

# Geometry and dynamics of the Schur–Cohn stability algorithm for one variable polynomials

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September 6, 2018

## Abstract

We provided a detailed study of the Schur–Cohn stability algorithm for Schur stable polynomials of one complex variable. A real analytic principal  $(\mathbb{C} \times \mathbb{S}^1)$ –bundle structure in the family of Schur stable polynomials of degree  $n$  is constructed. Secondly, we consider holomorphic Lie group  $\mathbb{C}$ –actions on the space of polynomials of degree  $n$ . For each orbit  $\{s \cdot P(z) \mid s \in \mathbb{C}\}$ , we study the general problem of the existence of a complex rational vector field on  $\mathbb{C}_z$  such that its holomorphic  $s$ –flow describes the geometric change of the  $n$ –root configurations of the orbit  $\{s \cdot P(z) = 0\}$ . In particular, we prove the existence of a complex rational vector field  $\mathbb{X}$  on  $\mathbb{C}_z$  describing the geometric change of the  $n$ –root configuration in the unitary disk  $\mathbb{D}$  of a  $\mathbb{C}$ –orbit of Schur polynomials, here the orbits come from the above principal  $\mathbb{C} \times \mathbb{S}^1$ –bundle structure. We get analogous results in the framework of anti–Schur polynomials (those having all their roots in  $\mathbb{C} \setminus \overline{\mathbb{D}}$ ).

Schur stable polynomials Schur–Cohn stability algorithm principal  $G$ –bundles complex rational vector fields Lie group actions

## 1 Introduction

The space of complex polynomials  $\mathbb{C}[z]_{=n} = \{P(z) = \sum c_l z^l\}$  of degree  $n$  admits two natural parametrizations: coefficient coordinates  $\mathcal{C}_n = \{(c_n, \dots, c_0)\}$  and root coordinates  $\mathcal{R}_n = \{(c_n, [z_1, \dots, z_n])\}$  determined by unordered configurations of roots and  $c_n$ . The Viète map  $\mathcal{V}_n : \mathcal{R}_n \rightarrow \mathcal{C}_n$  given by the elementary symmetric functions is a natural translator, and the non–triviality of  $\mathcal{V}_n^{-1}$  was done by N. H. Abel and É. Galois.

A polynomial  $P(z)$  is called *Schur stable* (resp. *anti–Schur*) if all its roots lie in the unitary disk  $\mathbb{D}$  (resp. in  $\mathbb{C} \setminus \overline{\mathbb{D}}$ ). A classical problem in control theory and algebraic/analytic theory of polynomials is the construction of algorithms that from information in  $\mathcal{C}_n$  decide when a polynomial is Schur stable, a conclusion in  $\mathcal{R}_n$ .

We regard the seminal work of I. Schur [29] and A. Cohn [11], currently known as the *Schur–Cohn stability algorithm*; see [27] Theorem 11.5.3, [8] section 1.4 for modern reviews, and [15] for computational aspects. The algorithm allows us to determine the number of roots of a polynomial  $P(z)$  of degree  $n$  in the unitary disk  $\mathbb{D}$ , the boundary  $\partial\mathbb{D}$  and the complement  $\mathbb{C} \setminus \overline{\mathbb{D}}$ . In differential topology language, the algorithm depends on four real polynomial maps on  $\mathcal{C}_n$ , denoted  $\{R_{\alpha,n}\}_{\alpha=1}^4$ . The first two maps

$$R_{1,n} : \mathcal{D}_{1,n} = \{ |c_n| < |c_0| \} \subset \mathbb{C}[z]_{=n} \longrightarrow \mathbb{C}[z]_{\leq n-1}, \quad R_{2,n} : \mathcal{D}_{2,n} = \{ |c_n| > |c_0| \} \subset \mathbb{C}[z]_{=n} \longrightarrow \mathbb{C}[z]_{\leq n-1} \quad (1)$$

deal with anti–Schur and Schur stable polynomials, respectively, enjoying the following crucial properties; see [8], [27]:  $P(z)$  is anti–Schur if and only if  $R_{1,n}(P(z))$  is also anti–Schur.

$P(z)$  is Schur stable if and only if  $R_{2,n}(P(z))$  is also Schur stable.

It is a very remarkable/rare fact, the existence of maps in the coefficient coordinates  $\mathcal{C}_n$  enjoying the following two characteristics: under  $R_{\alpha,n}$  the degree of  $P(z)$  decreases and the position (respect to  $\mathbb{D}$ ) of the roots of  $R_{\alpha,n}(P(z))$  is preserved. Thus, the Schur–Cohn stability algorithm provides us conclusions for the root coordinates  $\mathcal{R}_n$ .

**$\mathbb{C}^* \times \mathbb{S}^1$  and  $\mathbb{C} \times \mathbb{S}^1$  bundle geometry.** In [2] and [3], B. Aguirre–Hernández *et al.* introduce a vector bundle structure on the space of monic Schur stable polynomials of degree  $n$ . Our starting result enlarged this geometric structure as follows.

**Theorem A.** *For  $\alpha = 1, 2$ , the maps  $R_{\alpha,n}$  are real analytic submersions and determine trivial principal bundles*

$$\begin{array}{ccc} \mathbb{C}^* \times \mathbb{S}^1 & \longrightarrow & \mathcal{D}_{1,n} \\ & & \downarrow R_{1,n} \\ & & \mathbb{C}^{n-1} \times \mathbb{R}^+ \\ \mathbb{C} \times \mathbb{S}^1 & \longrightarrow & \mathcal{D}_{2,n} \\ & & \downarrow R_{2,n} \\ & & \mathbb{R}^+ \times \mathbb{C}^{n-1} \end{array} \quad (2)$$

having structural Lie groups  $\mathbb{C}^* \times \mathbb{S}^1$  and  $\mathbb{C} \times \mathbb{S}^1$ .

What is the meaning in root coordinates  $\mathcal{R}_n$  of these principal bundle geometries?

**Lie group actions on the space of polynomials and Weierstrass polynomials.** We consider the following *prototype dynamics*. Let  $\{P(z) = 0\} = [z_1, \dots, z_n] \subset \mathbb{C}$  be the  $n$ -root configuration of a monic polynomial. Assume that  $s$  varies in the additive Lie group  $\mathbb{C} = \{s\}$ :

How can we describe the geometric change of the  $n$ -root configurations  $\{P(z) = s\} = [z_1(s), \dots, z_n(s)]$ ?

see figure 5.1.b. in §5. Obviously the topology of the  $n$ -root configurations change when  $s$  crosses a critical value of  $P(z)$ . In a very rough analogy with the  $n$ -body problem, this is a  $n$ -particle dynamics, where  $s$  plays the role of the time. The *complex analytic vector field*  $\mathbb{X}(z) = (P(z)')^{-1} \frac{\partial}{\partial z}$  describes the  $n$ -root configuration dynamics, this is the diagram

$$\begin{array}{ccc} [z_1, \dots, z_n] & \xrightarrow{\mathcal{V}_n} & P(z) = c_n z^n + \dots + c_1 z + c_0 \\ \varphi(s, \cdot) \downarrow & & \downarrow \doteq \mathcal{A}(s, \cdot) \\ [\varphi(s, z_1), \dots, \varphi(s, z_n)] & \xleftarrow[\mathcal{V}_n^{-1}]{} & P(s, z) \doteq c_n z^n + \dots + c_1 z + (c_0 + s). \end{array} \quad (3)$$

commutes, whenever the local holomorphic flow  $\varphi(s, z) \doteq z(s)$  of  $\mathbb{X}$  is well-defined. This is the prototype dynamics; see definition 5.1 and lemma 5.2 for its proof.

We enlarge this framework as follows. Let  $G$  be the Lie group  $\mathbb{C}$  or  $\mathbb{C}^*$ , and consider

$$\mathcal{A} : G \times \mathbb{C}[z]_{=n} \longrightarrow \mathbb{C}[z]_{=n}, \quad (g, P) \longmapsto g \cdot P$$

a holomorphic Lie group action. Each orbit  $\{g \cdot P \mid g \in G\}$  is a holomorphic Weierstrass polynomial, in the sense of V. L. Hansen [19], [20]. Note that  $\mathcal{A}(s, \cdot)$  in (3) is a  $\mathbb{C}$ -action. The *general problem* is as follows:

Is there a rational vector field  $\mathbb{X}$  on  $\mathbb{C}_z$  describing the  $n$ -root configuration dynamics of the orbit  $\{g \cdot P(z) \mid g \in G\}$ ? This is, the analogous diagram to (3) commutes using in the right column  $\mathcal{A}(g, \cdot)$ ; see definition 5.1 again.

**$n$ -root configuration dynamics of the Schur and anti-Schur orbits.** We consider a Schur polynomial  $P(z)$ , the problem is describing the roots of all the polynomials in the fiber  $\{s \cdot P(z) \mid s \in \mathbb{C}\}$  in  $\mathbb{D}$ , which originates from the  $\mathbb{C}$ -action in (respect the  $\mathbb{C}^*$ -action on the right in (2)). Since the Lie group  $\mathbb{C}$  is simply connected, the Schur case is more simple than the anti-Schur case requiring  $\mathbb{C}^*$ . Our main dynamical results for Schur and anti-Schur polynomials are as follows; see sections 8, 9 respectively.

**Theorem B.** *( $n$ -root configuration dynamics of the Schur and anti-Schur orbits)*

**1.** *Let  $P(z)$  be a Schur stable polynomial. There exists a complex rational vector field  $\mathbb{X}$  on  $\mathbb{C}_z$  describing the  $n$ -root configuration dynamics of*

$$\{s \cdot P(z) = 0 \mid s \in \mathbb{C}\} \subset \mathcal{D}_{2,n},$$

*up to a suitable reparametrization of its complex time. Here  $\{s \cdot P(z)\}$  is a fiber (a Weierstrass polynomial) in the corresponding principal bundle (2).*

**2.** *The analogous result is true for each anti-Schur stable polynomial  $P(z)$ , a suitable complex rational vector field  $\mathbb{Y}$  on  $\mathbb{C}_z$ , and the fiber*

$$\{w \cdot P(z) = 0 \mid w \in \mathbb{C}^*\} \subset \mathcal{D}_{1,n},$$

in the corresponding principal bundle (2).

See figure 4 in §8 for several phase portraits of  $\mathbb{X}$  on  $\mathbb{D}$  and  $\mathbb{Y}$  on  $\mathbb{C} \setminus \mathbb{D}$ ; describing numerical examples.

The analogous versions of theorems A and B remain true for Hurwitz polynomials, considering the Möbius transformation  $T$  that sends  $\mathbb{D}$  on to the left half-plane  $\mathbb{H} = \{\operatorname{Im}(z) < 0\}$ .

The content of the paper is as follows. Section §2 describes several families of degree  $n$  polynomials according to the position of their roots. In §3, the Schur–Cohn stability algorithm is reviewed. Theorem A is proved in §4. The notion of Lie group actions on the space of polynomials of degree  $n$  and the prototype dynamics are done in section §5. The complex dynamics of singular complex analytic vector fields and their application to our general problem are given in §6. A dictionary between singular points of vector fields and  $n$ -root configuration dynamics is in definition 6.2, simple examples are provided. Section §7 explores complex rational vector fields that arose from several Lie group actions on  $\mathbb{C}[z]_{=n}$ . Theorem B for Schur–stable polynomials is proven in §8, the assertion for the anti–Schur case is done in §9.

## 2 Families of polynomials

Let  $\mathbb{C}[z]_{=n} = \{P(z) = c_n z^n + \dots + c_0 \mid c_n \in \mathbb{C}^*\}$  be the space of complex polynomials of degree  $n$ , which is a subset of the vector space of complex polynomials  $\mathbb{C}^{n+1} \doteq \{(c_n, \dots, c_0)\}$  of degree at most  $n$ . We introduce root coordinates,  $\mathcal{R}_n$ , using the coefficient  $\{c_n\}$  and the unordered roots  $\{[z_1, \dots, z_n]\}$  for each polynomial  $P(z)$ , as follows:

$$\begin{array}{ccccc} \mathbb{C}^* \times \mathbb{C}^n & \longrightarrow & \mathbb{C}^* \times \frac{\mathbb{C}^n}{S(n)} & \xrightarrow{\mathcal{V}_n} & \mathbb{C}^{n+1} \setminus \{c_n = 0\} \\ & & & \xleftarrow{\mathcal{V}_n^{-1}} & \\ (c_n, z_1, \dots, z_n) & \longmapsto & (c_n, [z_1, \dots, z_n]) & \longmapsto & (c_n, c_{n-1}, \dots, c_0) = \\ & & & & (c_n, -c_n(z_1 + \dots + z_n), \dots, (-1)^n c_n(z_1 \cdots z_n)), \end{array} \quad (4)$$

where the symmetric group of order  $n$ ,  $S(n)$ , acts on the roots and  $[ \dots ]$  means a class under this action.

$\mathcal{V}_n$  is the  $n$  degree Viète map and the inverse map essentially  $\mathcal{V}_n^{-1}$  sends a polynomial to its roots; see [1], [23].

Let  $\mathbb{D} := \{z \in \mathbb{C} \mid |z| < 1\}$  be the unitary disk, having boundary  $\partial\mathbb{D} \doteq \{z \in \mathbb{C} \mid |z| = 1\}$ . It will be useful to consider families of polynomials of degree  $n$ , depending on the numbers of roots  $p, s, q$  in  $\mathbb{D}$ ,  $\partial\mathbb{D}$  and  $\mathbb{C} \setminus \overline{\mathbb{D}}$  respectively ( $n = p + s + q$ ). The usual motivation is as follows, let  $F$  be a holomorphic germ map on  $(\mathbb{C}^n, \bar{0})$  and  $\det(DF(\bar{0})) = P(z)$  its Jacobian polynomial having eigenvalues  $[z_1, \dots, z_n]$ . It is well known that generically under the iteration of  $F$ ; there appear stable, central and unstable local manifolds at the origin  $\bar{0} \in \mathbb{C}^n$  of dimensions  $p, s$  and  $q$ , respectively. Other extensive references where interesting information about Schur stable polynomials are the books [10] and [22], also [4] and [14].

We describe the families in the table, depending on three attributes; the position of the roots, some inequality on the coefficients and the Schur–Cohn map required by the stability algorithm.

Table 1. Families of polynomials according to roots  $\{p, s, q\}$  and coefficients  $\{|c_n|, |c_0|\}$ .

	$\mathbb{D}$ $p$	$\partial\mathbb{D}$ $s$	$\mathbb{C} \setminus \overline{\mathbb{D}}$ $q$		required Schur–Cohn map
Schur $\mathcal{S}_n$	$p = n$	0	0		
semi–Schur $s\mathcal{S}_n$	$p \geq 1$	$s \geq 1$	0	$ c_n  >  c_0 $	$R_{2,n}$
saddle polynomials type 2 $us_2\mathcal{S}_n$	$p \geq 1$	$s$	$q \geq 1$		
anti–Schur $a\mathcal{S}_n$	0	0	$q = n$		
semianti–Schur $sa\mathcal{S}_n$	0	$s \geq 1$	$q \geq 1$	$ c_n  <  c_0 $	$R_{1,n}$
saddle polynomials type 1 $us_1\mathcal{S}_n$	$p \geq 1$	$s$	$q \geq 1$		
balanced $\mathcal{B}_n$	$p \geq 1$	$s$	$q \geq 1$	$ c_n  =  c_0 $	$R_{3,n}$
self–inverse $\mathcal{C}_n$	$p \geq 0$	$s \geq 0$	$q = p$	$ c_n  =  c_0 $	$R_{4,n}$

· *Schur*<sup>1</sup> is the family of polynomials that have all their roots in the open unitary disc.

