

BLG335E Algorithm Analysis Assignment2

Report

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Question 1

heapExtractMin() function does corresponding heap operation for the extract operation in the implementation:

```
173  Vehicle* heapExtractMin(Vehicle** arr, int* vehicle_heap_size){
174      if(*vehicle_heap_size < 1){
175          //Heap size should not be lower than 1
176          cout << "Heap underflow" << endl;
177      }
178      Vehicle* min = arr[0]; //as a heap property element with smallest key
179      arr[0] = arr[(*vehicle_heap_size)-1]; //move last element of heap to root
180      *vehicle_heap_size = *vehicle_heap_size - 1; //to remove smallest element
181      minHeapify(arr,0,vehicle_heap_size); //call minHeapify for root
182      return min; //return smallest element
183  }
```

Figure 1: Extract Function in the Implementation

- Check whether heap has a proper size or not = $O(1)$
 - If heap doesn't have a proper size, emphasize this by via output = $O(1)$
- Since first element of the array is the element with smallest key according to heap property, assign it to min variable to get element with smallest key = $O(1)$
- Change first element of the array as the last element of the array = $O(1)$
- Decrease size of the heap by 1 = $O(1)$
- Call **minHeapify()** for first element of the array to maintain the heap property = $O(\log(n))$ (will be explained in detail)

- Return extracted minimum element = $O(1)$

Since there are not any loops or recursions, the times can be just summed up to obtain the total time:

Total time = $O(1)+O(1)+O(1)+O(1)+O(1)+O(1)+O(\log(n))= O(6) + O(\log(n))$

$O(6) + O(\log(n)) = O(\log(n))$ since $O(6)$ this very small compare to $O(\log(n))$, $O(\log(n))$ dominates the time

Furthermore, **minHeapify()** should be explained since it is used in heapExtractMin() function. **minHeapify()** is crucial part of heap since it is responsible for maintaining heap property:

```

122 void minHeapify(Vehicle** arr, int index, int* vehicle_heap_size){
123     int leftchild = 2 * (index+1); //using formula to get index of left child
124     leftchild = leftchild - 1;
125     //since array index starts from 0, 1 is added to index for proper calculation then subtracted
126     int rightchild = (2 * (index+1)) + 1; //using formula to get index of right child
127     rightchild = rightchild - 1;
128     //since array index starts from 0, 1 is added to index for proper calculation then subtracted
129     int smallest = index; //assume index of the heap's smallest element is current index
130
131     //if both index of left child is smaller than heap size and left child's key is smaller than
132     if(leftchild < *vehicle_heap_size && arr[leftchild]->get_key() < arr[index]->get_key()){
133         smallest = leftchild;
134     }
135
136     //if both index of rightchild is smaller than heap size and rightchild's key is smaller than
137     if(rightchild < *vehicle_heap_size && arr[rightchild]->get_key() < arr[smallest]->get_key()){
138         smallest = rightchild;
139     }
140
141     //if smallest of parent,leftchild,rightchild is not parent, exchange parent with the smallest
142     if(smallest != index){
143         Vehicle* buffer = arr[index];
144         arr[index] = arr[smallest];
145         arr[smallest] = buffer;
146         //call minHeapify for smallest's index to maintain the heap property
147         minHeapify(arr,smallest,vehicle_heap_size);
148     }
149 }
150

```

Figure 2: Minimum Heapify Function in the Implementation

- Obtain the index of left child = $O(2)$
- Obtain the index of right child = $O(2)$
- Assume index of the smallest is parent's index = $O(1)$
- Check if whether both index of left child is smaller than heap size and key value of left child is smaller than parent's key value or not = $O(1)$

- If both conditions holds, assign index of the smallest element as left child's index = $\mathbf{O(1)}$
- Check if whether both index of right child is smaller than heap size and key value of right child is smaller than both parent's key and left child's key values or not = $\mathbf{O(1)}$
 - If both conditions holds, assign index of the smallest element as right child's index = $\mathbf{O(1)}$
- Check if index of smallest element is equal to parent's index or not = $\mathbf{O(1)}$
 - If it is not equal, exchange parent with the one of its children whose index corresponds to index of smallest element = $\mathbf{O(1)}$
 - Call **minHeapify()** recursively for parent node with its exchanged new index(will be explained in detail)

