules.

Now suppose that  $\alpha$  is a non-zero divisor on  $R/I$ . We show  $\phi$  is injective. Suppose  $F \in R$  and  $\phi(F + \langle \alpha^k \rangle + I) = \alpha \cdot F + \langle \alpha^{k+1} \rangle + I = 0 + \langle \alpha^{k+1} \rangle + I$ . Then  $\alpha \cdot F \in \langle \alpha^{k+1} \rangle + I$ , so there exist  $f \in R, p \in I$  so that  $\alpha \cdot F = f\alpha^{k+1} + p$ . Hence  $\alpha(F - \alpha^k) \in I$ . But  $\alpha$  is a non-zero divisor on  $R/I$ , hence we must have  $F - \alpha^k \in I$ , or  $F + \langle \alpha^k \rangle + I = 0 + \langle \alpha^k \rangle + I$ . Hence  $\phi$  is injective.  $\square$

To simplify things, we only make the following definition for  $\mathbb{R}^3$  only.

**Definition 5.2.** Let  $\Sigma \subset \mathbb{R}^3$  be a fan, and  $H$  a hyperplane in  $\mathbb{R}^n$ . We say  $H$  is *generic* (with respect to  $\Sigma$ ) if, for every ray  $\gamma \in \Sigma_1$  so that  $\gamma \subset H$ , there exists a hyperplane  $H'$  so that if  $\tau \in \Sigma_2$  and  $\gamma \subset \tau$  then  $\alpha_\tau = \alpha_H$  or  $\alpha_\tau = \alpha_{H'}$ . In particular, this condition is met if  $H$  is chosen so that no  $\gamma \in \Sigma_1$  is contained in  $H$ .

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