· *Anti–Schur* is the family of polynomials that have all their roots in the exterior of the unitary disc.

· *Semi–Schur* is the topological closure of the Schur family, i.e. the family of polynomials that have all their roots in the closed unitary disc  $\overline{\mathbb{D}}$ .

<sup>1</sup>We simplify Schur stable to say only Schur.

- *Semianti–Schur* is the topological closure of the anti–Schur family.
- *Saddle polynomials*: The term comes from the existence of non empty local stable and unstable manifolds under the iteration of  $F$ , as we remark above. Type 1 or 2 depends on the respective Schur–Cohn map that will be required.
- We define that  $P(z)$  is *self-inverse* when  $P(z) = \sigma P^*(z)$ , for some point in  $\{|\sigma| = 1\} = \mathbb{S}^1$ , and

$$\begin{aligned} (\ )^* : \mathbb{C}^{n+1} &\longrightarrow \mathbb{C}^{n+1} \\ P(z) = (c_n, c_{n-1}, \dots, c_0) &\longmapsto P(z)^* = z^n \overline{P(\frac{1}{\bar{z}})} = (\bar{c}_0, \dots, \bar{c}_{n-1}, \bar{c}_n), \end{aligned} \quad (5)$$

here  $\bar{z}$  denotes the conjugate. Equivalently each root  $z_\ell \in \mathbb{D}$  of  $P(z)$  comes with its reciprocal conjugate  $1/\bar{z}_\ell$ ; see [27] pp. 375, [31] pp. 109, [6] and [9].

1. The operator  $(\ )^*$  in (5) is an involution.
2. The polynomial  $P(z)^*$  is Schur if and only if  $P(z)$  is anti–Schur.
- We define that,  $P(z)$  is *balanced* when  $|c_n| = |c_0|$  and it is not self–inverse.

Looking at the eight families in Table 1, the intersection of two of them is empty.

Recall that  $\{|c_n| > |c_0|\}$  is a necessary but no sufficient condition in order to characterize Schur polynomials. Following the fifth column in Table 1, let

$$\Sigma_n = \{P(z) \mid |c_n| = |c_0|\} \subset \mathbb{C}^{n+1} \quad (6)$$

be a real hypersurface having a singularity at the origin.  $\Sigma_n$  determines two open and connected domains

$$\mathcal{D}_{1,n} \doteq \{P(z) \mid |c_n| < |c_0|\}, \quad \mathcal{D}_{2,n} = \{P(z) \mid |c_n| > |c_0|\}. \quad (7)$$

In addition, we define

$$\mathcal{D}_{4,n} = \{P(z) \mid |c_n| = |c_0|, P(z) = \sigma P^*(z) \text{ for } \sigma \in \mathbb{S}^1\}, \quad \mathcal{D}_{3,n} = \Sigma_n \setminus \mathcal{D}_{4,n}, \quad (8)$$

whence

$$\mathbb{C}^{n+1} \setminus \{c_n = 0\} = \mathcal{D}_{1,n} \cup \dots \cup \mathcal{D}_{4,n}.$$

Moreover,  $\mathcal{D}_{\alpha,n}$  will be the domain of the Schur–Cohn map  $R_{\alpha,n}$ , as we will show in the next section.

### 3 The Schur–Cohn maps

We recall the Schur–Cohn maps for polynomial map families of degree  $n$ . In order to avoid double subindexes at the target, which is the space of polynomials of degree at most  $n - 1$ , we use the notation

$$\mathbb{C}^n \doteq \{(b_{n-1}, \dots, b_0)\} = \{b_{n-1}z^{n-1} + \dots + b_1z + b_0\}$$

and convene  $\mathbb{R}^+ \subset \mathbb{C}$  as usual. Moreover, we follow the enumeration of Schur–Cohn maps as in [27] p. 375.

When  $P(z) \in \mathcal{D}_{1,n} \cup \mathcal{D}_{2,n}$ , which is an open and dense set of the polynomials of degree  $n$ , the maps are as follows.

*Schur–Cohn map I*

$$\begin{aligned} R_{1,n} : \mathcal{D}_{1,n} \subset \mathbb{C}^{n+1} &\longrightarrow (\mathbb{C}^{n-1} \times \mathbb{R}^+) \subset \mathbb{C}^n \\ (c_n, \dots, c_0) &\longmapsto (c_{n-1}\bar{c}_0 - \bar{c}_1c_n, c_{n-2}\bar{c}_0 - \bar{c}_2c_n, \dots, c_1\bar{c}_0 - \bar{c}_{n-1}c_n, |c_0|^2 - |c_n|^2) \\ &\doteq (b_{n-1}, \dots, b_0). \end{aligned} \quad (9)$$

*Schur–Cohn map 2*

$$\begin{aligned} R_{2,n} : \mathcal{D}_{2,n} \subset \mathbb{C}^{n+1} &\longrightarrow (\mathbb{R}^+ \times \mathbb{C}^{n-1}) \subset \mathbb{C}^n \\ (c_n, \dots, c_0) &\longmapsto (|c_n|^2 - |c_0|^2, c_{n-1}\bar{c}_n - c_0\bar{c}_1, \dots, c_2\bar{c}_n - c_0\bar{c}_{n-2}, c_1\bar{c}_n - c_0\bar{c}_{n-1}) \\ &\doteq (b_{n-1}, \dots, b_0). \end{aligned} \quad (10)$$

Now consider the nongeneric case  $P(z) \in \Sigma_n = \{|c_0| = |c_n|\}$ . In fact,  $|c_n| = |c_0|$  if and only if  $c_n - \sigma c_0 = 0$  for some  $\sigma \in \mathbb{S}^1 = \{|\sigma| = 1\}$ .

Case i. If  $\sigma\bar{c}_{n-k} - c_k = 0$  for every  $k \in 1, \dots, n$ , then we apply Schur–Cohn rule 4 below, in particular  $|c_0|^2 - |c_n|^2 = 0$  holds.

Case ii. If there exists  $k_0$  such that  $\sigma\bar{c}_{n-k} - c_k \neq 0$ , let  $k_1 = \min\{k_0\}$  be for some  $0 < k_0 < n$ , then we have the following.

*Schur–Cohn map 3.* This map has a very hard expression in terms of  $(c_n, \dots, c_0)$ , for example if  $P(z) = c_2z^2 + c_1z^2 + c_1z + c_0$ , then

$$\begin{aligned}
R_{2,3} : P(z) \mapsto P_1(z) = & \left( \left| \frac{2\bar{c}_0\bar{b}c_1}{|b|} + 4\bar{c}_0c_2 - c_2\bar{c}_0 - 2c_2\bar{c}_1 \frac{\bar{b}}{|b_0|} \right|^2 - |4|c_0|^2 - |c_2|^2 \right)^2 z \\
& + \left( \frac{2|c_0|^2\bar{b}}{|b|} + 4\bar{c}_0c_1 - c_2\bar{c}_1 - \frac{2|c_2|^2\bar{b}}{|b|} \right) \left( \frac{2c_0b\bar{c}_1}{|b|} + 4c_0\bar{c}_2 - \bar{c}_2c_0 - \frac{2\bar{c}_2c_1b}{|b|} \right) \\
& - (4|c_0|^2 - |c_2|^2) \left( \frac{2|c_0|^2b}{|b|} + 4c_0\bar{c}_1 - \bar{c}_2c_1 - \frac{2|c_2|^2b}{|b|} \right)
\end{aligned}$$

where  $b = \frac{c_1\bar{c}_2 - c_0\bar{c}_1}{|c_2|^2} \neq 0$ . Furthermore, we prefer a synthetic expression

$$\begin{aligned}
R_{3,n} : \mathcal{D}_{3,n} \subset \mathbb{C}^{n+1} & \longrightarrow \mathbb{C}^n \\
P(z) & \mapsto P_1(z) \doteq \frac{1}{z} [g_1^*(0)g_1(z) - g_1(0)g_1^*(z)],
\end{aligned} \tag{11}$$

with

$$g(z) \doteq \left( z^k + \frac{2b}{b} \right) P(z), \quad g_1(z) \doteq \bar{g}(0)g(z) - \bar{g}^*(0)g^*(z),$$

here  $b \doteq (c_{n-k} - \sigma\bar{c}_k)/c_n$ .

*Schur–Cohn map 4*

$$\begin{aligned}
R_{4,n} : \mathcal{D}_{4,n} \subset \mathbb{C}^{n+1} & \longrightarrow \mathbb{C}^n \\
(c_n, \dots, c_0) & \mapsto (c_{n-1}, 2c_{n-2}, 3c_{n-3}, \dots, (n-1)c_1, nc_0) \\
& = (b_{n-1}, \dots, b_0).
\end{aligned} \tag{12}$$

The precise statement for the Schur–Cohn algorithm is stated in theorem 1. The original sources are [11] and [29], modern versions can be found in [8] pp. 55, [21] pp. 355, 368, and [27] pp. 375, 395, our redaction follows verbatim this last.

(Schur–Cohn stability algorithm) Let  $P(z)$  be a polynomial of degree  $n$ , having zeros  $p, s, q$  as in table 1, and consider its image under the Schur–Cohn stability algorithm; thus

$$P_1(z) \doteq R_{\alpha,n}(P(z)),$$

where  $\alpha \in \{1, \dots, 4\}$  is determined by  $P(z) \in \mathcal{D}_{\alpha,n}$ , as in equations (7) and (8).

Let  $p_1, s_1, q_1$  be the corresponding zeros of  $P_1(z)$  in  $\mathbb{D}$ ,  $\partial\mathbb{D}$ ,  $\mathbb{C} \setminus \overline{\mathbb{D}}$ .

1. If  $|c_0| > |c_n|$ , then  $P_1(z) \doteq \bar{c}_0P(z) - c_nP^*(z)$  is not identically zero and we have  $\deg P_1 < \deg P$ . In this case

$$p_1 = p, s_1 = s \text{ and } 0 \leq q_1 < q.$$

2. If  $|c_0| < |c_n|$ , then  $P_1(z) \doteq (\bar{c}_nP(z) - c_0P^*(z))/z$  is of degree  $n-1$ . In this case

$$p_1 = p-1, s_1 = s \text{ and } q_1 = q.$$

3. If there is an index  $k \leq n/2$  such that

$$c_0 = \sigma\bar{c}_n, \quad c_1 = \sigma\bar{c}_{n-1}, \dots, c_{k-1} = \sigma\bar{c}_{n-k+1}, \quad c_k \neq \sigma\bar{c}_{n-k} \quad (|\sigma| = 1),$$

then define  $b \doteq (c_{n-k} - \sigma\bar{c}_k)/c_n$ ,

$$g(z) \doteq \left( z^k + \frac{2b}{b} \right) P(z), \quad g_1(z) \doteq \bar{g}(0)g(z) - \bar{g}^*(0)g^*(z)$$

and

$$P_1(z) \doteq \frac{1}{z} [g_1^*(0)g_1(z) - g_1(0)g_1^*(z)],$$

which yields that  $P_1$  is of degree  $n-1$ . In detail,

$$p_1 = p-1, s_1 = s, \text{ and } q_1 = q.$$

4. If  $P$  is self-inversive, then  $P_1(z) \doteq P(z) - zP'(z)$  is not identically zero, and we have  $\deg P_1 < \deg P$  and

$$p_1 = p, s = n-2p, s_1 + q_1 < s + q.$$

□

A qualitative root description of the Schur–Cohn maps is as follows.

· If  $P(z) \in \mathcal{D}_{1,n}$  has  $p, s, q$  roots in  $\mathbb{D}, \partial\mathbb{D}, \mathbb{C} \setminus \overline{\mathbb{D}}$ , then the map  $R_{1,n}$  removes  $\ell \geq 1$  from the original  $q$  roots and relocates  $p$  roots in  $\mathbb{D}$ ,  $s$  roots in  $\partial\mathbb{D}$ ,  $q - \ell$  roots in  $\mathbb{C} \setminus \overline{\mathbb{D}}$ .

· If  $P(z) \in \mathcal{D}_{2,n}$  has  $p, s, q$  roots, then the map  $R_{2,n}$  removes one of the original  $p$  roots and relocates  $p-1$  roots in  $\mathbb{D}$ ,  $s$  roots in  $\partial\mathbb{D}$ ,  $q$  roots in  $\mathbb{C} \setminus \overline{\mathbb{D}}$ .

- If  $P(z) \in \mathcal{D}_{3,n}$  has  $p, s, q$  roots, then  $R_{3,n}$  removes one of the  $q$  roots and relocates  $p$  roots in  $\mathbb{D}$ ,  $s$  roots in  $\partial\mathbb{D}$ ,  $q-1$  roots in  $\mathbb{C} \setminus \overline{\mathbb{D}}$ .
  - $R_{4,n}$  acts on the roots as in the fourth assertion, and it is the restriction of a linear submersion.
1.  $R_{\alpha,n}$  are real analytic maps in coefficient coordinates  $\{(c_1, \dots, c_0)\}$ , for  $\alpha = 1, \dots, 4$ .
  2. For  $n \geq 2$ , the Schur polynomials  $\mathcal{S}_n \subset \mathcal{D}_{2,n} = \{|c_n| > |c_0|\}$  determine an open but not dense subset in this component. Similar properties are fulfilled by the anti-Schur  $a\mathcal{S}_n$ , polynomials in  $\mathcal{D}_{2,n} = \{|c_n| > |c_0|\}$ . The set  $us\mathcal{S}$  is open and has two connected components coming from  $\{|c_n| > |c_0|\}$  and  $\{|c_n| < |c_0|\}$ .
  3. The topological boundary  $\partial\mathcal{S}_n$  of  $\mathcal{S}_n$  has the following property; the intersection  $\partial\mathcal{S}_n \cap \{c_n = 0\}$  is only the polynomial  $P(z) \equiv 0$ . In the whole  $\mathbb{C}^{n+1}$  we have
- $$\partial\mathcal{S}_n = s\mathcal{S}_n \cup \{\text{polynomials with all roots in the unitary circle}\}.$$

## 4 Geometry of Schur–Cohn maps in coefficient coordinates

Our first goal is the study of  $R_{\alpha,n}$ ,  $\alpha \in 1, 2$ , on the domain where they are nonsingular maps. This domain of regular points corresponds to  $\mathbb{C}^{n+1} \setminus \Sigma_n$ , where we get associated geometric structures.