Since there are not any loops or recursions until recursive call of function **minHeapify()**, the times can be just summed up to obtain the total time up to that point:

Total time up to recursive call = $\mathbf{O(2)+O(2)+O(1)+O(1)+O(1)+O(1)+O(1)+O(1)+O(1)=O(11)}$

To decide the running time of recursion, we need to decide how many nodes might be involved to recursion in the worst case scenario. Since it is stated that each children has a subtree with at most $2/3$ of current tree's size at each recursive call, the following equation can be obtained:

$$T(n) \leq T(2n/3) + O(11)$$

We can solve this recurrence using Master Theorem:

• Suppose $T(n) = a \cdot T\left(\frac{n}{b}\right) + O(n^d)$. Then

$$T(n) = \begin{cases} O(n^d \log(n)) & \text{if } a = b^d \\ O(n^d) & \text{if } a < b^d \\ O(n^{\log_b(a)}) & \text{if } a > b^d \end{cases}$$

Figure 3: Master Theorem from Lecture Slides

$$\begin{aligned} d &= 0, a = 1, b = 3/2 \\ 1 &= (3/2)^1 \\ a &= b^d \\ \mathbf{T(n)} &= \mathbf{O(\log(n))} \end{aligned}$$

heapDecreaseKey() function does corresponding heap operation for the decrease operation in the implementation:

```

178 void heapDecreaseKey(Vehicle** arr, double key, int index){
179     if(key > arr[index]->get_key()){
180         //to decrease key the given key value should be smaller than cu
181         cout << "New key is larger than current key";
182     }
183     arr[index]->set_key(key); //set key value of element at (index)th in
184     int parent = ((index+1)/2)-1; //use formula to reach parent of the (
185     while(index > 0 && arr[parent]->get_key() > arr[index]->get_key()){
186         //if parent's key value is larger than (index)th node's key val
187         //continue this operation until index becomes zero or parent's
188         Vehicle* buffer = arr[parent];
189         arr[parent] = arr[index];
190         arr[index] = buffer;
191         index = parent;
192         parent = ((index+1)/2)-1;
193     }
194 }

```

Figure 4: Decrease Function in the Implementation

- Check whether the given key is larger than the current key or not = $O(1)$
 - If yes, emphasize this by via output = $O(1)$
- Assign given key to element with given index = $O(1)$
- Obtain index of the parent of element with given index = $O(1)$
- Execute loop if both index is not index of the root and parent's key is larger than child's (element with given index) key = $O(\log(n))$ (will be explained in detail)
- Exchange child(element with given index) and its parent = $O(3)$
- New given index is assigned as parent's index = $O(1)$
- Obtain new index of the parent of element with given index = $O(1)$

Since the while loop is executed as many times we goes up from a child to its parent level by level, it will be executed at most depth of the tree times which is equal to $O(\log(n))$ for a binary tree. At each execution of while loop the amount of time is $O(5)$, so that the total time for while loop is $O(5\log(n))$.

Since there are not any loops or recursions other than while loop, the times can be just summed up to obtain the overall total time:

Overall total time = $O(1)+O(1)+O(1)+O(1)+O(5\log(n)) = O(4) + O(5\log(n))$

$O(4) + O(5\log(n)) = \mathbf{O(\log(n))}$ since $O(\log(n))$ dominates the time

minHeapInsert() function does corresponding heap operation for the insert operation in the implementation:

```

222 bool minHeapInsert(Vehicle** arr, Vehicle* insert_vehicle, int* vehicle_heap_size, int* total_operations){
223     //Even if insert operation has decrease operation in it, i have counted them as 1 count since insert operation
224     *total_operations = *total_operations - 1; //Count total operations for extract, decrease and insert
225     *vehicle_heap_size = *vehicle_heap_size + 1; //for insertion increase heap size by 1
226     arr[*vehicle_heap_size-1] = insert_vehicle; //insert to end of the array
227     if(*total_operations == 0){
228         return false; //if the program reached to total operation limit, return false to indicate termination
229     }
230     double new_key = arr[*vehicle_heap_size-1]->get_distance() / arr[*vehicle_heap_size-1]->get_speed();
231     heapDecreaseKey(arr,new_key,*vehicle_heap_size-1); //to put inserted element to correct place while maintaining heap property
232     return true;
233 }
```

