

Deadlock Avoidance

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Aims

At the end of this podcast, you should be able to:

- Explain the principle of deadlock avoidance
- Describe the concepts of the *banker's algorithm* used for deadlock avoidance



Deadlock Avoidance

- A decision is made dynamically whether the current resource allocation request will, if granted, potentially lead to a deadlock
- Requires knowledge of future process requests



Two Approaches

- Resource allocation denial
- Process initiation denial



Resource Allocation Denial

- Referred to as the *banker's algorithm*
- **State** of the system reflects the current allocation of resources to processes
- **Safe state** is one in which there is at least one sequence of resource allocations to processes that does not result in a deadlock
- **Unsafe state** is a state that is not safe



	R1	R2	R3
P1	3	2	2
P2	6	1	3
P3	3	1	4
P4	4	2	2

Claim matrix **C**

	R1	R2	R3
P1	1	0	0
P2	6	1	2
P3	2	1	1
P4	0	0	2

Allocation matrix **A**

	R1	R2	R3
P1	2	2	2
P2	0	0	1
P3	1	0	3
P4	4	2	0

C - A

R1	R2	R3
9	3	6

Resource vector **R**

R1	R2	R3
0	1	1

Available vector **V**

(a) Initial state

Figure 6.7 Determination of a Safe State



	R1	R2	R3
P1	3	2	2
P2	0	0	0
P3	3	1	4
P4	4	2	2

Claim matrix **C**

	R1	R2	R3
P1	1	0	0
P2	0	0	0
P3	2	1	1
P4	0	0	2

Allocation matrix **A**

	R1	R2	R3
P1	2	2	2
P2	0	0	0
P3	1	0	3
P4	4	2	0

C - A

R1	R2	R3
9	3	6

Resource vector **R**

R1	R2	R3
6	2	3

Available vector **V**

(b) P2 runs to completion

Figure 6.7 Determination of a Safe State



	R1	R2	R3
P1	0	0	0
P2	0	0	0
P3	3	1	4
P4	4	2	2

Claim matrix **C**

	R1	R2	R3
P1	0	0	0
P2	0	0	0
P3	2	1	1
P4	0	0	2

Allocation matrix **A**

	R1	R2	R3
P1	0	0	0
P2	0	0	0
P3	1	0	3
P4	4	2	0

C - A

R1	R2	R3
9	3	6

Resource vector **R**

R1	R2	R3
7	2	3

Available vector **V**

(c) P1 runs to completion

Figure 6.7 Determination of a Safe State





	R1	R2	R3
P1	0	0	0
P2	0	0	0
P3	0	0	0
P4	4	2	2

Claim matrix **C**

	R1	R2	R3
P1	0	0	0
P2	0	0	0
P3	0	0	0
P4	0	0	2

Allocation matrix **A**

	R1	R2	R3
P1	0	0	0
P2	0	0	0
P3	0	0	0
P4	4	2	0

C - A

R1	R2	R3
9	3	6

Resource vector **R**

R1	R2	R3
9	3	4

Available vector **V**

(d) P3 runs to completion

Figure 6.7 Determination of a Safe State



	R1	R2	R3		R1	R2	R3		R1	R2	R3
P1	3	2	2	P1	1	0	0	P1	2	2	2
P2	6	1	3	P2	5	1	1	P2	1	0	2
P3	3	1	4	P3	2	1	1	P3	1	0	3
P4	4	2	2	P4	0	0	2	P4	4	2	0
Claim matrix C				Allocation matrix A				C - A			

R1	R2	R3	R1	R2	R3
9	3	6	1	1	2
Resource vector R			Available vector V		

(a) Initial state

	R1	R2	R3		R1	R2	R3		R1	R2	R3
P1	3	2	2	P1	2	0	1	P1	1	2	1
P2	6	1	3	P2	5	1	1	P2	1	0	2
P3	3	1	4	P3	2	1	1	P3	1	0	3
P4	4	2	2	P4	0	0	2	P4	4	2	0
Claim matrix C				Allocation matrix A				C - A			

R1	R2	R3	R1	R2	R3
9	3	6	0	1	1
Resource vector R			Available vector V		

(b) P1 requests one unit each of R1 and R3

Figure 6.8 Determination of an Unsafe State



Banker's Algorithm

- Banker's algorithm is presented in the textbook
 - You need to know the principles (as illustrated by the previous example); you don't need to implement the algorithm
- Advantages of the banker's algorithm:
 - It is not necessary to preempt and rollback processes, as in deadlock detection
 - It is less restrictive than deadlock prevention



Disadvantages

- Maximum resource requirement for each process must be stated in advance
- Processes under consideration must be independent and with no synchronization requirements
- There must be a fixed number of resources to allocate



Summary

- Deadlock avoidance provides a slightly less conservative strategy than deadlock prevention
- Is only applicable for some problems
- Both under commit resources
 - If deadlocks are uncommon, can lead to significant degradation of performance with little gain.



What next?

- Read the relevant section of the textbook (6.3)
- Move on to the next podcast: deadlock detection and recovery.