Let us consider  $\mathbb{C}_s = \{s\}$ ,  $\mathbb{C}_w^* = \{w\}$  and  $\mathbb{S}^1 = \{e^{i\theta}\}$  the Lie groups additive, multiplicative and unitary (sometimes we will use simply  $\mathbb{C}$ ,  $\mathbb{C}^*$ ). In [2] and [3], Aguirre–Hernández *et al.* introduce a vector bundle structure on the space of monic Schur polynomials of  $n$  degree. This geometric structure is enlarged on  $\mathbb{C}^{n+1} \setminus \Sigma_n$  as follows.

1. The map

$$R_{1,n} : \mathcal{D}_{1,n} \subset \mathbb{C}^{n+1} \longrightarrow \mathbb{C}^{n-1} \times \mathbb{R}^+ \quad (13)$$

is a submersion and determines a trivial principal  $(\mathbb{C}^* \times \mathbb{S}^1)$ -bundle.

2. The map

$$R_{2,n} : \mathcal{D}_{2,n} \subset \mathbb{C}^{n+1} \longrightarrow \mathbb{R}^+ \times \mathbb{C}^{n-1} \quad (14)$$

is a submersion and determines a trivial principal  $(\mathbb{C} \times \mathbb{S}^1)$ -bundle.

These submersions and bundle structures are in the real analytic category.

We note that the submersion  $R_{2,n}$  in (14) is well-defined in the whole component  $\mathcal{D}_{2,n}$ , even if Schur polynomials  $\mathcal{S}_n$  are a strict, proper subset for  $n \geq 3$ , similarly for anti-Schur polynomials in (13).

*Step 1.  $R_{2,n}$  is a submersion in the domain  $\mathcal{D}_{2,n}$ .* By simple inspection, using (10) we note that

$$R_{2,n}(\mathcal{D}_{2,n}) = \mathbb{R}^+ \times \mathbb{C}^{n-1} \not\subseteq \mathcal{D}_{2,n-1}.$$

For an example of  $P(z) \in \mathcal{D}_{2,2} \setminus \mathcal{S}_2$ , see example 8. We introduce the following map

$$\begin{aligned} \Xi_{2,n} : \mathbb{C} \times \mathbb{S}^1 \times \mathbb{R}^+ \times \mathbb{C}^{n-1} &\longrightarrow \mathcal{D}_{2,n} \\ (s, e^{i\theta}, b_{n-1}, b_{n-2}, \dots, b_0) &\longmapsto (c_n, \dots, c_0) \\ &\doteq \left( \sqrt{b_{n-1} + |s|^2} e^{i\theta}, \frac{\sqrt{b_{n-1} + |s|^2} e^{i\theta} b_{n-2}}{b_{n-1}} + \frac{\bar{b}_0 s}{b_{n-1}}, \dots, \frac{\sqrt{b_{n-1} + |s|^2} e^{i\theta} b_0}{b_{n-1}} + \frac{\bar{b}_{n-2} s}{b_{n-1}}, s \right) \\ &= \frac{e^{i\theta}}{b_{n-1}} \sqrt{b_{n-1} + |s|^2} (b_{n-1}, b_{n-2}, \dots, b_0, 0) + \frac{s}{b_{n-1}} (0, \bar{b}_0, \dots, \bar{b}_{n-2}, \bar{b}_{n-1}) \end{aligned} \quad (15)$$

by assumption  $b_{n-1} \in \mathbb{R}^+$ . Hence the condition  $|c_n| > |c_0|$  matches (the last line will be very illustrative).

Thus,  $\Xi_{2,n}$  is a real analytic map, having inverse

$$\begin{aligned} \Xi_{2,n}^{-1} : \mathcal{D}_{2,n} &\longrightarrow \mathbb{C} \times \mathbb{S}^1 \times \mathbb{R}^+ \times \mathbb{C}^{n-1} \\ (c_n, \dots, c_0) &\longmapsto (c_0, e^{i\theta_n}, b_{n-1}, \dots, b_1, b_0) \\ &\doteq (c_0, e^{i\theta_n}, |c_n|^2 - |c_0|^2, c_{n-1} \bar{c}_n - c_0 \bar{c}_1, \dots, c_2 \bar{c}_n - c_0 \bar{c}_{n-2}, c_1 \bar{c}_n - c_0 \bar{c}_{n-1}), \end{aligned} \quad (16)$$

here we use the retraction

$$\rho : \mathbb{C}^* \longrightarrow \mathbb{S}^1 \cong \frac{i\mathbb{R}}{2\pi\mathbb{Z}}, \quad c_n \longmapsto \frac{c_n}{|c_n|} \doteq e^{i\theta_n}. \quad (17)$$

Observe that  $\Xi_{2,n}^{-1}$  restricted to the last  $n$  coordinates is  $R_{2,n}$ . In fact,

$$\Xi_{2,n}^{-1} \circ \Xi_{2,n} = Id, \quad \Xi_{2,n} \circ \Xi_{2,n}^{-1} = Id. \quad (18)$$

We compute the first composition as follows;

$$\begin{aligned}
& \Xi_{2,n}^{-1} \circ \Xi_{2,n}(s, e^{i\theta}, b_{n-1}, b_{n-2}, \dots, b_1, b_0) \\
&= \Xi_{2,n}^{-1} \left( \sqrt{b_{n-1} + |s|^2} e^{i\theta}, \frac{\sqrt{b_{n-1} + |s|^2} e^{i\theta} b_{n-2}}{b_{n-1}} + \frac{\bar{b}_0}{b_{n-1}} s, \dots, \frac{\sqrt{b_{n-1} + |s|^2} e^{i\theta} b_0}{b_{n-1}} + \frac{\bar{b}_{n-2}}{b_{n-1}} s, s \right) \\
&= \left( s, e^{i\theta}, b_{n-1}, \left[ \frac{\sqrt{b_{n-1} + |s|^2} e^{i\theta} b_{n-2}}{b_{n-1}} + \frac{\bar{b}_0}{b_{n-1}} s \right] \sqrt{b_{n-1} + |s|^2} e^{-i\theta} - s \left[ \frac{\sqrt{b_{n-1} + |s|^2} e^{-i\theta} \bar{b}_0}{b_{n-1}} + \frac{b_{n-2}}{b_{n-1}} \bar{s} \right], \right. \\
&\quad \left. \dots, \left[ \frac{\sqrt{b_{n-1} + |s|^2} e^{i\theta} b_0}{b_{n-1}} + \frac{\bar{b}_{n-2}}{b_{n-1}} s \right] \sqrt{b_{n-1} + |s|^2} e^{-i\theta} - s \left[ \frac{\sqrt{b_{n-1} + |s|^2} e^{-i\theta} \bar{b}_{n-2}}{b_{n-1}} + \frac{b_0}{b_{n-1}} \bar{s} \right] \right) \\
&= \left( s, e^{i\theta}, b_{n-1}, \frac{b_{n-2}}{b_{n-1}} (b_{n-1} |s|^2) \frac{b_{n-2}}{b_{n-1}} |s|^2, \dots, \frac{b_0}{b_{n-1}} (b_{n-1} + |s|^2) - \frac{b_0}{b_{n-1}} |s|^2 \right) \\
&= (s, e^{i\theta}, b_{n-1}, b_{n-2}, \dots, b_1, b_0).
\end{aligned}$$

Looking at the other composition,

$$\begin{aligned}
& \Xi_{2,n} \circ \Xi_{2,n}^{-1}(c_n, c_{n-1}, \dots, c_0) \\
&= \Xi_n(s, e^{i\theta_n}, |c_n|^2 - |c_0|^2, c_{n-1} \bar{c}_n - c_0 \bar{c}_1, c_{n-2} \bar{c}_n - c_0 \bar{c}_2, \dots, c_1 \bar{c}_n - c_0 \bar{c}_{n-1}) \\
&= \left( \sqrt{|c_n|^2 - |c_0|^2 + |s|^2} e^{i\theta_n}, \frac{\sqrt{|c_n|^2 - |c_0|^2 + |s|^2} e^{i\theta_n} (c_{n-1} \bar{c}_n - c_0 \bar{c}_1)}{|c_n|^2 - |c_0|^2} + \frac{\bar{c}_1 \bar{c}_n - c_0 \bar{c}_{n-1}}{|c_n|^2 - |c_0|^2} s, \right. \\
&\quad \left. \frac{\sqrt{|c_n|^2 - |c_0|^2 + |s|^2} e^{i\theta_n} (c_{n-2} \bar{c}_n - c_0 \bar{c}_2)}{|c_n|^2 - |c_0|^2} + \frac{\bar{c}_2 \bar{c}_n - c_0 \bar{c}_{n-2}}{|c_n|^2 - |c_0|^2} s, \dots, s \right) \\
&= \left( |c_n| e^{i\theta}, \frac{|c_n| e^{i\theta_n} (c_{n-1} \bar{c}_n - c_0 \bar{c}_1)}{|c_n|^2 - |c_0|^2} + \frac{(\bar{c}_1 c_n - \bar{c}_0 c_{n-1}) c_0}{|c_n|^2 - |c_0|^2}, \dots, s \right) \\
&= \left( c_n, \frac{c_{n-1} |c_n|^2 - |c_0|^2 c_{n-1}}{|c_n|^2 - |c_0|^2}, \frac{c_{n-2} |c_n|^2 - |c_0|^2 c_{n-2}}{|c_n|^2 - |c_0|^2}, \dots, s \right) \\
&= (c_n, c_{n-1}, \dots, c_0).
\end{aligned}$$

*Step 2.* All the fibers  $\{R_{2,n}^{-1}(b_{n-1}, \dots, b_0)\}$  of (10) are diffeomorphic to the Lie group  $\mathbb{C} \times \mathbb{S}^1$  provided with a natural action. Let  $P(z) \in \mathcal{D}_{2,n}$  be a polynomial, we recall that  $P_1(z) = R_{2,n}(P(z))$  and  $b_{n-1} = |c_n|^2 - |c_0|^2$ . Using (17), (18) and (5), we get the following decomposition (by abusing notation);

$$\begin{aligned}
P(z) &= c_n z^n + \dots + c_1 z + c_0 \leftrightarrow (c_n, \dots, c_0) \\
&= \frac{e^{i\theta_n}}{b_{n-1}} \sqrt{b_{n-1} + |c_0|^2} \left( |c_n|^2 - |c_0|^2, c_{n-1} \bar{c}_n - c_0 \bar{c}_1, \dots, c_1 \bar{c}_n - c_0 \bar{c}_{n-1}, 0 \right) + \\
&\quad \frac{c_0}{b_{n-1}} \left( 0, \bar{c}_1 c_n - \bar{c}_0 c_{n-1}, \dots, \bar{c}_{n-1} c_n - \bar{c}_0, c_1, |c_n|^2 - |c_0|^2 \right) \\
&\leftrightarrow \frac{e^{i\theta_n}}{b_{n-1}} \sqrt{b_{n-1} + |c_0|^2} z P_1(z) + \frac{c_0}{b_{n-1}} P_1^*(z).
\end{aligned}$$

The fiber of  $P_1(z) = b_{n-1} z^{n-1} + \dots + b_0 \in \mathbb{R}^+ \times \mathbb{C}^{n-1}$  is

$$R_{2,n}^{-1}(b_{n-1}, \dots, b_0) = \left\{ P(z) = \frac{e^{i\theta_n}}{b_{n-1}} \sqrt{b_{n-1} + |c_0|^2} z P_1(z) + \frac{s}{b_{n-1}} P_1^*(z) \mid s \in \mathbb{C}_s, e^{i\theta_n} \in \mathbb{S}^1 \right\}. \quad (19)$$

Moreover, new coordinates on  $\mathcal{D}_{2,n}$  are as follows;

$$\mathcal{D}_{2,n} = \left\{ P(z) = \frac{e^{i\theta_n}}{b_{n-1}} \sqrt{b_{n-1} + |c_0|^2} z P_1(z) + \frac{c_0}{b_{n-1}} P_1^*(z) \mid c_0 \in \mathbb{C}, \theta_n \in \mathbb{S}^1, P_1(z) \in \mathbb{R}^+ \times \mathbb{C}^{n-1} \right\}. \quad (20)$$

Consider  $s = c_0$  and by choosing fixed  $\theta_n = 0$ , we have

$$\mathcal{Z} = \left\{ P(s, z) = \frac{1}{b_{n-1}} \sqrt{b_{n-1} + |s|^2} z P_1(z) + \frac{s}{b_{n-1}} P_1^*(z) = 0 \mid s \in \mathbb{C} \right\} \subset \mathbb{D}. \quad (21)$$

On these coordinates, the Lie group action admits a plain expression;

$$\begin{aligned} \mathcal{A}_{2,n} : \mathbb{C} \times \mathbb{S}^1 \times \mathcal{D}_{2,n} &\longrightarrow \mathcal{D}_{2,n} \\ \left( s, e^{i\theta}, \frac{e^{i\theta_n}}{b_{n-1}} \sqrt{b_{n-1} + |c_0|^2} z P_1(z) + \frac{c_0}{b_{n-1}} P_1^*(z) \right) &\longmapsto \frac{e^{i(\theta_n+\theta)}}{b_{n-1}} \sqrt{b_{n-1} + |c_0 + sc_n|^2} z P_1(z) + \frac{c_0 + sc_n}{b_{n-1}} P_1^*(z). \end{aligned} \quad (22)$$

Recalling that  $P_1(z) = R_{2,n}(P(z))$ , the associative rule is as follows (for any  $e^{i\theta_1}, e^{i\theta_2} \in \mathbb{S}^1$ );

$$\begin{aligned} \left( s_1 + s_2, e^{i\theta_1+i\theta_2}, \frac{e^{i\theta_n}}{b_{n-1}} \sqrt{b_{n-1} + |c_0|^2} z P_1(z) + \frac{c_0}{b_{n-1}} P_1^*(z) \right) &\longmapsto \\ \frac{e^{i(\theta_n+\theta_1+\theta_2)}}{b_{n-1}} \sqrt{b_{n-1} + |c_0 + (s_1 + s_2)c_n|^2} z P_1(z) + \frac{c_0 + (s_1 + s_2)c_n}{b_{n-1}} P_1^*(z) \\ = \left( s_2, e^{i\theta_2}, \frac{e^{i(\theta_n+\theta_1)}}{b_{n-1}} \sqrt{b_{n-1} + |c_0 + s_1 c_n|^2} z P_1(z) + \frac{c_0 + s_1 c_n}{b_{n-1}} P_1^*(z) \right). \end{aligned}$$

There exists a global section

$$\Xi_{2,n} : \mathbb{R}^+ \times \mathbb{C}^{n-1} \longrightarrow \mathcal{D}_{2,n}, \quad \Xi_{2,n}(0, 1, b_{n-1}, \dots, b_0) = \left( \sqrt{b_{n-1}}, \frac{b_{n-2}}{\sqrt{b_{n-1}}}, \dots, \frac{b_0}{\sqrt{b_{n-1}}}, 0 \right),$$

by abusing notation, this section and the map  $\Xi_{2,n}(0, 1, \dots, b_0)$  coincide. Hence, the principal fiber bundle in (14) is trivial.