Figure 5: Insert Function in the Implementation

- Decrease total operations by 1 since insert is counted as operation = $\mathbf{O(1)}$
- For insertion, increase heap size by 1 to arrange space for element to be inserted = $\mathbf{O(1)}$
- Insert desired element to the end of the array = $\mathbf{O(1)}$
- Check whether the program reached total operation limit or not = $\mathbf{O(1)}$
 - If yes, terminate by returning false = $\mathbf{O(1)}$
- Calculate key value for inserted element = $\mathbf{O(1)}$
- Decrease key value of inserted element as calculated key to put it its correct place by calling heapDecreaseKey() function = $\mathbf{O(\log(n))}$ (it is explained previously)
- Return true to indicate the program didn't reach total operation limit = $\mathbf{O(1)}$

Since there are not any loops or recursions, the times can be just summed up to obtain the total time:

Total time = $O(1)+O(1)+O(1)+O(1)+O(1)+O(1)+O(\log(n))+O(1) = O(7) + O(\log(n))$

$O(7) + O(\log(n)) = \mathbf{O(\log(n))}$ since $O(\log(n))$ dominates the time

Question 2

Here is the calculation of execution times for different N values at SSH environment:

N	Execution 1(ms)	Execution 2(ms)	Execution 3(ms)	Execution 4(ms)	Execution 5(ms)	Average Execution Time (ms)
1000	0	0	0	0	0	0
10000	0	0	10	0	10	4
20000	10	10	10	0	10	8
50000	20	20	20	20	20	20
100000	40	40	50	40	30	40

Figure 6: Table of the Calculated Execution Times For Different N Values

Here is the plot of the above table which shows the relation between N value and execution time:

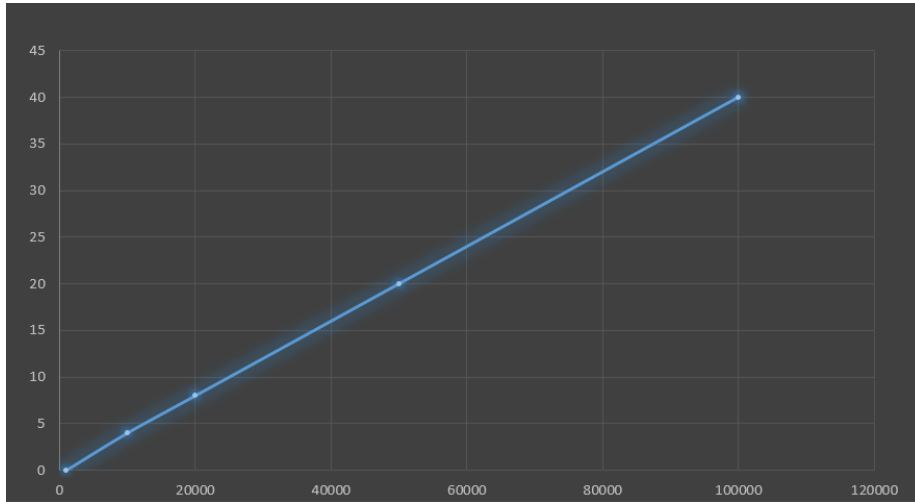


Figure 7: Plot of the Calculated Execution Times For Different N Values

As we obtained at Question 1, each of the extract, decrease and insert operations takes $O(\log(n))$ time. So that, since total number of operations is n , overall total time should be $O(n\log(n))$.

Therefore, as can be seen from the plot, the results which I have obtained is suitable for $O(n\log(n))$. It can be clarified by following calculations:

$$\begin{aligned} 20000 \times \log(20000) / 10000 \times \log(10000) &\geq 8/4 \\ 50000 \times \log(50000) / 20000 \times \log(20000) &\geq 20/8 \\ 100000 \times \log(100000) / 50000 \times \log(50000) &\geq 40/20 \end{aligned}$$

In conclusion, it can be claimed that the theoretical result we obtained at Question 1 match with the practical result we obtained at Question 2.