## Reading a Patent

## RAJ HIRWANI CSIR – URDIP



## What is a patent?

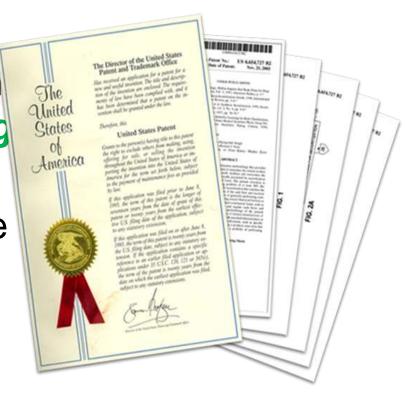
Legal document

 Right to stop others from making, using or selling

- any one of these

 Exclusive right to practice subject to noninfringement of previous patents

 Grant for a limited period of time



http://www.patriarchpartners.com/HerPat ent.aspx



#### REQUIREMENTS OF PATENTABILITY

#### Substantive Requirements

- Subject matter
- Novelty
- Non-Obviousness
- Utility

#### **Procedural Requirements**

- Written Description
- Enablement
- Definiteness
- Best mode

Ideas/concepts cannot be patented

#### Social Contract

- Patent rights are granted in return for the inventor's full disclosure of the technology to the public
- The patent holder receives the right to prevent anyone else from practicing the invention
- In exchange, the government ensures that the information regarding the invention is publicly disclosed, and the invention itself is available for anyone's use after the expiration of the patent.



## Reading a Patent

- Patent Document represents disclosure of the technology to the public
- Main Sections
  - Cover Page
  - Specification
  - Claims



## Cover Page

- Mainly Bibliographic Information
  - Like name of applicant, inventor
  - Date of priority, filing, publication, and grant of the patent
  - Title and abstract of the invention
- No legal implication for interpreting the patent
- Different Patent Offices have unique sets of bibliographic information



## Cover Page – INID Codes

- Standardized By World Intellectual Property Organization (WIPO)
- INID Code Internationally agreed Numbers for the Identification of (bibliographic) Data
- Main Purpose To make the data language independent
- Used By All patent offices world wide
- Bracketed number adjacent to each data Subsection (INID Code) refers to specific field



- 12 Nature of publication (US Patent) and underneath First Inventor's name
- 10 Patent Number
  - Sequentially assigned
  - May or may not be synonymous with patent number
  - If synonymous, suffix is used to denote status (e.g.Europe- A for Application, B-Granted)
- 45 Issue date
  - Two primary significances
    - Date of public domain / prior-art



- 54 Title of the patent
  - No impact on interpretation
- 75 Inventors names and place of residence
  - No significance to order of names
  - All have undivided interest
  - Can independently practice or license
- 73- Assignees and their place of business
  - Assignment documents are recorded in patent office and accessible to public
- 21- Application number
  - Assigned by patent office



#### 22- Filing date

- May not be date to determine patent term
- US allows refiling with or without new disclosures (Continuous generically or continuation – in-part if new disclosure added)
- Consult 63 to determine patent term

#### 63 - Shows related applications

- Continuation in part of application No.09/828,646, dt. 5 Apr 2001
- Earlier application is continuation in part
- May affect prior art or priority date of a claim.

#### 51- International Classification (IPC)

 Indispensable for retrieval of patent documents for prior art by patent office, inventors, attorneys & others



- 52 US Classification code
  - Bolded code most relevant
- 58 US Codes where examiner performed the search for prior art
- 56 References
  - Made of record-examined patentability of invention in the light of these refs.
  - Sub-divided into US Patents, other Patents & other Publications



- [ ] Following the references,
  - The names of prim. Examiner, Asst. Examiner and the Attorney or Firm of record are listed.
- 57- Abstract-
  - Short description of the invention
  - Written by the applicant
  - Gist of technical disclosure
  - Not used for interpreting the scope of the claims
- [ ] At bottom,
  - The number of claims and drawings in the patent



- Description of the invention must satisfy procedural requirements of patentability
  - Written description
  - Enablement
  - Definiteness
  - Best mode



- Patent Office Guidelines-preferred layout
  - Title of the invention
  - Cross reference to related applications
  - Background of the invention
  - Summary of the invention
  - Description of the drawings
  - Detailed description of the invention
  - Claims
- Specification helps to interpret and define the scope of claims

#### Background of the Invention

- Typically drafted for a jury audience
- Selected art in the field discussed
- Emphasize difference with current invention and need for it
- Explain technologies of several key relevant references



## Summary of the Invention

- Different from the Abstract
- Describes the invention that is being claimed in the set of claims at the end of the patent
- Meant to discuss the Invention (i.e. claims) rather than disclosure as a whole
- Discusses the nature and substance of the invention, and include statements on the objectives of the invention
- Advantage of the invention/how it solves problems presented in the background



#### Brief Description of the Drawings

- Provides short and concise summary as to the general nature of each drawing included in the patent
- Includes information such as
  - What is depicted in each drawing figure
  - The number of the drawing figure, and
  - The type of drawing depicted by the figure



#### Example –

- FIG. 1 is a schematic view of an electrical power unit according to the invention;
- FIG. 2 is a schematic view of a motive unit according to the invention;
- FIG. 3 is a schematic view of an auxiliary power unit according to the invention.