The action in coefficient coordinates is as follows;

$$\begin{aligned} (s, e^{i\theta}, c_n, c_{n-1}, \dots, c_0) = & \left( \sqrt{|c_n|^2 - |c_0|^2 + |(c_0 + sc_n)|^2} \frac{c_n}{|c_n|} e^{i\theta}, \right. \\ & \frac{c_n}{|c_n|} \frac{\sqrt{|c_n|^2 - |c_0|^2 + |(c_0 + c_n s)|^2} e^{i\theta} (c_{n-1} \bar{c}_n - c_0 \bar{c}_1)}{|c_n|^2 - |c_0|^2} + \frac{c_1 \bar{c}_n - c_0 \bar{c}_{n-1}}{|c_n|^2 - |c_0|^2} (c_0 + sc_n), \\ & \frac{c_n}{|c_n|} \frac{\sqrt{|c_n|^2 - |c_0|^2 + |(c_0 + sc_n)|^2} e^{i\theta} (c_{n-2} \bar{c}_n - c_0 \bar{c}_2)}{|c_n|^2 - |c_0|^2} + \frac{c_2 \bar{c}_n - c_0 \bar{c}_{n-2}}{|c_n|^2 - |c_0|^2} (c_0 + sc_n), \\ & \dots, \frac{c_n}{|c_n|} \frac{\sqrt{|c_n|^2 - |c_0|^2 + |(c_0 + sc_n)|^2} e^{i\theta} (c_1 \bar{c}_n - c_0 \bar{c}_{n-1})}{|c_n|^2 - |c_0|^2} + \frac{c_{n-1} \bar{c}_n - c_0 \bar{c}_1}{|c_n|^2 - |c_0|^2} (c_0 + sc_n), \\ & \left. (c_0 + sc_n) \right). \end{aligned}$$

*Step 3.* The description of  $R_{1,n}$  follows the analogous steps. In order to show that  $R_{1,n}$  is a submersion on the domain  $\mathbb{C}^{n+1} \setminus \Sigma_n$ , we introduce the following map:

$$\begin{aligned} \Xi_{1,n} : \mathbb{C}^* \times \mathbb{S}^1 \times \mathbb{R}^+ \times \mathbb{C}^{n-1} &\longrightarrow \mathcal{D}_{1,n} \\ (w, e^{i\theta}, b_{n-1}, \dots, b_0) &\longmapsto (c_n, \dots, c_0). \end{aligned} \quad (23)$$

The formula in the right side is

$$\doteq \left( w, \frac{w \bar{b}_1}{b_0} + \frac{\sqrt{b_0 + |w|^2} e^{i\theta} \bar{b}_{n-1}}{b_0}, \dots, \frac{w \bar{b}_{n-\ell}}{b_0} + \frac{\sqrt{b_0 + |w|^2} e^{i\theta} \bar{b}_\ell}{b_0}, \dots, \sqrt{b_0 + |w|^2} e^{i\theta} \right),$$

here  $c_n \doteq s$ . By assumption  $(b_0 + |w|^2) \in \mathbb{R}^+$ , and  $\Xi_{1,n}$  is a real analytic map.

Recall that if  $P_1(z) = R_{1,n}(P(z))$ . As a consequence of (18), we have that  $\mathcal{D}_{1,n}$  is parametrized as follows:

$$\mathcal{D}_{1,n} = \left\{ P(z) = \frac{c_n}{b_0} z P_1^*(z) + \frac{e^{i\theta_0} \sqrt{b_0 + |c_n|^2}}{b_0} P_1(z) \mid c_n \in \mathbb{C}^*, \theta_0 \in \mathbb{S}^1 \right\}. \quad (24)$$

We consider  $w = c_n$  and  $\theta_0 = 0$ , whence

$$\mathcal{X} = \left\{ P(w, z) = \frac{w}{b_0} z P_1^*(z) + \frac{\sqrt{b_0 + |w|^2}}{b_0} P_1(z) = 0 \mid w \in \mathbb{C}^* \right\} \subset \mathbb{C} \setminus \overline{\mathbb{D}}, \quad (25)$$

note that  $\rho(c_0) = e^{i\theta_0}$  in according to (17). The action is

$$\begin{aligned} \mathcal{A}_{1,n} : \mathbb{C}^* \times \mathbb{S}^1 &= \mathcal{D}_{1,n} &\longrightarrow & \mathcal{D}_{1,n} \\ \left( w, \theta, \frac{c_n}{b_0} z P_1^*(z) + \frac{e^{i\theta_0} \sqrt{b_0 + |c_n|^2}}{b_0} P_1(z) \right) &\longmapsto & \frac{c_n w}{b_0} z P_1^*(z) + \frac{e^{i(\theta_0 + \theta)} \sqrt{b_0 + |c_n w|^2}}{b_0} P_1(z). \end{aligned} \quad (26)$$

The computation follows as above, and the trivial principal  $(\mathbb{C}^* \times \mathbb{S}^1)$ -bundle in (13) is done.  $\square$

## 5 $n$ -root configuration dynamics: a prototype

Our goal in this section is to explore Lie group actions on the space of complex polynomials of  $n$  degree, regarding the respective  $n$ -root configuration dynamics. We consider two ingredients in order to construct our main definition 5.1.

### 5.1 Lie group actions on the space of polynomials $\mathbb{C}[z]_{\leq n}$ and $n$ -root configurations

Let  $G$  be a real (resp. complex) Lie group, we are mainly interested when  $G$  is  $(\mathbb{S}^1 = \{e^{i\theta}\}, \cdot)$ ,  $(\mathbb{C}_s, +)$  and  $(\mathbb{C}_w^*, \cdot)$ . Let  $\mathcal{A}$  be a real (resp. complex) analytic action of  $G$  on the complex manifold of polynomials of degree at most  $n$ ,

$$\begin{aligned} \mathcal{A} : G \times \mathbb{C}[z]_{\leq n} &\longrightarrow \mathbb{C}[z]_{\leq n} \\ (g, P) &\longmapsto g \cdot P(z) \doteq P(s, z). \end{aligned} \quad (27)$$

The associated principal  $G$ -bundle is

$$\begin{array}{ccc} G & \xrightarrow{i} & \mathcal{D}^0 \subseteq \mathbb{C}[z]_{\leq n} \\ & & \downarrow \Pi \\ & & \mathcal{D}^0/G, \end{array}$$

here  $\mathcal{D}^0$  is the open manifold where  $\mathcal{A}$  is proper and free from fixed points, see [13] theorem 1.11.4, chapter 1. Now we look at the fibers of the  $G$ -bundle. The Weierstrass preparation theorem [18] pp. 8 and the work of V. L. Hansen [19], [20] give origin to the following concept.

A Weierstrass polynomial of degree  $n \geq 1$  over a Lie group  $G$  is a map

$$P(g, z) = c_n(g)z^n + \dots + c_0(g) : G \times \mathbb{C} \longrightarrow \mathbb{C}, \quad (28)$$

where  $c_i(g) : G \rightarrow \mathbb{C}$  are real analytic functions,  $i \in \{0, \dots, n\}$ , and  $c_n(g)$  is nonidentically zero. Furthermore, if  $G$  is a complex Lie group, then we require  $c_i(g)$  to be complex analytic.

a) Let

$$\{P(\theta, z) = z^3 - e^{i\theta} \mid \theta \in \mathbb{S}^1\}$$

be a Weierstrass polynomial of degree 3 over a Lie group  $\mathbb{S}^1$ . If  $\theta$  varies over the whole circle, the 3-root configurations of  $\{P(\theta, z) = 0\}$  describe three arcs of angle  $2\pi/3$  in  $\mathbb{C}_z$ . See figure 5.1.a and [28] for a general study of these kinds of knots and braids in  $\mathbb{C}^2$ .

b) Consider  $P(z)$  a complex polynomial of degree  $n \geq 2$ , and let

$$\{P(s, z) = P(z) - s \mid s \in \mathbb{C}\} \quad (29)$$

be a Weierstrass polynomial of degree  $n \geq 1$  over the additive Lie group  $\mathbb{C}$ . If  $s$  varies in a neighborhood of regular values of  $P(z)$ , then the  $n$ -root configurations of  $\{P(z) - s = 0\}$  do not change topologically. If  $s$  varies in a neighborhood of a critical value  $s_0$  of  $P(z)$ , then the  $n$ -root configuration of  $\{P(z) - s = 0\}$  changes topologically at  $s_0$ . See figure 5.1.b. We will describe both situations accurately in lemma 5.2.

In this work, we convene that each orbit of an action  $\mathcal{A}$  determines a Weierstrass polynomial over  $G$ ,

$$P(g, z) = \{g \cdot P(z) \mid g \in G\} \longrightarrow \{G \times \mathbb{C} \longrightarrow \mathbb{C}, (g, s) \mapsto P(g, z) = c_n(g)z^n + \dots + c_0(g)\}, \quad (30)$$

in the sense of (28). Clearly the converse is not true; a Weierstrass polynomial over  $G$  as in (28) does not always come from the orbit of a suitable  $G$ -action.

Let  $\{P(g, z)\}$  be a Weierstrass polynomial. For  $c_n(g_0) \neq 0$ , the  $n$ -root configuration is

$$\{P(g_0, z) = 0\} = [z_1(g_0), \dots, z_n(g_0)]$$

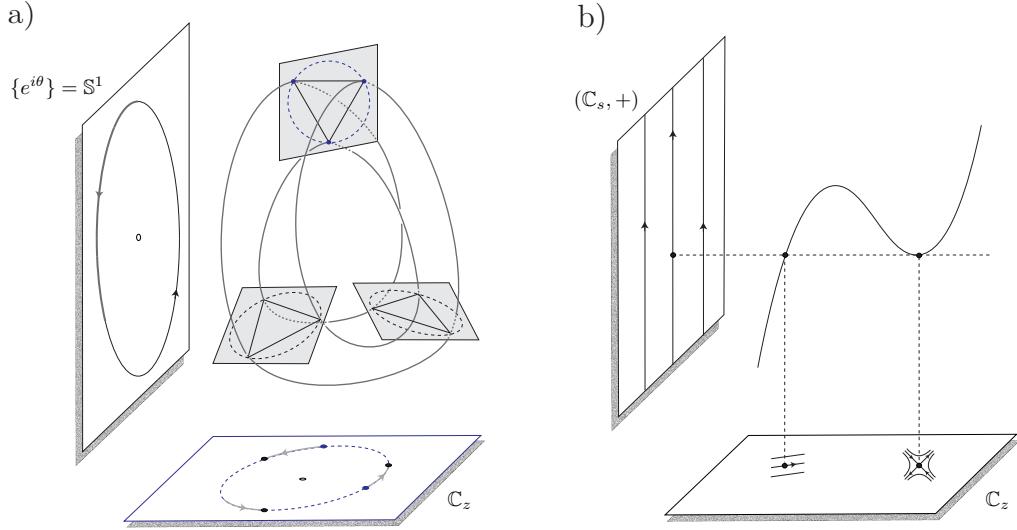


Figure 1: Two families of polynomials. a) A family over the circle  $S^1$ . b) A family over the plane  $(C_s, +)$ . The phase portraits sketched in  $C_z$  describe the  $n$ -root configuration dynamics, respectively.

or simply  $[z_1, \dots, z_n]$ . The associated *zero locus* is

$$\mathcal{Z} = \{P(g, z) = 0\} \subset G \times \mathbb{C}.$$

As in [19], [20], the induced  $n$ -fold branched polynomial covering map  $\Pi$  is

$$\begin{array}{ccccc} \mathcal{Z} & \xrightarrow{I} & G \times \mathbb{C} & \xrightarrow{\text{proj}_2} & \mathbb{C}. \\ & \searrow & \swarrow & & \\ & \Pi & & \text{proj}_1 & \\ & & G & & \end{array} \quad (31)$$

Let  $P(z)$  be a polynomial of degree  $n \geq 1$ . For  $s \in \mathbb{C}$ , the zero locus  $\mathcal{Z} = \{P(z) - s = 0\}$  is the graph of  $P(z)$ .

The second ingredient is the dynamics of vector fields. Let  $\mathbb{X}(z) = f(z) \frac{\partial}{\partial z}$  be a *singular complex analytic vector field* on  $\mathbb{C}_z$  or  $\widehat{\mathbb{C}}$ ; the word singular means that  $f(z)$  can admit as singularities zeros, poles  $\mathcal{P}$  and isolated essential singularities  $\mathcal{E}$ ; see [5]. If  $\mathbb{X}$  is holomorphic at  $z$ , then the vector field  $\mathbb{X}$  determines a (local) holomorphic flow;

$$\varphi : \mathbb{D}^2((s_0, z_0), \varepsilon) \subset (\mathbb{C}_s \times \mathbb{C}_z \setminus (\mathcal{P} \cup \mathcal{E})) \longrightarrow \mathbb{C}_z, \quad (s, z) \longmapsto \varphi(s, z), \quad (32)$$

here  $s$  is the complex time,  $\mathbb{D}^2((s_0, z_0), \varepsilon)$  is an open bidisk having center at  $(s_0, z_0)$  and radius  $\varepsilon > 0$ .

Given a continuous time path  $\gamma(\tau) : [0, 1] \rightarrow \mathbb{C}_s$  with  $\varphi(\gamma(0), z_0) = z_0 \in \mathbb{C}_z \setminus \{\mathcal{P} \cup \mathcal{E}\}$ , we consider the analytic continuation of the flow  $\varphi(\gamma(\tau), z_0)$  starting at the initial condition  $z_0$ . Depending on the path and the initial condition, the analytic continuation of  $\varphi$  can be well-defined or not.

By abusing notation,  $\varphi(s, z)$  will denote the flow<sup>2</sup> of  $\mathbb{X}$  over the maximal domain, using the analytic continuation process.

The coupling between the two ingredients, an action  $\mathcal{A}$  (their orbits as Weierstrass polynomials  $P(s, z)$ ) and the local flow  $\varphi(s, \cdot)$  from a vector field  $\mathbb{X}$ , is given by the Viète map as follows.

Let  $\mathcal{A} : G \times \mathbb{C}[z]_{=n} \longrightarrow \mathbb{C}[z]_{=n}$  be a  $\mathbb{C}_s$  or  $\mathbb{C}_w^*$ -action and a Weierstrass polynomial and their roots  $\{P(id, z) = 0\} \doteq [z_1, \dots, z_n]$ , coming from  $\mathcal{A}$ . A complex analytic vector field  $\mathbb{X}$  on  $\mathbb{C}_z$  describes the  $n$ -root configuration dynamics of  $\{P(g, z) = 0\}$  if

$$P(g, z) = c_n(g)(z - \varphi(g, z_1)) \cdots (z - \varphi(g, z_n)),$$

whenever the analytic continuation of the local flow  $\varphi(g, \cdot)$  of  $\mathbb{X}$  is well-defined, for a suitable function  $c_n(g)$ .

<sup>2</sup>As usual, an *action* of a Lie group  $G$  on a manifold,  $G \times M \longrightarrow M$ , is well-defined for all the pairs  $(g, p)$ , whereas a *local action* is defined only for a certain open subset of pairs  $\{(g, p)\}$ ; the analogous notation is suitable for real and complex flows as  $\mathbb{R}$  and  $\mathbb{C}$  actions.

