

ST340CW1Mihir

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Question 1a: Merge Implementation

```
mergeLR <- function(left, right){
  ret <- numeric(length(left) + length(right))
  i <- 1
  j <- 1
  k <- 1
  while(i <= length(left) || j <= length(right)) {
    if(i <= length(left) && j <= length(right)){
      if(left[i] < right[j]){
        ret[k] <- left[i]
        i <- i + 1
        k <- k + 1
      }
      else{
        ret[k] <- right[j]
        j <- j + 1
        k <- k + 1
      }
    }
    else if(i <= length(left)){
      ret[k] <- left[i]
      k <- k + 1
      i <- i + 1
    }
    else if(j <= length(right)){
      ret[k] <- right[j]
      k <- k + 1
      j <- j + 1
    }
  }
  return(ret)
}
```

Merge Test:

```
left <- c(1,2,2.34)
right <- c(-12,-5,9,2000)
print(mergeLR(left,right))
```

```
## [1] -12.00 -5.00  1.00  2.00  2.34  9.00 2000.00
```

Question 1b: Mergesort Implementation

```
mergesort <- function(a){
  if(length(a) == 1){
```

```

    return(a)
  }
  else{
    mid <- floor(length(a)/2)
    left <- numeric(mid)
    right <- numeric(length(a) - mid)
    for(i in 1:length(left)){
      left[i] <- a[i]
    }
    for(j in 1:length(right)){
      right[j] <- a[j + mid]
    }
    return(mergeLR(mergesort(left), mergesort(right)))
  }
}

```

MergeSort Test:

```

input <- c(1,2,2.34,2000,-12,-5,9)
print(mergesort(input))

```

```
## [1] -12.00 -5.00 1.00 2.00 2.34 9.00 2000.00
```

Question 1d: Mergesort Correctness

Proposition: Mergesort correctly sorts an array $(a[1], \dots, a[n])$ of size n .

Base Case: When $n = 1$, $a[1]$ is sorted by default since it contains only one element.

Inductive Hypothesis: When $n = k$, the array is correctly sorted by mergesort. i.e. $a[1] \leq \dots \leq a[k]$.

Inductive Step: When $n = k + 1$,

$$\begin{aligned}
 mid &= \lfloor \frac{k+1}{2} \rfloor \\
 left &= a[1], \dots, a[mid] \\
 right &= a[mid+1], \dots, a[k+1]
 \end{aligned}$$

Since $\lfloor \frac{k+1}{2} \rfloor \leq k$ and $k+1 - (\lfloor \frac{k+1}{2} \rfloor + 1) = k - \lfloor \frac{k+1}{2} \rfloor < k$, left and right will be correctly sorted by mergesort as assumed in our inductive hypothesis. We must show that mergeLR correctly outputs a sorted array when called upon left and right.

Proposition: mergeLR correctly returns a single array with the elements of the two input arrays in sorted order. Suppose left and right are two arrays with lengths p and q respectively and the algorithm is on its k^{th} iteration.

Base Case: When $k = 1$,

$$ret = \text{concat}(\min\{left[1], right[1]\}, \max\{left[1], right[1]\})$$

Hence, the returned array is in correct sorted order.

Inductive Hypothesis: For arbitrary k , the returned merged array is correctly sorted. i.e. $ret[1] \leq \dots \leq ret[k]$.

Inductive Step: For the $(k+1)^{th}$ iteration, suppose i is an index running through left, s.t. $1 \leq i \leq p$ and j is an index running through right, s.t. $1 \leq j \leq q$. Assume $left[i] \leq right[j]$ such that $ret[k] = left[i]$ and using the inductive hypothesis, we have $ret[1] \leq \dots \leq ret[k]$. Considering the assumed case,

$$ret[k+1] = \min\{left[i+1], right[j]\}$$

$$\implies \text{ret}[1] \leq \dots \leq \text{ret}[k] \leq \text{ret}[k+1]$$

since $\text{left}[i] \leq \text{left}[i+1] \leq \text{right}[j]$ and in the case where $i+1 > p$, the if condition fails and the else if condition $j \leq \text{length}(\text{right})$ is activated, where

$$\text{ret}[k+1] = \text{right}[j], \dots, \text{ret}[p+q] = \text{right}[q]$$

and since right was already sorted, we have

$$\text{ret}[k+1] \leq \dots \leq \text{ret}[p+q]$$

and hence the merged array is correctly sorted.

For the case when $\text{right}[j] < \text{left}[i]$, the proof is identical due to the symmetry of the problem.

Question 1d: Mergesort Runtime

Given an array of size n , mergesort is recursively called upon two equal halves (since array is assumed even) and once each half is of size 1, merge is executed $O(n)$ times, until the original array size is attained. Hence, we arrive at the recurrence equation given by:

$$T(1) = 1,$$

$$T(n) = 2T\left(\frac{n}{2}\right) + O(n), \quad n > 1$$

Proposition: $T(n) \leq n \log_2(n)$, $\forall n \in \{2^k : k \in \mathbb{N}\}$

Base Case: $n = 2^1$

$$T(2) = 2T(1) + O(2) = 2 \leq 2 \log_2(2) = 2$$

Hence, the base case is true.

Inductive Hypothesis: Assume true for $n = 2^k$:

$$\begin{aligned} T(2^k) &= 2T\left(\frac{2^k}{2}\right) + O(2^k) \\ &= 2T(2^{k-1}) + O(2^k) \leq 2^k \log_2(2^k) \end{aligned}$$

Inductive Step: For $n = 2^{k+1}$:

$$\begin{aligned} T(2^{k+1}) &= 2T\left(\frac{2^{k+1}}{2}\right) + O(2^{k+1}) \\ &= 2T(2^k) + O(2^{k+1}) \end{aligned}$$

using our inductive hypothesis, we have:

$$\leq 2^{k+1} \log_2(2^{k+1})$$

and hence the proposition stands $\forall n \in \{2^k : k \in \mathbb{N}\}$.

Question 1e: Quicksort vs Mergesort

Quicksort and Mergesort are both divide and conquer based sorting strategies. Quicksort uses a pivot (either chosen naively or by other methods such as median) to partition the array whereas Mergesort always partitions the array into halves. This results in Mergesort having a worst-case time complexity of $O(n \log(n))$. A scenario where the array is sorted in descending order results in Quicksort's worst-case time complexity of $O(n^2)$. Hence, Mergesort is faster and more efficient than Quicksort.