## They can also be

Isometric views, Section views, Cross-sections,
 Exploded View, Perspective View, Flow chart etc



## Detailed Description of the Invention

- Describes the entirety of the invention in combination with the drawings
- Sufficiently detailed to enable one reading the description to be able to make or use the invention
- Refers to the various drawing figures and numbered elements provided in the figures
- Also discusses various exemplary embodiments of the invention



#### **CLAIMS**

- Minimum one claim
- Must particularly point and distinctly claim the subject matter- invention
- Define the meters and bounds of the patentee's rights
- Each claim to be written in a single sentence
- Claim has
  - A preamble introductory statement that names the thing to be claimed
  - A Transition Phrase "comprising"; and
  - The body defines what the elements or steps of the named things are



#### Claims - Transitional Phrases

#### Transition Phrases

#### Comprising

- Open ended language
- Does not exclude additional, unrecited elements or method steps

#### - Consisting of or consisting essentially of

- "closed" transition language
- Define the scope of a claim with respect to what unrecited additional components or steps, if any, are excluded from the scope of the claim



## Types of Claims

#### Claim Flavors

- Independent
  - An independent claim stands alone
- Dependent
  - Refers back to and further limits another claim
  - Has meaning when combined with a preceding claim



## Claims - Independent Claim

Preamble Transition
Phrase

An electrical power unit comprising

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- a) a combustion chamber, in which fuel is combusted to release heat; and
- b) a **thermionic converter**, which converts said heat into electrical energy; and
- c) an energy control system; wherein said control system takes an input representative of the demand for electrical power and computes and controls the intake of fuel and air into said combustion chamber.



# Claims - Dependent Claims

 The electrical power unit of claim 1 wherein said thermionic converter is a thermotunneling converter.

 The electrical power unit of claim 1 wherein said thermionic converter comprises low work function electrodes.



#### **Claims**

#### Claim 1- An electrical power unit comprising:

- a combustion chamber, in which fuel is combusted to release heat; and
- b) a **thermotunnelling** converter which converts said heat into electrical energy; and
- an energy control system;
   wherein said control system takes an input representative of the demand for electrical power and computes and controls the intake of fuel and air into said combustion chamber



## Claims – Another Example

Preamble

Transition Phrase

A motor vehicle for transporting people and objects, having a chassis and a body mounted on the chassis, the motor vehicle comprising

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- a) a plurality of wheels under the body, supporting the chassis;
- b) a transmission mounted on the chassis and coupled to at least one of the plurality of wheels;
- c) a **motor** mounted on the chassis, having a fluid input and an output coupled to the transmission; and
- d) a **fluid supply** mounted within the body, coupled to the fluid input of the motor.



## Claims - Dependent Claims

- 2. The motor vehicle of claim 1, in which the **fluid supply** is a tank of compressed **gas**, and the **motor** is a **variable-displacement fluid** motor.
- 3. The motor vehicle of claim 2, in which the **gas** is **air**.



#### **Claims**

Claim 1- A motor vehicle for transporting people and objects, having a chassis and a body mounted on the chassis, the motor vehicle comprising:

- a) a plurality of wheels under the body, supporting the chassis;
- b) a transmission mounted on the chassis and coupled to at least one of the plurality of wheels;
- c) a variable-displacement fluid motor mounted on the chassis, having a fluid input and an output coupled to the transmission; and
- d) a tank of compressed air mounted within the body, coupled to the fluid input of the motor.



## Claims - Independent Claim

Preamble Transition
Phrase

A magnetic field generating assembly comprising a

- a) superconducting magnet located in a cryostat and defining a
   bore accessible from outside the cryostat; and
- b) a mechanical refrigerator having at least two cooling stages for at least partly cooling the cryostat; and
- c) a **coolant path** extending from the refrigerator into the magnet bore, the coolant path being coupled for heat exchange with a cooling stage of the refrigerator other than the coldest cooling stage so that the refrigerator is adapted also to cool coolant in the coolant path.



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## Claims - Dependent Claims

Claim 2 - An assembly according to claim 1, wherein the bore supports one or more components which are coupled to the coolant path.

Claim 5 - An assembly according to claim 2, wherein the or each component comprises one or more of RF and gradient coils.

Claim 6 - An assembly according to claim 1, wherein the cryostat includes a number of heat shields, the refrigerator being coupled to one or more of the heat shields.