In the case of monic polynomials, definition 5.1 is equivalent to the fact that the diagram

$$\begin{array}{ccc}
[z_1, \dots, z_n] & \xrightarrow{\mathcal{V}_n} & P(id, z) = c_n(id)z^n + \dots + c_0(id) \\
\varphi(g, \ ) \downarrow & & \downarrow \mathcal{A}(g, \ ) \\
[\varphi(g, z_1), \dots, \varphi(g, z_n)] & \xleftarrow{\mathcal{V}_n^{-1}} & P(g, z) = c_n(g)z^n + \dots + c_0(g)
\end{array} \tag{33}$$

commutes. Here  $\mathcal{V}_n$  is the Viète map and  $\{P(id, z) = 0\} = [z_1, \dots, z_n]$  is considered as initial condition for  $\varphi(g, \ )$ . The diagram (3) is a particular case.

## 5.2 The prototype

Reviewing example 5.1.2 and definition 5.1, we want to show that each  $n$ -point configuration  $[z_1, \dots, z_n] \subset \mathbb{C}$  determines the  $n$ -root configuration dynamics of a suitable Weierstrass polynomial  $\{P(g, z) = 0\}$ .

(The prototype) Let

$$\begin{aligned}
\mathcal{A} : \mathbb{C}_s \times \mathbb{C}[z]_{=n} &\longrightarrow \mathbb{C}[z]_{=n} \\
(s, c_n z^n + \dots + c_1 z + c_0) &\longmapsto c_n z^n + \dots + c_1 z + (c_0 + s)
\end{aligned} \tag{34}$$

be a holomorphic action. For each orbit

$$\{P(s, z) = c_n \prod_{l=1}^n (z - z_l) - s \mid s \in \mathbb{C}\},$$

the rational vector field

$$\mathbb{X}(z) = \frac{1}{P(z)} \frac{\partial}{\partial z} \quad \text{on } \mathbb{C}_z$$

describes the  $n$ -root configuration dynamics of  $\{P(s, z) = 0\} = [z_1, \dots, z_n]$ .

Let  $P(z) = c_n \prod_{l=1}^n (z - z_l) : \mathbb{C}_z \longrightarrow \mathbb{C}_s$  be a polynomial determined by a configuration of simple roots  $[z_1, \dots, z_n]$  and  $c_n \in \mathbb{C}^*$ , by construction 0 is a regular value of  $P(z)$ .

Let  $\{P(s, z) = P(z) + s = 0\}$  be the  $n$ -root configurations of the orbit of  $P(z)$  under  $\mathcal{A}$ .

We associate with  $P(z)$  a polynomial 1-form and a complex rational vector field, as follows;

$$P(z) \longleftrightarrow \omega(z) = P'(z)dz \longleftrightarrow \mathbb{X}(z) = \frac{1}{P'(z)} \frac{\partial}{\partial z}.$$

In addition,  $\omega$  and  $\mathbb{X}$  enjoy the two (equivalent) properties

$$\omega(\mathbb{X}) \equiv 1 \quad \text{and} \quad P_*(\mathbb{X}) = \frac{\partial}{\partial s},$$

here  $P_*$  denotes the pushforward and  $s$  is the time of the associated ordinary differential equation  $\frac{dz}{ds} = (P'(z))^{-1}$ .

Consider  $n$  open disks  $\mathbb{D}(z_l, \varepsilon) \subset \mathbb{C}_z$  with center at  $z_l$  and a small enough radius  $\varepsilon > 0$ ,  $l \in \{1, \dots, n\}$  such that

$$P(z) : \mathbb{D}(z_l, \varepsilon) \subset \mathbb{C}_z \longrightarrow \mathbb{C}_s$$

are local biholomorphisms. The local flows  $\{\varphi(s, z_l) \mid l \in \{1, \dots, n\}\}$  of  $\mathbb{X}$ , for complex time  $\{s \mid |s| < \varepsilon\}$ , are well-defined. Using  $\omega(\mathbb{X}) \equiv 1$ , follows that

$$P(\varphi(s, z_l)) = s \quad \text{for all } l \in \{1, \dots, n\}.$$

The diagram (33) commutes whenever the analytic continuation of  $\varphi(s, \ )$  is well-defined.  $\square$

Let us recall a principal fiber bundle interpretation of the prototype. Given the Lie group action  $\mathcal{A}$  in (34), the associated holomorphic trivial principal  $\mathbb{C}$ -bundle is

$$\begin{array}{ccc}
\mathbb{C}_s & \xrightarrow{I} & \mathbb{C}[z]_{=n} \\
& & \downarrow \Pi \\
& & \mathbb{C}^n = \{(c_n, \dots, c_1)\},
\end{array}$$

here  $\Pi : (c_n, \dots, c_0) \longmapsto (c_n, \dots, c_1)$ , and  $I$  denotes the embedding of a fiber in the total space.

## 6 $n$ -root configuration dynamics: the rational case

### 6.1 Complex rational vector fields on the Riemann sphere $\hat{\mathbb{C}}$

We enlarge the description of  $\mathbb{X}$  in the prototype in order to consider rational vector fields. Let

$$\mathbb{X}(z) = \frac{P(z)}{Q(z)} \frac{\partial}{\partial z} = \frac{\lambda(z - p_1)^{\mu_1} \cdots (z - p_v)^{\mu_v}}{(z - q_1)^{\kappa_1} \cdots (z - q_m)^{\kappa_m}} \frac{\partial}{\partial z} \quad \text{on } \hat{\mathbb{C}} = \mathbb{C}_z \cup \{\infty\} \tag{35}$$

be a rational vector field; here  $P(z), Q(z)$  are polynomials without common factors and  $\kappa, \mu \leq 0$  their respective degrees. The vector field  $\mathbb{X}$  extends rationally to the whole Riemann sphere  $\widehat{\mathbb{C}}$ . Moreover, a simple calculation shows that

$$\mu_1 + \dots + \mu_v - \kappa_1 - \dots - \kappa_m = \mu - \kappa = 2$$

on  $\widehat{\mathbb{C}}$ . This is equivalent to the fact that the point  $\infty \in \widehat{\mathbb{C}}$  is of multiplicity  $2 - \kappa + \mu \in \mathbb{Z}$  for  $\mathbb{X}$  (we convene that the multiplicity of poles of  $\mathbb{X}$  is negative).

Following [7] pp. 579, a singular complex analytic (probably multivalued) function  $\psi : \mathbb{C} \rightarrow \widehat{\mathbb{C}}$  is called *additively automorphic* if  $d\psi$  is a univalued singular complex analytic 1-form on  $\mathbb{C}$ , i.e. for  $\psi_\alpha, \psi_\beta$  any two branches of  $\psi$ ,

$$\psi_\alpha(z) = \psi_\beta(z) + a_{\alpha\beta}, \quad a_{\alpha\beta} \in \mathbb{C}.$$

A concrete example is  $\psi(z) = \ln(z)$ .

[25], [26], [30] On the Riemann sphere  $\widehat{\mathbb{C}} = \mathbb{C}_z \cup \{\infty\}$ , there are canonical correspondences between the following:

1. Complex analytic vector fields  $\mathbb{X}(z)$ .
2. Complex analytic 1-forms  $\omega(z)$ .
3. Multivalued additively automorphic complex analytic functions  $\Psi(z)$  having a rational derivative.
4. Weierstrass polynomials  $\{\psi(z) - s \mid s \in \mathbb{C}\}$ .

Using (35), we define  $\Psi(z) \doteq \int_{z_0}^z \omega : \mathbb{C} \setminus \{p_i\}_{i=1}^v \rightarrow \mathbb{C}_t$ . Diagrammatically, we have

$$\begin{array}{ccccc} & \mathbb{X}(z) = \frac{P(z)}{Q(z)} \frac{\partial}{\partial z} & & & \\ & \swarrow & \searrow & & \\ \omega(z) = \frac{P(z)}{Q(z)} dz & \longleftrightarrow & \Psi(z) = \int^z \frac{P(\zeta)}{Q(\zeta)} d\zeta & \longleftrightarrow & \{\Psi(z) = s\}. \end{array} \quad (36)$$

□

Recall that  $\Psi(z) = \ln(z)$  provides an example with  $\mathbb{X}(z) = z \frac{\partial}{\partial z}$  rational, hence the enlarged hypothesis, multivalued additively automorphic complex analytic functions, for  $\Psi(z)$  is useful.

Recall that a singular complex analytic vector field  $\mathbb{X}$  on  $\mathbb{C}$  is *complete* when its flow is well-defined for all initial conditions and for all complex time  $s$ . However, it is a very restrictive condition; as is well-known,  $\mathbb{X}$  is complete on  $\mathbb{C}$  if and only if  $\mathbb{X}(z) = (bz + c) \frac{\partial}{\partial z}$ ; see [24]. Moreover, the comprehension of rational vector fields (and their flows) at poles is required.

(Complex analytic normal forms at poles and zeros of vector fields) Let  $\mathbb{X}$  be a rational vector field germ on  $(\mathbb{C}, 0)$ .

1. If 0 is a pole of order  $-\kappa \leq -1$  for  $\mathbb{X}$ , then it is holomorphically equivalent to

$$z^{-\kappa} \frac{\partial}{\partial z}.$$

2. If 0 is a zero of order one for  $\mathbb{X}$ , then it is holomorphically equivalent to

$$\lambda z \frac{\partial}{\partial z}, \quad \text{where } \lambda = \mathbb{X}'(0).$$

3. If 0 is a zero of order  $\mu \geq 2$  for  $\mathbb{X}$ , then it is holomorphically equivalent to

$$\frac{z^\mu}{1 + \lambda z^{\mu-1}} \frac{\partial}{\partial z},$$

here  $\lambda \in \mathbb{C}$  is the residue of the associated rational differential 1-form  $\omega_{\mathbb{X}}$  at 0.

The result is well-known [16], [17], [30]. See also figure 2. □

Now we introduce how the flow singularities of a rational vector field  $\mathbb{X}$  on  $\widehat{\mathbb{C}}$  can be related to the  $n$ -root configuration dynamics of a Weierstrass polynomial  $\{P(s, z) = 0\}$ .

## 6.2 A dictionary

*A dictionary between singular points of vector fields and  $n$ -root configuration dynamics.*

Let  $\mathbb{X}$  be a complex analytic vector field on the Riemann sphere  $\widehat{\mathbb{C}} = \mathbb{C}_z \cup \{\infty\}$  describing the  $n$ -root configuration dynamics of a Weierstrass polynomial  $\{P(s, z) = 0\}$  of degree at most  $n$ .

1. A *fixed root* of  $\{P(s, z) = 0\}$  is a finite zero  $p \in \mathbb{C}$  of  $\mathbb{X}$  such that is root of  $\{P(s_0, p) = 0\}$  for a  $s_0$ .
2. An *unattainable root* of  $\{P(s, z) = 0\}$  is a finite zero  $p \in \mathbb{C}$  of  $\mathbb{X}$  such that  $P(s_0, p) \neq 0$  for all  $s_0 \in \mathbb{C}$ .
3. A *collision of  $(\kappa+1)$ -roots* of  $\{P(s, z) = 0\}$  is a finite pole  $q \in \mathbb{C}$  of  $\mathbb{X}$  having order  $-\kappa \leq -1$ .
4.  $\infty \in \widehat{\mathbb{C}}$  a regular point ( $\kappa = 0$ ) or a pole of order  $-\kappa \leq -1$  of  $\mathbb{X}$  determines a  $1 + \kappa$  reduction of the degree of  $\{P(s, z) = 0\}$ .

The four concepts in definition 6.2 are justified by using the normal forms of  $\mathbb{X}$  as follows.

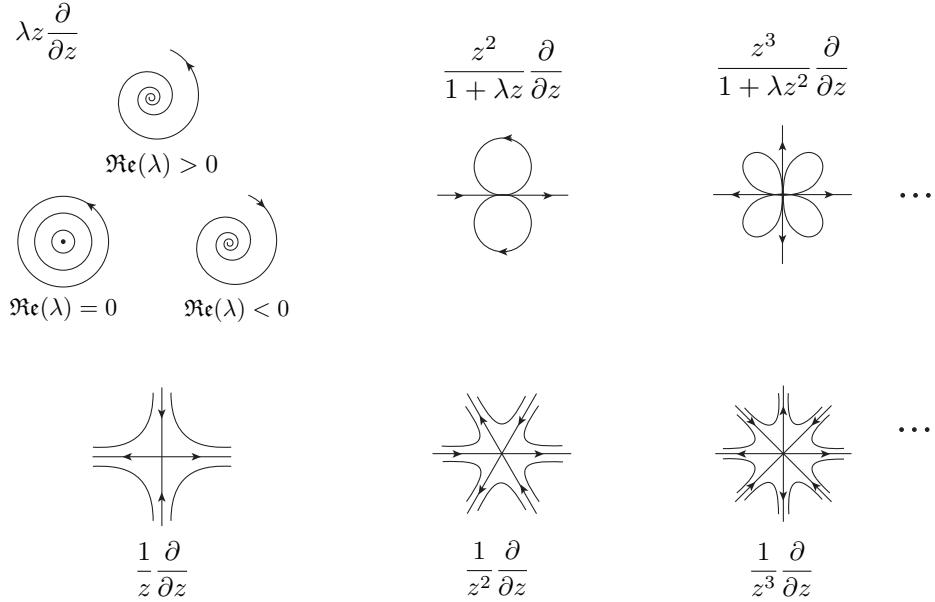


Figure 2: Topological phase portraits of  $\operatorname{Re}(\mathbb{X}) \doteq \operatorname{Re}(f(z)) \frac{\partial}{\partial x} + \operatorname{Im}(f(z)) \frac{\partial}{\partial y}$  for the complex analytic normal forms of poles and zeros of  $\mathbb{X} = f(z) \frac{d}{dx}$ .

Let  $[z_1, \dots, z_n] \subset \mathbb{C}$  be the zeros of  $\{P(s_0, z) = 0\}$  for a value  $s_0$ . Assume that  $p$  is a zero of  $\mathbb{X}$  and is a zero of  $\{P(s_0, z) = 0\}$ . Then  $p$  is *fixed* under the flow of  $\mathbb{X}$ .

Let  $[z_1, \dots, z_n] \subset \mathbb{C}$  be the zeros of  $\{P(s_0, z) = 0\}$  for a value  $s_0$ . Assume that  $p$  is a zero of  $\mathbb{X}$  and does not a zero of  $\{P(s_0, z) = 0\}$ . Clearly for all initial condition  $z_0 \notin \{z_1, \dots, z_n\}$ , we have that

$$\varphi(s_1, z_0) \neq p$$

for every analytic continuation of the flow of  $\mathbb{X}$  along a continuous time path  $\gamma(\tau) : [0, 1] \longrightarrow \mathbb{C}_s$  with  $\gamma(0) = z$ . Hence,  $p_\ell$  is an *unattainable root* of  $\{P(s, z) = 0\}$ .

Let  $\{P(g, z) = 0\}$  be Weierstrass polynomial. When exists a complex analytic vector field  $\mathbb{X}$  on  $\mathbb{C}_z$  describing the  $n$ -root configurations of  $\{P(g, z) = 0\}$ ?

The following result gives an answer.

Let  $\{P(s, z)\}$  be a holomorphic Weierstrass polynomial on the complex Lie group  $\mathbb{C}_s$ .

If the zero locus  $\mathcal{Z} = \{P(s, z) = 0\}$  can be described as the graph of a rational function

$$s = \Psi(z) : \mathbb{C}_z \longrightarrow \mathbb{C}_s,$$

then there exists a rational vector field  $\mathbb{X}$  on  $\mathbb{C}_z$  that describes the  $n$ -root configuration dynamics of  $\{P(s, z) = 0\}$ , even if the flow of  $\mathbb{X}$  is non holomorphic.

In this result, the existence of a Lie group action is unnecessary.

Starting with  $s = \Psi(z)$ , the correspondence (36) provides a vector field  $\mathbb{X}$  that is not necessarily holomorphic.

For the study at the poles of  $\mathbb{X}$  using local holomorphic coordinates  $(\mathbb{C} = \{z\}, 0)$  provided by the local normal forms, we can assume that the Weierstrass polynomial is  $\{P(z, s) = z^n - s = 0\}$ , locally. By using the correspondence (36) (that is well-defined up to change of coordinates), we have

$$\mathbb{X}(z) = \frac{1}{nz^{n-1}} \frac{\partial}{\partial z} \longleftrightarrow \omega(z) = nz^{n-1} dz \longleftrightarrow \Psi(z) = z^n. \quad (37)$$

The  $n$ -th roots of the unity  $[e^{2\pi i/n}, e^{4\pi i/n}, \dots, e^{2n\pi i/n} = 1]$  collide at  $z_0$  for time  $s_0 = \int_1^0 n\zeta^{n-1} d\zeta$ .

Despite the non-holomorphicity of the vector field  $\mathbb{X}$  and its flow in (37), the Weierstrass polynomial  $\{P(s, z) \mid s \in \mathbb{C}\}$  is holomorphic on both variables and the  $n$ -root dynamics is well-defined for all complex time  $s \in \mathbb{C}$ . See figure 6.2.  $\square$

Recall that the study of collisions in the  $n$ -body problem is a hard subject; in flat billiards the trajectories arriving to the singularities are usually removed, since they have zero measure. In our case, root collisions are natural and easy to be described.

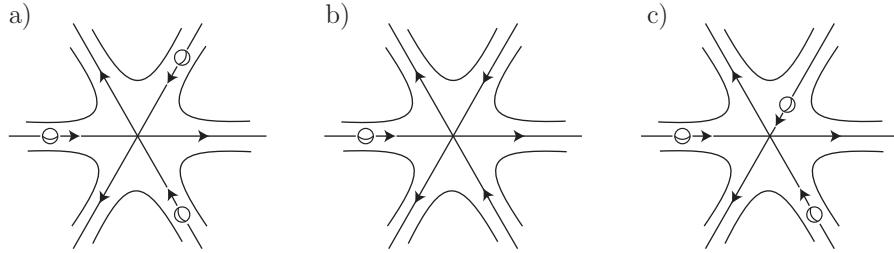


Figure 3: Let  $\varphi(s, z)$  be the holomorphic flow of  $\mathbb{X}(z) = \frac{1}{3z^2} \frac{\partial}{\partial z}$ . a) The three points  $[-1, e^{5\pi i/3}, e^{2\pi i/6}]$  from  $\{z^3 - 1 = 0\}$  collide at  $z_0 = 0$  for real positive time  $s_0 = 1$  under  $\varphi(s, \cdot)$  of  $\mathbb{X}$ , and the polynomial  $P(s, z) = \prod(z - \varphi(s, z_i))$  is holomorphic for all complex time  $s \in \mathbb{C}$ . b) However for only one starting point  $z_1 = -1$  the flow  $\varphi(s, \cdot)$  and the respective polynomial  $(z - \varphi(s, z_1))$  do not make sense for real time  $s > 1$ . c) For three starting points in arbitrary positions (different from 0), the resulting polynomial  $\prod(z - \varphi(s, z_i))$  is not well-defined for certain time values  $\{s_j\}$ .

Let

$$\mathcal{A} : \mathbb{C}_s \times \mathbb{C}[z]_{=2} \longrightarrow \mathbb{C}[z]_{=2}, \quad (s, c_2 z^2 + c_1 z + c_0) \longmapsto c_2 z^2 + (c_1 + s)z + c_0$$

be a holomorphic action. Consider the orbit  $\{P(s, z) = z^2 - sz + 1\}$ . We apply the correspondence (36);

$$\mathbb{X}(z) = \frac{z^2}{z^2 - 1} \frac{\partial}{\partial z} \longleftrightarrow \omega(z) = \frac{z^2 - 1}{z^2} dz \longleftrightarrow \Psi(z) = \frac{z^2 + 1}{z} = s.$$

This vector field describes the 2-root configuration dynamics of  $\{P(s, z) = 0\}$ .

The point  $z_1 = 0$  is an unattainable root of  $\{P(s, z) = 0\}$ .

Two collisions of 2-root appear at  $z = 1, -1$ ; they correspond to the poles of  $\mathbb{X}$ .

The point  $\infty$  is a double zero of  $\mathbb{X}$ , an the degree of  $\{P(s, z) = 0\}$  remains 2 for all complex time  $s$ .

On the other hand, if we consider the orbit  $\{P(s, z) = z^2 - sz\}$ . Then the vector field  $\mathbb{X}(z) = \frac{\partial}{\partial z}$  describes the 2-root configuration dynamics of it; that is  $z_1 = 0$  is a fixed root and the second root  $z_2 = s_0$  moves linearly with respect to the  $s$ -time flow of  $\mathbb{X}$ .

Let  $\mathbb{X}$  be a vector field describing the  $n$ -root configuration dynamics of a Weierstrass polynomial. Recall the figure 2, in a small enough neighborhood of a pole  $q$  of order  $-\kappa$  of  $X$ , there are  $\{z_1, \dots, z_{\kappa+1}\}$  roots (i.e. initial conditions) such that

$$\varphi(s_0, z_1) = \dots = \varphi(s_0, z_{\kappa+1}) = q \quad \text{for a suitable time } s_0 \in \mathbb{C},$$

whence the pole determines the collision of  $(\kappa + 1)$ -roots of  $\{P(s, z) = 0\}$ . In other words,  $\kappa + 1$  simple roots give origin to a root of multiplicity  $\kappa + 1$ .

A Weierstrass polynomial (coming from a holomorphic Lie group action) such that does not exist a univalued complex analytic vector field  $\mathbb{X}$  describing its roots. The Weierstrass polynomial  $\{e^s z + s = 0\}$  is an orbit of the action

$$\begin{aligned} \mathcal{A} : (\mathbb{C}, s) \times \mathbb{C}[z]_{\leq 1} &\longrightarrow \mathbb{C}[z]_{\leq 1} \\ (s, c_1, c_0) &\longmapsto (e^{-s} c_1, c_0 - s). \end{aligned}$$

The orbit of  $(c_1, c_0) = (1, 0)$  has zero locus

$$\mathcal{L} = \{e^{-s} z - s = 0\} = \{s e^s - z = 0\},$$

which is the graph of the multivalued non additively automorphic Lambert-W function  $s = W(z)$ . We would want to settle  $W(z) = \Psi(z)$ ; see R. M. Corless *et al.* [12]. The hypothesis in proposition 6.2 does not hold, since

$$\frac{dW}{dz} = \frac{W(z)}{z(1 + W(z))} \quad \text{for } z \notin 0, -1/e.$$

The diagram (36) produces a multivalued complex analytic 1-form  $\omega = d\Psi(z)$ , whence the vector field  $\mathbb{X}$  on  $\mathbb{C}_z$  shares the multivaluedness.

(Transcendental functions  $s = \Psi(z)$ ).

1. The Weierstrass polynomial  $\{e^s z + e^{-s} = 0\}$  comes from a holomorphic  $\mathbb{C}$ -action on  $\mathbb{C}[z]_{\leq 1}$ . Moreover, by using correspondence (36), there exists a complex analytic vector field  $\mathbb{X}(z) = -2z \frac{\partial}{\partial z}$  on  $\mathbb{C}_z$ , which describes its roots dynamics.
2. Let  $s = \Psi(z) = \ln(z)$  be a multivalued additively complex analytic function. Using the correspondence (36), the rational vector field is  $\mathbb{X}(z) = z \frac{\partial}{\partial z}$  and the Weierstrass polynomial is  $\{e^s - z = 0\}$ .

See proposition 7.2 for a generalization of both examples.

## 7 Vector fields describing $n$ -root dynamics from Lie group actions of $\mathbb{C}$ and $\mathbb{C}^*$

Suitable tools for the study of Schur and anti-Schur polynomials are given in the following two subsections.

### 7.1 Actions on $\mathbb{C}[z]_{\leq n}$ by translations

(Action by translations on  $\mathbb{C}[z]_{\leq n}$ ) Let  $Q \in \mathbb{C}[z]_{\leq n}$  be a polynomial. There exists a correspondence between the following objects.

1. A holomorphic Lie group action

$$\begin{aligned}\mathcal{A} : \mathbb{C}_s \times \mathbb{C}[z]_{\leq n} &\longrightarrow \mathbb{C}[z]_{\leq n} \\ (s, P(z)) &\longmapsto P(z) - sQ(z).\end{aligned}$$

2. A family of Weierstrass polynomials (obits of  $\mathcal{A}$ )

$$\left\{ P(s, z) = P(z) - sQ(z) \mid s \in \mathbb{C} \right\}$$

over the complex Lie group  $\mathbb{C}_s$ .

3. A family of rational maps

$$\left\{ \Psi(z) = \frac{P}{Q}(z) + s : \mathbb{C}_z \longrightarrow \mathbb{C}_s \mid P(z) \in \mathbb{C}[z]_{=n}, s \in \mathbb{C} \right\}.$$

4. A family of rational vector fields

$$\left\{ \mathbb{X}(z) = \frac{Q^2(z)}{P'(z)Q(z) - Q'(z)P(z)} \frac{\partial}{\partial z} \mid P(z) \in \mathbb{C}[z]_{=n} \right\} \quad \text{on } \hat{\mathbb{C}}_z. \quad (38)$$

In (2), the polynomial  $P(z)$  must be considered as the initial condition for an orbit  $\{P(z) - sQ(z) \mid s \in \mathbb{C}\}$  of the action. For each rational function  $\Psi(z) \doteq \frac{P}{Q}(z) = s$ , the diagram (36) provides the correspondence (2)–(4).  $\square$

Note that a rational vector field  $\mathbb{X}$  on  $\hat{\mathbb{C}}$  as in (38) has a local flow  $\varphi : \Omega \times \hat{\mathbb{C}} \longrightarrow \hat{\mathbb{C}}$  such that

$$\frac{\Pi_t(z - \varphi(s, z_t(s)))}{Q(z)} = \frac{P(z)}{Q(z)} = s.$$

Recalling definition (6.2), the zeros  $\{Q(z) = 0\}$  are fixed points under the local flow of  $\mathbb{X}$ .

Let us consider the action

$$\mathcal{A} : \mathbb{C}_s \times \mathbb{C}[z]_{\leq 2} \longrightarrow \mathbb{C}[z]_{\leq 2}, \quad (s, c_2 z^2 + c_1 z + c_0) \longmapsto (c_2 + s)z^2 + c_1 z + c_0.$$

For  $c_0 = 0$ ,  $\mathcal{A}$  gives origin to the subfamily of Weierstrass polynomials  $\{P(s, z) = (c_2 + s)z^2 + c_1 z \mid s \in \mathbb{C}\}$ , and the associated vector fields are

$$\mathbb{X} = \frac{z^2}{c_1} \frac{\partial}{\partial z}.$$

Note that  $z = 0$  is a fixed root of all  $\{P(s, z) = 0\}$ . For  $s = -c_2$ , the moving root escapes to infinity.

### 7.2 Linear actions on $\mathbb{C}[z]_{\leq n}$

Let us consider a linear action  $\mathcal{A}$  of  $(\mathbb{C}, +)$  on  $\mathbb{C}[z]_{\leq n} = \{(c_n, \dots, c_1, c_0)\}$ , with  $\{z^n, \dots, z, 1\}$  as a basis, such that its infinitesimal generator is the linear holomorphic vector field

$$\sum_{j=0}^n \lambda_j c_j \frac{\partial}{\partial c_j}, \quad \lambda_j \in \{\lambda_\alpha, \lambda_\beta\} \subset \mathbb{C}^*, \quad (39)$$

which has two different eigenvalues, at least one of them nonzero. All polynomial in  $\mathbb{C}[z]_{\leq n}$  can be written as

$$P(z) = \sum_{j=0}^n c_j z^j = \sum_j c_{j(\alpha)} z^{j(\alpha)} - \sum_j c_{j(\beta)} z^{j(\beta)} = R(z) - Q(z),$$

by definition  $R(z)$ ,  $Q(z)$  belong to the eigenspaces of  $\lambda_1$ ,  $\lambda_2$ . Under (39), each orbit is  $\{e^{\lambda_\alpha s} R(z) - e^{\lambda_\beta s} Q(z) \mid s \in \mathbb{C}\}$ .

(Linear actions with two different nonzero eigenvalues) The following objects are equivalent.

1. A holomorphic Lie group action

$$\begin{aligned}\mathcal{A} : \mathbb{C}_s \times \mathbb{C}[z]_{\leq n} &\longrightarrow \mathbb{C}[z]_{\leq n} \\ (s, P(z)) &\longmapsto e^{\lambda_\alpha s} R(z) - e^{\lambda_\beta s} Q(z),\end{aligned}$$

with an infinitesimal generator as in (39).

2. Families of Weierstrass polynomials

$$\left\{ P_{R,Q}(s,z) = e^{\lambda_\alpha s} R(z) - e^{\lambda_\beta s} Q(z) \mid s \in \mathbb{C}, Q(z), R(z) \in \mathbb{C}[z]_{\leq n} \right\}$$

over the complex Lie group  $\mathbb{C}_s$ .