#### Claim 2 reads as ....

- Claim 2- A magnetic field generating assembly comprising a
  - superconducting magnet located in a cryostat and defining a bore accessible from outside the cryostat; wherein the bore supports one or more components which are coupled to the coolant path and
  - a mechanical refrigerator having at least two cooling stages for at least partly cooling the cryostat; and
  - a coolant path extending from the refrigerator into the magnet bore, the coolant path being coupled for heat exchange with a cooling stage of the refrigerator other than the coldest cooling stage so that the refrigerator is adapted also to cool coolant in the coolant path.



#### Claim 5 reads as....

- Claim 2- A magnetic field generating assembly comprising a
  - superconducting magnet located in a cryostat and defining a bore accessible from outside the cryostat; wherein the bore supports one or more components which are coupled to the coolant path wherein the or each component comprises one or more of RF and gradient coils, and
  - a mechanical refrigerator having at least two cooling stages for at least partly cooling the cryostat; and
  - a coolant path extending from the refrigerator into the magnet bore, the coolant path being coupled for heat exchange with a cooling stage of the refrigerator other than the coldest cooling stage so that the refrigerator is adapted also to cool coolant in the coolant path.



#### Claim 6 reads as ....

- Claim 6 A magnetic field generating assembly comprising a
  - superconducting magnet located in a cryostat which includes a number of heat shields, the refrigerator being coupled to one or more of the heat shields and defining a bore accessible from outside the cryostat; and
  - a mechanical refrigerator having at least two cooling stages for at least partly cooling the cryostat; and
  - a coolant path extending from the refrigerator into the magnet bore, the coolant path being coupled for heat exchange with a cooling stage of the refrigerator other than the coldest cooling stage so that the refrigerator is adapted also to cool coolant in the coolant path.



#### TURMERIC IN WOUND HEALING



#### United States Patent [19]

Das et al.

[11] Patent Number: 5,401,504

[45] Date of Patent: Mar. 28, 1995

[net]	COL OF TERMENCE IN WOOD INSTITUTE	
[75]	Inventors:	Suman K. Das; Hari Har P. Cohly, both of Jackson, Miss.
[73]	Assignee:	University of Mississippi Medical Center, Jackson, Miss.
[21]	Appl. No.:	174,363
[22]	Filed:	Dec. 28, 1993
[51]	Int. Cl,6	A61K 35/78

[54] USE OF TURMERIC IN WOUND HEALING

_		514/926; 514/927; 514/928		
[58]	Field of Search 424/195.			
[56]	References Cited			
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Kumar et al GA.119: 871K (1993) of Ind. Vet. J. 70(1):42-4 (1993).

Abstracts of Charles et al Trop. Geogr. Med: 44(1-2) 178-181 Jan. 1992; Rafatullah et al J. Ethnopharmacol. 29(1): 25-34 Apr. 1990; Kutton et al Tumori 73(1): 29-31 Feb. 28, 1987; Mehra et al. Tokai J Etpharm Med 9(1): 27-31 Mar. 1984.

Primary Examiner—Shep K. Rose Attorney, Agent, or Firm—Wenderoth, Lind & Ponack

#### [57] ABSTRACT

Method of promoting healing of a wound by administering turmeric to a patient afflicted with the wound.

6 Claims, No Drawings



## US5401504

#### **Claims:**

- 1. A METHOD OF PROMOTING HEALING OF A WOUND IN A PATIENT, WHICH CONSISTS ESSENTIALLY OF ADMINISTERING A WOUND-HEALING AGENT CONSISTING OF AN EFFECTIVE AMOUNT OF TURMERIC POWDER TO SAID PATIENT.
- 2. THE METHOD ACCORDING TO CLAIM 1, WHEREIN SAID TURMERIC IS ORALLY ADMINISTERED TO SAID PATIENT.
- 3. THE METHOD ACCORDING TO CLAIM 1, WHEREIN SAID TURMERIC IS TOPICALLY ADMINISTERED TO SAID PATIENT.
- 4. THE METHOD ACCORDING TO CLAIM 1, WHEREIN SAID TURMERIC IS BOTH ORALLY AND TOPICALLY ADMINISTERED TO SAID PATIENT.
- 5. THE METHOD ACCORDING TO CLAIM 1, WHEREIN SAID WOUND IS A SURGICAL WOUND.
- 6. THE METHOD ACCORDING TO CLAIM 1, WHEREIN SAID WOUND IS A BODY ULCER.



#### **Claims**

- Claims are the essence of a patent
- Legal protection is restricted to what is claimed in this section
- Invention is lost to public domain even if it written in the detailed description but not claimed



#### Sources of Patent Inormation

- National Patent Offices
  - USPTO (www.uspto.gov)
  - India ( www.ipindia.gov.in)
- Global Databases
  - esp@cenet (EPO)
  - Patentscope (WIPO)
- Private Databases (Paid)
  - Thomson innovation
  - Qpat
  - Patbase



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- Family Tree
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- Statistical Analysis and Graphs
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# Thank You