3. Families of multivalued additively automorphic functions

$$\left\{ \Psi_{R,Q}(z) = \frac{1}{\lambda_\alpha - \lambda_\beta} \ln \left( \frac{R(z)}{Q(z)} \right) \mid s \in \mathbb{C}, Q(z), R(z) \in \mathbb{C}[z]_{\leq n} \right\}.$$

4. A family of rational vector fields

$$\left\{ \mathbb{X}(z) = \frac{R(z)Q(z)}{Q'(z)R(z) - R'(z)Q(z)} \frac{\partial}{\partial z} \quad \text{on } \mathbb{C}_z \mid R(z), Q(z) \in \mathbb{C}[z]_{\leq n} \right\}.$$

For each multivalued additively automorphic function  $\Psi_{R,Q}(z)$ , we have the diagram

$$\begin{array}{ccccc} \mathbb{X} = \frac{RQ}{Q'R - R'Q} \frac{\partial}{\partial z} & & & & \\ \swarrow & & \searrow & & \\ \omega = \left( \frac{1}{\lambda_\alpha - \lambda_\beta} \ln \left( \frac{R(z)}{Q(z)} \right) \right)' dz & \longleftrightarrow & \Psi(z) = \int^z \left( \frac{1}{\lambda_\alpha - \lambda_\beta} \ln \left( \frac{R(z)}{Q(z)} \right) \right)' dz & \longleftrightarrow & \left\{ \frac{1}{\lambda_\alpha - \lambda_\beta} \ln \left( \frac{R(z)}{Q(z)} \right) - s = 0 \right\}. \end{array} \quad (40)$$

□

Let  $\lambda_\alpha = 1$  and  $\lambda_\beta = 0$  be eigenvalues in (39). The families of Weierstrass polynomials are

$$\{ P(s,z) = e^s R(z) + Q(z) \mid e^s \in \mathbb{C}^* \},$$

and the family of vector fields is

$$\mathbb{X}(z) = \frac{R(z)Q(z)}{Q'(z)R(z) - R'(z)Q(z)} \frac{\partial}{\partial z} \quad \text{on } \mathbb{C}_z. \quad (41)$$

Assume that there are points in  $\{R(z) = 0\} \cap \{Q(z) = 0\} \subset \mathbb{C}_z$ , then they are fixed under the local flow of  $\mathbb{X}$  and roots of the Weierstrass polynomial. The points  $\{R(z) = 0\} \cup \{Q(z) = 0\} \setminus (\{R(z) = 0\} \cap \{Q(z) = 0\}) \subset \mathbb{C}_z$  are invariant under the local flow of  $\mathbb{X}$ . They are unattainable roots under the analytic extension of the flow of  $\mathbb{X}$ .

## 8 Schur–Cohn map 2 in root coordinates

Recall the  $(\mathbb{C}, +)$ -action  $\mathcal{A}_{2,n}(0, \theta \equiv 1, P(z)) = P(z)$  in (22);

$$\begin{aligned}\mathcal{A}_{2,n} : \mathbb{C} \times \mathcal{D}_{2,n} &\longrightarrow \mathcal{D}_{2,n} \\ (s, P(z)) &\longmapsto \frac{e^{i(\theta_n + \theta)}}{b_{n-1}} \sqrt{b_{n-1} + |c_0 + sc_n|^2} z P_1(z) + \frac{c_0 + sc_n}{b_{n-1}} P_1^*(z),\end{aligned} \quad (42)$$

here  $R_{2,n}(P(z)) = P_1(z)$ . The associated principal fiber bundle is

$$\begin{array}{ccc} \mathbb{C}_s & \xrightarrow{\mathbf{i}} & \mathcal{D}_{2,n} \subset \mathbb{C}[z]_{=n} \\ & & \downarrow R_{2,n} \\ & & \mathbb{R}^+ \times \mathbb{C}^{n-1} \not\subseteq \mathcal{D}_{2,n-1}. \end{array}$$

For each polynomial  $P(z) \in \mathcal{D}_{2,n}$ , the action  $\mathcal{A}_{2,n}$  provides a Weierstrass polynomial of degree  $n$

$$P(s,z) \doteq \Xi_{2,n}(s, P_1(z)) : \mathbb{C}_s \times \mathbb{C} \longrightarrow \mathbb{C},$$

over the additive Lie group  $(\mathbb{C}_s, +)$ . A very useful expression for the  $(\mathbb{C}, +)$ -fiber of the  $R_{2,n}$  bundle and its zeros is given by

$$\mathcal{Z} = \left\{ P(s,z) = \frac{e^{i\theta_n}}{b_{n-1}} \sqrt{b_{n-1} + |c_0 + sc_n|^2} z P_1(z) + \frac{c_0 + sc_n}{b_{n-1}} P_1^*(z) = 0 \mid s \in \mathbb{C} \right\} \subset \mathbb{D}. \quad (43)$$

For each  $P(s,z)$ , we require the real analytic diffeomorphism

$$\varepsilon : \mathbb{C}_s \longrightarrow \mathbb{D} \subset \mathbb{C}_t, \quad s \mapsto \frac{c_0 + c_n s}{\frac{|c_n|}{|c_n|} \sqrt{b_{n-1} + |c_0 + c_n s|^2}}. \quad (44)$$

In equation (43), dividing by the coefficient of  $zP_1(z)$ , the equation of  $\mathcal{Z}$  assumes the form

$$\mathcal{Z} = \{P(s,z) = 0 \mid s \in \mathbb{C}\} = \{\hat{P}(t,z) = zP_1(z) + tP_1^*(z) = 0 \mid t \in \mathbb{D}\}. \quad (45)$$

As in proposition (7.1), we recognize the right side as a Weierstrass polynomial over  $\mathbb{C}_t$  (only for  $t \in \mathbb{D}$ ).

Recall two properties:

Using the identity element  $s = 0 \in \mathbb{C}_s$  in (42) and (43), we recover  $P(z) = P(0,z)$ .

For each  $s_0 \in \mathbb{C}$ , there exists a  $t_0 \in \mathbb{D}$  such that  $\{P(s_0,z)\} = \{\hat{P}(t_0,z) = 0\}$ .

Furthermore, if in addition  $P(z)$  is Schur–stable, then we distinguish three cases.

Let  $P(z) = \hat{P}(0,z)$  be a Schur stable polynomial and consider the associated zeros of the Weierstrass polynomial  $\{\hat{P}(t,z) \mid t \in \mathbb{C}\}$  as in (45).

1. For  $|t| < 1$ ,  $\hat{P}(t,z)$  is Schur stable.
2. For  $|t| > 1$ ,  $\hat{P}(t,z)$  is anti–Schur.
3. For  $|t| = 1$ ,  $\hat{P}(t,z)$  has all its zeros in the unitary circle  $\partial\mathbb{D}$ .

For  $|t| < 1$ , we recall that  $\{\hat{P}(t,z) = 0\}$  already appeared in equation (20), so the assertion follows.

For  $|t| > 1$ , the family (45) is extended to  $\{\hat{P}(t,z) \mid t \in \mathbb{C} \setminus \mathbb{D}\}$ . Since it is equal to (24) when we interchange the roles of  $P_1^*(z)$  y  $P_1(z)$  and by theorem 3.1 for anti–Schur polynomials, we have assertion 2.

For  $|t| = 1$ , the assertion follows by a continuity argument and the assertions 1 and 2.  $\square$

Summing up

( $n$ –root configuration dynamics of Schur stable polynomials) Let

$$\mathcal{A}_{2,n} : \mathbb{C} \times \mathcal{D}_{2,n} \longrightarrow \mathcal{D}_{2,n}$$

be the real analytic action (42) from the bundle defined by the Schur–Cohn map  $R_{2,n}$  and let  $P(z)$  be a Schur stable polynomial. For the respective orbit

$$\left\{ P(s,z) = \frac{e^{i\theta_n}}{b_{n-1}} \sqrt{b_{n-1} + |c_0 + c_n s|^2} z P_1(z) + \frac{c_0 + c_n s}{b_{n-1}} P_1^*(z) \mid s \in \mathbb{C} \right\} \quad (46)$$

over  $\mathbb{C}_s$ , the rational vector field

$$\mathbb{X}(z) = \frac{P_1^{*2}(z)}{z P_1(z) P_1^{*'}(z) - (z P_1(z)' + P_1(z)) P_1^*(z)} \frac{\partial}{\partial z} \quad (47)$$

describes the  $n$ –root configuration dynamics of the Weierstrass polynomial  $\{P(s,z) = 0\}$  on  $\mathbb{D}$ .

Let  $P(z)$  be a Schur stable polynomial. We recognize that each Weierstrass polynomial (46) fills up the conditions in proposition 7.1 family 2 (where  $t$  plays the role of the parameter  $s$  in this proposition). Hence, the vector field  $\mathbb{X}$  in (47) is well-defined, as in (38).

The flow of the vector field  $\mathbb{X}$  for time  $t \in \mathbb{D}$  describes the  $n$ –root configuration dynamics of  $\{\hat{P}(t,z) = 0\}$ , by (45). Recall that  $s$  and  $t$  are related by (51) and we can interchange the zero locus  $\{\hat{P}(t,z) = 0\}$  by  $\{P(s,z) = 0\}$ . Hence, the equation

$$P(t,z) = c_n(t)(z - \varphi(t, z_1)) \cdots (z - \varphi(t, z_n))$$

holds true whenever the flow is well-defined, as in definition 5.1.  $\square$

An advantage of  $\mathbb{X}$  in (47) is that it is rational, having an explicit expression using  $P_1(z)$  and  $P_1^*(z)$ . The next result describes the dynamics of  $\mathbb{X}$  in the sense of definition 6.2.

1. The unattainable points of  $\{P(s, 0) = 0\}$  are  $\{P_1^*(z) = 0\} \subset \mathbb{C} \setminus \mathbb{D}$ .
2. The poles of  $\mathbb{X}$  are  $2n - 2$  counted with multiplicity, and there are at most  $n - 1$  inside  $\mathbb{D}$ , they produce collisions of the roots of  $\{P(s, 0) = 0\}$ .

For 1, we note that the zeros of  $\mathbb{X}$  are in  $\mathbb{C} \setminus \mathbb{D}$ , and by lemma 8, it follows that  $\{P(t, z) = 0\}$  has its unattainable points outside of the unitary disk.

For 2, Recall that the vector field (47) has an anti-Schur numerator and a self-inverse denominator, thus

$$\begin{aligned} zP_1(z)P_1^{*'}(z) - (zP_1'(z) + P_1(z))P_1^*(z) &= \bar{b}_0 b_{n-1} z^{2n-2} + \dots + (\sum_{k=0}^{n-3} (n-2-2k)\bar{b}_{n-(k+3)} b_{n-(k+1)})z^{n+1} \\ &\quad + (\sum_{k=0}^{n-2} (n-1-2k)\bar{b}_{n-(k+2)} b_{n-(k+1)})z^n + \\ &\quad (\sum_{k=0}^{n-1} (n-2k)|b_{n-(k+1)}|)z^{n-1} + (\sum_{k=0}^{n-2} (n-1-2k)b_{n-(k+2)}\bar{b}_{n-(k+1)})z^{n-2} + \\ &\quad (\sum_{k=0}^{n-3} (n-2-2k)b_{n-(k+3)}\bar{b}_{n-(k+1)})z^{n+1} + \dots + b_0 b_{n-1}, \end{aligned}$$

which is self-inverse by using [27] definition 11.5.1, p. 375. The vector field  $\mathbb{X}$  has at most  $n - 1$  poles inside of  $\mathbb{D}$ .  $\square$

Let  $P(z) = z^3$  be a Schur polynomial. Its associated polynomial is  $P_1(z) = R_{2,n}(P(z)) = z^2$  and the respective anti-Schur polynomial is  $P_1^*(z) = 1$ . By (45), the Weierstrass polynomials are

$$\{P(s, z) = s + \sqrt{1 + |s|^2}z^3\} \quad \text{and} \quad \{\hat{P}(t, z) = t + z^3\}.$$

According to (47), the rational vector field

$$\mathbb{X}(z) = \frac{-1}{3z^2} \frac{\partial}{\partial z}$$

describes the 3-root configuration dynamics of  $\{P(s, z) = 0\}$  over  $\mathbb{C}$ . The roots are inside  $\mathbb{D}$  and there is a triple collision in  $z = 0$ ; see figure 4.a. Note that the expected number of poles of  $\mathbb{X}$  is four; however, only a triple pole appears.

Let  $P(z) = z(z - 1/2)(z - (1+i)/2)$  be a Schur polynomial. Its associated polynomial is  $P_1(z) = R_{2,n}(P(z)) = (z - 1/2)(z - (1+i)/2)$  and the respective anti-Schur polynomial is  $P_1^*(z) = \frac{1-i}{4}z^2 - (1-i/2)z + 1$ . By (45), the Weierstrass polynomials are

$$\{P(s, z) = s(\frac{1-i}{4}(z-2)(z-(1+i))) + \sqrt{1 + |s|^2}z(z-1/2)(z-(1+i)/2)\}$$

and

$$\{\hat{P}(t, z) = t(\frac{1-i}{4}(z-2)(z-(1+i))) + z(z-1/2)(z-(1+i)/2)\}.$$

According to (47), the vector field

$$\mathbb{X}(z) = -\frac{((2+2i)-(3+i)z+z^2)^2}{(-2+2i)+(8-16i)z+33iz^2-(8+16i)z^3+(2+2i)z^4} \frac{\partial}{\partial z}$$

describes the 3-root configuration dynamics of  $\{P(s, z) = 0\}$  over  $\mathbb{C}$ . The number of poles of  $\mathbb{X}$  is four. The root collisions appear in  $z_1 = 0.25 + 0.03i$ ,  $z_2 = 0.42 + 0.32i$ ,  $z_3 = 1.48 + 1.14i$  and  $z_4 = 3.83 + 0.49i$ , but only  $z_1$  and  $z_2$  are in the unitary disk, so the other collisions appear in  $\mathbb{C} \setminus \mathbb{D}$ ; see figure 4.b.

Furthermore, we verify numerically lemma 8.3 for some  $|t| = 1$ , obtaining figures similar to those sketched in example 1.a. on  $\mathbb{C}_z$ .

Let  $P(z) = (-1+i) - 2iz + 8z^3$  be a Schur polynomial. Its associated polynomial is  $P_1(z) = R_{2,n}(P(z)) = -16i + (2+2i)z + 62z^2$  and the respective anti-Schur polynomial is  $P_1^*(z) = 62 + (2-2i)z + 16iz^2$ . By (45), the Weierstrass polynomial are

$$\{P(s, z) = \frac{1}{62}((-1+i) + 8s)(62 + (2-2i)z + 16iz^2) + \frac{1}{62}z(-16i + (2+2i)z + 62z^2) \sqrt{62 + |(-1+i) + 8s|^2}\}$$

and

$$\{\hat{P}(t, z) = t(\frac{1-i}{4}(z-2)(z-(1+i))) + z(z-1/2)(z-(1+i)/2)\}.$$

According to (47), the vector field

$$\mathbb{X}(z) = -\frac{i(31i+(1+i)z-8z^2)^2}{31(-8+(2-2i)z-91iz^2-(2+2i)z^3+8z^4)} \frac{\partial}{\partial z}$$

describes the 3-root configuration dynamics of  $\{P(s, z) = 0\}$  over  $\mathbb{C}$ . The number of poles of  $\mathbb{X}$  is four. The root collisions appear in  $z_1 = 0.2 + 0.2i$ ,  $z_2 = -0.22 - 0.22i$ ,  $z_3 = 2.24 - 2.24i$  and  $z_4 = 2.51 + 2.51i$ , but only  $z_1$  and  $z_2$  are in the unitary disk, so the other collisions appear in  $\mathbb{C} \setminus \mathbb{D}$ ; see figure 4.c.

Let

$$P(z) = z(z - (1+i)) \in \mathcal{D}_{2,2} \setminus \mathcal{S}_2 \tag{48}$$

be a non Schur polynomial, but in the domain of  $R_{2,2}$ . Its associated polynomial is

$$P_1(z) = R_{2,2}(P(z)) = z - (1+i) \notin \mathcal{D}_{2,1}$$

and the respective anti-Schur polynomial is  $P_1^*(z) = (-1+i)z + 1$ . By (45), the Weierstrass polynomials are

$$\{P(s, z) = \sqrt{1 + |s|^2}z(z - (1+i)) + s((-1+i)z + 1)\} \quad \text{and} \quad \{\hat{P}(t, z) = z(z - (1+i)) + t((-1+i)z + 1)\}.$$

According to (47), the rational vector field

$$\mathbb{X}(z) = \frac{(2-2i)(z-\frac{1+i}{2})^2}{2(z-1)(z-i)} \frac{\partial}{\partial z}$$

describes the 2-root configuration dynamics of  $\{P(s, z) = 0\}$  over  $\mathbb{C}$ . Since the initial  $P(z)$  is not Schur, for  $|t| = 1$  the roots  $\{\hat{P}(t, z) = 0\}$  do not belong to the unitary circle  $\partial\mathbb{D}$ ; see figure 4.d.

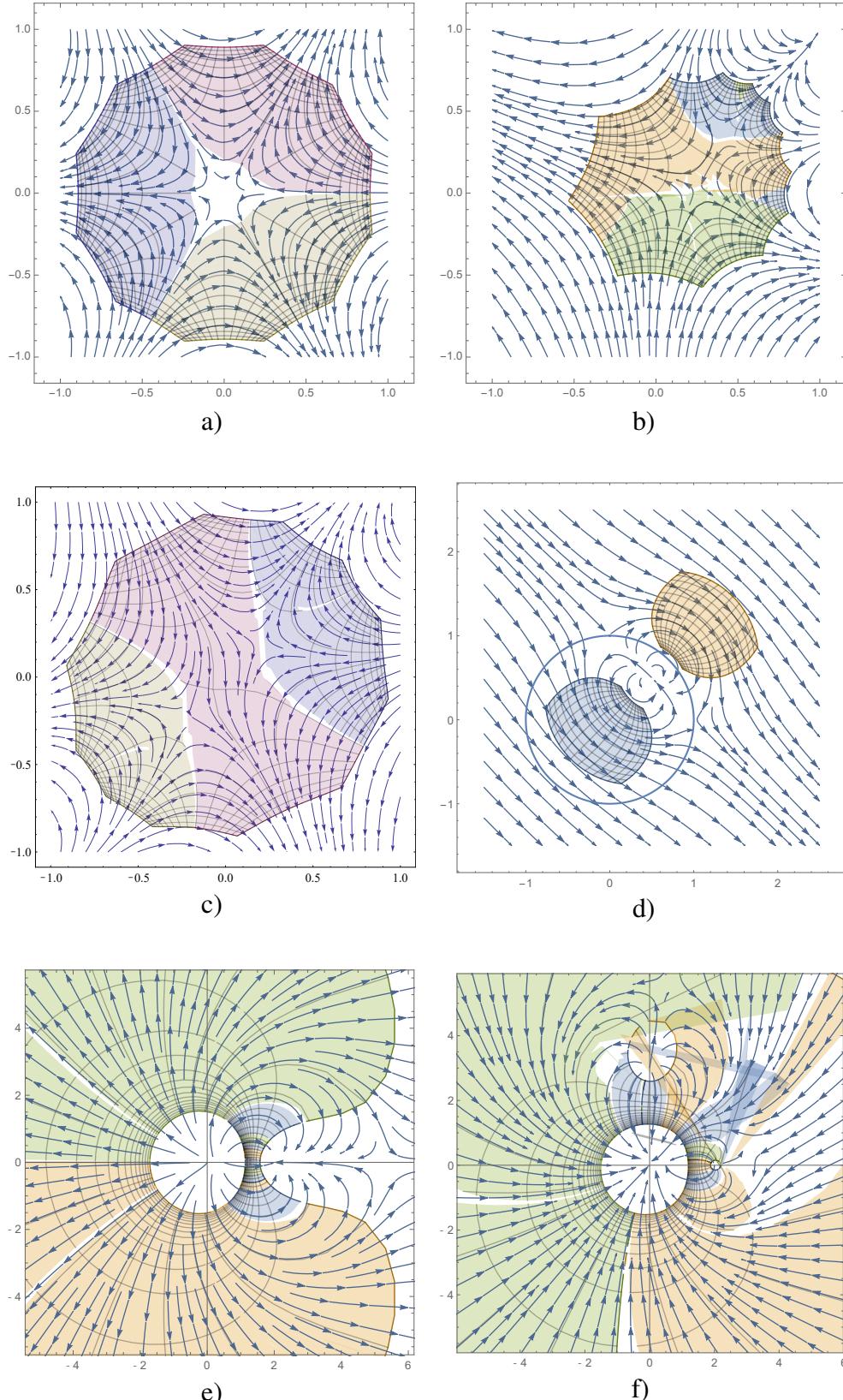


Figure 4: Three phase portraits of vector fields  $\mathbb{X}$  describing the 3-root dynamics of Schur polynomials in  $\mathbb{D}$  for time  $\{s \mid -1 \leq \Re(s), \Im(s) \leq 1\}$ ; a), b) and c) correspond to examples 8, 8 and 8 respectively. d) Phase portrait of a vector field  $\mathbb{X}$  describing the 2-root dynamics of Weierstrass polynomial in  $\mathcal{D}_{2,2}$ , not Schur or anti-Schur, see example 8. Two phase portraits (e)–(f) of vector fields  $\mathbb{Y}$  describing the 3-root dynamics of anti-Schur polynomials in  $\mathbb{C} \setminus \mathbb{D}$  for time  $\{w \mid 0.1 \leq |w| \leq 0.8, 0 \leq \arg(w) \leq 2\pi\}$ ; e) and f) correspond to examples 9 and 9. In the six figures, the color islands correspond to the behavior under the complex flow (of  $\mathbb{X}$  or  $\mathbb{Y}$ ) using one root of the respective  $\{P(z) = 0\}$  as an initial condition.

## 9 Schur–Cohn map 1 in root coordinates

Recall the  $(\mathbb{C}^*, \cdot)$ -action  $\mathcal{A}_{1,n}(1, \theta \equiv 0, P(z)) = P(z)$  in (26);

$$\begin{aligned} \mathcal{A}_{1,n} : \mathbb{C} \times \mathcal{D}_{1,n} &\longrightarrow \mathcal{D}_{1,n} \\ \left( w, \frac{c_n}{b_0} z P_1^*(z) + \frac{e^{i\theta_0} \sqrt{b_0 + |c_n|^2}}{b_0} P_1(z) \right) &\longmapsto \frac{c_n w}{b_0} z P_1^*(z) + \frac{e^{i(\theta_0 + \theta)} \sqrt{b_0 + |c_n w|^2}}{b_0} P_1(z), \end{aligned} \quad (49)$$

here  $R_{1,n}(P(z)) = P_1(z)$ . The associated principal fiber bundle is

$$\begin{array}{ccc} \mathbb{C}_w^* & \xrightarrow{\dot{\mathbf{i}}} & \mathcal{D}_{1,n} \subset \mathbb{C}[z]_{=n} \\ & & \downarrow R_{1,n} \\ & & \mathbb{C}^{n-1} \times \mathbb{R}^+ \not\subseteq \mathcal{D}_{1,n-1}. \end{array}$$

For each polynomial  $P(z) \in \mathcal{D}_{1,n}$ , the action  $\mathcal{A}_{1,n}$  provides a Weierstrass polynomial of degree at most  $n$

$$P(w, z) \doteq \Xi_{1,n}(w, P_1(z)) : \mathbb{C}_w^* \times \mathbb{C} \longrightarrow \mathbb{C},$$

over the multiplicative Lie group  $(\mathbb{C}_w^*, \cdot)$ . Note that since we are interested in the dynamics of the roots without loss of generality we can start with  $P_1(z) = R_{1,n}(P(z))$  having  $b_0 \in \mathbb{R}^+$ .

A very useful expression for the  $(\mathbb{C}_w^*, \cdot)$ -fiber of the  $R_{1,n}$  bundle and its zeros is given by

$$\mathcal{L} = \left\{ P(w, z) = \frac{c_n w}{b_0} z P_1^*(z) + \frac{e^{i\theta_0} \sqrt{b_0 + |c_n w|^2}}{b_0} P_1(z) = 0 \mid w \in \mathbb{C}^* \right\} \subset \mathbb{C} \setminus \overline{\mathbb{D}}, \quad (50)$$

where  $\theta_0 = \arg(c_0)$  is given as in (17).

For each  $P(s, z)$ , we require the real analytic diffeomorphism

$$\varepsilon : \mathbb{C}_w^* \longrightarrow \mathbb{C} e^t \setminus \mathbb{D} \quad \varepsilon : w \longmapsto \frac{e^{i\theta_0} \sqrt{b_0 + |c_n w|^2}}{c_n w} \doteq e^t, \quad (51)$$

where  $b_0 \in \mathbb{R}^+$  and  $\Re(t) > 0$ . In equation (50), dividing by the coefficient,  $(e^{i\theta_0} \sqrt{b_0 + |c_n w|^2})/b_0$ , the equation of its zero locus  $\mathcal{L}$  assumes the form

$$\mathcal{L} = \{P(w, z) = 0 \mid w \in \mathbb{C}^*\} = \{\hat{P}(t, z) = z P_1^*(z) + e^t P_1(z) = 0 \mid \Re(t) > 0\}. \quad (52)$$

As in proposition 7.2, we recognize the right side as a Weierstrass polynomial over  $\mathbb{C}^*$ .

Recall two properties:

Using the identity element  $w = 1 \in \mathbb{C}_w^*$  by (49) and (50), we recover  $P(z) = P(1, z)$ .

For each  $w_0 \in \mathbb{C}$ , there exists  $t_0 \in \mathbb{D}$  such that  $\{P(w_0, z)\} = \{\hat{P}(t_0, z) = 0\}$ .

We assume that  $P(z)$  is anti–Schur.

Let  $P(z)$  be an anti–Schur polynomial, consider the associated Weierstrass polynomial,  $\hat{P}(t, z)$  as in (52).

1. For  $\Re(t) > 0$ ,  $\hat{P}(t, z)$  is anti–Schur.

2. For  $\Re(t) < 0$ ,  $\hat{P}(t, z)$  is Schur.

3. For  $\Re(t) = 0$ ,  $\hat{P}(t, z)$  has all its zeros in the unitary circle  $\partial\mathbb{D}$ . It is analogous to the proof in lemma 8.  $\square$

Using proposition 7.2, we have an auxiliary rational vector field  $\mathbb{Y}(z)$  on  $\widehat{\mathbb{C}}$ , associated to with the family (52).

Summing up

( $n$ -root configuration dynamics of anti–Schur stable polynomials) Let

$$\mathcal{A}_{1,n} : \mathbb{C}^* \times \mathcal{D}_{1,n} \longrightarrow \mathcal{D}_{1,n}$$

be the real analytic action (49) from the bundle defined by the Schur–Cohn map  $R_{1,n}$ , and let  $P(z)$  be an anti–Schur polynomial. For the respective orbit

$$\left\{ P(w, z) = \frac{c_n w}{b_0} z P_1^*(z) + \frac{e^{i\theta_0} \sqrt{b_0 + |c_n w|^2}}{b_0} P_1(z) = 0 \mid w \in \mathbb{C}^* \right\} \subset \mathbb{C} \setminus \overline{\mathbb{D}}, \quad (53)$$

the rational vector field

$$\mathbb{Y}(z) = -\frac{z P_1(z) P_1^*(z)}{(P_1^*(z) + z P_1^{*\prime}(z)) P_1(z) - z P_1^*(z) P_1'(z)} \frac{\partial}{\partial z} \quad \text{on } \widehat{\mathbb{C}} \quad (54)$$

describes the  $n$ -root configuration dynamics of the Weierstrass polynomial  $\{P(w, z) = 0\} \subset \mathbb{D}$ .  $\square$

The next lemma describes the dynamics of  $\mathbb{Y}$  in the sense of definition 6.2.

Consider the vector field  $\mathbb{Y}$  in (54).

1. The unattainable points are the roots of  $zP_1^*(z)P_1(z)$ , and there are  $n - 1$  unattainable points in  $\mathbb{C} \setminus \mathbb{D}$ .

2. The poles of  $\mathbb{Y}$  are at most  $2n - 2$  counted with multiplicity, and there are at most  $n - 1$  in  $\mathbb{C} \setminus \mathbb{D}$ , which produce a root collisions of  $P(w, z)$ .

It is analogous to the proof for corollary 8.  $\square$

Let

$$P(z) = z(2z - 1)^2 + 2(z - 2)^2$$

be anti-Schur polynomial. Its image is  $P_1(z) = R_{1,n}(P(z)) = 12(z - 2)^2$  and the associated Schur polynomial is  $P_1^*(z) = 12(2z - 1)^2$ . The Weierstrass polynomials are given by

$$\{P(w, z) = \frac{4w}{48}12z(2z - 1)^2 + \frac{\sqrt{48+|4w|^2}}{48}12(z - 2)^2\}$$

and

$$\{\hat{P}(t, z) = z(2z - 1)^2 + e^t(z - 2)^2\}.$$

According to (54), the vector field

$$\mathbb{Y}(z) = -\frac{(-2+z)z(-1+2z)}{2-11z+2z^2} \frac{\partial}{\partial z}$$

describes the 4-root configuration dynamics of  $\{P(w, z) = 0\}$  over  $\mathbb{C}^*$ . In  $\mathbb{C} \setminus \overline{\mathbb{D}}$  there is a collision of 2-roots at  $z = 5.31$ , and  $z = 2$  is an unattainable root. See figure 4.e.

Let

$$P(z) = -6iz^3 + 3iz^2 - (3 + 6i)z + 12i$$

be an anti-Schur polynomial. Its associated polynomial is  $P_1(z) = -18i(z - 2)(z - 3i)$ , which is an anti-Schur polynomial, the associated Schur polynomial is  $P_1^*(z) = 108z^2 - (54 + 36i)z + 18i$ . From here the Weierstrass polynomial is

$$\{P(w, z) = \left\{ \frac{-6iw}{108}z(108z^2 - (54 + 36i)z + 18i) + \frac{i\sqrt{108+|-6iw|^2}}{108}(-18i)(z - 2)(z - 3i) \right\}\}.$$

According to (54), the vector field

$$\mathbb{Y}(z) = \frac{z(6i-(2+3i)z+z^2)(i-(3+2i)z+6z^2)}{6(-1+(4-6i)z+20iz^2-(4+6i)z^3+z^4)} \frac{\partial}{\partial z}$$

describes the root dynamics of  $\{P(w, z) = 0\}$ . The roots belong to  $\mathbb{C} \setminus \overline{\mathbb{D}}$ , and there is a collision of 2-roots in  $z_1 = 0.55 + 5.47i$ ,  $z_2 = 3.11 + 0.31i$ . The Weierstrass polynomial  $P(w, z)$  has unattainable roots in 0 and  $3i$ . See figure 4.f.

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